

**Republic of Kenya**



**MINISTRY OF WATER & SANITATION, AND IRRIGATION**

**Sexual Exploitation, Abuse and Harassment (SEAH) Prevention  
and Response Plan**

**HORN OF AFRICA GROUND WATER FOR RESILIENCE  
PROJECT KENYA(PI74867)**

**February 2023**

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## Acronyms and Abbreviations

ACHPR	African Commission on the Human and Peoples Rights
CoC	Code of Conduct
CBO	Community Based Organization
CDD	Community Driven Development
CIGs	Community Interest Groups
CoE	Council of Elders
CoK	Constitution of Kenya
CSO	Civil Society Organizations
CRA	Commission on Revenue Allocation
EAs	Environmental Assessments
EIA	Environmental Impact Assessment
EMP	Environmental Management Plan
ESIA	Environmental and Social Impact Assessment
ESMF	Environmental and Social Management Framework
ESMP	Environmental and Social Management Plan
ESS	Environmental and Social Standards
FPOs	Farmer Producer Organizations
FS	Feasibility Study
GDP	Gross Domestic Product
GoK	Government of Kenya
GM	Grievance Mechanism
HoAGW4R	Horn of Africa Ground Water for Resilience
ICT	Information and Communication Technologies
IDA	International Development Association
IPOs	Indigenous Peoples Organizations
IPV	Intimate Partner Violence
KNCHR	Kenya National Commission on Human Rights
Kshs	Kenyan Shilling
KFS	Kenya Forest Service
LRA	Land Registration Act 2012
M&E	Monitoring and Evaluation
MDAs	Ministries, Departments and Agencies
MDGs	Millennium Development Goals
MEWNR	Ministry of Environment, and Forestry
MSME	Micro, Small and Medium-scale Enterprises
MOWSI	Ministry of Water & Sanitation and Irrigation
MoDP	Ministry of Devolution and Planning
MoE	Ministry of Education,
MoH	Ministry of Health
MOPE	Market-Oriented Producer Enterprise

MoU	Memorandum of Understanding
NEMA	National Environment Management Authority
NGO	Non-Governmental Organization
NLC	National Land Commission
NLP	National Land Policy
OP	Operational Policy
OSH	Occupational Safety and Health
PAD	Project Appraisal Document
PAP	Project Affected Persons
PCU	Project Coordinating Unit
PDO	Project Development Objective
PIC	Public Information Centre
POM	Project Operation Manual
RAP	Resettlement Action Plan
RPF	Resettlement Policy Framework
RCGW	Regional Center on Groundwater
PAP	Project Affected Person
SEA	Sexual Exploitation and Abuse
SDS	Social Development Specialist
SH	Sexual Harassment
ToR	Terms of Reference
SA	Social Assessment
SEAH	Sexual Exploitation, Abuse, and Harassment
SIA	Social Impact Assessment
SP	Service Provider
UN	United Nations
UNDRP	Declaration on the Rights of Indigenous Peoples
VMGs	Vulnerable and Marginalized Groups
VMGF	Vulnerable and Marginalized Groups Framework
VMGP	Vulnerable and Marginalized Groups Plan
WARIS	Water Regulation Information System
WASREB	Water Services Regulatory Board
WB	World Bank
WBG	World Bank Group
WRA	Water Resource Authority
WRUA	Water Resources Users Association
WSDP	Water and Sanitation Development Project
WSP	water service provider
WSS	Water Supply and Sanitation
WSTF	Water Sector Trust Fund
WUAs	Water User Associations

## Executive Summary

### a. Background

1. This Sexual Exploitation, Abuse and Harassment (SEAH) Prevention and Response Plan has been prepared for the Horn of Africa Ground Water for Resilience Project (Kenya) which is a World Bank financed project implemented by Ministry Water & Sanitation and Irrigation, in conjunction with Kenya Water Trust Fund (WSTF) and Water Resource Authority (WRA). The GBV consultant under the MoWSI, Senior social development specialist and the social specialist in WSTF and WRA. The HoAGWP4R will secure all the required specialists before the project construction works commence. Some of the HoAGW4R activities may cause SEAH risks for workers, spouses/partners, community members by project workers, and among project workers. The SEAH risk for this project is adjudged **Substantial**
2. The Project Development Objective (PDO) is to increase sustainable access and management of groundwater in the Horn of Africa borderlands within the counties of **Turkana, Marsabit, Mandera, Wajir and Garissa**. The progress to meet the PDO will be measured by the following outcome indicators: (1) Beneficiaries provided with access to improved water supply (Number and gender %); (2) Water points constructed or rehabilitated under the project that are functioning (% of water points); (3) Regional groundwater institutions with increased access to improved information critical for sustainable GW management.
3. Poor access to water, sanitation and hygiene can exacerbate the vulnerability of women and girls to SEAH. Fear of SEAH related to WASH facilities can also prevent women and girls from accessing available facilities and affecting their health and wellbeing. The following project-related risks on SEAH have been identified and need to be addressed in order to mitigate the risks.
  - i) Construction workers are predominantly male, typically separated from their families on the project site for short periods of time up to a month. If not carefully managed, an influx of labor can negatively impact a project area, in the context of high acceptability of violence against women and girls.
  - ii) Potential abuse of power and sexual exploitation in labor practices: hiring and employment practices that seek to increase the number of women in labor-intensive work O&M schemes and rural water supply schemes can expose women to incidents of sexual exploitation, harassment, or violence.
  - iii) Women and girls' exclusion from priority-setting and community decision making processes related GBV risks: Exclusion from spaces of voice, agency and decision-making can lead to decisions that further harm or marginalize women and girls. Prioritization that does not take into account the unique and diverse needs of women and girls, especially in groundwater planning, development and management, might increase their risk to GBV.

- iv) Pervasive corruption among persons in authority, as well as a lack of awareness and impunity towards SEAH issues and violations may discourage qualified women from participating in the project activities or reporting SEAH incidences.
4. Potential amplification of community and household tensions because of poorly designed water points which are insensitive to gender dynamics in a given context can exacerbate exposure to SEAH and other forms of GBV. Some of the HOAGWRP activities may cause SEAH risks for workers, spouses/partners, community members by project workers, and among project workers.
  5. This SEAH Prevention and Response Plan details the necessary operational measures and protocols that will be put in place to address all forms of SEAH related to the project and how they will be integrated over the life of the project. These include how to address any SEAH allegations that may arise and procedures for preventing and responding to SEAH. The plan has annexes (Annex 1) which outlines a sample core Values, and Compliance Program and Guidelines code of conduct, SEAH reporting process(Annex2) and SEAH treatment and counselling procedure(Annex 3) among others. The Plan also details how reports on SEAH will be handled (investigation procedures) and disciplinary action for violation of the Code of Conduct (CoC) by project workers.

## **b. Definition of terms**

6. The Inter-Agency Standing Committee (IASC) defines **gender-based violence (GBV)** as “an umbrella term for any harmful act that is perpetrated against a person’s will, and that is based on socially ascribed (gender) differences between males and females. SEAH broadly encompasses physical, sexual, economic, psychological/emotional abuse/violence including threats and coercion, and harmful practices occurring between individuals, within families and in the community, at large. These include sexual violence, domestic or Intimate Partner Violence (IPV), trafficking, forced and/or early marriage, and other traditional practices that cause harm.
7. The United Nations defines “**sexual exploitation**” as any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially, or politically from the sexual exploitation of another. Sexual abuse on the other hand is “the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions. “SEA” is therefore a form of GBV and generally refers to acts perpetrated against beneficiaries of a project by staff, contractors, consultants, workers and partners.
8. **Sexual harassment** is defined as any unwelcome sexual advance, request for sexual favor, verbal or physical conduct or gesture of a sexual nature, or any other behavior of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation to another, when such conduct interferes with work, is made a condition

of employment, or creates an intimidating, hostile or offensive work environment. It occurs between personnel/staff and involves any unwelcome sexual advance or unwanted verbal or physical conduct of a sexual nature.

### **c. Legal, Policy and Institutional Framework**

9. Kenya has robust legal, policy and institutional frameworks for addressing GBV<sup>1</sup>. These include the constitutional provisions such the right for persons to be treated with dignity. The National Policy for the Prevention and Response to Gender Based Violence - 2014, County Government Policy on Sexual and Gender Based Violence - 2017, and the Legislative Framework on Sexual and Gender Based Violence for County Governments - 2017. The key relevant legislations are the Sexual Offences Act, 2006, the Employment Act, 2007, and the Penal Code, Cap 63 Laws of Kenya. International instruments against GBV operative in Kenya are the Convention on the Elimination of All Forms of Discriminations Against Women (CEDAW), the African Charter on Human and Peoples' Rights (Banjul Charter), and the Protocol to the African Charter on Human and Peoples' Rights on the Rights of Women in Africa (Maputo Protocol). The Kenya legal framework sets the age of consent at 18 years and above. While the Employment act of 2007 covers sexual exploitation and harassment which was reinforced by the Sexual offences act of 2016 which outlines penalties for these offences the requirements for mandatory reporting are covered under incidents reporting act.

### **d. Screening for SEAH Risks in the HoAGW4R**

10. The five counties where the HoAGW4R will be implemented is Muslim dominated with most community members practicing polygamy, a risk factor for GBV. School enrollment and retention rates among the counties are low due to early marriages, teenage pregnancy, lack of money for school fees, long distances to schools, and lack of sanitation facilities and supplies among other factors (Ministry of Education 2016).
11. It's therefore essential for the project to take into consideration the labor influx, the high levels of poverty, polygamy, harmful cultural practices and norms, violence against women and girls and other interacting factors to exacerbate the risk of SEAH. If not well managed, these factors can lead to further marginalization and abuse of women, girls and children who are already vulnerable due to the prevailing socio-cultural situation.
12. Some of the factors that contribute to vulnerability of women and girls to SEAH in the project areas include the following:
  - i. **Poverty and power dynamics.** GBV is deeply rooted in unequal power relations and negative gender norms. The risk of SEAH is therefore higher in rural pastoral communities where poverty and unemployment disproportionately affecting women

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<sup>1</sup>Kenya (2014) National Policy for the prevention and Response to Gender Based Violence. Nairobi: Ministry of Devolution and Planning, page 11

and girls is more pronounced. Collectively, these factors are likely to affect not only the vulnerability of women and girls to SEAH, but also the commitment to SEAH prevention as well as the provision and uptake of GBV services. Marsabit, Turkana, Mandera, Wajir and Garissa counties have 65 percent of their population living below the poverty line compared to the national average of 26 percent.

- ii. **Societal norms:** Women and girls are at usually high risk of SEAH because of societal norms hinged on patriarchal tendencies that perpetuate power differentials between males and females, and support or condone male violence against women and girls. Social attitudes towards violence against women and girls will also influence the reporting rates and management of SEAH cases by local actors. An important additional risk factor is labor influx. Labor influx and the extent to which a community has capacity to absorb labor influx, as well as the inflow of income to workers, can exacerbate already existing inequities between workers and community members.
  - iii. **Education and literacy:** Low levels of education and literacy among girls, that leads to high unemployment rates among women. These factors weaken women's and girls' confidence as they seek menial jobs in project sites. Besides, low confidence means they are most at risk of SEA from project workers who often have higher incomes than usually available to community members.
13. Some of the forms of SEAH committed by project workers against women and girls in the community that could arise from the project include rape and sexual assault, sexual exploitation, and sexual harassment. This is likely to happen during project implementation when the workers start operating in the project areas. Also, this is likely to occur during operation when buyers and other stakeholders converge in the project areas. Sexual harassment may include touching, use of abusive, and demeaning or culturally inappropriate language. Sexual exploitation will likely include transactional sex and other forms of humiliating, degrading or exploitative behavior.
  14. The HoAGW4R project activities and works where applicable will present a formal work environment with employment opportunities for local people and those come with SH risks to local women and girls. Sexual harassment is a risk for any work environment, particularly environments that are stringently hierarchal, give significant and/or undue power to management, and that do not promote and reflect female leadership. Other risk factors for SH include female laborers working alongside male laborers without adequate supervision, protective clothing or equipment, separate washrooms for males and females at work sites, and specific feedback mechanisms for females to share concerns about their working environments, including concerns about sexual harassment. The Labour Management Procedures require the project to establish workers' grievance mechanism that will facilitate channeling of complaints and response in a way that it is responsive to GBV issues.
  15. Prevention and response to HoAGW4R-related risks of SEAH will require concerted and multifaceted efforts bringing together many sectors including Ministries,



Departments and Agencies (MDAs) such as health facilities, SEAH service providers and other care givers such as civil society organizations (CSOs) and national government offices responsible for children (e.g. children offices), and the police. The project will coordinate with these actors in creating awareness in the host communities and project staff to reduce need for response efforts. This Plan identifies some of the concerted efforts including community awareness and education, capacity building for project staff in SEAH and employment of SEAH experts, adequately resourcing the SEAH function in the project and enlisting the supplementary support of other SEAH Prevention and Response actors such as health providers, CSOs, Community based Organizations (CBOs), and Non-Governmental Organizations (NGOs).

16. The SEAH risk for this project is adjudged **Substantial**. The main risk identified is the abuse of trust, power and the exchange of favors carried out by staff, consultants or workers of companies associated with construction activities in the project. People working for the project will receive awareness training on GBV issues and their repercussions. The project will hire the services of a SEAH/GBV expert who will work closely with the Senior Social Development Specialist (SSDS) at the national level to coordinate efforts towards mitigation of SEAH prevention and Response actions in this Plan. It is estimated that the implementation of this Plan will cost Kes 40,000,000 over the period of 6 years of implementation.

# I Introduction and Context

## I.1 Background

1. The Project Development Objective of the Horn of Africa Ground Water Project for Resilience is “To Increase the sustainable access and management of groundwater in the Horn of Africa borderlands.” HoAGW4RP support will be organized around four key thematic areas: (i) Delivering inclusive groundwater services to priority areas by funding groundwater conservation, infrastructure development and service delivery improvement activities in the five borderland counties of **Turkana, Marsabit, Mandera, Wajir and Garissa**. The component comprises two interrelated subcomponents; (ii) Generating groundwater information and strengthening regional and national groundwater institution by financing activities focused on strengthening the enabling environment for sustainable groundwater development and management (iii) fund operational costs of the National Project Coordination Unit (PCU) at MoWSI and the Project Implementation Units (PIUs) at WRA, WSTF and the Sub-PIUs at the 5 County Governments and their selected Water Service Providers to manage the project efficiently. The PCU and PIUs will apply project funds under component 3 towards the hiring of financial management, procurement, environmental and social experts, M&E and communication experts as necessary to facilitate project delivery (iv) Contingency measures to finance eligible expenditures under the Immediate Response Mechanism (IRM) in case of natural or man-made crises or disasters, severe economic shocks, or other crises and emergencies in the project area.
2. Projects create changes in the communities in which they operate and can cause shifts in power dynamics between community members and within households. Male jealousy, a key driver of GBV, can be triggered by labor influx on a project when workers are believed to be interacting with community women. Hence, abusive behavior can occur not only between project-related staff and those living in and around the project site, but also within the homes of those affected by the project.
3. When land redistribution occurs—for example due to resettlement for civil works—women may be extremely vulnerable to GBV. This is particularly true in countries where the legal systems preclude women from holding land titles. Women and girls’ job opportunities are limited due to a lack of appropriate transportation options. When creating job opportunities for women within projects, teams should be aware that traveling to and from work in some settings can force women and girls to use unsafe, poorly lit commuter routes, or unsafe public transport. Increased risk of violence is experienced when women are confronted with traveling long distances to access work opportunities or forced to travel at night. The SEAH/GBV risks for the HoAGW4R are categorized as **Substantial**.

### **1.1.1 The Concept of SEAH**

4. SEAH can be a confusing concept because its discussion is often done in the context of culture rather than facts of aggression, intrusion and human rights. The cultural lenses of SEAH imply that some aggressions and intrusions could be considered offensive in one culture and excused in another as part of usual and normal social interaction.

### **1.1.2 Guidance by the WB on SEAH**

5. The WB Guidance Note<sup>2</sup> defines four key areas of GBV risks (listed below) that may be exacerbated by World Bank financed projects. However, SEA and workplace SH are the types of GBV that are the most likely to occur in or be exacerbated World Bank-financed projects. This Action Plan focuses on how to address SEAH that's project related:
  - (a) SEA - exploitation of a vulnerable position, use of differential power for sexual purpose; actual or threatened sexual physical intrusion;
  - (b) Workplace sexual harassment - unwanted sexual advances; requests for sexual favors, sexual physical contact;
  - (c) Human trafficking - sexual slavery, coerced transactional sex, illegal transnational people movement; and
  - (d) Non-SEA - physical assault, psychological or physical abuse, denial of resources, opportunities, or services and IPV.
6. In response to the potential risks implied in the discussion of the concepts above, HoAGW4R will establish and implement a SEAH Prevention and Response Plan. The Plan details the operational measures that will be put in place to mitigate the risks of SEAH that are project-related, including ensuring that project-established GMs are in place to receive reports and refer survivors for further support safely and confidentially.

## **1.2 Policy, Legal and Institutional Context**

### **1.2.1 Policy Framework**

7. The National Policy for the Prevention and Response to Gender Based Violence - 2014, constitutes the guidance for the prevention and response to GBV. The policy, which was formulated by the Ministry of Devolution and Planning seeks to among other objectives, improve enforcement of existing laws to reduce, curb or prevent SEAH.
8. County Government Policy on Sexual and Gender Based Violence - 2017. The policy is tailored for all County Governments and aims at ensuring that every county government can address SEAH issues that they face. It provides the framework for counties to recognize SEAH as a human rights violation and to provide resources to curb it in the respective counties.
9. Legislative Framework on Sexual and Gender Based Violence for County Governments - 2017. This model law is designed to provide measures for awareness, prevention, and

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<sup>2</sup> WB 2018, page 3.

response to sexual and gender-based violence, to provide for the protection, treatment, counselling, support, and care of victims of SEAH, and for connected purposes (Annex 3. The framework has not yet been adopted by any of the five counties HoAGWP4R is operating in.

### **1.2.2 Legal and Institutional Framework**

10. **The Constitution of Kenya, 2010.** Article 10(2)(b) of the Constitution recognizes human dignity, equity, social justice, inclusiveness, equality, human rights, non-discrimination, and protection of the marginalized as part of National values and principles of governance. Therefore, any illegal aggression on the person that compromises human dignity is unconstitutional. Hence SEAH is not only illegal, but also a human rights violation and unconstitutional in Kenya.
11. *Article 28* of the Constitution guarantees human dignity while *Article 29* guarantees every person the freedom and security and this includes the right not to be subjected to any form of violence from either the public or private sources and not to be treated or punished in a cruel, inhuman, or degrading manner. The Constitution has an elaborate set of protective remedies for all forms of violence, including SEAH.
12. **The Sexual Offences Act, 2006.** This Act of Parliament is aimed at protecting from the harm of unlawful sexual acts. Section 5 of the Act incriminates sexual assault with a possibility of imprisonment for life upon conviction.
13. *Section 6*, read together with *section 43*, addresses intentional and unlawful acts and includes instances where people in authority may use their authority so as the other party is unable to show resistance or unwillingness to such illegal sexual advances. This makes sexual abuse and exploitation a crime in Kenya.
14. *Section 23(1)* of the Act makes sexual harassment an offence punishable under the law for a term not less than 3 years or a fine of not less than Kenya Shillings One Hundred Thousand (KShs.100,000) or both.
15. **The Employment Act, 2007.** This Act of Parliament regulates employment in Kenya and sets out the rights and obligations between an employer and an employee.
16. *Section 6* of the Act defines sexual harassment and makes it a requirement for an employer who has twenty or more employees to have a policy statement on sexual harassment and ensure that every employee knows about it. In the project under preparation the need for a code of conduct for the contractor and for employees cannot be negated.
17. **The Penal Code, Cap 63 Laws of Kenya.** The Penal Code does not specifically address GBV offences. However, *section 250* and *251* of the code on assault and assault causing

actual bodily harm respectively, may be invoked against any person who assaults another one regardless of gender.

### **1.2.3 International and Regional Treaties and Conventions**

#### ***1.2.3.1. The Convention on the Elimination of All Forms of Discriminations Against Women (CEDAW).***

18. Kenya ratified this treaty in 1984. The treaty seeks to realize equality between men and women by ensuring that there is no discrimination against women in all spheres of life. This means that women should compete for the same positions with men whenever employment opportunities arise. Any discrimination will therefore constitute SEAH against women.
19. Article 1 of the Convention defines “discrimination against women” to mean “any distinction, exclusion or restriction made based on sex which has the effect or purpose of impairing or nullifying the recognition, enjoyment or exercise by women, irrespective of their marital status, on a basis of equality of men and women, of human rights and fundamental freedoms in the political, economic, social, cultural, civil or any other field.”

#### ***1.2.3.2. The African Charter on Human and Peoples’ Rights (Banjul Charter).***

20. Article 5 of the charter guarantees every individual the right to dignity which includes the protection from all forms of exploitation and human degradation. SEAH manifests different forms of inhuman treatment to victims and in many cases is a form of exploitation.

#### ***1.2.3.3. Protocol to the African Charter on Human and Peoples’ Rights on the Rights of Women in Africa (Maputo Protocol).***

21. Article 3 of the protocol seeks to eliminate all forms of discrimination against women and require States Parties to pass necessary legislation to ensure equality between women and men.
22. Article 4 of the protocol guarantees every woman dignity and requires States Parties to adopt appropriate measures to prohibit any exploitation or degradation against women.
23. Overall, Kenya has the requisite policy, legal and institutional framework to prevent and curb SEAH. However, the vice of SEAH has not been eradicated. Every effort at preventing and curbing the vice is a work in progress in every sector of society. The project aims to prevent and respond to SEAH complaints and incidences in an effort to ensure that the project does no harm to the beneficiaries and workers.

## 2 Screening for SEAH Risks in the HoAGW4R

24. The overarching Project Development Objective (PDO) is to increase the sustainable access and management of groundwater in the Horn of Africa borderland. The progress to meet the PDO will be measured by the following outcome indicators: (1) Beneficiaries provided with access to improved water supply (Number and gender %); (2) Water points constructed or rehabilitated under the project that are functioning (% of water points); (3) Regional groundwater institutions with increased access to improved information critical for sustainable GW management
25. The primary project beneficiaries will be an estimated 1,500,000 people living in the rural communities of the five counties of Turkana, Wajir, Garissa, Marsabit and Mandera through the rehabilitation, construction, and sustainable management of boreholes as well as from aquifer restoration, recharge, and conservation activities. The project will also benefit the institutions of Kenya that work on groundwater at the National, County, and transboundary levels. These include the Ministry of Water Sanitation and Irrigation, the Water Resources Authority, the Regional Center on Groundwater, the National Drought Management Authority, the Water Services Regulatory Board, and County Water Departments of participating counties. By giving more visibility to groundwater through policies, strategies, regulations and guidelines, the institutions and groundwater management will be strengthened. These institutions will also benefit from training, capacity building programs and the acquisition of equipment required to undertake their mandate.
26. Poor access to water, sanitation and hygiene (WASH) can exacerbate the vulnerability of women and girls to violence, especially in rural communities with high poverty levels, unequal power relations and harmful gender norms. The following project-related risks on SEAH have been identified and need to be addressed in order to mitigate the risks.
- i) Potential abuse of power and sexual exploitation in labour practices: Hiring and employment practices that seek to increase the number of women in labour-intensive work schemes can expose women to incidents of sexual exploitation, harassment, or violence. Additionally, unequal gender norms and harmful beliefs about the roles of women inside and outside the home run the risk of creating hostile environments for female workers. In cases where female workers have less time for traditional gender-related work such as childcare, this can also lead to a potential increase in IPV.
  - ii) Women and girls' exclusion from priority-setting and community decision making processes related GBV risks: Exclusion from spaces of voice, agency and decision-making can lead to decisions that further harm or marginalize women and girls. Prioritization that does not take into account the unique and diverse needs and capabilities of women and girls, especially in the scholarship component on Msc and PHDs under MoWSI and mentoring programme in groundwater planning,

- development and management, might increase the risk of qualified female candidates to hostility, harassment and exploitation.
- iii) Pervasive corruption among persons in authority, or lack of accountability on reported cases of GBV/SEAH, could also keep qualified women from submitting applications for available project related activities or reporting SEAH incidents.
  - iv) Potential amplification of community and household tensions because of poorly designed water points which are insensitive to gender dynamics in a given context can exacerbate exposure to SEA and other forms of GBV.

## 2.1 Factors That Contribute to Vulnerability of Women and Girls

27. Some of the factors that contribute to vulnerability of women and girls to SEAH in the project areas include:
- i. *Power asymmetry.* While there is no situation in which there is power symmetry, in rural farming communities in Kenya the power asymmetries that exist in the backdrop of poverty and unemployment can be abused easily so that women and girls end up suffering SEAH. Clerks that receive tea, coffee, and pyrethrum have a lot of power to decide on the quality of the produce in ways that are life-threatening when they reject it. The clerks often exploit the power asymmetry for personal gain. They therefore engage in SEAH.
  - ii. *Poverty and Inequality.* All the project counties have high levels of poverty (over 65 percent of the population lives under the poverty line), far above the national average. In addition, these areas are currently experiencing extreme drought and food insecurity, further heightening the vulnerability of the affected communities. The project locations also have higher levels of educational attainment and high levels of unemployment. These factors along with existing gender inequalities and increase the risk of SEAH for women and girls in these communities.
  - iii. *Societal norms.* Women and girls are at usually high risk of SEAH because of *societal norms* that perpetuate power differentials between males and females, and support or condone males' violence against women and girls. An important additional risk factor is labor influx. Labor influx and the extent to which a community has capacity to absorb labor influx, as well as the inflow of income to workers, can exacerbate already existing inequities between workers and community members (
  - iv. Annex I: Code of Conduct Form for Contractor's Employees/Workers).
  - v. *Low levels of education and literacy.* Low levels of education and literacy among girls, that leads to high unemployment rates among women. These factors weaken women's and girls' confidence as they seek menial jobs in construction sites. Besides, low confidence means they most at risk of SEAH from construction workers who often have higher incomes than usually available to community members.
28. Some of the forms of SEAH committed by project workers against women and girls in the community that could arise from the project include rape and sexual assault, physical

and emotional abuse. Sexual harassment may include inappropriate touching, use of abusive, and demeaning or culturally inappropriate language. Sexual exploitation will likely include transaction sex and other forms of humiliating, degrading or exploitative behavior.

29. The subprojects will present a formal work environment that comes with SEAH risks to local women and girls. Sexual harassment is a risk for any work environment, particularly environments that are stringently hierarchal, give significant and/or undue power to management, and that do not promote and reflect female leadership. Other risk factors for SEAH include female laborers working alongside male laborers without adequate supervision, without separate washrooms for males and females; and without specific feedback mechanisms for females to share concerns about their working environments, including concerns about sexual harassment.
30. Prevention and response to project-related risks of SEAH requires concerted and multifaceted efforts bringing together many sectors including Ministries, Departments and Agencies (MDAs) and civil society. The project will coordinate with these actors in creating awareness in the host communities and project staff to reduce any need for response efforts.
31. The project has been screened for SEAH risks using the standard World Bank Tool as shown in Table I. The significant risks identified include abuse of trust, power and the exchange of favors by staff, consultants, or workers of companies associated with drilling and construction activities of the project. This risk is likely to occur at the interface between the project with the community, community with the contractors, community with companies and consultants and so on. This project presents all the foregoing scenarios of SEAH risk sites hence this prevention and response plan. Therefore, the SEAH risks are rated **Substantial** as shown in screening matrix provided for inTable I below.

I Table I: HoAGWP4R SEAH Risk Screening Matrix

Project Context	Response	Risk Rating	Comment
Is project in an area with active emergency or humanitarian situation?	Yes	Substantial Risk	The five counties are presently experiencing drought and receiving food relief. Continuous low rainfall over the years, COVID-19 restrictions and the resultant economic challenges have contributed to vulnerability in these counties. The economic challenges resulting have negatively affected livelihoods in the project area and increased incidences of poverty and inequality.
How much infrastructure	Small	Moderate Risk	The Project will have relatively small civil works levels of construction of infrastructure such as



Project Context	Response	Risk Rating	Comment
construction, upgrading or rehabilitation does the project entail?			boreholes, O&M, water pans, rehabilitations and small rural irrigation systems.
What is the extent of the influx of labour associated with project activities?	Low	Moderate Risk	The project will require the recruitment of non-local staff for the implementation of activities, technical assistance, and awareness-raising activities. Because of the large-scale civil works, these will lead to labour influx. Additionally, during operation phase resultant activities could lead to the arrival of new workers including sex workers who follow labour income.
During the preparation of the project, were consultations carried out with residents, women's associations, and children?	No	Moderate Risk	Consultations are on-going during the project preparation, especially as part of preparation of ESF documents. Residents, women, and women's associations are being consulted widely by the sub PIUs. More consultations will be carried out with women's organizations as part of the wider continuous stakeholder engagement during project implementation with due consideration to COVID 19 protocols.
During the consultations, were aspects of SEAH raised by the participating women?	No	Moderate Risk	SEAH reporting and management protocol will be prioritized during the consultations, with an emphasis on survivor-centred approaches.
Does the project area include areas of high poverty?	Yes	High Risk	Marsabit, Turkana, Mandera, Wajir and Garissa have 65% population living below the poverty line compared to the National average of 26%.
Is the project located in regions that are difficult to supervise (remote or	Yes	Substantial Risk	Kenya's ASALs, make up 89% of the country's total land surface meaning that project activities are purely in ASAL and therefore spread over a wide area which makes supervision challenging in those counties in the ASALs.

Project Context	Response	Risk Rating	Comment
difficult to access areas)?			
Is the project located in an urban, peri-urban, or rural area?	Rural, peri-urban and Urban	High Risk	The project is predominantly located in rural areas.
Are project activities on a school route or other routes that women and girls use to carry out their daily activities	Likely	Moderate Risk	The proposed project activities will most likely locate near or on school routes due to the rural emphasis. Nonetheless, even with labour influx expected the risk is substantial since all workers will have signed CoC.
Are women working near men without supervision?	Likely	Substantial Risk	Project workers will be supervised but there is the possibility that men and women may work in proximity, given the nature of the activities.
Is there a National Action Plan on Addressing Violence Against Women and Girls/GBV	Yes	Low Risk	The National Policy for the Prevention and Response to Gender Based Violence - 2014 and Legislative Framework on Sexual and Gender Based Violence for County Governments - 2017 are in place in Kenya. The provision in that law required county governments to adopt county specific gender-based violence framework. Only Garissa County Assembly has passed the legislation to adopt the above. the other 4 County Governments have adopted the framework.
Is there at the National level SEAH Working Group	Yes	Low Risk	The SEAH Sector working group is under the National Gender Sector Working Group (GSWG). There are also, county-based SEAH sector working groups which will be ideal in supporting this Plan.
Is there a National referral protocol for	Yes	Low Risk	Counties like Garissa have one active safe house supported by the county government and a pathway developed by NGOs. Turkana have an active service provider Caritas while the other counties are in the process of developing a

Project Context	Response	Risk Rating	Comment
SEAH Service Provision			pathway protocol. The available safehouses and health centers offer psycho-social support and medical care. They are linked to NGOs that readily offer legal services to the survivors.
Does the project have the capacity to monitor the risks of harassment and gender-based violence throughout the scope and cycle of the project?	Yes	Low Risk	Yes, the project will adopt and resource SEAH Prevention and Response Plan and engage a SEAH/GBV expert.
Do the project beneficiaries know where to get help regarding SEAH? Are there police stations with SEAH Desks? Are there toll free SEAH reporting telephone lines?	Yes	Moderate Risk	There is widespread awareness of SEAH risks in the Kenyan population and there exist SEAH support and care services in every county. Kindly refer table on service providers in each county. These however, may not be fully accessible to people living in the rural areas where the project will be implemented mainly due to high levels of poverty, low literacy and lack of awareness also means that communities in these rural areas most likely do not have adequate information on SEAH risks or reporting mechanisms and services available to them.
<b>Evaluation of SEAH Risk in HoAGW4R</b>	<b>Substantial</b>		

## 2.2 Support Services

32. Existing support services in the project areas will be accessed through HoAGW4R referral, networking, and in coordination with other actors. It is in the interest of the project team to identify the existing service providers beforehand, discuss modalities of engagement and facilitation and provide a referral pathway for the project beneficiaries, workers and nearby communities. The support services, include amongst others:

- i. Preventative support services where the PCU and Sub PIU will engage and collaborate with NGOs, civil society, state and non-state actors who during public consultation indicated are involved in providing community awareness, supporting survivors in safehouses, counseling and capacity building for the different project actors.
- ii. Sign Memorandum of understanding with the above(i) to strengthen the operational dynamics around the SEAH pathway established in each county mainly survivor centered.
- iii. Provision of easily accessible information on services available to survivors of SEAH;
- iv. Provision of accessible, effective, and responsive health, social welfare, police, prosecutorial, and other services to redress cases of SEAH;
- v. Provision of specialized facilities, including support mechanisms for survivors of SEAH; and
- vi. Provision of effective rehabilitation and reintegration programs for perpetrators of SEAH.

### 3 Grievance Mechanism (GM)

#### 3.1 SEAH Grievance Management.

33. The project will put in place a GM with multiple channels to facilitate confidential logging in of SEAH complaints in all the project locations. It will be necessary to identify and integrate SEAH entry points within the GM with clear procedures and tools for safe, confidential, and ethical management of related complaints. Considerations related to SEAH will be integrated into GM explicitly developed for project workers.
34. As part of the overall project, consultations on the GM with affected communities (particularly with women, girls and people living with disabilities) will be done to determine the preferred alternatives to in-person complaints (e.g., phone, online, other). The process will emphasize confidentiality and anonymity. This project GM will adapt lessons from other projects to strengthen accountability to communities and identify a range of issues by holding periodic team meetings to discuss any workplace concerns.
35. In setting a up a GM to facilitate resolution of SEAH complaints, the project will be guided the by the following principles:
  - i. *Confidentiality*: At all stages of the intervention, the privacy and confidentiality of survivors will be assured, prioritizing the well-being of survivors and that the delivery of services and support will not compromise the privacy or identity of individuals involved.
  - ii. *Respect*: Respect of the wishes, dignity and choices of the survivors will be observed at all times and during all stages of any intervention. Survivors will be supported to give their free and informed consent, based on a clear understanding of the facts, implications, risks, and consequences of an action, before information is shared or action is taken.
  - iii. *Safety and security*: Awareness and consideration of any risks or safety concerns that might compromise the physical safety of individuals affected by SEAH will be sufficiently addressed and factored into any SEAH intervention or initiative.
  - iv. *Non-discrimination*: All SEA interventions will be designed to ensure access and the same level of quality of care and assistance for all persons seeking support, or persons affected by SEA, without regard to sex, sexual orientation, gender identity, age, ethnicity, religion, or other status.
36. The project GM will implement a survivor-centered approach to managing SEAH complaints including the use of SEAH victims' referral centers (Annex 4). The focus of GM would be confidentiality to protect the privacy and choices of the victim, and urgency to preserve evidence and access assistance and care for the victim. For these reasons the complaint is not expected to follow a uniform pattern. The complainant will be free to use any avenue to report including text message, email, phone call, written note, or word of mouth in person to trusted colleague, member of the GM, SEAH

service provider, or local CBO or NGO, among others. If the complaint is received by any other person or entity other than the designated SEAH services provider, the case should be referred as soon as possible thereafter to the service provider.

37. The process of assistance will follow the steps below. The person that receives the complaint/report will inform HoAGW4R Project Coordinator immediately. The PC arranges for any required emergency support and care in coordination with the designated SEAH service provider and simultaneously refers the case to the service provider. In the meantime, the service provider contacts (in all cases) the PC to report any SEAH incident to the Bank within 48 hours.
38. The only information to be collected from the person reporting will be:
  - i. demographic data, such as age and gender;
  - ii. the nature of the complaint (what the complainant says in her/his own words);
  - iii. whether the complainant believes the perpetrator was related to the project; and
  - iv. whether they received or were offered referral to services.
39. The project will put in place the necessary mechanisms to address SEAH. The proposed mitigation measures as per the risk level in the current project are as follows:
  - i. Define SEAH requirements and expectations included in the contractual obligations as well as reinforce CoCs that address SEAH in the project locations to cultivate an environment free from SEAH as well as regular dissemination of the CoC to the workers;
  - ii. Ensure a GBV specialist is in place to support SEAH risk management measures;
  - iii. Develop and deliver information, education, and communication materials for stakeholders to indicate that the project and/area is a SEAH free zone, as well as provide information on SEAH response services (such as hotline numbers and where to seek assistance when needed). Other information to be highlighted includes:
    - No sexual or other favors can be requested in exchange for services;
    - Project staff are prohibited from engaging in SEAH and this information should be clearly spelt out during training and other forms of communication to the staff;
    - Any case or suspicion of SEAH should be reported to [hotline number, GM or citizen engagement/feedback mechanism];
    - Information on protection of whistleblowers; and
    - The range of services available for survivors including healthcare, protection and psychosocial care.
  - iv. Identify and map SEAH service providers to ensure information is made available to health service providers on where psychosocial support and emergency medical services for survivors of SEAH can be accessed (within the healthcare system);

- v. Develop SEAH prevention policy and response procedures that outline key requirements for reporting cases if they arise, measures to enable safe, ethical, survivor-centered response and disciplinary processes;
- vi. Train all project staff and workers (where feasible) and integrate understanding of the CoC, SEAH as well as accountability and response framework including the referral processes, responsibilities and reporting in other trainings; and Utilizing the GM developed under the project with a separate channel to manage SEAH-related complaints to enable reporting in a safe, confidential survivor-centric manner. Cases of SEAH can be reported through the general Project GM – through the suggestion box, or through the GM Hotline Operator, phones calls, emails etc. to be developed). The project GM will ensure all incidents of SEAH reported either through the general GM system that is related to the new project are relayed to the PCU and Bank within 48 hours

### 3.2 SEAH Reporting Process

40. The SEAH reporting process is characterized by two cardinal elements namely, confidentiality and urgency. Confidentiality to protect the privacy and choices of the victim, and urgency to preserve evidence and access assistance and care for the victim. For these reasons the complaint is not expected to follow a uniform pattern. The complainant will be free to use any avenue to report including text message, email, phone call, written note, or word of mouth in person to trusted colleague, member of the GM, SEAH service provider, or local CBO or NGO, among others. If the report is received by any other person or entity other than the designated SEAH services provider, the case should be referred as soon as possible thereafter to the service provider.
41. The process of assistance will follow the steps below. The person that receives the complaint/report will inform HoAGWR Project Coordinator immediately. The PC arranges for any required emergency support and care in coordination with the designated SEAH/GBV expert or identified service provider and contemporaneously refers the case to the service provider. In the meantime, the service provider causes (in all cases) the PC to report any SEAH incident to the Bank within 24 hours.
42. Meanwhile complaint is referred to the SEAH Complaints Team which: Reviews the case and collectively agree upon the appropriate actions to be taken and sanctions, if any.
  - Refers the case to the police as appropriate and according to the law
  - Assigns the appropriate 'Focal Point' to implement the actions—with the assistance of the SEAH expert or Services Provider—in accordance with their employment contract and the appropriate code of conduct if applicable.
  - Upon resolution, the Focal Point and SEAH Services Provider advise the survivor that it has been resolved, who in turn advise the GM operator.
  - The GM operator notes the resolution and closes the case.

## **4 The Management of the SEAH Prevention and Response Plan**

43. The project GM will implement the overall project oversight and policy guidance will be provided by NPC, which will be co-chaired by the relevant Principal Secretary (PS), MoWSI and other key staff PIU Coordinators, Financial Specialist and Procurement Specialist, an M&E Officer, a Contracts Manager, and the senior social development specialist of the project.
44. There will be a SEAH/GBV expert consultant recruited to work closely with the project senior social safeguards, and all social specialist at the PIUs , Sub PIU, county and communication specialists to oversee the implementation of this Plan at the national level Prior to disbursements of component I.
45. There will be formal coordination of activities between the sub PIUs in each of the five counties which will comprise the Country Project Coordinator (CPC), County Thematic Technical Leads, and County M&E, Finance, full time Environmental Specialist, a Social Specialist and Procurement Assistants. The National Project Coordinator (NPC) will be overall responsible for the adoption and implementation of the SEAH prevention and Response action Plan. The contractor project Managers will be responsible at the contactor site level. While SEAH consultant will be the technical support, the Social Specialists at PIUs and sub PIU who have the primary responsibility to ensure that the implementation of the plan on day to day basis. The responsibility is further clarified in the SEAH Prevention and Response Plan.



The SEAH consultant will work closely with senior social specialist in

- (i) Mapping out of SEAH prevention and response service providers
- (ii) Service and capacity mapping of the service providers
- (iii) Train all the GM focal points at the various levels that are involved in the SEA/SH reporting planned
- (iv) Develop the referral pathway for the project;
- (v) Develop a monitoring and evaluation framework for SEAH;
- (vi) Formulate a training program for Project staff and workers at the various levels – national, county and community where necessary engage the service providers as mapped in the county
- (vii) Train all the officers at various levels on SEAH approach, prevention and response plan
- (viii) Ensure that survivor centered approach to SEAH is implemented;
- (ix) Sensitize communities on the SEAH Prevention and Response Plan by continuous engagement of the service providers and sub PIU staff
- (x) Document/log all SEAH cases including that status of cases (ongoing, completed, closed, etc.);
- (xi) Monitor and report on the Prevention and Response actions of the Plan;
- (xii) Notify the sub PIU , PIU and PCU on any concerns related to SEAH for the project;
- (xiii) Report project-related SEAH to the PCU and World Bank within the stipulated timeframe of 24 hours.

## 5 SEAH Prevention and Response Plan

46. Table 2 provides a summary of the prevention and response plan for SEAH to be customized for use in HoAGWP. The PCU staff will include a GBV consultant who will Coordinate sensitization of all project structures on SEAH. He/She will also be involved in mainstreaming of the SEAH issues into all project activities at the PCU PIUs and sub PIUs. The SEAH issues will be cascaded to the sub projects level by the social safeguards officer at the county.

2 Table 2: Prevention and Response Plan for SEAH

	Objectives	Activities / Steps to be taken to Address SEAH risk	Timelines	Responsible	Monitoring (Who will monitor)	Output Indicators	Time period (days)	Estimated Budget (KES)
I	<b>Coordination, Networking and Partnership</b>							

Objectives	Activities / Steps to be taken to Address SEAH risk	Timelines	Responsible	Monitoring (Who will monitor)	Output Indicators	Time period (days)	Estimated Budget (KES)
To develop an integrated and comprehensive plan that will focus on the process of addressing SEAH. Hence, the need to form strong alliances with key bodies such as the County Government, the National Governments, the community organizations like WRUA/SAC, the local community leaders.	<ul style="list-style-type: none"> <li>Agree on which stakeholders will constitute the SEAH management team.</li> <li>Develop terms of reference that will guide the SEAH management team.</li> <li>Hold workshops for the SEAH management team.</li> <li>Develop operational guidelines</li> <li>Offer training that will equip the team to engage with the rest of the project team with integrity while inculcating various tools that will deal with SEAH</li> </ul>	<p>First 6 months after disbursement and immediately after the Constitution of SEAH management Team and initial training and before implementation of any site-specific sub-projects</p> <p>Annual trainings throughout project implementation with sharing of practical experiences.</p>	PCU GBV Consultant	Project Coordinator, SSDS	<ul style="list-style-type: none"> <li>SEAH Management Team Constituted and functioning as per ToR developed</li> <li>Number of trainings conducted for SEAH management Team</li> <li>Operational guidelines developed</li> </ul>	5 trainings, five days each (25 days)	3,500,000
The GBV consultant, SSDS, Social specialist (WRA, WSTF) responsible in ensuring that SEAH are regular agenda items in MoWSI PCU, both PIU(WRA/WSTF) and all sub-PIU meetings as it is a key result area that will	<ul style="list-style-type: none"> <li>Include the items below in the Organized regular PCU meetings: <ul style="list-style-type: none"> <li>SEAH/GBV agenda</li> <li>Reports and updates</li> <li>Follow-up monitoring actions.</li> </ul> </li> </ul>	Start in first three months after disbursement and Prior to disbursements of component I and before implementation	PCU	Project Coordinators (MoWSI, PIU(WRA/WSTF))	<ul style="list-style-type: none"> <li>Number of monitoring of project meetings held with SEAH as an agenda item</li> <li>Percentage of cases/issues/concerns followed up</li> </ul>	10 days	1,000,000

	<b>Objectives</b>	<b>Activities / Steps to be taken to Address SEAH risk</b>	<b>Timelines</b>	<b>Responsible</b>	<b>Monitoring (Who will monitor)</b>	<b>Output Indicators</b>	<b>Time period (days)</b>	<b>Estimated Budget (KES)</b>
	determine the success of the project		of site-specific sub-projects and continuous throughout the project cycle					
<b>2</b>	<b>Mapping out SEAH Prevention and Response Service</b>							
	<p>Map out all communities where the subprojects are to be implemented for and establish a referral pathway of services for survivors of SEAH</p> <p>Undertake social cultural environmental mapping to identify stakeholders/GBV actors for response mechanism in relation to SEAH contexts</p> <p>Mapping of existing Service providers will be undertaken and those mapped will include CBOs, NGOs, and other civil society organizations.</p>	<ul style="list-style-type: none"> <li>• Conduct field visits and or remote(desk) review to identify and map the existing services, gap analysis, entry points for survivor assistance, and local actors working on the prevention of and/or response to gender-based violence and a reporting process as annexed in Annex 3 to be customized for the 5 different counties.</li> <li>• Towards achieving this the following will be undertaken: <ul style="list-style-type: none"> <li>○ Conduct a desk review of SEAH service providers in hosting counties and communities. Including the prevention and response mechanism</li> <li>○ Field visits</li> <li>○ Stakeholder consultations</li> </ul> </li> <li>• Analyze the services for survivors available in all project locations and assess their quality as per standards, including health care, psychosocial support, police, and legal/justice services. Sample service providers as identified during stakeholder</li> </ul>	<p>Within the first 6 months after disbursement and NOT later than 9months after disbursement</p> <p>Prior to implementation of any sub-projects</p>	GBV consultant	Social Specialist, at PIUs and Sub PIU SSDS to get reports	<p>The Mapping Report</p> <p>Service provision/providers capacity assessment report?</p>	2 days per county for 26 counties	5,000,000

	<b>Objectives</b>	<b>Activities / Steps to be taken to Address SEAH risk</b>	<b>Timelines</b>	<b>Responsible</b>	<b>Monitoring (Who will monitor)</b>	<b>Output Indicators</b>	<b>Time period (days)</b>	<b>Estimated Budget (KES)</b>
		consultation and annexed as Annex 4 of the SEAH Plan.						
	<p>Review and update a multi-sectoral SEAH referral pathway(s) in line with the National and County systems</p> <p>The survivors will have a place to go and report. Where confidentiality can be done. It will be multi-pronged where for example, women focal points or champions can report to and have access to service providers ensuring witness protection</p>	<ul style="list-style-type: none"> <li>Considering the mapped out existing SEAH prevention and response service providers, a referral pathway for service providers will be updated</li> <li>The Sub PIU will disseminate the referral pathway/list to stakeholders including service providers who will work with focal points at the community level to support awareness of SEA/SH and dissemination</li> <li>Train focal points and have a clear TOR for their role</li> </ul>	<p>Within the first 6 months after disbursement component I.</p> <p>To be updated annually and maintained throughout project implementation.</p>	GBV consultant and Social Specialist	National Project Coordinator and County Coordinator	<p>The referral pathway updated at appropriate periods throughout the project year (annually, biannually, etc.)</p> <p>The level of dissemination undertaken (number of stakeholders with access to the updated referral pathways).</p>	3 Days	3,00,000
<b>3</b>	<b>Capacity Building</b>							
	<p>Capacity building is aimed at strengthening the ability to handle cases of SEAH effectively and efficiently.</p> <p>The goal is to constitute a team and offer them relevant training that will enable them to share knowledge, detect any behavior that might lead to SEAH, understand laws surrounding SEAH and</p>	<ul style="list-style-type: none"> <li>Capacity assessment of the implementing agencies, partners before embarking on the trainings so as to understand the gaps and be able to monitor/ measure progress from the trainings undertaken over the project duration</li> <li>Provide detailed and comprehensive training on SEAH highlighting its causes, consequences and the management and response to SEAH to county sub-PIUs teams and focal points.</li> </ul>	<p>Within the first 6 months and before the implementation of any subprojects</p> <p>To be reviewed as need be, for example when a staff exits or when there are notable training needs.</p>	GBV consultant and the Social Specialists at NPCU and CPCU	PCU and county coordinators, SSDS to get all training reports	<p>Number of training sessions and staff trained to provide SEAH related services in the counties.</p> <p>Capacity building assessment report for the implementing agencies and other relevant actors.</p> <p>Number of contracts, CoCs, HR manuals and other safeguarding</p>	Continuous	4,000,000

	<b>Objectives</b>	<b>Activities / Steps to be taken to Address SEAH risk</b>	<b>Timelines</b>	<b>Responsible</b>	<b>Monitoring (Who will monitor)</b>	<b>Output Indicators</b>	<b>Time period (days)</b>	<b>Estimated Budget (KES)</b>
	know the channels of reporting.	<ul style="list-style-type: none"> <li>Offer training for community-based organizations, traditional and faith leaders, media, and other stakeholders on innovative approaches for prevention of, and response to SEAH.</li> <li>Consolidate the teams responsible for effective research, monitoring and evaluation of SEAH programs and services to support generation of evidence to inform decisions.</li> <li>Review the Contractors and consultants' contracts and ensure CoC is signed by all as provided for in Annex 1 of the plan.</li> <li>Assess the Human Resource manuals and staff capacity</li> <li>Prepare project code of conduct</li> <li>Appoint an internal focal point in charge of reporting (who might include one in HR dept)</li> </ul>	Before contract award for all subprojects			tools/documents that integrate SEAH		
<b>4</b>	<b>Prevention and Awareness</b>							
	<p>This is aimed at creating an understanding of the magnitude and effects of SEAH and what can be done to prevent such scenarios during and after the project.</p> <p>The step is aimed at prevention and early detection of any practices that may lead to SEAH.</p>	<ul style="list-style-type: none"> <li>Structure and roll out a marketing and communication strategy/campaign to educate and raise awareness about SEAH. This includes appropriate signage at project sites.</li> <li>The development of the communication strategy should involve stakeholders at all and the communities where the project is</li> </ul>	Within the first 6 months after disbursement and before any calls for bids or initiation of activities in the respective county	GBV consultant, and Communication Specialist	NPCU and County Coordinators, SSDS to get reports.	<ul style="list-style-type: none"> <li>Communication strategy and Stakeholder Mapping Report</li> <li>Number of awareness campaigns/sessions and community discussions held per sub-project location</li> </ul>	Continuous for all counties	6,000,000

	<b>Objectives</b>	<b>Activities / Steps to be taken to Address SEAH risk</b>	<b>Timelines</b>	<b>Responsible</b>	<b>Monitoring (Who will monitor)</b>	<b>Output Indicators</b>	<b>Time period (days)</b>	<b>Estimated Budget (KES)</b>
	Helps to eliminate, or address any social, political, cultural tradition and religious factor that gives lee ways to SEAH.	<p>implemented for referral services for survivors of SEAH</p> <ul style="list-style-type: none"> <li>• Mobilize institutions and government bodies to be in the lead of transforming culture and behavior which cause discrimination against women and girls in that community.</li> <li>• Involve women, men and young boys in developing initiatives that will bring sustainable solution for SEAH through continuous awareness.</li> <li>• Create a environment for girls and women to engage in project create forums for women and girls to safely voice their concerns, needs and feedback? Theses may also be integrated as an inclusive and SEAH sensitive feature of the GM development activities.</li> <li>• Ensure that SEAH requirements are included in bid documents including the requirement for a Code of Conduct.</li> <li>• Ensure that the project locations contain separate facilities for women and men.</li> </ul>	<p>Throughout the project implementation</p> <p>Through out the implementation of project</p> <p>Continuous throughout project cycle</p> <p>In the first 6 months and throughout the project cycle</p>			<ul style="list-style-type: none"> <li>• Periodic community engagement reports focusing on awareness creation on SEAH prevention</li> <li>• Project locations with separate facilities for women and men and appropriate GBV-free zone signage.</li> </ul>		
<b>5</b>	<b>Response and Support</b>							
	The main aim is to strengthen the delivery of effective, accessible, and responsive protection,	<ul style="list-style-type: none"> <li>• Provide funding for GBV service providers considering the challenges identified in the project counties and</li> </ul>	Ongoing throughout the project life cycle	GBV consultant, SEAH	National Project Coordinator, SSDS	Number of GBV service providers contracted by the implementing agency	continuous	10,000,000

Objectives	Activities / Steps to be taken to Address SEAH risk	Timelines	Responsible	Monitoring (Who will monitor)	Output Indicators	Time period (days)	Estimated Budget (KES)
<p>care, and support services to those affected by gender-based violence. This must involve a high level of confidentiality.</p>	<p>gaps identified in the capacity assessments</p> <ul style="list-style-type: none"> <li>• Provide dedicated and responsive needs-driven services to survivors of SEAH there is need for special services such as emergency transport facilities in coordination with the existing service providers and actors experienced in this aspect.</li> <li>• In reference to mapping report enact a strong, well-coordinated and integrated multi-agency response to SEAH. This includes a good structure of referral networks that are in collaboration with community, traditional and religious leaders.</li> <li>• With the help of legal personnel, update the legal and institutional framework in harmony with the SEAH need that exists to help victims and survivors of SEAH</li> <li>• Identify community-based safe shelters with the right personnel and outreach services for the protection of survivors of SEAH as provided in annex 3 of the SEAH treatment and counselling procedures.</li> <li>• Enforce the relevant law on the SEAH perpetrators and re-integration in the community to reduce repeat offenses.</li> </ul>		<p>Management Team</p>		<p>(including funding provided).</p> <p>Operational codes of conduct are being signed, understood and adhered to.</p> <p>Updated and reliable referral pathways in each project county</p> <p>A survey to establish if community that is well informed and are ready to protect women</p> <p>A well-structured legal body specifically dealing with SEAH issues in place both at county and national level</p> <p>Women and girls who are aware of their rights. This can be established through a survey.</p> <p>Women are educated and placed in positions where</p>		

	Objectives	Activities / Steps to be taken to Address SEAH risk	Timelines	Responsible	Monitoring (Who will monitor)	Output Indicators	Time period (days)	Estimated Budget (KES)
						they can benefit from the value addition programs		
6	<b>Grievance Management (GM) for SEAH Responsive Reporting</b>							
	<p>The purpose for GM is to safe channels for reporting any cases of sexual harassment or sexual exploitation and abuse. The focus is confidentiality to protect the privacy and choices of the victim, and urgency to preserve evidence and access assistance and care for the victim</p>	<ul style="list-style-type: none"> <li>Review and amend the existing GM to ensure it meets the SEAH needs that currently exist. This should include evaluating the existing entry points (are they safe and reliable, accessible), and establishing protocols for safe and confidential data sharing, collection, and storage.</li> <li>Guide the community and employees on the channels of reporting cases of SEAH and what constitutes sexual harassment as per the guidelines.</li> <li>Outline for the employees the penalties and disciplinary actions that will be taken against anyone that breaches the code of conduct.</li> </ul>	<p>First three months after disbursement</p> <p>GM to remain vibrant throughout the project implementation</p>	<p>GBV Consultants and GM focal points</p>	<p>NPCU and County Coordinator, SSDS</p>	<p>GRM features dedicated operating procedures and response protocols to handle SEA/SH allegations</p> <p>Number of sessions held with relevant stakeholders (community members, workers, etc.) on existing GRM</p> <p>Periodic GRM report with sustained effective case management/resolution (e.g. evidence of survivors receiving support/services)</p> <p>Number of GRM users who know about and are report confidence in using existing GRM</p> <p>When victims of sexual harassment can easily reach out to report an attempt of action of violence against them and</p>	<p>Continuous</p>	<p>8,000,000</p>



	<b>Objectives</b>	<b>Activities / Steps to be taken to Address SEAH risk</b>	<b>Timelines</b>	<b>Responsible</b>	<b>Monitoring (Who will monitor)</b>	<b>Output Indicators</b>	<b>Time period (days)</b>	<b>Estimated Budget (KES)</b>
						receive a supportive response immediately.		
<b>7</b>	<b>Monitoring and Evaluation</b>							
	<p>Monitoring is aimed at developing a set of key quantitative and qualitative indicators to manage measure and monitor the progress and effectiveness of the integrated effort to deal with SEAH.</p> <p>It measures how well the policies are being adhered to, any issues that might emerge in regard to SEAH and recommendation to improve any situation that may arise.</p>	<ul style="list-style-type: none"> <li>• Develop instruments meant to measure the magnitude of reported cases of SEAH categorized in their various forms, such as child sexual abuse</li> <li>• Mechanism to measure effectiveness of the various support systems to respond</li> <li>• Conduct at least three-time survey to assess: project workers attitudes towards the acceptability of SEAH by gender.</li> <li>• Develop mechanisms to measure the impact of Public Education, Awareness Creation and Campaigns conducted by the SEAH teams.</li> <li>• From time to time set up process indicators to assess how the project is being implemented.</li> <li>• Monitor and account for activities under the National Strategic Action Plan.</li> </ul>	<p>Throughout the project implementation</p> <p>Monitor implementation throughout Project implementation</p>	<p>GBV Consultant Third party monitor</p>	<p>PCU and PIU and Sub PIUs</p>	<p>Number of instruments that integrate and monitor SEAH</p> <p>Monitoring reports on workers' attitudes, compliance to CoCs,</p> <p>Monitoring reports on SEAH incidence reporting and referral (access to services).</p> <p>How effective is the support and interventions offered to victims of SEAH?</p> <p>How many success stories have been reported in relation to SEAH? Shift in attitude of project workers.</p>	<p>Continuous</p>	<p>8,000,000</p>
		<b>TOTAL</b>						<b>40,000,000</b>

## **6 Stakeholder Consultation.**

47. The consultations had a total of fifty (59) participants (Annex 5) including representatives of service providers who have SEAH role within the implementing counties ranging from sensitization, prevention and referral pathway. (Annex 4). The ministry of gender and cultural services was also involved and the National Government officers. The stakeholder consultative objective was to: i) sensitive the stakeholders on the proposed HoAGWP in relation to its development objective, target beneficiaries, and expected SEAH impacts, outputs and outcomes; ii) sensitize on World Bank guidelines and procedures on SEAH grievance mechanisms as well as environmental and social Frameworks in relation to project implementation; and iii) get feedback and inputs towards the improvement of guidelines and procedures that are proposed to guide project implementation. The key issues of concern and questioned raised and annexed as annex 5

## **7 Conclusions and Recommendations**

48. The HoAGW4R shall have zero tolerance to SEAH cases among project workers and shall take proactive steps towards sensitization and prevention. All staff and workers will sign the CoC as annexed in annex I of the plan in case of any SEAH cases are reported, have a well-coordinated and integrated multi-agency response mechanism to respond. Have a GM developed under the project with a separate channel to manage SEAH-related complaints to enable reporting in a safe, confidential survivor-centric manner The Plan is a living document and will be updated as the project implementation unfolds and especially as the subprojects risks and impacts become clearer? The responsibility to implement the Plan rests with the Project Coordination Unit (PCU) and PIU (WRA/WSTF) at national and sub PIUs at county level.
49. The project has set aside resources amounting to about KES 40, 000,000 to be managed at the NPCU to enable timely implementation of this Plan. The resources cover human, financial and physical.

## 8 References

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## 9 ANNEXES

### **Annex I: Code of Conduct Form for Contractor's Employees/Workers**

Company Logo



# CODE OF CONDUCT

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### 1. Introduction

The Code of Conduct consists of two sections: Core Values, and Compliance Program and Guidelines. Our Core Values are part of our heritage and are fundamental to who we are as an organization. These Core Values are the basis for our decision-making. The Compliance Program and Guidelines address some specific areas of concern, either due to the Company emphasis or legal requirements. This code of conduct outline below therefore meets the legal and contract requirements for the proposed project of the Horn of Africa Ground Water Project .

### 2. Core Values

The following nine Core Values represent the foundation of our Code of Conduct. Although achieving these high standards may be difficult, we nonetheless aspire to uphold them as we live our lives and conduct our business:

Core Values represent who we are and provide, without question, the standard of behavior by which we conduct business, how we treat one another, how we deal with our customers, how we respond to our stakeholders, and how we hold one another and ourselves accountable.

#### **Safety**

- The safety of our employees, our stakeholders, and the general public is our responsibility.

- Plan safety into every aspect of our work and relentlessly execute our plan.
- Drive for continuous improvement to create and sustain a zero-incident culture

### **Honesty**

- Be truthful, accurate, and straightforward.
- Be candid and non-deceptive in communication and conduct.

### **Integrity**

- Maintain consistency between our beliefs and our behavior—walk our talk!
- Have the courage to contend boldly for the right and reject firmly that which is wrong.

### **Fairness**

- Endeavor to be reasonable, open-minded, impartial, even-handed, and non-discriminatory in all our dealings.
- Genuinely partner and actively collaborate within and outside the Company.
- Maintain, without deviation, an attitude of sincerity, tolerance, consideration, and assistance toward others, regardless of position.

### **Accountability**

- Accept responsibility for our own actions or inactions and for those whom we supervise.
- Take prompt, constructive steps to correct mistake and defects.
- Promote teamwork by holding one another accountable—of rejecting behaviors inconsistent with this Code of Conduct.

### **Consideration of Others**

- Practice the principles of the Golden Rule.
- Respect the dignity, rights, safety, and personal

### **Property of others.**

- Be open to the ideas and the opinions of others.
- Exercise patience and remain positive under all circumstances.
- Ensure that those whom you supervise are not put in compromising situations.

### **Pursuit of Excellence**

- Consistently apply diligence, perseverance, attention to detail, and good work habits to ensure high-quality projects, and products and excellent customer service.
- Build capabilities through continuous learning, coaching, mentoring, and teaching.
- Never accept complacency or indifference.
- Remain flexible and open to possibilities.

### **Reliability**

- Only make realistic commitments and follow through on the commitments you make.
- Be prompt and responsive in business dealings within and outside the Company.

### **Citizenship**

- Comply with all governmental laws, rules, and regulations.
- Show consideration for the safety and the welfare of everyone, including our natural environment.

- Respond to the impact our work has on the natural by consistently evaluating and improving our efforts so that our projects and processes work in harmony with the environment.
  - Cultivate an organization that actively encourages us to be the best of who we are and continuously strive to make a difference in our communities and the world.
3. Equal Employment Opportunity and Other Employment Laws
- Employees will comply with all, state, and local equal employment opportunity laws.
  - The Company will employ persons and make employment-related decisions without regard to an individual's race, color, religion, sex, age, creed, ancestry, marital status, sexual orientation, gender identity, disability, medical condition, genetic information, or any other characteristic protected by law.
  - The Company is committed to compliance with the Kenya's persons with disabilities PWDs Act and will make reasonable accommodations for qualified individuals with known disabilities. This policy governs all aspects of employment, including selection, job assignment, compensation, discipline, termination, and access to benefits and training.
  - It is the Company's responsibility to provide all employees with a workplace free of harassment, intimidation, coercion, and retaliation.
4. Gender Based Violence, Sexual Harassment, and Child Abuse/Exploitation Code of Conduct

Contractor employees, officers, and directors are responsible for conducting themselves so that their actions are not considered sexually harassing, demeaning, or intimidating in any way. They are obliged to create and maintain an environment which prevents SEAH and child abuse/exploitation (CAE) issues, and where the unacceptability of SEAH and actions against children are clearly communicated to all those engaged on the project, as called for in the Company's Gender based Violence and Sexual Harassment code of conduct. Under the Kenyan law (Sexual Offences Act 2006), sexual harassment is generally defined as either:

1. Unwelcome sex-based conduct that is so severe and pervasive that it creates an intimidating, hostile, or offensive work environment
- OR
2. Sex-based conduct by a supervisor or manager that tangibly affects an employee's job—for example, imposition of discipline, or loss of pay or benefits.

Sexual harassment can occur in a variety of forms. It may include:

- (i) Unwelcome sexual advances;
- (ii) Requests for sexual favors;

AND/OR

1. Verbal remarks or physical contact or conduct of an intimate or sexual nature, such as uninvited touching or sexually suggestive comments, that interfere with another person's work performance or that create an intimidating, hostile, or offensive working environment.

2. The Company has zero tolerance for discrimination or harassment of any kind, and employees will be subject to disciplinary action, including termination, for violations.
3. The Company will not tolerate retaliation against anyone who in good faith raises a concern or reports a violation.

## 5. Procedures

### 5.1. Getting Help

All directors, officers, and employees have a responsibility to read, understand, and follow our Code of Conduct. Remember, this is only the starting point. Our Code does not attempt to address every situation you might encounter in your job.

#### **So where do you turn for help?**

Your first resource is your immediate supervisor to answer your questions or contact a Company resource who can. But, if you feel your situation would make it impossible or uncomfortable to approach your immediate supervisor, you should go to your next level of management, the site Supervisor, or Company's Human Resources manager.

### 5.2 Discipline

All employees are expected to read, understand, and comply with our Code of Conduct. Violations of law, this Code, and other Company policies and procedures can lead to disciplinary action up to and including termination. Supervisors, managers, and officers can also be subject to discipline if they condone, permit, or have knowledge of illegal, unethical, or other improper conduct and do not take appropriate action.

The Company will not tolerate retaliation against anyone who, in good faith, uses the reports or raises questions regarding potentially illegal, unethical, or improper conduct.

## 6. Implementation of the Code of Conduct

The implementation of a code of conduct will involve communication of policies and guidelines to all staff and workers, by providing any necessary training to ensure they understand the code. The code will be practiced and promoted by management to lead the way for staff and workers.

The code of conduct will be one of the conditions of employment. A staff or a worker shall be required to sign and commit himself or herself to comply with the code.

### 7.1. Method of delivering the code

#### (a) Induction package

Induction training is a chance for existing employees/workers and new employees to review and understand expectations and requirements. The contractor through her representatives and or health and safety team shall perform induction training to all workers. This will happen when the work starts and when any new employee or a worker comes to work on site. Along with a code of conduct, the induction package may include a training and information on applicable work Environment health and safety or any other information that the contractor wish to deliver to new employees or workers.



(b) One-on-one training

A Company representative - such as the Foreman, Headman, site supervisor, HR staff member or trainer - could work through the code of conduct and other requirements and expectations with existing employees or workers during the site meetings which include morning toolbox talks before start of work

(c) Employee handbook

A printed version of Company's employee handbook that will be left in a communal area such as a site office room will provide staff or workers easy access to the code of conduct when required.

(d) Notice boards

A summary version of the full code of conduct can act as a reminder to staff. Different parts of the code can be highlighted in different parts of the site office - for example, signs can be erected in the store about cleanliness, safe access of materials and respect for others.

## 8. Monitoring the implementation of the Code

(a) Understanding the code

Feedback will be sought from the employees/workers to ensure that they understand the code of conduct and what is expected of them. If they don't fully understand some areas, appropriate training will be provided. For example:

- Physically showing them designated smoking areas
- Verbally give an example of how of how to handle or talk to one another
- Practically show them how to carry out safety procedures, work procedures and or use of Personal protective equipment etc.

(b) Reviewing staff and workers understanding of the code

Review of staff and workers understanding of Company's Code of Conduct by requiring them to complete a survey or questionnaire will be done. The questions will focus on any new sections and particular areas of the code that workers may not fully understand.

The surveys will identify areas of the code that staff may need further training or may be unclear and need to be reviewed. Follow up on the survey to ensure that all staff understand what is expected of them. When they are happy with the new code, they will then sign a document to say that they accept to comply.

## 9. Breaches of the Code of Conduct.

To minimize conflict if any employee or laborer violates the code, each of them will be asked to sign a document to say that they agree to abide by the code of conduct. This will form grounds to take a disciplinary action. In case of violations:

Code of conduct or safety violation notice(s) shall be issued to any employee, subcontractor, or anyone on the jobsite violating the provisions of the Code, the safety rules or regulations by Responsible Person.

- (i) Any violation of this Code or safety rules can result in suspension or immediate termination.
- (ii) Any employee receiving three (3) written general violations within a six (6) month period shall be terminated.

- (iii) Issuance of a safety violation notice for failure to use fall protection, appropriate PPE provided, or for failure to report a job injury (at the time of the injury) may result in immediate termination, in accordance with health and safety company policy.

**10.0 Code of Conduct Certification**

As the Contractor’s employee and as applicable to my work responsibilities:

- (i). I will deal fairly and ethically with my employer and on behalf in all matters and will at all times  
Proactively promote ethical behavior.
- (ii) I will not (a) take for myself personally any opportunities that are discovered through the use of the Contractor’s property, information, or position; (b) use the Contractor’s property, information, or position for personal gain
- (iii) I will protect Contractors’ assets and promote their efficient and legitimate business use.
- (iv) Without exception, I will comply with all applicable laws, rules, and regulations provided
- (v) I will promptly report any illegal or unethical conduct to Contractors’ management or other appropriate authorities.

**I have read the Contractors Code of Conduct and do certify that:**

- I understand the Contractors Code of Conduct.
- I understand that I have a responsibility to ask questions, seek guidance, and report suspected violations of the Code.
- To the best of my knowledge, I am in compliance with the Contractors Code of Conduct.
- I understand that the Company reserves the right to change, rescind, and add to the Contractors Code of Conduct at its sole and absolute discretion and may do so at any time in writing or otherwise.

Employee	Signature
.....Date.....	
Name.....	
Job Location/Specification/Designation.....	
Witness signature.....	
Witness Name.....	

**11. Declaration by the Managing Director**

I \_\_\_\_\_ hereby certify that the above code of conduct represents who we are as a Company. I shall ensure that the practical and professional conduct of our employees and staff are in line with the provisions of this Code of Conduct and that each of them shall be required to individually sign it and ensure compliance. I confirm as a Managing Director that I shall remain in good standing and respect of this Code of Conduct.

**Signed by:** \_\_\_\_\_

**Title: Managing Director.  
Contractors Ltd.**

**Date:** \_\_\_\_\_

## **Annex A. Company SEAH and Child Abuse/Exploitation(CAE) Code of Conduct**

Contractors' employees are obliged to create and maintain an environment which prevents SEAH and child abuse/exploitation (CAE) issues, and where the unacceptability of SEAH and actions against children are clearly communicated to all those engaged on the project. In order to prevent SEAH and CAE, the following core principles and minimum standards of behavior will apply to all employees without exception:

1. SEAH or CAE constitutes acts of gross misconduct and are therefore grounds for sanctions, penalties and/or termination of employment. All forms of SEAH and CAE including grooming are unacceptable be it on the work site, the work site surroundings, or at worker's camps. Prosecution of those who commit SEAH, or CAE will be pursued.
2. Treat women and children (persons under the age of 18) with respect regardless of race, color, language, religion, political or other opinion, national, ethnic or social origin, property, disability, birth or other status.
3. Do not use language or behavior towards women or children that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate.
4. Sexual activity with children under 18—including through digital media—is prohibited. Mistaken belief regarding the age of a child and consent from the child is not a defense.
5. Exchange of money, employment, goods, or services for sex, including sexual favors or other forms of humiliating, degrading or exploitative behavior is prohibited.
6. Sexual interactions between contractor's and consultant's employees at any level and member of the communities surrounding the workplaces that are not agreed to with full consent by all parties involved in the sexual act are prohibited. This includes relationships involving the withholding, promise of actual provision of benefit (monetary or non-monetary) to community members in exchange for sex – such sexual activity is considered “non-consensual” within the scope of this Code.
7. Where an employee develops concerns or suspicions regarding acts of SEAH or CAE by a fellow worker, whether in the same contracting firm or not, he or she must report such concerns in accordance with Standard Reporting Procedures.
8. All employees are required to attend an induction training course prior to commencing work on site to ensure they are familiar with the SEAH and CAE Code of Conduct.
9. All employees must attend a mandatory training course once a month for the duration of the contract starting from the first induction training prior to commencement of work to reinforce the understanding of the institutional SEAH and CAE Code of Conduct.
10. All employees will be required to sign an individual Code of Conduct confirming their agreement to support SEAH and CAE activities.

I do hereby acknowledge that I have read the foregoing Code of Conduct, do agree to comply with the standards contained therein and understand my roles and responsibilities to prevent and respond to SEAH and CAE. I understand that any action inconsistent with this Code of Conduct or failure to act as mandated by this Code of Conduct may result in disciplinary action.

**FOR THE COMPANY**

**Signed by:** \_\_\_\_\_

**Title: Managing Director Date: 13<sup>th</sup> October 2017**

## **Annex B. Manager's SEAH and Child Protection Code of Conduct**

Managers at all levels play an important role in creating and maintaining an environment which prevents SEAH and prevents CAE. They need to support and promote the implementation of the Company and Individual Codes of Conduct. To that end, they must adhere to the Manager's Codes of Conduct. This commits them to support and developing systems which maintain a SEAH-free and child safe work environment. These responsibilities include but are not limited to:

### **Mobilization**

1. Establish a SEAH/GBV Compliance Team from the contractor's and consultant's staff to write a Plan that will implement the SEAH Codes of Conduct.
2. The Plan shall, as a minimum, include the
  - a. Standard Reporting Procedure to report SEAH and CAE issues through the project Grievance Mechanism (GM);
  - b. Accountability Measures which will be taken against perpetrators; and,
  - c. Response Protocol applicable to SEAH survivors/survivors and perpetrators.
3. Coordinate and monitor the development of the Plan and submit for review before mobilization
4. Update the Plan to reflect feedback and ensure the Plan is carried out in its entirety.
5. Provide appropriate resources and training opportunities for capacity building so members of the SCCT feel confident in performing their duties. Participation in the SCCT will be recognized in employee's scope of work and performance evaluations.
6. Ensure that contractor, consultant, and client staff are familiar with the proposed project GM and that they can use it to anonymously report concerns over SEAH and CAE.
7. Hold update meetings every three months with the SCCT to discuss ways to strengthen resources and SEAH and CAE support for employees and community members.

### **Training**

1. All managers are required to attend an induction manager training course prior to commencing work on site to ensure that they are familiar with their roles and responsibilities in upholding the SEAH and CAE Codes of Conduct. This training will be separate from the induction training course required of all employees and will provide managers with the necessary understanding and technical support needed to begin to develop the Plan for addressing SEAH and SEA issues.
2. Provide time during work hours to ensure that direct reports attend the mandatory project facilitated induction SEAH and CAE training required of all employees prior to commencing work on site.
3. Ensure that direct reports attend the monthly mandatory training course required of all employees to combat increased risk of SEAH and CAE during civil works.
4. Managers are required to attend and assist with the facilitated monthly training courses for all employees. Managers will be required to introduce the trainings and announce the self-evaluations.

5. Collect satisfaction surveys to evaluate training experiences and provide advice on improving the effectiveness of training.

### **Prevention**

1. All managers and employees shall receive a clear written statement of the company's requirements with regards to preventing SEAH and CAE in addition to the training.
2. Managers must verbally and in writing explain the company and individual codes of conduct to all direct reports.
3. All managers and employees are to sign the individual 'Code of Conduct for SEAH and CAE', including acknowledgment that they have read and agree with the code of conduct.
4. To ensure maximum effectiveness of the Codes of Conduct, managers are required to prominently display the Company and Individual Codes of Conduct in clear view in public areas of the workspace. Examples of areas include site office, rest, and lobby areas of sites.
5. All posted and distributed copies of the Company and Individual Codes of Conduct should be translated into the appropriate language of use in the work site areas (e.g., Kiswahili).
6. Managers will encourage employees to notify the GM of any acts of threats or violence to women or children they have witnessed or received or have been told that another person has witnessed or received, or any breaches of this code of conduct.
7. Managers should also promote internal sensitization initiatives (e.g., workshops, campaigns, on-site demonstrations etc.) throughout the entire duration of their appointment
8. Managers must provide support and resources to the SCCT to create and disseminate the internal sensitization initiatives through the Awareness-raising strategy under the Plan.

### **Response**

1. Managers will be required to provide input, final decisions and sign off on the Standard Reporting Procedures and Response Protocol developed by the SCCT as part of the Plan.
2. Once signed off, managers will uphold the Accountability Measures set forth in the Plan to maintain the confidentiality of all employees who report or (allegedly) perpetrate incidences of SEAH (unless a breach of confidentiality is required to protect persons or property from serious harm or where required by law).
3. If a manager develops concerns or suspicions regarding any form of SEAH or SEA by one of his/her direct reports, or by an employee working for another contractor on the same work site, s/he shall immediately refer the case to the competent authorities (Police) and, at the same time, report the case to the GM and the SCCT for internal processing according to the established reporting and accountability measures. Always respecting the survivor's choices if a survivor has been identified.
4. Once a sanction has been determined, the relevant manager(s) is/are expected to be personally responsible for ensuring that the measure is effectively enforced, within a maximum timeframe of 14 days from the date on which the decision was made.
5. Managers failing to comply with such provision can be in turn subject to disciplinary measures, to be determined and enacted by the company's CEO, Managing Director, or equivalent highest-ranking manager. Those measures may include:
  - a. Informal warning

- b. Formal warning
- c. Additional Training
- d. Loss of up to one week's salary.
- e. Suspension of employment (without payment of salary), for a minimum period of 1 month up to a maximum of 6 months.

**Termination of employment.**

6. Ultimately, failure to effectively respond to SEAH and CAE cases on the work site by the contractor's managers or CEO may provide grounds for legal actions by authorities.

I do hereby acknowledge that I have read the foregoing Code of Conduct, do agree to comply with the standards contained therein and understand my roles and responsibilities to prevent and respond to SEAH and CAE. I understand that any action inconsistent with this Code of Conduct or failure to take action mandated by this Code of Conduct may result in disciplinary action.

**FOR THE EMPLOYER**

**Signed by:** \_\_\_\_\_

**Title: Managing Director**

**Date:** \_\_\_\_\_



### **Annex C. Individual SEAH and Child Protection Code of Conduct.**

I, \_\_\_\_\_, acknowledge that preventing SEAH and CAE are important. SEAH or CAE activities constitute acts of gross misconduct and are therefore grounds for sanctions, penalties or even termination of employment. All forms of SEAH or CAE are unacceptable be it on the work site, the work site surroundings, or at workers camps. Prosecution of those who commit SEAH, or CAE will be pursued as appropriate.

#### **I agree that while working on the proposed project, I will:**

- Consent to police background check.
- Treat women and children (persons under the age of 18) with respect regardless of race, color, language, religion, political or other opinion, national, ethnic, or social origin, property, disability, birth or other status.
- Not use language or behavior towards women or children that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate.
- Not participate in sexual activity with children—including grooming or through digital media. Mistaken belief regarding the age of a child and consent from the child is not a defense.
- Not exchange money, employment, goods, or services for sex, including sexual favors or other forms of humiliating, degrading or exploitative behavior.
- Not have sexual interactions with members of the communities surrounding the workplace and worker’s camps that are not agreed to with full consent by all parties involved in the sexual act. This includes relationships involving the withholding, promise of actual provision of benefit (monetary or non-monetary) to community members in exchange for sex—such sexual activity is considered “non-consensual” within the scope of this Code.
- Attend training courses related to HIV/AIDS, SEAH and CAE as requested by my employer.
- Report to the SEAH and CAE Compliance Team’ any situation where I may have concerns or suspicions regarding acts of SEAH or against children by a fellow worker, whether in my company or not, or any breaches of this code of conduct.

#### **Regarding children under the age of 18:**

- Wherever possible, ensure that another adult is present when working in the proximity of children.
- Not invite unaccompanied children into my home unless they are at immediate risk of injury or in physical danger.
- Not sleep close to unsupervised children unless necessary, in which case I must obtain my supervisor's permission, and ensure that another adult is present if possible.
- Use any computers, mobile phones, or video and digital cameras appropriately, and never to exploit or harass children or to access child pornography through any medium

- Refrain from physical punishment or discipline of children).
- Refrain from hiring children for domestic or other labor, which is inappropriate given their age or developmental stage, which interferes with their time available for education and recreational activities, or which places them at significant risk of injury.
- Comply with all relevant local legislation, including labor laws in relation to child labor.

**Use of children's images for work related purposes**

When photographing or filming a child for work related purposes, I must:

- Before photographing or filming a child, assess and endeavor to comply with local traditions or restrictions for reproducing personal images.
- Before photographing or filming a child, obtain informed consent from the child and a parent or guardian of the child. As part of this I must explain how the photograph or film will be used.
- Ensure photographs, films, videos, and DVDs present children in a dignified and respectful manner and not in a vulnerable or submissive manner. Children should be adequately clothed and not in poses that could be seen as sexually suggestive.
- Ensure images are honest representations of the context and the facts.
- Ensure file labels do not reveal identifying information about a child when sending images electronically.

I understand that the onus is on me to use common sense and avoid actions or behaviors that could be construed as SAEH or CAE or breach this code of conduct.

I acknowledge that I have read and understand this Code of Conduct and have been explained the implications regarding sanctions ongoing employment should I not comply.

Signed by \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## **Annex D Employer's Child Protection Code of Conduct**

### **To Be Signed by All Employees, Sub-contractors, Sub-consultants, and Any Personnel thereof**

I..... agree that during my association with Contractors, I have been sensitized and in accordance with the Employer's Child Rights Protection Policy and/or National law on Child Protection, I must/ shall:

- Treat children with respect regardless of age, race, color, gender, language, religion, political or other opinion, national, ethnic, or social origin, property, disability, relationship, birth, or other status;
- Not inappropriately touch or use language or behavior towards children that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate;
- Not engage children under the age of 18 in any form of sexual intercourse or sexual activity, including encouraging or paying for sexual services or acts;
- Wherever possible, ensure that another adult is present when working in the proximity of children;
- Not invite unaccompanied children into my place of residence or any other secluded place, unless they are at immediate risk of injury or in physical danger;
- Not sleep close to unsupervised children unless absolutely necessary, in which case I must obtain my supervisor's permission, and ensure that another adult is present if possible;
- Use any computers, mobile phones, video cameras, cameras, or social media appropriately, and never to exploit or harass children or access child exploitation material through any medium;
- Not use physical punishment on children;
- Not hire children for domestic or other labor, which is inappropriate given their age or developmental stage, which interferes with their time available for education and recreational activities, or which places them at significant risk of injury or moral decadence;
- Comply with all relevant local and applicable international legislation, including national child protection laws and labor laws in relation to child labor;
- Immediately report concerns or allegations of child exploitation and abuse and policy non-compliance in accordance with appropriate procedures;
- Immediately disclose all charges, convictions, and other outcomes of an offence, which occurred before or occurs during my association with the Employer that relate to child exploitation and abuse.
- When photographing or filming a child or using children's images for work-related purposes, I must:
- Assess and endeavor to comply with local traditions or restrictions for reproducing personal images before photographing or filming a child;

- Obtain informed consent from the child and parent or guardian of the child before photographing or filming a child. As part of this I
- must explain how the photograph or film will be used;
- Ensure photographs, films, videos, and DVDs present children in a dignified and respectful manner and not in a vulnerable or submissive manner. Children should be adequately clothed and not in poses that could be seen as sexually suggestive;
- Ensure images are honest representations of the context and the facts;
- Ensure file labels, meta data or text descriptions do not reveal identifying information about a child when sending images electronically or publishing images in any form;
- I understand that the onus is on me, as a person associated with the Employer, to use common sense and avoid actions or behaviors that could be construed as child exploitation and abuses

Signed: \_\_\_\_\_  
Employee's Name Employee's Designation

Date: \_\_\_\_\_

Witness:  
Signed: \_\_\_\_\_  
Employer's Representative's Name Representative's Designation

Date and stamp: \_\_\_\_\_



## **Annex 2: SEAH Treatment and Counselling Procedures**

**Note:** These sub-project and contractor personnel tasks should NOT be underrated and must form the TOR or an evaluation protocol for service providers identified at implementing county level. The SEAH Treatment and Counselling Procedures are used by trained and certified counsellor or medical service provider. It is recommended that the Survivor-Centered Approach (SCA) be used in counseling SEAH survivors. The SCA aims at creating a supportive environment in which a survivor's rights are respected and in which the survivor is treated with dignity and respect. This approach helps promote a survivor's recovery and empowers them to make decisions about possible recovery interventions.

The SCA is considered essential for the following reasons:

- To protect survivors from further harm
- To provide survivors with the opportunity to talk about their concerns without pressure
- To assist survivors in making choices and in seeking help if they want help
- To cope with the fear that they may have about negative reactions (from the community or their family) or being blamed for the violence
- To provide basic psychosocial support (PSS) to the survivor
- To give back to the survivor the control they may have lost during the SEAH incident

The traumatic states are formed of three dimensions<sup>3</sup>: emotions, thoughts, and deeds. Therefore, needs of women come from these three recovery domains: emotional awareness, cognitive autonomy, and acting in/with autonomy. These domains are the focus of counselling in SCA. The domains are as follows<sup>4</sup>:

### **1. Emotional awareness**

Psychotherapeutic hypothesis number one is that emotions are one of the major blocks / barriers of women to move out of the violent situations or to be able to overcome trauma from the past. Therefore, to support women on their way to autonomy, step one is work on women's emotional awareness through identified steps:

- recognizing one's own emotions
- naming emotions (fear, guilt, shame, helplessness, low self-esteem, etc.)
- letting emotions out (crying, rage expressing, etc.)
- expressing emotions verbally (talking about her emotions)
- emotional independence (process of controlling emotions)
- information about trauma phases (learning through experience of others)
- awareness of one's victim role (learning about conditioning of emotional states)

### **2. Cognitive autonomy and justice**

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<sup>3</sup> Lepa Mladjenovic (nd) Counselling service for women with trauma of violence.

<sup>4</sup> Ibid.

Psychotherapeutic hypothesis number two is that not only emotions block the changes, but also rational concepts women have about themselves. These concepts are constructed by patriarchal society as well as family model a particular woman lived in. Therefore, to support women on her way to autonomy, step two is work on the woman's own concepts of herself through identified steps:

- awareness of the violence problem (enough to be able to talk about it)
- understanding male-female patriarchal conditioning (enough to know she is not guilty)
- understanding wheel of violence (experience of others structured contributes to cognitive clarity of her own situation)
- positive valuing oneself
- safety plan made (in case a woman is still in danger)
- informed about her rights (information of one's own rights encourages self-control)
- take responsibility for her condition of life (leaving the role of victim)

This dimension as well includes need for justice. Sometimes a long period of time in injustice has been exercised upon her. Need for justice includes:

- information about her rights
- information how to achieve justice
- support in actual legal process

### **3. Acting in/with autonomy**

The post traumatic behavior also means living in silence and non-doing. Therefore, third aim of counseling is supporting women to act toward the responsibility for their own change by:

- ending silence (when she asked for support, she already broke the silence)
- ending non-doing (breaking the logic of the role of the victim)
- deciding according to her needs and wishes (starting a process of taking control of her life)
- acting according to her needs/wishes (instead of obeying the wishes/needs of others)
- using her own support system (her own healthy/positive characteristics)
- using friends that can help her (using all the means to resolve her situation)
- using institutions that can support her as means to her autonomy

Acting in autonomy means living in safe spaces. This dimension implies need for safety. Need for safety includes:

- acting according to safety plan (in case a woman is still in danger)
- moving to safe houses (shelters)
- using legal system, if needed, as means to her autonomy
- exercising legal measures, if they exist, to move out the perpetrator

Counseling service works with women dealing with violence in family, sexual violence, war violence and violence through cultural pressure on women. Whatever the types of violence women experience, the aim is to encourage women to take control of their life situations and take responsibility to overcome violence, move toward justice and become responsible

citizens. The counselors do not decide whether women shall leave violence situations. The aim of counseling and advocacy is to stop violence and not relationships. Experience shows that many women (must) continue to live in the same/similar living conditions as before.



## Annex: 3: Sample of GBV Referral Centers and Service Providers in the Counties and National Government

### National Service Providers

Organization	Address	Contacts
1. <b>KNH (Gender Based Violence Recovery Center GBVRC)</b>	Old KNH between Orthopaedical Clinic and Dental Clinic P.O.BOX 20723-00202, Nairobi	Tel:020-2726300-9 Ext.43136, 44101 Cell:0722-829500/1/2, 0733-606400 Email: knhadmin@knh.or.ke www.knh.or.ke
2. <b>Kayole 2 Sub District Hospital</b>	Kayole opposite DOs offices	Tel:020-231805 Cell:0721-991 638
3. <b>Riruta Health Center</b>	Kawangware Opposite Dagorretti CDF offices	Cell 0712:708 020 0722:984 189
4. <b>Jericho Health Center</b>	Jericho Estate near shopping Centre	Cell:0721-279402
5. <b>The Nairobi Women's Gender Based Violence Recovery Center (GBVRC)</b>	Hurlingham Medicare Plaza, Argwings Kodhek Road	Tel:020-726821/4/6/7 Email: Nairobiiwomenshosp@africaonline.co.ke www.gvrc.or.ke
6. <b>Mbagathi District Hospital</b>	Ngumo estate ,off Mbagathi Road P.O. BOX 40205 Nairobi	Tel:020-2724712
7. <b>Association of Media Women in Kenya (AMWIK)</b>	Wendy Court, Hse No 6 David Osieli, Rd, Westlands P.O. BOX 10327-00100, Nairobi	Tel:020-04441226 Email:info@amwik.org www.amwik.org
9. <b>Center for the rehabilitation and education of abused Women (CREAW)</b>	Convent Drive, Lavington, off Isaac Gathanju Road (100 meters form Lavington Green) Kibera Satellite Office Kibera Drive, DO's Compound Kibera, Nairobi	Tel:0203860640 Cel:0720-357664 Tel:020-2505903
11. <b>Dolphin Anti-Rape and AIDS Control Outreach</b>		Cell:0733-963283 Dolphin2002ke@yahoo.com
12. <b>Coalition on Violence Against Women (COVAW)</b>	Valley Arcade, Valley Field Court House no 1	Tel:020-804000011 Cell:0722 594 794/0733 594 794 Info:@covaw.or.ke www.covaw.or.ke
14. <b>Girl Child Network</b>	AMREF KCO-Wilson airport off Langata Road	Tel:+254-20-604510 +254-20-607137

15. <b>The Cradle</b>	House 2, Adj Wood avenue Apartments, Wood Avenue Kilimani	Tel:+254(0)203874575/6 Cell:0722 201875 Email: <a href="mailto:info@thecradle.or.ke">info@thecradle.or.ke</a>
16. <b>Wangu Kanja Foundation</b>	P.O.BOX 12608-00100 Nairobi Kenya	Tel:0203680000 Cell:0722-790404 Email: <a href="mailto:info@wangukanjafoundation.org">info@wangukanjafoundation.org</a>
17. <b>Women Challenged to Challenge(WCC)</b>	APDK offices, Waiyaki way opposite ABC place P.O BOX 10593-00100 Nairobi	Tel:020-4452034 Cell:0725 868450
18. <b>Women's Empowerment Link(WEL)</b>	Muringa Road/ Elgeyo Marakwet Junction ,off Ngong Road opposite Red Cliff gardens Kilimani P.O BOX 22574-00100, Nairobi	Tel:020-3864482/97 Cell:0711-901132/0737-286 889 Email: <a href="mailto:info@wel.or.ke">info@wel.or.ke</a> <a href="http://www.wel.or.ke">http://www.wel.or.ke</a>
19. <b>Women's Rights Awareness Programme (WRAP)</b>	Next to Mathari Hospital	Tel:020-2050148 Cell:0722-252939 Email: <a href="mailto:info@wrapkenya.or.ke">info@wrapkenya.or.ke</a> <a href="http://www.wrapkenay.or.ke">www.wrapkenay.or.ke</a>
20. <b>Moi Teaching and Referral Hospital GBV Recovery Centre</b>	Moi Teaching and Referral Hospital P O Box 3 - 30100, Eldoret	Cell Phone: 0706390391/0722201277 Email: <a href="mailto:ceo@mtrh.go.ke">ceo@mtrh.go.ke</a> / <a href="mailto:directorsofficemtrh@gmail.com">directorsofficemtrh@gmail.com</a>

### Wajir County GBV Service Providers

Organization	Address	Contacts
21. <b>County Department of Education, Social &amp; Family Affairs (Gender &amp; Women Empowerment Section)</b>	All the 6 sub-counties (Wajir South, Wajir North, Eldas, Tarbaj, Wajir East and Habaswein) in Wajir	P.O.BOX 9-70200, Wajir County
22. <b>Ministry of Health-Level 4 hospital</b>		
23. <b>Kenya National Commission for Human Rights (KNCHR) and Human Rights</b>	All the 6 sub-counties (Wajir South, Wajir North, Eldas, Tarbaj, Wajir East and Habaswein) in Wajir	North Eastern Office-Wajir. Address: Airstrip Road/Public Works P.O Box 363-70200 Wajir
24. <b>The Kenya Red-Cross society-Wajir Branch</b>	All the 6 sub-counties (Wajir South, Wajir North, Eldas, Tarbaj, Wajir East and Habaswein) in Wajir	Location: Next to Wajir Stadium. Contact: 0724 474 194.
25. <b>Arid Lands Development Focus, Kenya (Aldef-Kenya)</b>	4 sub-counties (Wajir North, Eldas, Tarbaj, Wajir East)	ALDEF KENYA P.O BOX 449-70200 WAJIR <a href="mailto:aldefpm@gmail.com">aldefpm@gmail.com</a>

		Along Airport Road, opp to AIC Church.
<b>26. Wajir South Development Agency (WASDA)</b>	2 sub-counties (Wajir south and Habaswein)	Wajir Office Diif Road P.O.Box 209 – 70200. E-mail: <a href="mailto:info@wasda.or.ke">info@wasda.or.ke</a>
<b>27. Wajir County Commissioners Officer under the Ministry of Interior and Coordination of National Government</b>	All the 6 sub-counties (Wajir South, Wajir North, Eldas, Tarbaj, Wajir East and Habaswein) in Wajir	Opposite Wajir Plaza Building,

### Marsabit County

Organization	Address	Contacts
<b>1. County Department of Tourism, Culture Gender and Social Protection.</b>	All the 4 sub-counties Saku, Moyale, North Horr,Laisamis	P.O.BOX 100-60500, Marsabit County
<b>2. Saku Accountability Forum</b>	Saku but serves all counties	<a href="http://sakuaccountabilityforum.blogspot.com..qorqorti@gmail">http://sakuaccountabilityforum.blogspot.com..qorqorti@gmail</a>
<b>3. Marsabit Women Advocacy Development organization. MWADO</b>	All the 4 sub- Saku, Moyale, North Horr,Laisamis	P.O. Boxes 102-60500 Marsabit .
<b>4. Community initiative and facilitation Assistance (CIFA)</b>	4 sub-counties Saku, Moyale, North Horr,Laisamis	CIFA KENYA P.O BOX 364-60500 MARSABIT
<b>5. Marsabit Level 4 hospital trauma centre</b>	Serves all sub counties	Marsabit level 4 Hospital
<b>6. Pastoralists women for health and Education</b>	All 4 sub counties in Masarbit	<a href="mailto:pastoraliststwomenthealtheducation@yahoo.com">pastoraliststwomenthealtheducation@yahoo.com</a>
<b>7. Marsabit County Commissioners Officer under the Ministry of Interior and Coordination of National Government</b>	All the sub-counties	County Commissioners Building, All sub counties HQs

## Turkana County

Organization	Contact Person	Contacts
1. County Department of Tourism, Culture Gender and Social Protection.	Project Officer	Phoebe Kaaman
2. CARITAS (Catholic Diocese, Lodwar)	Psychosocial Support Officer	Hildah Ebei
3. Psychosocial Support Services - Wellness Centre	Psychosocial Support Officer	Wycliffe Lolepo
4. Clinical Services – Wellness Centre	Registered Nurse	Shadrack Elim
5. Legal Services – Wellness Centre (linkage with the police)	County Commissioner	Muthama Wambua
6. World Vision-Ke	Project Officer	Annette Koech
7. International Rescue Committee	Project Officer	Belynder Jepchirchir
8. Kenya Red Cross	Project Officer	Emily Gideon

## Garissa County

Organization	Contact Person	Contacts
9. County Department of Tourism, Culture Gender and Social Protection.	Project Manager	Fatuma Maalim
10. Women Education and Health for Development (WOHED)	County Director	Hudson Mwangu
11. SDFGA	Regional Project Coordinator	Moses Ouma
12. National Council for Population Development	Manager	Sarah Muasya
13. Refugee Consortium of Kenya	Programme officer	Fesal Warsame
14. The Kesho Alliance	Programme Officer	Abdishukri Jelle
15. Muslims for Human Rights (MUHURI)	AOI	John K. Marete
16. National Government of	Founder	Mulibo Idle

<b>Administration officers (NGAO)</b>		
17. <b>Girl Concern organization</b>	Coordinator	Amran Ali Gabow
18. <b>Garissa Rural Water and Sanitation Company</b>	Hydrologist	Francis M. Maina
19. <b>OPPP</b>	SPPC	Victor A. Asenga
20. <b>Ministry of Labour</b>	Labour Officer	Adan Hassan
21. <b>Haki na Sheria Initiative (HIS)</b>	Programme Officer	Bare Adan
22. <b>Action Aid</b>	Coordinator	Yussuf Abdi
23. <b>NACADA</b>	Programme Officer	Sarah Kerubo Meshack
24. <b>Gender Department</b>	Deputy Director	Ahmed M.Abdi

#### GBV/SEA information

<b>Support Agency</b>	<b>Emergency Telephone Numbers</b>
<b>Children's helpline</b>	116
<b>Healthcare Assistance Kenya(HAK)</b>	1195
<b>Police Hotline</b>	911/112/999
<b>Toll free Police GBV Line</b>	0800 730 999
<b>Gender Violence Recovery Center (Nairobi Women's Hospital)</b>	Toll Free line 0800720565 Or 0719638006
<b>Red Cross Ambulance Services Red cross Emergency Toll Free Line</b>	0700395395, 0738395395 1199
<b>Ministry of Education offices(countrywide)</b>	Sub-County, County, Reginal and National offices
<b>Teachers Service Commission offices (Country wide)</b>	TSC offices at Zonal(CSOs), Sub county, County, Regional and National Levels
<b>Gender Desks in Police Stations</b>	All Police Stations countrywide have Gender Desks. Ask for one whenever you visit a police station
<b>Children's Department Offices</b>	Children's Department has offices at Sub county, County and regional level
<b>Counselling Units in all Hospitals</b>	All Hospitals countrywide have Counselling units

## **Annex 4: List of Participants for the Public and Stakeholders Consultation and Information Disclosure. (Marsabi, Mandera and Garissa)**

### **Participants Lists**

	<b>Name of the Participants</b>	<b>Organization</b>	<b>Designation</b>
1.	Waes Adbullahi Abdinoor	Mandera County Government	Senior superintendent Engineer
2.	Siyad Ahmed Maalim	Mandera County Government	Environmental Expert
3.	Tajudin Abdi Kadir	Mandera County Government	Superintendent Water Officer
4.	Issac Salad Ibrahim	Mandera County Government	Assistant Director Supply Chain
5.	Hassan Huessein	Mandera County Government	SSE Water
6.	Yahya Ali Noor	Mandera County Government	Hydrogeologist
7.	Ahmed Mohammed	Mandera County Government	Principal Accountant
8.	Johson Ndolo	Water Sector Trust Fund	Senior Social Specialist
9.	Maryian Abdi Adan	Mandera County Government	Senior Water Inspector
10.	Lawrence P. Ondusi	Ministry of Interior	AOI County Commissioner Office
11.	Ahmed Adan	Mandera County Government	County Director Water Services
12.	Stella Warue	Water Sector Trust Fund	Social Specialist
13.	Yasmin Mohammed Noor	Mandera County Government	Senior Inspector Water
14.	Kuresho Ali Noor	Mandera County Government	Gender Officer
15.	Issack Salad Ibrahim	Mandera County Government	Assistant Director Security CM
16.	Onesmus Kyaka	Ministry of Interior	County Commissioner
17.	Benedict Kigen	Directorate of Criminal Investigation	County CID officer
18.	Thuku Ngaruiya Dickson	National Intelligence Service (NIS)	D/CIC

19.	Eric Kipkoech	Kenya Police Service	SCPC
20.	Abdi B. Chote	Kenya Wildlife Service	Warden
21.	Edwin M Ileri	General Service Unit	D/OC
22.	Major Fred P. Lukada	Kenya Defence Forces	OC KDF Mandera
23.	Fatuma Maalim	Women Education and Health for Development (WOHED)	Project Manager
24.	Hudson Mwangu	SDFGA	County Director
25.	Moses Ouma	National Council for Population Development	Regional Project Coordinator
26.	Sarah Muasya	Refugee Consortium of Kenya	Manager
27.	Fesal Warsame	The Kesho Alliance	Programme officer
28.	Abdishukri Jelle	Muslims for Human Rights (MUHURI)	Programme Officer
29.	John K. Marete	National Government of Administration officers (NGAO)	AOI
30.	Mulibo Idle	Girl Concern organization	Founder
31.	Amran Ali Gabow	Activista	Coordinator
32.	Francis M. Maina	Garissa Rural Water and Sanitation Company	Hydrologist
33.	Victor A. Asenga	OPPP	SPPC
34.	Adan Hassan	Ministry of Labour	Labour Officer
35.	Bare Adan	Haki na Sheria Initiative (HIS)	Programme Officer
36.	Yussuf Abdi	Action Aid	Coordinator
37.	Sarah Kerubo Meshack	NACADA	Programme Officer
38.	Ahmed M. Abdi	Gender Department	Deputy Director
39.	Abdiwahal Ibrahim	National Gender Equality Commission (NGEC)	Principal Programme Officer
40.	Abdihakim S. Mohammed	County Government of Garissa	Director Water
41.	Mohammed Bullo	Ministry of Interior	Officer
42.	Zeitun Aden Hassan	County Government of Garissa	GBV Officer
43.	Rashid K Siyat	County Government of Garissa	Monitoring and Evaluation Officer

44.	Kombe Genya	D/SCPC	NPS
45.	Stella Warue	Water Fund	Social Safeguard Expert
46.	Johnson Ndolo	Water Fund	Senior Social Safeguard Expert
47.	Dickson K. Mutuma	County Water Department	DSSWE
48.	David N. Sariswi	Interior	Deputy county commissioner
49.	Denge Fayo	Marsabit Water and Sewerage Company	Chief Executive Officer
50.	Zephaniah Kiboi	Interior	DDP
51.	Katelo B. Dido	Marsabit County water Department	CSCMO
52.	Clara Orgo	Culture, gender and social services	Culture officer
53.	John Halkano	Marsabit Water and Sewerage Company	O.C Water Supply
54.	Joshua Leitoro	Department of gender and Social services	Marsabit county
55.	Janet Ahotho	Department of Environment and water	Director Environment
56.	Dondol Arude	Kenya Forest Service	CFC
57.	Collins Omondi	Kenya Wildlife Service	County Senior Warden
58.	Charles Mutuma	NPS/APS	County AP Commander
59.	Gabriel Kamute	NPS (DCI)	SGT



**Annex 5: Stakeholder Engagement and Consultation Record**  
**HoAGW4R PUBLIC PARTICIPATION AND CONSULTATION (December 05-11, 2022)**

B	SEXUAL EXPLOITATION ABUSE AND HARRASSMENT ACTION AND RESPONSE PLAN		
	Area of discussion	Issues	Response
1	GBV /SEAH	If the Programme is considering Gender issues by looking at all genders and not necessarily focusing on feminism.	Gender is different as it looks at the roles and responsibilities that everyone plays hence everyone will be involved.
2	GBV Response	What happens when sexual abuse occurs In Mandera, the victim first goes to the hospital and then go to report to the gender desk.	Take and Preserve the evidence – Take the abused to the hospital for evidence. Every referral hospital in the counties have survivor centers to assist the abused
		If a GBV case happens and it is handled the right way, can the project still go?	If the case is handled well, the project can still continue.
		GBV Cases within the county being handled by Maslaha (community elders that solve community issues). Maslaha is about protecting the perpetrator and not the abused. The Gender Desk at the county are sensitizing on GBV issues and trying to let the people know about reporting through the right system other than Maslaha.	Maslaha does not solve the GBV Cases. Its not survivor cantered. The right course of actions should be taken.
		Religion and law conflict where religion allows for something that is contrary to the law. e.g Marrying of 15 year old girls is allowed in Somali culture	Less than 18 years old as per the constitution in Kenya are still under age.
		Illiteracy is a challenge and this lowers reporting of GBV cases (people not knowing their rights and the law)  Local chiefs and the community believe in Maslaha hence reporting is very low	Sensitization and creating awareness to be able to prevent GBV cases and ensuring the perpetrators are brought to book through the law.
		What GBV activities are happening at the county level	Gender redress desk at the ministry of Gender at the county commissioner – To get cases on GBV (Is it fully manned) –  Sensitization against the traditional resolutions on GBV activities, the Maslaha  Gender Technical working group made up of many participants There is a gender

			representative in every sub county to address the issues of GBV.
		National legislation on GBV that has adopted at the county level	No policy on GBV that have been adopted at the county level  There is a draft bill to the county government on Gender issues. Its yet to be to be passed by MCAs ( Zainab and Sokerey advocating for the bill)
		Are there any other entities that are working on the GBV issues within Mandera county	NGO dealing with GBV includes Care International, RACIDA, inter peace, women for peace – <b>RACIDA</b> - Coordination of Gender Technical Working Group - Sensitization for community inclusivity - Awareness on GBV in the community - Working in Mandera North and West, Barisa and Lafey sub county <b>Action Against Hunger (AAH)</b> - Doing gender and protection programme, Began in the Month of December 2022 - Working in Barisa and Mandera South <b>HIVA</b> GBV intervention in Mandera East, Banisa and Lafey counties <b>Kenya Redcross</b> Work on GBV and mental health. Mandera East and North
		How the security team will adhere to the issues on GBV	The security team already have a code of conduct that is used by the forces and will ensure it is adhered to
	GBV Response	Is there any information on response on GBV cases	There are a number of cases in court. Attached report from the gender desk.

B	SEXUAL EXPLOITATION ABUSE AND HARRASSMENT ACTION AND RESPONSE PLAN		
	Area of discussion	Issues	Response
1	SEAH Issues	SEAH issues within the county includes Sodomy, rape, defilement (For Minors)	
2	Challenges	If sexual act is not reported is there any problem	SEAH cases do not need to have been reported by the abused . So long as it has happened and is known, it should be solved.
		Is it a crime if the contractors have relationship with the locals	Its not a crime but the project should not be seen to promote such cases.
		Religious challenges of marrying at 15 years especially for the Somali community	The age at which one can be able to give consent is at the age of 18, otherwise it illegal to engage children below 18 years old
	Reporting	In Garissa, in cases of SEAH issues, the victim is first all taken to the hospital then go to report to the police to report. By Amran Ali	Evidence of the act needs to be preserved and protection against health issues hence the cases should first be taken to the hospital.  The process should be more survivor centered.
	Response	Are there support services for GBV within the county	There are 2 Save house for GBV cases in Garissa county Kamuthe (in Fafi subcounty which is fully occupied) and by womankind organization (Supported by government). By Fatuma  There are also supports services such as counselling
		What can be done to prevent SEAH issues for the project	A lot of sensitization will be done to create awareness on SEAH issues Code of conduct will be signed by the contractors.
		Maslaha within the county is used for all cases, however the gender office is discouraging the use of	In GBV, the cases should be dealt in the legal ways and not through Maslaha.

		Maslaha for the rape / SEAH cases. ( Zeitun GBV Officer) .	The Maslaha deals with the offenses in local ways instead of the legal ways by Sarah Kwaboka.
		Establishing of the source of the GBV cases	For the project, one has to establish first if the GBV cases any anything to do with the project. If yes it should be dealt with the right way and if not, it should be solved in the ways of the county.
		If a GBV case happens within the project, has WB allocated some funds to deal with these issues  If GBV has happened within an area and it is not a WB project will WB assist in addressing the issue by Amran	There is no money for the victims, only for the facilitation of the pathway for reporting and response  Referrals will be done so that the issue is solved.
		What Garissa is doing on SEAH issues	- There is referral system in place in Garissa that uis been used by gender department, the hospital and RCK - Needs to check if it meets the WB requirements. - Gender Policy by the county - Yusuf Action Aid -Gender technical working group - by state and non state actors eg. NGO
		NGOS working on GBV in the county	Care International, Womenkind organization, Refuge consortium of Kenya (RCK), Action Aid, Muhuri, WOHED( Women health and education).
		National legislation on GBV in the county	Gender policy is in place and has been ratified by the county

## Participants in SEAH consultation

