

Logical Framework for the project

Annex 6 Logframe

Annex C

Improved Water Supply and Sanitation Information and Monitoring System for the Settlements of the urban Poor in Kenya

	Intervention logic	Objectively verifiable indicators* of achievement	Sources and means of verification	Assumptions
Overall objectives	<p>A standardized and sustainable water supply and sanitation information and monitoring system is available and used by institutions and decision makers in formulating strategies and allocating resources to the benefit of the poor</p> <p>The quality of the MDG monitoring is significantly improved</p>	<p>Annually updated figures provided by the WSRB Information System concerning all identified settlement of the urban poor are published</p> <p>The annual reporting of WB and UN organization is based on the information provided by the updated baseline</p>	<p>Annual published report by WSRB based on the reports of WSB and WSP</p> <p>WB and UN reports on MDG monitoring which is reflecting the reality better from 2009 on</p>	<p>The WSB and the WSP comply with the reporting obligations. A sector development report is issued by the WSRB not later than 2008 as reference</p> <p>The CBS in Kenya accepts the outcome of the baseline study and adjust their reporting</p>
Specific objective				
	<p>Viable baseline data in form of a data base and GIS on WSS services in the settlements of the poor in the towns of Kenya is available and linked to existing information systems, updated regularly</p> <p>Regular reporting by Water Service Providers (WSPs) on WSS services for the settlements of the poor is enhanced and used by the relevant institutions in the sector</p>	<p>A data base which englobes all identified settlements of the urban poor is available 30 month after the commencement of the action and a GIS for the biggest</p> <p>90% of the WSP fulfill the obligation on annual reporting and the rest receive an enforcement notice by the WSRB</p>	<p>Progress reports and audit report, Inventory of WSB and WSRB</p> <p>WSRB annual report</p>	<p>The WSP and WSB comply with the reporting requirements issued by the WSRB</p>

Logical Framework for the project

	<p>The information system of the Water Services Regulatory Board (WSRB) on WSS concerning the performance of WSPs is enlarged with key indicators on coverage of the poor</p>	<p>The WSRB IS contains a list of all settlements of the urban poor for each service areas of the WSP/WSB at the end of the action</p>	<p>WSRB Information System - Entry Masks</p>	
	<p>The information system of WSRB is linked to the SWAP process and the Sector wide Information System (SIS) to enable politicians to adjust policies and strategies</p> <p>Data and information provided gives orientation to decision makers in allocating resources towards the underserved poor in order to reach the MDGs</p> <p>The poverty focus of the sector institutions particularly regulation is strengthened</p> <p>Commercialized WSP are linking up with small scale informal providers (SSIP) in peri-urban settlements to improve WSS service provision to the poor</p>	<p>Clear procedures are available and documented on how the WSRB has to report and prepare documents for the SWAP activities by the end of the action</p> <p>The decisions on allocating resources by the MWI/WSTF in the framework of the SWAP follows the proposal of the WSRB, WSBs and WSPs</p> <p>Regulatory instruments such as guidelines are adjusted according to the recommendation of the stakeholders by the end of the action</p> <p>Two pilot projects are carried out at the end of the action</p>	<p>Written procedures</p> <p>Minutes of the SWAP conference and SIP committee</p> <p>Adjusted guidelines and other regulatory instruments</p> <p>Documentation on the progress of the action and final audit</p>	<p>The different working groups of the SWAP are coordinating and using the input provided by the WSRB</p> <p>The annual SWAP conference includes the input from the WSRB and the working groups into their decision making process</p> <p>WSPs are interested in integrating SSIPs</p>

Logical Framework for the project

<p>Expected results</p>	<p>Common and agreed understanding of definitions for access to water supply and sanitation services</p>	<p>The MWI has adopted a definition proposed in the stakeholder forum after 15 month of the start of the action</p>	<p>Publication of the MWI or the WSRB</p>	<p>The key stakeholders can agree on a definition</p>
	<p>A comprehensive database containing information from the baseline study and identifying the settlements of the urban poor is available in a data base</p> <p>All settlements of the urban poor in Kenya are identified and can be inserted in the Service Provision Agreements (SPAs).</p> <p>A realistic estimation of investments needs in low cost technology for the urban poor is available.</p> <p>Proposals on how to link SSIPs to WSPs are established and tested.</p> <p>The existing information system of the partner organization WSRB is adjusted by including all identified settlements of the urban poor</p> <p>The reporting system of the WSRB is available and a summary of the developments in the settlements of the urban poor</p>	<p>The data base is available at the WSRB 30 month after the commencement of action</p> <p>From all WSPs/WSBs where additional settlements have been identified, at least 60% of the SPAs have been adjusted with a new service provision area</p> <p>An investment plan for the settlement of the poor is available after 30 month of commencement of the action</p> <p>2 pilots are carried out until the end of the action and the WSRB has a concept of large scale implementation</p> <p>The WSRB information system contains for all provider a list of settlements of the urban poor on which the providers have to report on</p> <p>At the end of the action the WSRB publishes an annual report containing a summary of the situation and developments in the settlements of the urban poor</p>	<p>Inventory of the WSRB</p> <p>SPA</p> <p>Publication of investment plan</p> <p>Documentation on the pilot and concept of WSRB</p> <p>Entry mask of the IS</p> <p>Publication of WSRB</p>	<p>Resistance by the Municipalities as responsible for WSS can be overcome wherever appearing</p> <p>The MWI accepts the approach on low-cost investment</p> <p>Participating WSP are interested to integrate SSIPs and to manage them effectively and sustainable. Also sufficient SSIPs are interested</p> <p>WSBs and WSPs are meeting the requirements of the relevant guideline</p>

Logical Framework for the project

	The pro-poor focus of the key institutions is enhanced	Regulatory instruments such as guidelines are adjusted according to the recommendation of the stakeholders by the end of the action	Adjusted guidelines and other regulatory instruments	Leadership of MWI is effective
	A handbook on the use and the updating of data for planning and decision making purposes on different levels is available Training programs are carried out and updating procedures of base line data are established A simplified GIS for better planning is available.	The handbook is available and disseminated 30 month after the commencement of the action 90% of the annually planned training measures have been carried out The GIS has been handed over to all concerned WSB and Municipalities	Handbook received by WSB and key Municipalities Annual reports of baseline study Receipt by the recipients	WSB and Municipalities are interested in the database Participants are available WSB and Municipalities are interested in the GIS
Activities		Means:		
	Establish definitions on access to safe drinking water and adequate sanitation in cooperation with key stakeholders in the sector Carry out a baseline study on WSS services in all low income settlements in the urban context in Kenya (data base and GIS) Carry out training and sensitizing on baseline and GIS Establish proposals to link SSIPs to WSPs and test it on the ground	Workshops, short term consultancy, study, personnel, literature review, seminars Personnel, training, Transport, PC, office place equipment, furniture, soft ware development, consultancy / studies, supplies, mobilization costs Personnel, training and consultancy, material personnel, consultancy, training, cars, equipment, material, seminars	Annual reports of baseline study Annual reports of baseline study Annual reports of baseline study WSRB annual report	The key stakeholders can agree on a definition Resistance by the Municipalities to accept activities in informal settlements can be overcome wherever appearing Participants are available Sufficient WSPs and SSIPs are interested

Logical Framework for the project

	Organize workshops on all levels for inception, planning, harmonization, information, dialogue and feed back	Personnel, consultancy, transport equipment, material	Annual reports of baseline study and WSRB	Participants are available
	<p>Enlarge WSRB information system to cover peri-urban areas</p> <p>Design a reporting format for WSRB (WSBs and WSPs) on settlements of the urban poor and adjust regulatory instruments</p> <p>Elaborate and disseminate handbook on use and updating of data for planning and decision making purposes</p> <p>Document the different outputs, lessons learned, best practices etc.</p> <p>Establish proposal to incorporate information on settlements of the urban poor in the Annual Sector Review (SWAP Conference)</p>	<p>Software development and consultancy, mobilization costs</p> <p>Personnel, training and consultancy, seminars</p> <p>Personnel, training and consultancy, material</p> <p>Personnel and consultancy, publishing, conference</p> <p>Personnel and consultancy</p>	<p>Entry mask of the IS</p> <p>Publication of WSRB</p> <p>Publication of handbook</p> <p>Final publication before the final audit of the action</p> <p>SWAP minutes</p>	<p>WSB and WSP accept the reporting format</p> <p>Sufficient feedback form the key institutions and beneficiaries are provided</p> <p>The different working groups of the SWAP are coordinating the input provided by the WSRB</p>

*: Indicators must be SMART (Specific, Measurable, Available at an acceptable cost, Relevant with regard to the objective concerned, Time bound)