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## **ANNUAL RURAL HARMONISED REPORT**

**FY 2015/2016**



**Borehole drilling for Bokawan CBO project-MTAP 11, Tana River County**

**AUGUST, 2016**

## **PREFACE**

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The Annual Rural Harmonized Report provides an overview of the WSTF Performance for the year 2015/2016 in implementation of the Joint 6 Programme (J6P) financed by the Governments of Sweden, Finland and Kenya; The Medium Term II ASAL Programme (MTAP II) supported by Government of Denmark and EU share; and the IFAD - Upper Tana Natural Resources Management Programme funded by Government of Kenya, International Fund for Agricultural Development (IFAD) and Spanish Trust Fund. The report intends to inform the Development Partners, WSTF Board of Trustees, Ministry of Water and Irrigation and other stakeholders on the implementation progress made in the Rural and Water Resources Investment Programmes.

These programmes contribute to WSTF's Sector mandate and its obligations within the Strategic Plan 2014-2017 in financing the provision of water and sanitation services to areas without adequate access to these services in Kenya.

The report has been compiled from various programme and project reports received from County Resident Monitors, implementing partners, project field visits, consultancies and peer evaluations, as well as WSTF's own financial and audit reports accumulated during period.

The report is organized into the following chapters:

**Preface;** which gives a brief prelude on the operating environment as well as the structure of the report

**Chapter 1: General narrative on key achievements;** This section summarizes the key achievements of the Rural and Water Resources Investment Programmes during the reporting period in terms of outcomes and impacts delivered against the Funds' strategic plan and the stated objectives and goals as per the current funding agreements.

**Chapter 2: Programmes Budgets implementation progress;** This section describes progress at the main activity level against the specific investment's work plans, highlighting actual progress and areas that are behind schedule. Main reasons for divergence from the initial planning are explained for each of the investment areas.

**Chapter 3: Programmes Work plan implementation progress;** This section provides a brief summary of progress at the main activity level against the project/ programme work plan, highlighting areas that are well behind schedule and explaining the reasons for variations from initial planning.

**Chapter 4: Challenges affecting performance and adoptive measures;** This is based on the analysis of the progress made towards objectives of the programmes, this chapter presents a summary of challenges under each programme and the corresponding adaptive measures.

**Chapter 5: WSTF audit and risk management;** The chapter presents the WSTF internal control and risk management measures, the internal and external audit undertaken during the reporting period while analysing the questioned costs for previous audits and the trend of the same.

**Chapter 6: Monitoring and evaluation;** The chapter enumerates the main approaches used in monitoring and evaluation of the Funds programmes and projects; gives a highlight on some of the key achievements in support of the institutional monitoring function; summarises the key results by the investments including the output, outcome and impacts realized. Detailed achievements against the 2015/2016 targets for each result area are presented in the result framework annex.

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## ACRONYMS

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BOT	:	Board of Trustees
BP	:	Bridging Program
CBOs	:	Community Based Organizations
CRM	:	County Resident Monitor
CWG	:	County Working Group
CFA	:	Community Forest Associations
DP	:	Development Partner
DASAL	:	Department of Arid and Semi-Arid Lands
EU	:	European Union
FAS	:	Fund Accountability Statement
GOF	:	Government of Finland
GOS	:	Government of Sweden
GOK	:	Government of Kenya
IFAD	:	International Fund for Agricultural Development
KEWI	:	Kenya Water Institute
KWSP	:	Kenya Water and Sanitation Program
MOU	:	Memorandum of Understanding
MTAP	:	Medium Term ASAL Programme
MWI	:	Ministry of Water and Irrigation
OAG	:	Office of Auditor General
OBA	:	Output Based Aid
PFMP	:	Participatory Forest Management Plans
RFA	:	Results Framework
UNICEF	:	United Nations Children’s Fund
UTaNRMP	:	Upper Tana Natural Resource Management Programme
WASH	:	Water and Sanitation for Hygiene
WDC	:	Water Resources Users Association Development Cycle
WRMA	:	Water Resources Management Authority
WRUAs	:	Water Resources Users’ Associations
WSB	:	Water Services Board

## 1. GENERAL NARRATIVE ON KEY ACHIEVEMENTS

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WSTF commenced its operations in March 2005 and has realized tremendous growth on all performance monitoring indicators ranging from investments, resources mobilized, partnerships as well as the human resources capacity. The growth has provided an ideal environment for institutionalization of lessons learnt and best practices as well as in the review of programming and financing procedures and systems.

This annual report gives an overview of the achievements of Rural and Water Resources Investment Programmes for the financial year 2015-2016. The report is prepared for the purpose of informing the Fund's Development Partners, Ministry of Water and Irrigation, WSTF Management and Board of Trustees on progress made as at the end of the fourth quarter of 2015/2016 financial year.

The section describes the key achievements during the reporting period in terms of outcomes and impacts delivered against the Funds strategic plan, its objectives and goals as per the current agreements made between the Fund and the Development Partners.

### 1.1 Resource Mobilization

#### 1.1.1 To mobilize resources to finance WSTF programmes

The fund targeted to mobilize **Ksh. 2,517,000,000** in the financial year 2015/2016. Within the financial year the fund managed to mobilize a total of **Ksh. 2,224,931,233** amounting to 88.4% of the target. Table 1.0 outlines the details of the resources mobilized in the year from ongoing partnerships.

Table 1.0: Funding by various development partners for 2015/2016

	Development Partner	Target (Ksh.)	Achievement (Ksh.)
1.	Government of Kenya	427,000,000	406,750,000
2.	Government of Finland	327,650,000	0
3.	Government of Sweden	180,000,000	0
4.	KfW III	490,350,000	222,294,949
5.	DANIDA	285,000,000	135,000,000
6.	World Bank	406,000,000	100,789,510
7.	Bill & Melinda Gates Foundation	300,000,000	0
8.	IFAD	101,000,000	64,696,774
9.	EU-Rural	0	190,000,000
10.	Danida Green Growth	0	1,100,000,000
11.	Saudi/GoK	0	600,000
12.	CTCN	0	300,000
13.	Vitens	0	500,000
14.	WSUP	0	4,000,000
<b>Total</b>		<b>2,517,000,000</b>	<b>2,224,931,233</b>

An approval has been granted for the European Union EDF facility to be implemented in eight (8) ASAL counties through Water Services Trust Fund. This is awaiting signing of bilateral agreements expected in the

financial year 2016-2017. The support is for Ksh. 2.1 Billion with SNV directly identified to provide technical support in Public Private Partnerships for management of water schemes.

Several meetings were held with the Ministry of Water and Irrigation and the National Treasury to discuss budget allocation for counterpart, development and recurrent funds. It is also important to note a meeting was held with the Cabinet Secretary, WSTF and the Partners to discuss pertinent partnership and funding issues.

### ***1.1.2 To establish and nurture partnership with stakeholders***

The rural and urban steering meetings were held with all the Partners represented. The discussions continue to feed into the improvement of WSTF's reporting and programme implementation.

Several bilateral and multilateral meetings were held with existing and potential Partners. Key among them were the courtesy visits to WSTF by the Danish and Finnish new Ambassadors, Kenyan Ambassador to Iran and Saudi Arabia Fund for Development. Other Partnership meetings were held during the year to discuss progress of the various programmes namely; Finland /Sweden joint 6 programme, IFAD/UTaNRMP programme and Danida/EU MTAP programme.

In a bid to improve the resource capacity of WSTF received substantive support of 6 vehicles and office furniture from Danida, handed over from the DASAL unit at the end of the MTAP programme. Danida Finance team conducted a systems appraisal of WSTF and conducted a field visit to MTAP 11 projects in Isiolo.

WSTF continued to meet with potential Partners and to consult and collaborate with other sector institutions including WRMA, WASREB, KEWI, and Water Services Providers among others.

## **1.2 MTAP II Programme**

The objective of the Danida NRM Programme is to contribute to reduced poverty in the context of Kenya's Vision 2030, safeguarding the state of the environment and promoting sustainable management of natural resources. The immediate objective is to contribute towards the empowerment, increased resilience and food security of ASAL citizens in drought-prone and marginalized counties of Garissa, Isiolo, Lamu, Marsabit, Tana River and Wajir.

The support also came through EU SHARE (Supporting Horn of Africa Resilience) initiative. EU SHARE aims at improving the transition from emergency assistance to sustainable development. It was geared towards strengthening food security and to building disaster resilience in the region.

### **Support under EU-Share**

#### **a) Programme Progress**

The programme had a target of financing 30 community water projects expected to reach 181,998 people and 60 sanitation projects. A total of 32 water supply and 84 sanitation projects were financed. However, implementation was delayed and only 9 water projects and 39 sanitation projects were reported as complete as at 30<sup>th</sup> June, 2016. This translates to 82,290 people having access to clean and reliable water and 2,950 people having access to sanitation facilities.

Table 2.0 provides a list of the project completed by the end of this reporting period. In addition, 56,300 livestock are benefitting from 4 projects as follows: - Dhida- 21,000 animals, Aghi- 22,500 animals, Kawalash-7800 animals and Tuale -5000 animals.

**Table 2.0: Completed water projects with population served under MTAP II**

COMPLETED WATER PROJECTS UNDER DANIDA-EU SHARE SUPPORT					
No.	Project Name	CBO Name	Location	County	Pop. served
1	Tuale Community water and sanitation	Pastoral women Empowerment Program	Longopito	Isiolo	4700
2	Kawalash community watsan	Lifada Naitubulu community based organisation	Kipsing	Isiolo	4700
3	Katsangani/Hurara Community Watsan	Mapato community based organisation	Areri	Tana river	10200
4	Aghi Community watsan	Aghi community water services	Madogo	Tana river	1980
5	Dhidha community watsan	Dhidha community water service	Madogo	Tana river	5285
6	Sotowesa Community Watsan	Pastoralist action for development	Bubisa	Marsabit	3800
7	Balich community water	Midnimo Balich Wildlife Youth Group	Balich	Garissa	4200
8	Ijara watsan project	Ijara Community Based Environmental Group	Ijara	Garissa	37490
9	Kotile watsan project	Kotile Water Users group	Kotile	Garissa	9935
<b>Total</b>					<b>82,290</b>

**b) Reduced distances in accessing water,**

- 1) For Tuale CBO in Isiolo County, communities had been walking for upto 15 km to the nearest water source before intervention, but this distance has been reduced to 1-2km.
- 2) The communities benefitting from the efforts of Hurara/Katsangani CBO in Tana River County used to trek for 7km to the nearest water source before intervention, but this distance has been reduced to 200m at the water kiosks.
- 3) For Balich CBO in Garissa County, the distance to access water has been reduced from 8km to 500m after intervention.

**c) Reduced open defecation through sanitation projects**

The 84 sanitation projects comprising of 287 sanitation units have been constructed in 81 schools and 187 community areas (around water points). The completed facilities, this has contributed to safe management and disposal of human waste within the settlements as well reduction of open defecation.

**d) Increased availability of water all around the year**

- 1) 17 water pans were constructed under the MTAP2 programme and these facilities will support existing schemes to enable complementarities with livestock production;
- 2) 15 pipe water schemes have been supported through pipeline extensions and upgrading to increase access to water through community water points such as kiosks and stand pipes and livestock watering points.
- 3) 21 rain water harvesting facilities were supported under the programme;

**e) Reduced cost of buying water**

The completed water projects have managed to provide cheap, portable, clean and safe water close to the communities. The reduced price and time saved while fetching water will enable them to engage better in economic activities and improve their livelihoods.

**f) Strengthening Communities Capacities**

The 32 CBOs supported under MTAP 11 programme received training on financial, procurement and project



management aimed at increasing their capacity to implement the water and sanitation projects. This has been followed with support to Operation and Maintenance trainings to strengthen the CBOs and beneficiary communities' capacity to operate and maintain the water schemes and sanitation facilities.

### **Support under DANIDA**

The programme supports Water Resources Users Associations (WRUAs) in partnership with WRMA to manage their water resources within sub catchments. To achieve this, WSTF works hand in hand with WRMA to ensure that WRUA proposals are prepared and screened before financing by WSTF. The programme targeted to finance 36 WRUA projects in the MTAP programme areas. A total of 35 WRUA projects were supported in the 6 counties. 27 WRUAs completed their planned activities under this programme and are in the process of preparing their respective project completion reports. 8 WRUAs did not manage to receive their second tranche of funds due to delay in completion of the first tranche activities.

The objective of this programme was to:

- 1) Improve natural resources management (rangeland, water);
- 2) Promote alternative livelihoods and value chains (gums, resins, honey, fisheries, fodder);
- 3) Promote sustainable agricultural techniques (drip irrigation, greenhouses);

12 new Sub Catchment Management Plans (SCMP) were developed under this programme. Capacity building on financial management and procurement of all WRUAs under the programme was conducted. This enhanced the implementation of the planned activities by the respective communities. 23 WRUAs implemented their SCMP activities with 15 of them successfully completing them.

### **1.3 IFAD-UTaNRMP Programme**

The programme aims at supporting the implementation of Sub-Catchment Management Plans (SCMPs) by designated Water Resource Users Associations (WRUAs) and Participatory Forest Management Plans (PFMPs) by designated Community Forest Associations (CFAs), all aimed at the sustainable management of the natural resources (including water) of the Upper Tana Catchment, within the larger Tana Water Catchment. The programme covers six (6) counties of Embu, Kirinyaga, Meru, Murang'a, Nyeri and Tharaka Nithi.

The programme targeted to finance 28 CFA and WRUA projects. However, the Project Review Committee (PRC) appraised the 46 submitted proposals (25 CFAs & 21 WRUAs). Upon completion of the process, the Committee recommended 16 WRUAs and 18 CFAs for funding support.

The successful WRUAs & CFAs were trained on Financial Management and Procurement skills in the month of March, 2016 before the disbursement of funds and commencement of implementation of the planned activities by the respective CFAs and WRUAs.

### **1.4 JOINT 6 Programme-GOS/GOF**

The main objective of the Joint 6(GOS/GOF) Programme is to support to equitable access to quality water, basic sanitation and enhanced water resources management in rural Kenya. The target counties are Kwale, Tharaka Nithi, Migori, Nandi, Narok and Laikipia.

Through this programme, the target result areas are;

- 1) Counties capacity support,
- 2) Improved management of water resources,
- 3) Sustainable access to water services,
- 4) Improved sanitation services,
- 5) WSTF institutional capacity strengthening

#### **a) County Capacity Support**

The programme launch and signing of 6 MOUs with the County Governments led to a series of county engagement activities which included building consensus on programme objectives, aligning with county priorities, establishment of county working groups, county baseline activities and selection of sub-grantees. The programme supported the development of county water prototype bill- issues paper and engaged with the CECs for discussion and promote its use to inform the County Water bills.

#### **b) Improved management of water resources**

This result area was initiated through a baseline process conducted in the 6 counties to identified the county water resource needs and mapped eligible WRUAs for support under the programme. 10 WRUA projects were identified for financing by the end of the financial year to address various water resource management activities including review of SCAMPs and implementation of SCAMP activities.

#### **c) Sustainable access to water services**

Sustainable access to water services can only be achieved through identification of sustainable water service providers. The county baselines provided areas of prioritization and selection of eligible water utilities. 24 Water utilities were identified across the 6 counties through which 31 water projects were financed.

#### **d) Improved sanitation services**

Improving access to sanitation services is being addressed at the household and institutional level. In this period, financing was provided to 25 institutional sanitation projects being implemented by the Water Utilities identified in the county baselines. The outcomes are expected to be achieved in the FY 2016-2017.

#### **e) WSTF institutional capacity strengthening**

This has been achieved largely through revision of programme document, preparation and approval of 6 months' work plan, implementation of Project Management Information Systems, Results Based framework, engagement and training of County Resident Monitors and review of programme tools.

## 2. PROGRAMME BUDGETS IMPLEMENTATION PROGRESS

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This section provides a brief synopsis of progress at the main activity level against the specific investment's budgets, highlighting actual progress and areas that are behind schedule. Main reasons for divergence from the initial planning are explained for each of the investment areas.

### 2.1 Contribution by Partners

The following partners were financing activities in the Rural Investments during the period under review:

- 1) Government of Kenya
- 2) Government of Sweden
- 3) Government of Finland
- 4) European Union supporting rural programme under MTAP phase II.
- 5) Government of Denmark, through Ministry of Devolution & Planning-DASAL/MTAP secretariat.
- 6) Upper Tana Natural Resources Management Programme (UTaNRMP / IFAD)

#### 2.1.1 Government of Kenya

The Government of Kenya (GoK) has been financing WSTF by covering most of the recurrent cost and the counterpart funding. As per signed MoU's, Rural Investments programmes are expected to receive counterpart funding as follows:

- 25 % counterpart funding for Government of Sweden Support
- 25 % as counterpart funding for Government of Finland support

During the 4<sup>th</sup> quarter under review, WSTF received a total of Ksh. 82.2 million (GoK Counterpart under GoK/GoF and GoK/GoS programme). Table 3.0 below shows the current status of GoK financing to the various financing streams in the Fund.

Table 3.0: GOK progress contribution to various financing streams FY 2015/2016

FINANCING STREAM	EXPECTED GOK CONTRIBUTION (KSHS.M)	CONTRIBUTION TO DATE (KSHS.M)	VARIANCE (KSHS.M)
Government of Finland	47.2	42.2	- 5.0
Government of Sweden	45.0	40.0	- 5.0
<b>Total</b>	<b>92.2</b>	<b>82.2</b>	<b>- 10.0</b>

#### *Explanation on variance*

There was delay in disbursement of GoK counterpart funds for GoS and GoF by the Ministry of water and Irrigation contrary to the expectation of the Fund. The funds were disbursed on 1<sup>st</sup> July, 2016 and consequently, credited to the appropriate accounts on 6<sup>th</sup> July, 2016. There was a variance of Kshs. 5 million for each of the 2 programmes. The balance GoK counterpart funds are expected to be disbursed to the programme in the first quarter of 2016-2017.

### **2.1.2 Government of Sweden**

The Government of Sweden has been supporting the Fund since its inception, through the KWSP and SIDA bridging programme support. Currently, the support is being provided with Joint financing from Finland under the Joint 6 Programme.

During the reporting period, the Fund received financial support from the Government of Sweden and Government of Kenya for the Joint 6 Programme “support of equitable access to quality water, basic sanitation and enhanced water resources management in the underserved rural communities” in 6 Counties namely; Kwale, Laikipia, Migori, Nandi, Narok and Tharaka-Nithi. This financing stream is supporting the Water Resources and Rural Investment Programmes for the period 2015-2019. This is a joint financing programme for the Fund. In line with the signed financing agreement, the Government of Kenya through counterpart funding has committed to disburse Kshs. 45 million per financial year. A total of Kshs. 40 million was received as GoK counterpart with the balance of 5 million expected in the 1<sup>st</sup> quarter of 2016-2017. The total funds received to date from the GoS is Kshs. 169,456,509.55.

The fund balance for the GoS programme as at the end of the 4<sup>th</sup> quarter was Kshs. 48,904, 225.53

### **2.1.3 Government of Finland**

The Government of Finland has been supporting WSTF Fund since 2010. The Water Services Trust Fund has received financial support from the Government of Finland and Government of Kenya for the Joint 6 Programme “support of equitable access to quality water, basic sanitation and enhanced water resources management in the underserved rural communities” in 6 Counties as stated above. The funding supports the Water Resources and Rural Investment programmes in a 4 year programme period (2015-2019). This is a joint financing programme with the Government of Sweden with a total Kshs. 204,751,600 having been received from the GoF to date.

During the reporting period, WSTF received Kshs. 42.2 million as GoF/GoK counterpart funds leaving Kshs. 5 million balance scheduled for disbursement in quarter 1 of 2016/2017.

The fund balance at the end of the 4<sup>th</sup> quarter was Kshs.56, 628,667.99

### **2.1.4 European Union-MTAP phase II**

The Water Services Trust Fund, under MoU with Ministry of Devolution and Planning has been supporting Water supply activities in 6 counties of Garissa, Isiolo, Lamu, Marsabit, Tana River and Wajir. A total of Kshs. 330 million had been received as at 30<sup>th</sup> June, 2016 from EU-Share. A total Kshs. 316,998,212 was spent as at 30<sup>th</sup> June, 2016 leaving a balance of 13,185,741. The Kshs. 13,185,741 was already committed as at end of June, 2016. The commitment was as follows;

- 1) A total of Kshs. 5,742,000 disbursed to Management of Nature Action CBO for the implementation of Huka Adhi water project could not be credited to their accounts due to an error in the accounts details. The Funds were re-sent on 8<sup>th</sup> July, 2016.
- 2) Kshs. 5,773,200 disbursed to Kone CBO on 15<sup>th</sup> July, 2016 for the implementation of Kone Water supply project.
- 3) Kshs. 4,984,338 already committed for disbursement to Dertu Community water projects as part of 2<sup>nd</sup> disbursement.

### **2.1.5 Government of Denmark-MTAP 11**

The Water Services Trust Fund, under MoU with Ministry of Devolution and Planning has supported water resources activities in 6 counties of Garissa, Isiolo, Lamu, Marsabit, Tana River and Wajir. During the quarter under review, a total of Kshs. 250 million had been received as at 30<sup>th</sup> June, 2016 from DANIDA. A total Kshs. 140,152,013 was spent as at 30<sup>th</sup> June, 2016 leaving a balance of 109,847,987 exclusive of interest earned.

### **2.1.6 Upper Tana Natural Resources Management Programme (UTaNRMP / IFAD)**

The IFAD - Upper Tana Natural Resources Management is an eight year project (2012-2020) funded by Government of Kenya, International Fund for Agricultural Development (IFAD), Spanish Trust Fund and Local communities. The goal of the project is to “contribute to reduction of rural poverty in the Upper Tana river catchment”. This goal is pursued via two development objectives which reflect the poverty-environment nexus namely (i) increased sustainable food production and incomes for poor rural households living in the project area; and (ii) sustainable management of natural resources for provision of environmental services.

The project area is the Upper Tana catchment which covers an area of 17,420 km<sup>2</sup> and includes 24 river basins and the tributaries of the five rivers formerly under MKEPP that drain into the Tana River and within Murang’a, Kirinyaga, Nyeri, Embu, Meru, and Tharaka Nithi Counties.

The Programme funding is Kshs. 600 Million with the objective of financing WRUAs & CFAs in the six counties. The annual target for 2015-2016 was to finance 28 WRUA/CFA projects. To date, a total of Kshs. 69,526,774 has been received (Kshs. 4,830,000 and Kshs. 64,696,774 as first and second disbursement respectively) for financing 34 projects including 16 WRUA contracts and 18 CFA contracts. From the first disbursement, Kshs. 1,272,950 was spent in the fiscal year 2014/2015 leaving a balance of Kshs. 3,557,050. As at 30<sup>th</sup> June, 2016 Kshs. 64,071,395.80 was spent in the fiscal year 2015/2016 leaving a balance of Kshs. 4,182,428.20.

## **2.2 Summary of Programmes Funds Flow**

As at the start of the FY 2015/2016 the portfolio of the Rural and Water Resources Investments programme comprises of Government of Sweden, Government of Finland, Government of Denmark, European Union, IFAD and Government of Kenya counterpart.

The fund flow, as per the funding agreements, is presented in table 4.0. Total receipts including donor disbursements and interests on income was Kshs. 409,340,281.71 as compared to Kshs. 735,271,518 disbursement for 2014/2015. Total expenditure was Kshs. 801,949,356.3 including Kshs. 12,923,661 which was refunded to the embassy of Denmark after successful completion of MTAP Phase I programme.

Table 4.0: Total fund flow in the Rural and Water Resources Programme

TOTAL FUND FLOW IN THE RURAL AND WATER RESOURCES PROGRAMMES							
FY 2015/2016							
RECEIVED FUNDS – KSHS							
Funding Partner	BAL C/D Q2 2015/16	RECEIPTS 2015/16 FY					
	Opening Balance	FY 2015/2016 BUDGET	QTR1	QTR2	QTR3	QTR4	Total Funds
	1st July 2015		(Receipts)	(Receipts)	(Receipts)	(Receipts)	
MTAP I	12,923,660.7	0.0	0.0	0.0	0.0	0.0	12,923,660.7
MTAP II – Danida	117,291,528.6	250,000,000.0	4,603,891.5	1,311,652.0	136,439,992.4	757,892.8	260,404,957.2
MTAP II – EU share	140,000,000.0	330,000,000.0	0.0	71,260.5	69,977.1	190,042,715.7	330,183,953.2
IFAD	3,557,050.0	64,696,774.0	0.0	0.0	64,696,774.0	0.0	68,253,824.0
J6P - SWEDEN	174,183,080.1	327,650,000.0	3,277,641.0	3,122,989.0	2,718,896.7	2,226,599.1	185,529,206.0
J6P - FINLAND	189,683,965.0	180,000,000.0	0.0	0.0	0.0	0.0	189,683,965.0
J6P - GoK Counterpart	0.0	47,376,670.0	0.0	0.0	0.0	0.0	0.0
J6P - GoK Counterpart	0.0	45,250,000.0	0.0	0.0	0.0	0.0	0.0
<b>Total receipts for 2015/16 FY</b>	<b>624,715,623.7</b>	<b>1,244,973,444.0</b>	<b>7,881,532.5</b>	<b>4,505,901.5</b>	<b>203,925,640.1</b>	<b>193,027,207.6</b>	<b>1,034,055,905.4</b>
EXPENSED FUNDS – KSHS							
Funding Partner	FY 2015/2016 BUDGET	EXPENSES FY2015/16				Total Expenditure 2015/2016	
		QTR 1	QTR 2	QTR 3	QTR 4		Remarks
MTAP I				12,923,661.0		12,923,661.0	<i>Refund to Danida</i>
MTAP II – Danida	250,000,000.0	50,818,879.2	47,940,903.4	24,400,012.0	15,122,599.5	138,282,394.1	
MTAP II – EU share	330,000,000.0	2,975,970.0	136,590,251.0	39,569,507.3	137,852,483.8	316,988,212.1	
IFAD	64,696,774.0	0.0	656,000.0	54,081,118.2	9,337,277.6	64,074,395.8	
J6P - SWEDEN	327,650,000.0	3,955,331.0	17,462,030.2	13,502,655.3	101,704,963.9	136,624,980.4	
J6P - FINLAND	180,000,000.0	5,316,839.0	526,473.0	3,720.6	127,208,680.3	133,055,712.8	
J6P - GoK Counterpart (Finland)	47,376,670.0	0.0	0.0	0.0	0.0	0.0	
J6P - GoK Counterpart (Sweden)	45,250,000.0	0.0	0.0	0.0	0.0	0.0	
<b>Total Expenses</b>	<b>1,244,973,444.0</b>	<b>63,067,019.2</b>	<b>203,175,657.6</b>	<b>144,480,674.4</b>	<b>391,226,005.1</b>	<b>801,949,356.3</b>	

### **3. PROGRAMME WORK PLAN IMPLEMENTATION PROGRESS**

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#### **3.1 Government of Finland and Sweden Joint six (6) programme progress**

The main objective of the GOF/GOS programme is to support equitable access to quality water, basic sanitation and enhanced water resources management in rural Kenya. The target counties are Kwale, Tharaka Nithi, Migori, Nandi, Narok and Laikipia. The following section and table 5.0 provides a cumulative overview of J6 Programme activities

##### ***Outcome 1: Counties provide strategic guidance on pro-poor water services investments***

The first year was designated as the planning year and most activities implemented in the year were under this component. Activity implementation in this Outcome area has seen considerable success with the following milestones attained: MOU signing with selected counties; Identification/setting up County Working Groups; Development of GESI guidelines; Baseline survey; Development of county legislative framework (prototype County Water Bill), County consensus building, CRMs induction, CRMs operation's monitoring training etc.

##### ***Outcome 2: Equitable access to water in catchment areas of focus***

Activity implementation in this outcome area took some time due to the fact that the J6P integrated approach determined WU selection as the "point of entry" and the space and scope of other components such as WRM could only be determined after the implementing WUs are selected. The successful identification of WUs paved way for determination of linkages between Water Resources Users Associations (WRUAs) and the identified WUs with the main aim of conserving the water sources and catchment areas. The criteria used was status of the watershed and population served by the identified WU which has direct linkage with the WRUA. The improvement of water services has direct bearing with the source of water (Quantity and Quality). The identified WRUAs developed proposals and 10 projects were financed in the financial year 2015/2016.

The other activities under this component concluded in the planning year were revision of WSTF/ WRMA MoU, revision of appraisal and monitoring tools, revision of WDC Manual.

##### ***Outcome 3: Rural safe water coverage in target locations within counties improved***

The activities implemented under this component focused on systems and tools development in preparation for investment proposal preparation and financing. Key achievements under this component included: review of the community project cycle (CPC) to the "WU investment model", WU screening criteria and performance assessment was developed and applied to the identified implementing WUs in project counties; capacity building trainings have been done to enable the WUs develop water supply proposals and development of viable water projects proposals.

A few planned activities could not be concluded in this first year. These include procurement and financial training, induction of service agents and financing of water utilities that had not completed their registration process which will be achieved in the year 2016-2017.

##### ***Outcome 4: Rural sanitation coverage in target locations within counties improved***

The project cycle concept envisages implementing sanitation activities through WUs who will get close support from county public health departments. The focus was on building the linkages through the county

engagement process. The sanitation proposal template has also been developed and the WUs have been trained on use of the sanitation proposal template. Financing of development of institutional sanitation facilities was done through the Water utilities. Regarding household sanitation, public health departments at National and County level have been engaged to provide direction on implementation of sanitation activities within the sector road maps. CLTS is one key approach to rural sanitation and will be adopted within the sanitation programme along with the construction of institutional sanitation facilities. Development of TOR for resource support for CLTS training of County Public Health, Water Utilities and WSTF Staff has been done to build awareness on CLTS approaches. Implementation of CLTS planned for the year 2016-20217.

***Outcome 5: WSTF able to undertake its mandate through strengthened institutional capacity***

Activity implementation under this component saw much traction mainly because the year being a planning year, the programme team focused more on systems and tools development. The implementation success rate was high. Some activities successfully concluded were; revision of programme document; preparation of 6 months’ work plan and budget; development of the results based M&E framework; development and roll-out of the PMIS; recruitment of CRMs; review of the programme tools-appraisal and reporting.

Table 5.0: Key achievements in the planning year are summarised in the following table:

<b>OUTCOME</b>	<b>TARGET</b>	<b>ACHIEVEMENTS</b>	<b>VARIANCE</b>
<p><b><i>Outcome 1: Support to the Counties:</i></b></p> <p>County capacitated in fulfilling their constitutional responsibilities in establishment of an enabling environment for the provision and monitoring of water resources management, water supply and sanitation services</p>	<ol style="list-style-type: none"> <li>1) Target County selection</li> <li>2) Signing of MOU</li> <li>3) Formation of county working group</li> <li>4) Development of pro-poor &amp; GESI guidelines &amp; operating tools</li> <li>5) Baseline survey</li> <li>6) County legislation &amp; development of prototype county water bill</li> <li>7) Capacity building</li> <li>8) Water point mapping</li> </ol>	<ol style="list-style-type: none"> <li>1) 6 counties selected through approved criteria</li> <li>2) MOU Formulated and signed.</li> <li>3) Working Groups representing key stakeholders established</li> <li>4) GESI Guidelines drafted to support mainstreaming of gender and the vulnerable groups.</li> <li>5) Baseline surveys undertaken matching the requirements of the Counties, Identifying the most viable water utilities (WU) and WRUAs within the vicinity of the viable WUs.</li> <li>6) Developed and shared prototype county rural water and sanitation services Bill with the Counties</li> <li>7) Capacity building on proposal development was conducted for both the Counties and Water Utilities as well as WRUAs</li> <li>8) Not Undertaken</li> </ol>	<p>Need to finalize the GESI guidelines and share with partners for adoption</p> <p>Water point mapping—delayed to ensure a demand driven and self-sustaining mapping exercise</p>
<p><b><i>Outcome 2: Improved Management of</i></b></p>	<ol style="list-style-type: none"> <li>1) WRM systems establishment, CWGs &amp;</li> </ol>	<ol style="list-style-type: none"> <li>1) WRUAs to be supported were identified in all the 6 target</li> </ol>	



<b>OUTCOME</b>	<b>TARGET</b>	<b>ACHIEVEMENTS</b>	<b>VARIANCE</b>
<p><b>Water Resources:</b></p> <p>WRM initiatives protecting water resources and ensuring equitable and sustainable access to water thereby reducing water related conflicts and environmental degradation</p>	<p>means of services delivery</p> <p>2) Situation assessment</p> <p>3) Capacity building of WRUAs</p> <p>4) WRUA call for proposals &amp; piloting</p> <p>5) WRUA operational capacity &amp; sustainability</p>	<p>counties at least 3 which were linked to WUs.</p> <p>2) Baseline survey conducted.</p> <p>3) Capacity building on proposal development was conducted for the Counties and Water Utilities as well as WRUAs.</p> <p>4) 15 water resources proposals were developed and 10 WRUA projects were funded.</p> <p>5) WRUA operational capacity &amp; sustainability not undertaken</p>	<p>WRUA operational capacity &amp; sustainability to be conducted in 1<sup>st</sup> quarter of 2016/2017 FY.</p>
<p><b>Outcome 3: Sustainable Access to Water Services:</b></p> <p>Water supply investments ensure improved sustainable and equitable access to water services.</p>	<p>1) Proposal development and appraisals</p> <p>2) Selection of service agents (SAs).</p> <p>3) STTA recruitment</p> <p>4) Roll out: project cycles</p> <p>5) Case studies-household impacts</p> <p>6) Long term monitoring framework for Value for money monitoring</p> <p>7) Release of 1<sup>st</sup> disbursement</p> <p>8) Capacity building of WUs &amp; SAs,</p>	<p>1) 31 Water projects proposals were developed within the 6 target counties and funded under the J6P,</p> <p>2) Service Agents selected, and trained</p> <p>3) STTAs recruited,</p> <p>4) Water Utility was identified to be the entry point for implementation of water projects.</p> <p>5) Covered under the Baseline survey</p> <p>6) Conducting through CRMs in each of the six counties</p> <p>7) The first disbursement was released in the month of June</p> <p>8) Capacity building on proposal development was conducted for both the Counties and Water Utilities as well as WRUAs</p>	<p>Capacity building of WUs &amp; SAs was scheduled for the first quarter of 2016/2017 financial year.</p>
<p><b>Outcome 4: Improved Sanitation Services Access:</b></p> <p>Sanitation investments ensure improved sustainable and</p>	<p>1) Awareness creation on hygiene &amp; sanitation</p> <p>2) Sanitation SAs identified/capacity building of SAs</p> <p>3) Proposal development and appraisals,</p>	<p>1) Awareness creation not done</p> <p>2) Service Agents selected</p> <p>3) 25 Project proposals on institutional sanitation projects</p>	<p>Awareness creation to be conducted during implementation</p>

<b>OUTCOME</b>	<b>TARGET</b>	<b>ACHIEVEMENTS</b>	<b>VARIANCE</b>
equitable access to sanitation	4) Project monitoring  5) Engagement of Ministry of public health	were developed and funded under the programme.  4) Monitoring to be undertaken during implementation.  5) Public health officers are members of the county working group	Project monitoring to be conducted during the implementation.
<b>Outcome 5: Water Services Trust Fund's capacity:</b>  WSTF able to undertake its mandate through strengthened institutional capacity	1) Development of the results based M&E framework  2) Development and roll-out of the PMIS  3) Recruitment of CRMs  4) Capacity building of WSTF staff  5) Review of the tools.  6) Quarterly & annual reporting  7) Conduct annual harmonised audits  8) Project monitoring activities	1) M&E Framework developed including harmonization and alignment of functions & shared with key partners.  2) Under PMIS roll out has been done and data entry is on-going.  3) 27 CRMs engaged with the six J6P Counties covered to enhance support linkages, cooperation and coordination of joint activities.  4) All County Resident Monitors were inducted in August 2015, Operations training conducted in June 2016.  5) Appraisal and monitoring tools reviewed,  6) Quarterly and annual reporting done  7) Not done yet	Annual harmonised audits to be conducted in the subsequent year  Project monitoring activities to be conducted during the implementation of activities

### 3.2 European Union supporting Rural Water programme under MTAP II

Under the MTAP2, activity investment in Water and sanitation services support through CBOs, 32 projects were approved for funding at a total cost of **Kshs. 303, 967,825**. As at 30th June, 2016, **Kshs.287,468,287** had been disbursed to the 32 projects with a target population of 181,998 people. During the reporting period, 9 projects were reported to have been completed. 29 CBO projects out of the 32 received their 2<sup>nd</sup> disbursements in the 4th quarter to meet their full contractual obligations.

The detailed implementation status of these projects is provided in Annex 2 of this report.

#### Capacity Building of CBOs on O&M and sustainability

Capacity building on operation maintenance and project sustainability was done successfully for 9 CBO projects within the 4th quarter. The participants included CBO management committee, area administrators, youth, & women representatives, opinion leaders, water operators & community members benefitted from training. They now have in depth understanding of environmental health, hygiene and sanitation issues; basic

financial management, water production, treatment, distribution, pricing and revenue collection; Resource use conflict and conflict resolution management; Repair & maintenance of the water supply system and sustainability of the project as well as manage their own water and sanitation facilities in an effective and sustainable manner

### **Project monitoring**

The CRMs continued to support the CBOs and WRUAs through close monitoring of ongoing activities. They also supported in ensuring that the CBOs with completed projects have submitted their final completion reports and after the sub county water officers have inspected the completed facilities. WSTF Staff conducted project monitoring to all the CBO projects to access status of implementation and followup on proper accounting of 1<sup>st</sup> disbursements.

### **Project branding**

The CBOs continued to brand the completed facilities using the branding templates and sign posts shared by the Fund. This will enhance the Funds and Partners visibility and presence in all the projects sites.

## **3.3 Water Resources funded by Government of Denmark-DANIDA**

This support was realized through the Ministry of Devolution DASAL-MTAP secretariat. In the fourth quarter, 5 no WRUAs funded under MTAP 2 received the second disbursement of Kshs. 10,458,990 from WSTF. A further Kshs. 1,568,848.50, representing 15% of the amount disbursed as direct project cost, was disbursed to WRMA for technical support to WRUAs.

A total of 35 No. WRUA projects were supported in the financial year 2015/2016. In total, Kshs. 138,282,394.10 was spent in the financial year where Kshs. 106,830,238.00 was utilized as direct project funds to WRUAs, Kshs. 16,024,535.70 as WRMA management fees, Kshs. 5,341,511.90 as WSTF management fees, Kshs. 6,907,505.50 as capacity building amount to WRUAs, Kshs. 3,134,343.00 as funds for monitoring and evaluation and Kshs. 44,260 as bank charges.

Most of the first tranche activities were completed within the first two quarters. In the third and fourth quarter, 12 WRUAs received their second tranche of funds. Eight (8) more WRUAs, though they have completed the first set of activities did not receive the second tranche of funds as the programme was coming to a close at the time the requests were made.

## **3.4 Upper Tana natural Resources Management Programme (IFAD - UTaNRMP)**

In the 2nd quarter of FY 2015/2016; the Project Review Committee (PRC) appraised the 46 submitted proposals (25 CFAs & 21 WRUAs). Upon completion of the process, the Committee recommended 16 WRUAs and 18 CFAs for funding support to a tune of Kshs. 71.5 million.

The successful WRUAs & CFAs were trained on Financial Management and Procurement skills in the month of March, 2016 before the disbursement of funds and commencement of implementation of the planned activities by the respective CFAs and WRUAs. Upon successful completion of the training, funds were disbursed to the respective WRUA and CFA accounts in the month of March 2016.

As at 30<sup>th</sup> June 2016, a total of Kshs. 64,696,773.70 have been spent in this programme. The amount covers, CFA activities, WRUA activities, training and capacity building of CFAs and WRUAs, KFS and WRMA administration fees, advertisements and WSTF administration fees.

## 4. CHALLENGES AFFECTING PERFORMANCE AND ADAPTIVE MEASURES

Based on the analysis of the progress made towards objectives of the programmes, this chapter presents a summary of challenges under each programme and the corresponding adaptive measures within table 6.0.

Table 6.0: Summary of challenges under various programmes and corresponding adaptive measures

PROGRAMME/STRATEGIC AREA	CHALLENGES	ADAPTIVE MEASURES
Government of Finland and Sweden joint six (6) programme	<ol style="list-style-type: none"> <li>1) During the baseline survey it was realised that some of the selected water utilities were not legally registered. The process was initiated but due to inadequate documentation, this led to delays in registration.</li> <li>2) Transboundary/County WRUA challenges limited the number of WRUAs to be financed,</li> <li>3) Review of programme document (PD) and approval by the development partners took substantial amount of time,</li> <li>4) Support to Utilities by the counties did not come on time affecting timely submission of proposals</li> </ol>	<ol style="list-style-type: none"> <li>1) For future funding, WSTF to give preference to registered utilities.</li> <li>2) Short term technical advisor (STTA) engaged to come up with a framework on financing transboundary/county WRUAs.</li> <li>3) Review and approval of PD to be endorsed before commencement of the programme.</li> <li>4) Service agents have been engaged to support the utilities and WRUAs in technical aspects of project proposals preparations, supervision &amp; project closures.</li> </ol>
European Union supporting Rural Investment programme under MTAP II	<ol style="list-style-type: none"> <li>1) Inter-clan conflicts within some communities affected smooth implementation of the projects.</li> <li>2) Insecurity in some areas of Lamu and Wajir Counties had a negative effect in implementation phase of funded projects.</li> <li>3) Flooding of project sites especially for the water pans, this resulted in delays in mobilization of equipment's, excavation and overall implementation of projects.</li> <li>4) Inadequate capacity of CBOs in funds absorption during implementation necessitated delay in disbursement of subsequent funds. This also affected funds accountability statements reporting by CBOs.</li> <li>5) Special nature of some projects for instance: Manda Maweni CBO project in Lamu delayed due to nature of pipeline works to be done under the sea and this depended on the sea conditions.</li> <li>6) Late disbursements of funds to CBOs due to delays in release of EU-SHARE funds to WSTF account.</li> </ol>	<ol style="list-style-type: none"> <li>1) Consider capacity building of CBOs on conflict resolutions in future programmes.</li> <li>2) Timely disbursement of funds and implementation of projects</li> <li>3) Capacity building of CBOs on implementation planning and financial management tracking to avoid unnecessary delay during implementation</li> </ol>
Water Resources funded by Government of Denmark, through Ministry of Devolution & planning; MTAP secretariat	<ol style="list-style-type: none"> <li>1) Most funded WRUAs are in levels 1 and 2 where the funding ceiling is as low as Kshs. 1.5 million.</li> <li>2) Low capacity of WRUAs to implement the planned activities.</li> <li>3) Support from WRMA delayed and this affected commencement of implementation of the planned activities.</li> </ol>	<ol style="list-style-type: none"> <li>1) Upon completion of capacity building and SCMP development, WRUAs should automatically be funded to implement the SCMP within the programme period.</li> <li>2) Capacity building of WRUAs on implementation planning and financial management tracking</li> </ol>

PROGRAMME/STRATEGIC AREA	CHALLENGES	ADAPTIVE MEASURES
	<ul style="list-style-type: none"> <li>4) Poor infrastructure (road network) in the area affected the implementation of WRUA activities in area.</li> <li>5) Process of proposal development and approval from WRUAs through WRMA sub-regional, regional and headquarter offices takes quite a substantial amount of time.</li> <li>6) Insecurity in some parts of the project areas also affected implementation of the planned activities.</li> </ul>	<ul style="list-style-type: none"> <li>to avoid unnecessary delay during implementation.</li> <li>3) Agreement needed to allow timely disbursement of funds to all levels of WRMA offices.</li> <li>4) Proposal development, appraisal and approval process to be shorten and if possible be limited to sub regional levels.</li> </ul>
Upper Tana Natural Resources Management programme (UTANRMP / IFAD)	<ul style="list-style-type: none"> <li>1) Low capacity of WRUAs and CFAs to implement the planned activities. This necessitated the support of WRMA and KFS respectively. The communities require capacity building on proposal development and implementation to facilitate smooth transition from proposal development to implementation without unnecessary delays. Without capacity building, the communities will have to rely greatly on WRMA and KFS in execution of the planned works or activities. This is explained by the challenges of accounting of funds received by WRUAs and CFAs to warrant them request for the subsequent disbursement.</li> <li>2) Support from WRMA and KFS delayed and these affected the commencement of implementation of the planned activities as the communities have to be guided or technically supported. This was mainly due to delay in remitting the funds from KFS and WRMA headquarters to their Counties and Sub-regional offices respectively who are tasked to offer technical support to the communities.</li> </ul>	<ul style="list-style-type: none"> <li>1) Capacity building of CFAs/WRUAs on implementation planning and financial management tracking to avoid unnecessary delay during implementation.</li> <li>2) Agreement needed to allow timely disbursement of funds to all levels of KFS/ WRMA offices.</li> </ul>

## **5. WSTF AUDIT AND RISK MANAGEMENT**

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### **5.1 Introduction**

The Internal audit department is mandated to ensure that the inherent project, programs and operational risks are managed effectively and efficiently. This is done through continuous review and assessment of the funding procedures and systems, review of operating systems as well as project implementation. During the year the department was aptly renamed Audit and Risk Department. This is a demonstration of the importance the Board and the Management has placed on Risk Management.

### **5.2 Internal Control and Risk Management**

Internal controls are systems designed to provide reasonable, but not absolute, assurance regarding:

- (a) The safeguarding of assets against unauthorized use or disposition and
- (b) The maintenance of proper accounting records and the reliability of financial information used within the business or for publication.

These controls are designed to manage rather than eliminate the risk of failure to achieve business objectives due to circumstances which may reasonably be foreseen and can only provide reasonable and not absolute assurance against material misstatement or loss.

During the period there were no significant failings or weakness in the controls as noted below:

- 1) There was a strategic plan in place which set out clear objectives and annual targets that would ensure their achievement. In this Strategic Plan was a clear organization structure which was implemented during the period thus ensuring that competent staff were recruited and equipped with the requisite equipment and training.
- 2) There was a management team in place to assist the CEO implement the Board of Trustees' decisions and policies. The team was composed of four Chief Managers who were assisted by managers in the various departments.
- 3) During the period the various policies and standing instructions were revised to suit the changes in the environment some of the policies that were revised during this period included the Human Resources Policy and the Finance Manual.

The Trust Fund has also taken measures to ensure integrity matters are addressed with the recruitment of an integrity and governance officer who is part of the Internal Audit team. The officer's role among others is to:

- 1) Inculcate the culture of integrity in the WSTF through sensitization and the carrying out of frequent integrity tests to both the WSTF staff and its stakeholders.
- 2) Develop strategies to fight corruption through the drafting of policies to combat corruption.
- 3) Investigate reported cases of corruption

The department has so far drafted a stakeholders' code of conduct and an integrity testing programme which have been approved by the Board of Trustees, while the whistle-blowers policy and revised Anti-corruption Policy are work-in-progress. The department is also at an advanced stage in the development of a complaints resolution and referral system which will provide a platform for the public to report commissions as well as omissions with regards to the Trust Fund's projects.

### **5.3 Audit and Risk Management**

This is the identification, assessment, and prioritization of risks and the coordinated and economical application of resources to minimize, monitor, and control the probability and/or impact of unfortunate events or to maximize the realization of opportunities.

During the period the department spearheaded the review of the risk management system through the revision of the Risk Management Framework (RMF). The end result of the process was a revised Risk Management Policy and Risk Register.

### **5.4 Internal Audit**

In the year under review, the following key activities were undertaken by the Internal Audit Department in the conduct of its mandate:

- 1) The department made visits to various projects under the different windows (Rural Water Investments, Water Resources Investments and the Urban Investments) with an aim of reviewing the accounting records and ascertaining whether financial procedures are complied with; determining whether management and financial systems of the implementers were adequate for efficient and prudent use of funds; reviewing and evaluating their accounting policies and administrative controls and commenting on the usage of WSTF funds as per the approved work plans and budgets. The reports were shared with the Trust Fund's management and the auditees.
- 2) The department has also been involved in capacity building of the Trust Fund's agents with the Investment Department requesting it to assist in the various financial and procurement training sessions held by the Fund.
- 3) Walk through tests on the various functions at the Trust Fund office have also been carried out to check for weaknesses in the various systems at WSTF. Recommendations have been shared with the management to further improve WSTF systems and strengthen its internal controls.
- 4) Follow up of previous audit queries and in particular costs questioned by both the external and internal audits.
- 5) The department facilitated the review of the Risk Management Framework which has now been approved by the Board of Trustees.
- 6) The department also investigated claims of corruption in two projects.

### **5.5 External Audit**

During the year under review, WSTF commissioned the undertaking of an external audit by PricewaterhouseCoopers (PwC) on the various programmes under the Rural Funding system, this audit is commonly referred to as the Harmonized Projects Audit. The auditors released a draft report in December 2015, which was shared with the various stakeholders for comments. The final draft was released in January 2016 and shared with our Development partners. Table 7.0 provides a summary of the questioned costs as per the report released.

Table 7.0: Questioned costs for various programmes

	Total Expenditure incurred	Questioned costs	Categories of Open questioned costs	
			Unsupported	Ineligible
Govt. of Sweden	14,922,432	<b>1,518,534</b>	-	1,518,534
Govt. of Finland	621,660	<b>143,000</b>	143,000	-
UNICEF-WASH	18,800,000	<b>404,864</b>	404,864	-
MTAP	13,260,008	<b>1,982,990</b>	1,982,990	-
<b>Total</b>	<b>47,604,100</b>	<b>4,049,388</b>	<b>2,530,854</b>	<b>1,518,534</b>

### 5.6 Status of Questioned Costs

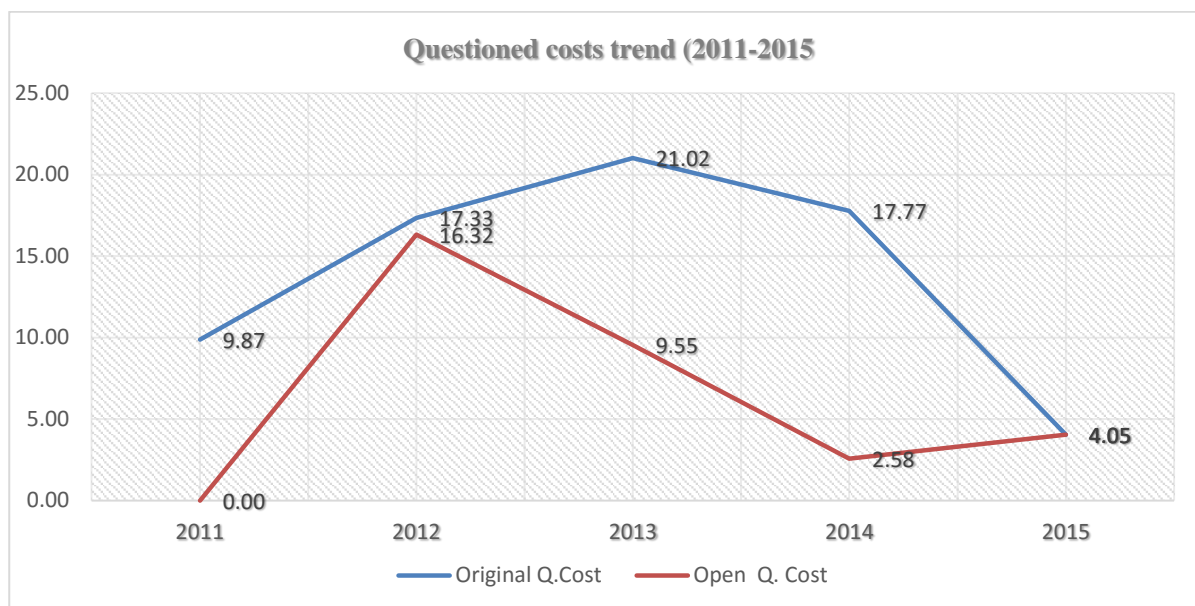
The long outstanding questioned costs under the KWSP, comprising of matters in court and under arbitration, have since been refunded to the Governments' of Sweden and Denmark. The WSTF is however following up the matters in court and under arbitration through the Legal Officer.

The department is also following up on the issues raised in the previous Rural Harmonized audits as noted in the table 8.0:

Table 8.0: Questioned costs trend between 2011 and 2015

	Original Questioned costs (Kshs.)	Open Questioned costs (Kshs.)
Questioned costs for the year 2011	9,872,132	-
Questioned costs for the year 2012	17,337,047	16,318,922
Questioned costs for the year 2013	21,020,446	9,551,525
Questioned costs for the year 2014	17,772,684	2,578,362
Questioned costs for the year 2015	4,049,388	4,049,388
<b>Total</b>	<b>70,051,697</b>	<b>32,498,197</b>





The costs are expected to reduce after the next cycle of audits as the auditors have been requested to assist in the follow up of outstanding costs especially for the FY 2012 and 2013. The auditors will send the technical team to assess whether these projects have met their objective.

### 5.7 Activities Projected for 2016/2017

The Fund is currently developing an annual work-plan for the financial year 2016/17 which will form part of the institutional work-plan once it has been approved by the Audit and Risk Committee of the Board.

Part of the scheduled activities include planning for the rural investments audit for the period ending June 2016 by having consultations with the auditors and scheduling for their meeting with the Audit Committee as well as the Development Partners. During these meetings the stakeholders will get an opportunity to express their expectations as well as get to know the challenges, if any, faced by the auditors.

There are also expected to be some additions in the staffing of the department with the recruitment of a technical auditor who is expected to provide engineering expertise to the audit function.

## 6. MONITORING AND EVALUATION

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### 6.1 Introduction

The WSTF is an investor. The realization of its key strategic objectives is anchored on engagement of implementing partners with adequate capacity to plan, develop, implement, monitor and supervise these investments. The Funds key role in the project implementation cycle is resource mobilization, investment and risk management. In order to ensure that fiduciary risks are adequately identified and mitigated against, one of the key responsibilities of WSTF is to monitor the programme activities implementation as well as evaluate the achievement of specified objectives.

The Fund has seen a strategic shift in its Monitoring and Evaluation approach and during the FY under review with improved focus on tracking project implementation and outputs and the systematic measurement of the achievement of component objectives, programmes completion, timeliness and effectiveness.

This shift has seen improved provision of strategic information to inform planning, design and implementation of projects in order to facilitate the allocation, and re-allocation of investment resources if need be in the project management cycle and also to take the right adaptive actions.

This chapter details the approaches taken in the monitoring and evaluation of the Funds' Investments as well as the key outcomes in the results framework.

### 6.2 Monitoring and Evaluation approaches

The fund undertakes monitoring and evaluation functions through the following approaches;

#### *a) Engagement of County Resident Monitors (CRMs)*

The Fund has a total of 28 CRMs who are based at the respective counties and responsible for undertaking monitoring and support functions at County level. The CRMs represents WSTF at the implementor (project, at Water Services Provider, and at the Sub catchment and County) levels. They are able to address, technical, social and financial issues related to all WSTF investments while working closely with the Fund's implementing partners such as Water Resources Management Authority (WRMA), Water Resources User Association (WRUA), Community Based Organizations (CBOs) and Water Services Providers. The monitors furnish the Fund with specific project implementation reports and monthly reports covering status of all on-going investments in their counties.

Their Terms of Reference also entails conducting the annual operations monitoring to assess the sustainability of all the Fund's investments.

The Concept was piloted during the year under review and the Fund realized the following outputs and outcomes in the first year of implementation as identified in a rapid concept assessment:

1. Improved project issues turnaround time and rapid response mechanisms
2. Institutionalisation of an early warning system (as a risk mitigation and management feature) in the project implementation cycle
3. The physical presence in the Counties and the interaction with the Implementing partners has improved stakeholder communication and raised the profile of the Fund in the Counties
4. The County presence has improved the Counties understanding of the investment cycles and expectations
5. The Fund has seen improved reporting and feedback mechanisms
6. There is more efficient planning and implementation of the investments programmes
7. The CRMs have followed up and supported the Implementing Partners in clearing long outstanding audit issues
8. Enhanced coordination of WSTF activities in the County and implementer level

The rapid assessment also identified the following challenges relating to the CRMs:

1. Transport and logistical support challenges especially for Northern Kenya Counties
2. Contract related challenges emanating from the input based remuneration (health insurance, and related benefits)
3. Office accommodation and provision of office supplies
4. Support to more than one County
5. Resistance by some of the implementing partners (WSPs, and WRMA)

The Fund has made efforts to address these challenges aimed at improving efficiency in service delivery. The concept evaluation is slated for the month of September and the results will inform the next phase of implementation support to the IPs.

**b) Use of Independent Consultants**

The Fund engages Framework consultants to support it in routine short term consultancies. The consultants are expected to cover technical support to implementing partners, capacity building services, spot check monitoring, technical audit support, provision of support agency services and investigation and assessment services. Specifically, consultants give the following support;

- 1) Support to Implementing Agents in development of proposals, technical designs to ensure that funds for activities are utilized for the purpose intended.
- 2) Verification of proposals from a social perspective to ensure social aspects, including conflict resolution, health and sanitation are in conformity with the intended objectives.
- 3) Technical support from a financial perspective to check compliance in order to ensure that the project financial management procedures and arrangements results in funds being properly accounted for, utilized for the purpose intended and result in value for money

During the year under review, the Fund engaged framework consultants to support the Investments Programmes in the aforementioned areas. These consultants will be retained on a two year term contracts under a framework arrangement.

**c) Routine Project Monitoring**

The Fund undertakes a Monitoring and evaluation coordination role through its independent Monitoring and Evaluation assessments as well as the routine monitoring of investments. In line with institutional work plan and strategic plan, a coordinated M&E plan is usually prepared at the start of the Financial Year for all the investment programmes.

During the year under review, 32 No. rural investment projects and 68 No. Water Resources Projects underwent project routine monitoring visits. The Fund reviewed the project monitoring tools during the year and these were applied in the routine visits. These tools provide for feedback mechanisms, detailed progress assessment, risk management and issues redress and follow-up mechanisms.

The key issues identifies and mitigation measures instituted during the routine project visits included:

No.	Key Issue	Mitigation Measures instituted
1.	Project implementation delays occasioned by procurement challenges, low capacity of the implementing partners, insecurity in some areas	<ul style="list-style-type: none"> <li>• A project planning and monitoring tool has been developed and the CRMs have been sensitised on its application in project monitoring and reporting</li> <li>• There has been improved focus on capacity development of the implementing partners at the start and during project implementation including in procurement training</li> <li>• A flagging system with a followup mechanism has been introduced to address the projects with implementation delays</li> </ul>

2.	Governance and management challenges especially in community managed schemes	<ul style="list-style-type: none"> <li>• The Fund has revised in the implementation approaches with investments focused on successful utilities using the utilities model</li> <li>• The Fund is in the process of developing appropriate Service Delivery Models for different investments projects</li> <li>• Capacity development of the implementation and support partners has been prioritised in the implementation of the programmes</li> </ul>
3.	Quality issues in some of the developed infrastructure	<ul style="list-style-type: none"> <li>• Enhanced project monitoring and CRM participation in the procurement process as an observer is expected to contribute to better quality and qualified contractors</li> <li>• The Fund is redesigning the projects options for various technologies to enhance availability of suitable investment options for different environments</li> </ul>
4.	Lack of clear sustainability mechanisms for community managed schemes	<ul style="list-style-type: none"> <li>• Project commercial viability as opposed to service provision approaches has enhanced the prospects of sustainable projects</li> <li>• Commercial sustainability entrenched in the project implementation cycle</li> <li>• Development of a sustainability index based on the annual operations monitoring</li> </ul>
5.	Lack of clearly defined operational structures and relationships between the implementing partners and the County Governments within which they operate	<ul style="list-style-type: none"> <li>• The Fund has supported the Counties in the development of appropriate legislation to manage water investments in the Counties</li> <li>• The Counties are now expected to sign an MoU with the Fund with a commitment for counterpart funding and support to the implementation of the supported investments</li> <li>• The Fund has advocated for the establishment of County Working Groups to enhance stakeholder participation in project identification, implementation and decision making</li> </ul>
6.	Delayed reporting	<ul style="list-style-type: none"> <li>• The IPs have been trained on the reporting requirements</li> <li>• The CRMs are required to follow through in the report submission by the IPs</li> </ul>
7.	Heavy dependence on financial support for the various investment partners especially the WRUAs	<ul style="list-style-type: none"> <li>• Enhanced investments in rural livelihoods</li> <li>• Continued capacity development of the members to enhance participation and sustainability of the WRUAs</li> <li>• Investments in alternative funding sources e.g micro electricity generating plants, community water supply, supply of seedlings etc</li> </ul>
8.	Inconsistent/ poor project branding	<ul style="list-style-type: none"> <li>• Standardisation of the branding standards</li> <li>• Training of the CRMs and the implementation Partners on the branding guidelines</li> <li>• Post implementation assessment of projects to be conducted before project closure</li> </ul>

### **6.3 Advances in the Funds Monitoring and Evaluation**

During the year under review the Fund undertook several activities aimed at improving the Project Appraisal, Management, implementation, Monitoring and evaluation. These are detailed here-below:

#### ***a) Integrated Project Monitoring and Evaluation Information System (PMIS)***

To improve effectiveness in the measurement and demonstration of the outcomes and impacts of WSTF funded interventions, both for accurate and timely decision making in project management and reporting, WSTF with the support of the Governments of Sweden and Finland invested in the development of an Project Monitoring Information System (PMIS).

The PMIS was commissioned and project information for existing and new Rural Water and Water Resources investment programmes have been entered into the system on a pilot basis. As at the end of the period under review, a total of 108 projects have been entered into the system out of a target of 167.

It is anticipated that upon completion of data entry of the historical data, all approvals, appraisals and disbursements will be done on-line hence shortening timelines for disbursements as well as improving project processing efficiencies.

On successful implementation, the Fund has also planned to enhance the systems Geographic Information Systems capabilities to be integrated with the mapping of the utilities in the Counties in which the WSTF is investing in.

#### ***b) Review of the WSTF proposal appraisal and project monitoring tools***

In a bid to enhance efficiency and effectiveness in the investment programmes, the Fund reviewed the project cycle implementation and monitoring tools for the 3 investment programmes and harmonised as well as enhance the Fund's investments and absorption. A workshop to orientate County Resident Monitors (CRMs) on the tools was undertaken in June, 2016 in order to allow for immediate use of the tools. The revised tools now incorporated into the PMIS includes the following;

- 1) Proposal application templates for the 3 investment programmes,
- 2) Desk proposal screening tool for the 3 investment programmes
- 3) Field appraisal tool for the 3 investment programmes,
- 4) Project implementation progress monitoring tool for the 3 investment programmes
- 5) Project operations monitoring tools for all 3 investment programmes,
- 6) Project final closure reporting format and completion certificate.

#### ***c) Quality and Performance Management Systems;***

WSTF has over the years built extensive experience in management of Investment Programmes, appraisal systems and monitoring structures all focused on the poor underserved areas in Kenya. WSTF is now a show case model in which different African Countries are benchmarking against to develop and improve their own systems.

Water Services Trust Fund has implemented a process approach using the ISO 9001: 2008 Quality Management System to achieve the organizational mandate and corporate objectives. A process approach identifies the interactions between processes and their management to produce the desired outcomes as often the process outputs usually are the inputs to the other processes. This approach ensures that there is sustained control and provides linkage between individual processes as well as their combination and interaction.

The Fund has developed harmonized funding systems and has over the years reviewed them for continuing suitability and responsiveness to the dynamic sector demands and operations and as such the Quality Management System has been institutionalized to enhance effectiveness in operations as well

as determining and managing the interlinked processes and procedures.

The Quality Management System is focused on enhancing customer satisfaction by meeting customer requirements and emphasizes the importance of:

1. Understanding and meeting requirements
2. The need to consider processes in terms of added value
3. Obtaining results of process performance and effectiveness
4. Continual improvement of processes based on objective measurement

The Fund in addition to the ISO 9001: 2008 QMS is implementing the following management systems:

1. **Performance Contract System** (Championed by the GoK) and the associated appraisal system (Individual and Institutional) which ties individual staff performance to the Corporate Objectives and targets. During the year under review, the Fund's Board of Trustees signed a performance contract with the Government and most of the targets were achieved. However, the target on funds absorption, as at the end of the period under review, the Fund expended a total of Kshs.1,715,766,280 against actual receipts of Kshs.2,434,842,573 hence achieving 70.5% of planned annual targets. This was occasioned by the delay in the release of funds by the GoK.

To ensure that more resources are progressively applied to development activities to ensure progressive and sustained growth the Fund had planned to disburse KShs. 2,313,500,000 on development and spend approximately KShs. 311,428,417 on recurrent expenditure. The total expenditure was expected to be Kshs. 2,624,928,417 translating to a Development Index (DI) of 88%. However, this was not achieved due to the low absorption, resulting to a DI of 83%.

On the priority projects and outcomes, the Fund had anticipated to reach an additional 274,600 people with improved water and sanitation services through its investment programmes. However, this target was not reached due to the delays occasioned in the projects' startup, and thus 93,268 (34%) was achieved. The achievement of this outcome is expected to improve upon the completion of the investments.

2. **Balanced Scorecard Approach**

This has been applied in the Corporate Strategic Plan 2014- 2019 and the corporate strategic objectives anchored on the perspectives. WSTF has developed strategy maps which provide the visual framework for integrating the organization's objectives in the four perspectives of a Balanced Scorecard. These maps portray the cause-and-effect relationships that link specific capabilities in human, information and organization capital with process excellence, and process excellence with the desired outcomes in the customer and financial perspectives.

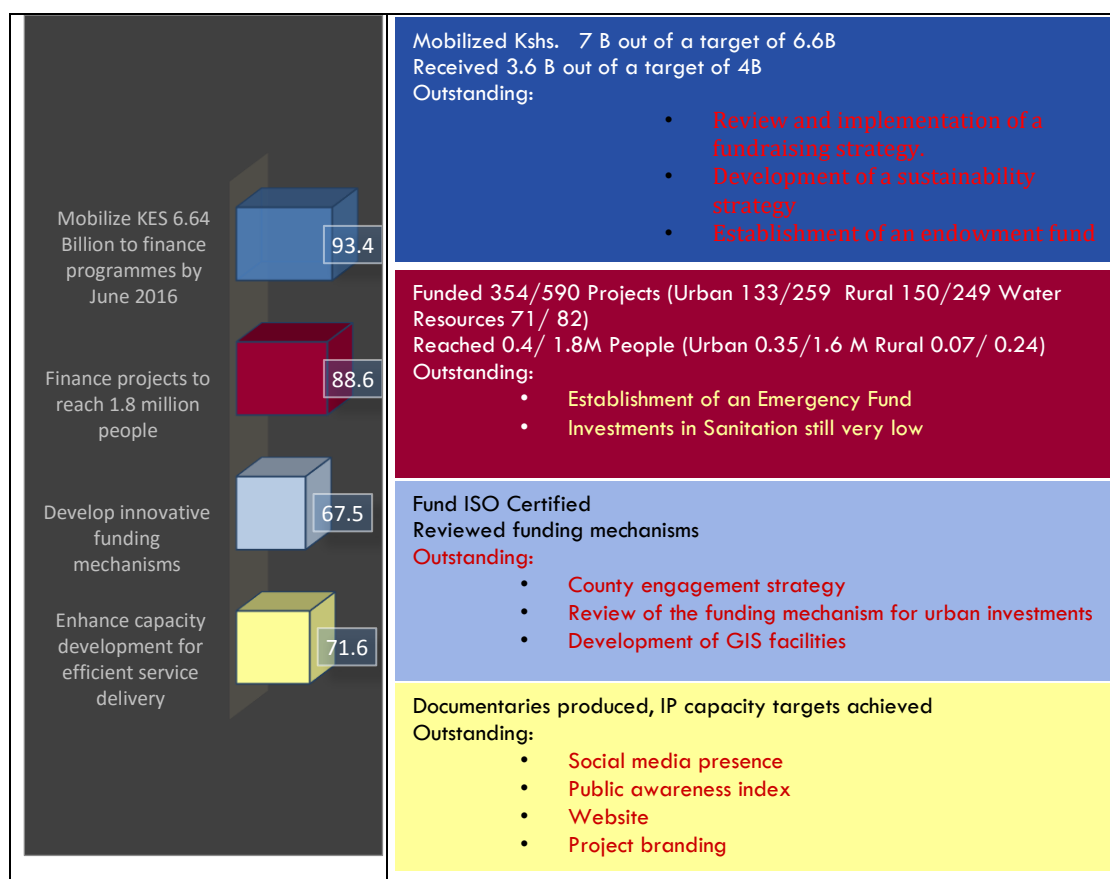
The planning approaches have resulted in increased focus on the different perspectives resulting in the performance measurement of such indicators as detailed in the WSTF Results based Monitoring and Evaluation Framework.

3. **Strategic Planning Approach-**

The Fund had developed a Corporate Strategy to map the strategic direction for the period 2014-2019. During the year under review, the Fund reviewed its corporate strategic plan to the SP 2014-2017 effectively replacing the Strategic Plan 2014-2019.

The decision to review the Strategic plan was informed by the anticipated changes in legal framework as provided for in the Water Bill 2014 that proposed to change the Fund to a Water

Sector Trust Fund with an expanded mandate. In addition, The realignment of the Strategic Plan was necessitated by other factors which included the need to re-align the plans to the various changes brought about by the Constitution of Kenya (COK) 2010 such as creation of National and County Governments; Vision 2030 and the Medium Term Plans (specifically MTP II) which is a national development and planning blue print; Water Bill 2014; Ministry of Water and Irrigation Strategic Plan 2013-2017; County Integrated Development Plans (CIDPs) and other relevant Government policies and plans that have impact on activities of the Fund. During the review, an assessment of the Funds achievement was conducted and the overall results are summarised below:



The key achievements per objective are presented in the table above

The realignment of the strategies, activities and targets was informed by thoughtful reviews of the Fund's previous performance and took into consideration experiences, challenges and lessons learned particularly in implementing funded projects through the Community projects cycle (CPC), Urban Projects Concept (UPC), Water Resources Users Association Development Cycle (WDC) and the Aid on Delivery Programme.

The Fund has identified the following strategic objectives which will be implemented in the current Strategic Plan period 2014-17

Strategic Objective No. 1	<i>To mobilize Ksh. 9.96 Billion to finance investment programmes in the Counties by end of June 2017.</i>
Strategic Objective No. 2	<i>Develop innovative funding mechanisms to enhance development of sustainable water, sanitation and water resources projects in the counties</i>
Strategic Objective No. 3	<i>To finance the development of sustainable water and sanitation services and water resources management to improve access for 2.95 Million people in underserved areas</i>
Strategic Objective No. 4	<i>To enhance capacity development of the Fund and its partners for efficient service delivery and ensure sustainability of investments</i>

Besides the human capital and technological support to meet the diverse challenges towards implementation of activities and realization of goals and objectives, the table below shows the resources required to implement this Strategic Plan

	<b>Strategic objectives and strategies</b>	<b>Resources Required (Ksh)</b>
Strategic Objective 1	<i>To mobilize KES 7.86 Billion to finance investment programmes in the counties by July 2017</i>	588,425,089
Strategic Objective 2	<i>Develop Innovative Funding Mechanisms to Enhance Development of Sustainable Water, Sanitation and Water Resources Projects in the Counties</i>	190,550,000
Strategic Objective 3	<i>To Finance the Development of Sustainable Water and Sanitation Services and Water Resource Management to Improve Access to 2.95 million People in Underserved Areas</i>	6,910,866,520
Strategic Objective 4	<i>To Enhance Capacity Development of the Fund and its Partners for Efficient Service Delivery and Ensure Sustainability of Investments</i>	382,030,000
	<b>Grand Total</b>	<b>8,071,871,609</b>

**d) Documentation of best practice projects**

During the year under review, the Fund documented one outstanding project for each financing mechanism to enhance experience sharing aimed at improving enthusiasm among the implementers as well as use it as a marketing tool for WSTF funding approaches as the financing mechanisms of choice.

The final deliverables as submitted to the Fund were in the form of 8 minutes' documentaries for all the financing streams and one 15 minute documentary that is comprehensive for all the investment programmes. These documentaries are now run during the Funds exhibitions, shows, seminars and workshops to show case outstanding projects to encourage peer to peer learning.

**e) Impact Assessment Study**

The Fund engaged the services of an independent consultant to assess and document the impact of its rural and water resources investments to assist the WSTF in evaluation of the effectiveness of its current investment approaches and to inform partners and stakeholders on the impact of the interventions.

**Summary of key findings**



**Relevance:**

For the WSS projects, the household survey reported a 17% increase in access to improved services for those people that could least afford it. The accessibility of improved water sources for disabled people was reported to have increased by 14% as compared to the before project situation. Only 13% of designated places for hand washing were determined as easy to access for disabled people. These relatively small increases in access for vulnerable groups may suggest that the pro-poor and inclusive approach that is used in the WSS is not fully relevant and effective.

**Gender inclusion in the project cycle:**

Field level observations showed that in 75% of the cases women were involved in the Rural Water and Sanitation Services projects. From the Project Management Committee (PMC) questionnaires it was reported that the presence of women participants in Annual General Meetings of PMC is over 60% in 70% of the meetings.

**Technical viability of the schemes**

The field survey showed that the improved schemes were various conditions with associated technical viability. In 60-70% of the projects, status and the technical sustainability was reported as being good. The financing of O&M was reported as a key issue in many of the projects. 63% of the PMCs reported that actual records of Operations and Maintenance (O&M) tasks exist. The average time to repair system breakdowns is over 3 days, and the median is 4 days.

**Social Viability**

In the vast majority of cases, the projects reported low conflict levels (18% of the respondents could recall a conflict related to the project). Also, 75% of respondents reported that there were systems in place to report civil cases. In terms of accessibility of projects for the poorest and disabled people, around half (50%) of the projects do not have sufficient controls, mechanisms or adaptations to ensure equitable access. In terms of representation and participation of women, the projects were reported as being balanced.

**Economic/ Financial viability of the schemes**

The management committees reported that community investment in the projects was on average 17%, whereas the analysis of the WSTF project database showed a community contribution of 13%. The average monthly revenue from the WSS schemes reported to be lower 75.000 KES. One of the schemes reported not to have any monthly revenue. Half of the respondents reported that the tariffs set were viable for O&M. It was also reported that in around half of the cases, PMC's follow appropriate financial and audit procedures. Based on the above, it can be expected that around half of the improved schemes have some sort of economic financial viability. Field level observations show however that most schemes do either not have sufficient finance to direct or do not direct sufficient finance to operation and maintenance.

**Governance of the Investments**

The projects are governed by the management committees. Over 60% of the management committees responded that they had met 4 times in the past twelve months. They reported 94% of people implied in the projects were aware of the progress. PMCs meetings were generally well attended with the majority of attendance higher than 70%. Over half of the PMCs presented their audit reports at the Annual General Meetings. In over 70% of the PMCs monthly bank reconciliations are not executed.

**Impact of the Investments:**

The survey showed a reduction of 17% and in the number of people using open water sources after the implementation of the projects. In the household and schools survey 72% percent of the respondents stated that they were making use of the improved water sources.

**Distance:** The distance to water points was in general reduced and considered short by a majority of people (85% cover less than 1 km) surveyed.

**Time taken:** Time is saved in travelling the distance to the water point and queuing for the water at the water point. The survey showed that the average time saved from queuing is 4.5 minutes. The distance covered reduced from ~2 km to 1.3 km, a reduction of 700 meters one way, 1400 m two way. Assuming a walking speed of 4km per hour the time saved is about 20 minutes in total. Assuming water is fetched each day, the daily time saved is about 25 minutes.

**Changes in household sanitation:**

The change in sanitation at household level was clear with 28% more people having access to acceptable levels of sanitation more after project implementation. 75% of the latrines were reported to be in good condition. The PMCs and Public Health Officers (PHOs) reported 37% and 46% of the population having access to sanitation services level I or II after project implementation. The level reported before the project was 34% and 37%. This points to an average of 6% of increase in the population having access to VIP latrines after project implementation.

**Changes in institutional sanitation:** The PMC field survey reported a 25% increase in the number of pupils from average 470 to 690 pupils that can access improved sanitation facilities in schools after projects implementation. The increase is more significant according to PHOs.

**Changes in disease incidence:**

Analysis of the survey data shows that there has been a reduction in diarrhoea incidences in under five year olds from on average 370 cases annually reported before the project to 228 cases reported after the projects implementation. This is a reduction of 38% (sign.  $p=0.05$ ) in reported cases of diarrhoea.

**Key conclusions**

- The WSTF WSS projects have had a strong impact on the provision of access to water and sanitation services to households and schools.
- The foundation for the sustainability of projects are present, however the financial sustainability and technical viability of the WSS infrastructure is questionable. The main reason for this seems to be the lack of finance for proper O&M.
- Also environmental sustainability of projects was determined as wanting. This may be the result from a lack of alignment between water resource management activities and WSS.
- The WRM projects have increased the awareness and organization of stakeholders on water management. SCMPs are in place but in the majority of cases not implemented due to lack of subsequent funding and community support.
- WSTF has a positive image but is barely recognized in the field project locations by its branding.

**Some key recommendations**

WSTF should consider strengthening the after care in WSS projects so that beneficiaries become enabled to sustain infrastructure operation and maintenance. This should especially focus on creating the relevant cost recovery mechanisms for the operators

WSTF should consider embedding the WSS projects in the WRM framework of the WRM projects to ensure a sustainable, continuous and good quality flow and improve the environmental sustainability.

- The Fund should consider investing in a continuous funding mechanism to get WRUAs to sustainably implement their SCMPs.
- WSTF should consider developing and implementing a system for adaptive management and implement an improved monitoring system for its projects.
- WSTF should consider developing a ready-made communications practice and package (branding) of materials to ensure that WSTF becomes more visible the project locations.

***f) Universal Results Based Monitoring and Evaluation Framework***

During the year under review, the Fund developed a results framework as a corporate tool designed to monitor progress in the realization of the Fund's objectives and enhance corporate consistency through consolidation and streamlining of the various reporting frameworks and harmonization across the various financing mechanisms and investment programmes.

The purpose of the M&E Framework is to therefore to provide:

- A common understanding of the conceptual monitoring framework required of the Fund acting in the capacity of an investor in the water sector articulating the key M&E principles and elements addressing the M&E information needs of WSTF.
- A consistent approach to the monitoring and evaluation of the WSTF's' Investment Programmes and Projects, so that sufficient relevant information is captured to review the progress and Impact of interventions.
- The structure within which lessons learned will also be used to inform best practice guidelines.

The results measurement framework has enhanced a results culture across all levels of the Fund. This has focused on the key strategic priorities: programme progress review, performance management, investment effectiveness, and identification performance improvement areas. The framework will entail continual measurement and assessment of both qualitative and quantitative indicators within the national, sector and the Funds frameworks.

The detailed results framework is presented in the annexes in this report. Notably, most of the programme targets were not achieved as most of them were in the formative stages.

The key results areas as detailed in the results framework in the Fund are:

- 1) Enhance capacity of the Implementing and support partners to implement projects
- 2) Improved access to water services
- 3) Improved access to sanitation services
- 4) Improved water resources management
- 5) Enhanced capacity of WSTF to support project

The table below presents the overall rating of the key result areas in the Fund during the year under review:

Table 9.0: Overall rating of the key result areas for 2015/2016

Key Result area	Rating
<p><b>1) Results area 1: Enhance capacity of the Implementing and support partners to implement projects;</b></p> <p><i>There were shortcomings in the achievement of some of the planned intermediate result for the current FY, such as GESI interventions</i></p>	<p>5</p> <p>4</p> <p>3</p> <p>2</p> <p>1</p>
<p><b>2) Results area 2: Improved water resources management</b></p> <p><i>There were shortcomings in the achievement of some of the planned intermediate result for the current FY as per annex 9</i></p>	<p>5</p> <p>4</p> <p>3</p> <p>2</p> <p>1</p>
<p><b>3) Results area 3: Improved access to water services</b></p> <p><i>There were shortcomings in the achievement of some of the planned intermediate result for the current FY.</i></p>	<p>5</p> <p>4</p> <p>3</p> <p>2</p> <p>1</p>
<p><b>4) Results area 4: Improved access to sanitation services</b></p> <p><i>There were shortcomings in the achievement of some of the planned intermediate result for the current FY particularly Household sanitation, ODF and CLTS interventions.</i></p>	<p>5</p> <p>4</p> <p>3</p> <p>2</p> <p>1</p>
<p><b>5) Results area 5: Enhanced capacity of WSTF to support projects</b></p> <p><i>Most of the activities were preparatory in nature hence achieved. Some activities have been rolled over to FY 2016/ 2017</i></p>	<p>5</p> <p>4</p> <p>3</p> <p>2</p> <p>1</p>

## ANNEX 1: FUND ACCOUNTABILITY STATEMENTS

Financial statement for GoK /GoS II/GoF II PROGRAMME (J6P) as at 30<sup>th</sup> June 2016.

**Table 10.0: Combined Fund Accountability Statement for GOF & GOS Programme**

	Note	FYR 15/16 (As at 30.06.16)	CUMULATIVE (As at 31.03.16)	CUMULATIVE (As at 30.06.16)
<b>Opening Balance</b>				
		332,219,522.73	389,655,017	
<b>Receipts</b>				
Amount Received - SWEDEN		-	-	169,456,509.55
Amount Received - FINLAND		-	-	204,751,600.00
Interest earned - SWEDEN		2,226,599.11	-	17,673,506.43
Interest earned - FINLAND		415.83	-	415.83
<b>Total Receipts</b>		<b>2,227,014.94</b>	<b>-</b>	<b>391,882,031.81</b>
<b>Total funds available for use</b>		<b>334,446,537.67</b>	<b>389,655,016.87</b>	<b>391,882,031.81</b>
<b>Payments</b>				
Counties Strategic Guidelines		5,365,774.00	27,132,024.00	32,497,798.00
Equitable Access to Water in catchment areas (WRUAs)		20,674,595.35	-	20,674,595.35
Rural Safe Water Coverage		186,043,270.00	302,400.00	186,345,670.00
Rural Sanitation Coverage		11,707,477.00	-	11,707,477.00
WSTF Institutional Capacity		5,058,979.50	29,949,709.05	35,008,688.55
Bank Charges		34,148.30	51,361.09	85,509.39
<b>Total Payments</b>		<b>228,884,244.15</b>	<b>57,435,494.14</b>	<b>286,319,738.29</b>
<b>Closing Balance</b>		<b>105,562,293.52</b>	<b>332,219,522.73</b>	<b>105,562,293.52</b>
<b>Represented by:</b>				
Cash and Bank balances - SWEDEN		48,904,225.53		
Cash and Bank balances - FINLAND		56,658,067.99		
<b>TOTAL</b>		<b>105,562,293.52</b>		

## Government of Finland

Financial statement for Government of Finland for the period of 1<sup>st</sup> July to 30<sup>th</sup> June 2016 is provided in table 11.0

Table 11.0: Fund Accountability Statement for GOF Programme, old GOF and GoK Counterpart

	Note	FYR 15/16 (As at 30.06.16)	CUMULATIVE (As at 31.03.16)	CUMULATIVE (As at 30.06.16)
Opening Balance		183,837,348.24		
<b>Receipts</b>				
Amount Received - FINLAND	1	-	204,751,600.00	204,751,600.00
Interest earned	2	-	415.83	415.83
<b>Total Receipts</b>		-	<b>204,752,015.83</b>	<b>204,752,015.83</b>
<b>Total funds available for use</b>		<b>183,837,348.24</b>	<b>204,752,015.83</b>	<b>204,752,015.83</b>
<b>Payments</b>				
Counties Strategic Guidelines	3	191,100.00	3,917,210.00	4,108,310.00
Equitable Access to Water in catchment areas (WRUAs)	4	20,594,155.35	-	20,594,155.35
Rural Safe Water Coverage	5	94,637,004.00	-	94,637,004.00
Rural Sanitation Coverage	6	8,719,278.00	-	8,719,278.00
WSTF Institutional Capacity	7	3,019,652.10	16,985,659.00	20,005,311.10
Bank Charges	2	18,090.80	11,798.59	29,889.39
<b>Total Payments</b>		<b>127,179,280.25</b>	<b>20,914,667.59</b>	<b>148,093,947.84</b>
Closing Balance		56,658,067.99	183,837,348.24	56,658,067.99
<b>Represented by:</b>				
Cash and Bank balances - KES A/C		90,230,268.30	183,837,348.24	90,230,268.30
Forex Gain on Transfer (225,000,000 - 204,751,600)		(20,248,400.00)	-	(20,248,400.00)
<b>BALANCE FOR OLD GOF - GOK COUNTERPART</b>		<b>(11,897,670.70)</b>	<b>-</b>	<b>(11,897,670.70)</b>
Payment for Infocom Media - to be refunded to SIDA		(1,242,500.00)	-	(1,242,500.00)
CRMs cost - June 2016 ( to be refunded to KfW)		(249,784.50)	-	(249,784.50)
Cash and Bank balances - Euro A/C		18,714.89		18,714.89
Imprests recovered from June salary not yet debited		48,588.00	-	48,588.00
Outstanding Imprest		5,212.00	-	5,212.00
Imprests refunds- Refund to SIDA A/C		(6,360.00)	-	(6,360.00)
		<b>56,658,067.99</b>	<b>183,837,348.24</b>	<b>56,658,067.99</b>
		-		
<b>Notes:</b>				
1. No funding has been received from GoF this financial year. However, Forex gain on transfer Ksh. 20,248,400.00 was realized as result of transferring Euro 2 million from our Euro A/c to KES Account at a negotiated rate of 112.50 whereas when the funds were received on 03.03.2015, for purposes of reported they were translated at the prevailing rate at that time, that was at 102.3758 (225,000,000 - 204,751,600 = 20,248,400)				
2. Ksh. 415.83 was interest earned on the Euro Account and Ksh. 18,090.80 was bank charges for both Euro and KES account.				
3. Ksh. 191,100.00 was incurred under Counties Capacity Development during the quarter.				
4. Ksh. 17.3 million was disbursed to WRUAs, Ksh. 2.5 million was WRMA fees and Ksh. 674,420 was for Catchment Conservation				
5. Ksh. 92.9 million was disbursed to CBOs for Water Services Projects during the quarter and Ksh. 1.6 million was for WU Operational Performance				
6. Ksh. 8.7 million was disbursed to CBOs for Sanitation Projects				
7. Ksh. 3 million was used for WSTF Institutional Development				
8. There were foreign exchange gains and losses resulting from forex rates movements throughout the reporting period. The net effect is therefore Forex Gain of Ksh. 4,208,897.40 this has not been recognized as receipts since there was no actual movement of funds.				
9. Out of the total amount disbursed to CBOs and WRUAs, none has been accounted for.				

## Government of Sweden

Financial statement for Government of Sweden for the period of 1<sup>st</sup> July to 30<sup>th</sup> June 2016 is provided in Table 12.0.

Table 12.0: Fund Accountability Statement for Old GOS Programme, New GOS and GoK Counterpart

	Note	FYR 15/16 (As at 30.06.16)	CUMULATIVE (As at 31.03.16)	CUMULATIVE (As at 30.06.16)
<b>Opening Balance</b>		<b>148,382,590.32</b>		
<b>Receipts</b>				
Amount Received - SWEDEN	1	-	169,456,509.55	169,456,509.55
Interest earned	2	2,226,599.11	15,446,907.32	17,673,506.43
<b>Total Receipts</b>		<b>2,226,599.11</b>	<b>184,903,416.87</b>	<b>187,130,015.98</b>
<b>Total funds available for use</b>		<b>150,609,189.43</b>	<b>184,903,416.87</b>	<b>187,130,015.98</b>
<b>Payments</b>				
Counties Strategic Guidelines	3	5,174,674.00	23,214,814.00	28,389,488.00
Equitable Access to Water in catchment areas (WRI)	4	80,440.00	-	80,440.00
Rural Safe Water Coverage	5	91,406,266.00	302,400.00	91,708,666.00
Rural Sanitation Coverage	6	2,988,199.00	-	2,988,199.00
WSTF Institutional Capacity	7	2,039,327.40	12,964,050.05	15,003,377.45
Bank Charges	2	16,057.50	39,562.50	55,620.00
<b>Total Payments</b>		<b>101,704,963.90</b>	<b>36,520,826.55</b>	<b>138,225,790.45</b>
<b>Closing Balance</b>		<b>48,904,225.53</b>	<b>148,382,590.32</b>	<b>48,904,225.53</b>
<b>Represented by:</b>				
Cash and Bank balances		71,395,028.56	159,166,348.97	71,395,028.56
<b>BALANCE FOR SIDA BRIDGING (GOS &amp; GOK)</b>		<b>(24,022,653.63)</b>	<b>-</b>	<b>(24,022,653.63)</b>
Payment for Infocom Media - to be refunded to SIDA A/C		1,242,500.00	-	1,242,500.00
CRMS Cost MAY - to be refunded from IFAD		271,790.60	-	271,790.60
Imprests refunds- to be deposited to SIDA A/C		17,560.00	-	17,560.00
		<b>48,904,225.53</b>	<b>159,166,348.97</b>	<b>48,904,225.53</b>
		-		
<b>Notes:</b>				
1. No funding has been received from GoS this financial year				
2. Ksh. 2,226,599.11 was interest earned during the quarter and Ksh. 16,057.50 was bank charges.				
3. Ksh. 5,1 million was incurred under Counties Capacity Development during the quarter.				
5. Ksh. 91 million was disbursed to CBOs for Water Services Projects during the quarter and none has been accounted for				
6. Ksh. 2.9 million was disbursed to CBOs for Sanitation Projects and none has been accounted for				
7. Ksh. 2 million was used for WSTF Institutional Development				
8. Out of the total amount disbursed to CBOs none has been accounted for.				

## MTAP-DANIDA and EU SHARE

Financial statement for MTAP-DANIDA and EU SHARE for the period of 1<sup>st</sup> July to 30<sup>th</sup> July 2016 is provided in the Table 13.0 and 14.0. The more detailed financial reporting as per the agreed reporting format is presented in the Annexes.

Table 13.0: Fund accountability statement for MTAP II – DANIDA support

	Note	FYR 15/16 (As at 30.06.16)	CUMULATIVE (As at 31.03.16)	CUMULATIVE (As at 30.06.16)
<b>Opening Balance</b>		<b>136,487,269.87</b>		
<b>Receipts</b>				
Amount Received - Danida funding	1	-	250,000,000.00	250,000,000.00
Interest earned	2	757,892.77	11,516,683.97	12,274,576.74
<b>Total Receipts</b>		<b>757,892.77</b>	<b>261,516,683.97</b>	<b>262,274,576.74</b>
<b>Total funds available for use</b>		<b>137,245,162.64</b>	<b>261,516,683.97</b>	<b>262,274,576.74</b>
<b>Payments</b>				
Support to WRUA SCMP preparation		-	-	-
Investment in WRUAs	3	10,458,990.00	96,371,248.00	106,830,238.00
WRMA fees (15%)	4	1,568,848.50	14,455,687.20	16,024,535.70
WSTF Management fees (5%)	5	1,535,913.50	3,805,598.40	5,341,511.90
Capacity Building to WRUAs		-	8,777,125.00	8,777,125.00
Monitoring & Evaluation	5	1,553,490.00	1,580,853.00	3,134,343.00
Bank Charges	2	5,357.50	38,902.50	44,260.00
<b>Total Payments</b>		<b>15,122,599.50</b>	<b>125,029,414.10</b>	<b>140,152,013.60</b>
<b>Closing Balance</b>		<b>122,122,563.14</b>	<b>136,487,269.87</b>	<b>122,122,563.14</b>
<b>Represented by:</b>				
Cash and Bank balances		121,775,849.69	24,017,289.51	121,775,849.69
Outstanding Imprests		32,200.00	410,400.00	32,200.00
FUELS + WVAT PWC - (to be refunded to Danida A/c)		355,000.00	-	355,000.00
WVAT - Malindi Pearl Hotel		(54,337.00)	-	(54,337.00)
Imprest Refund - (to be refunded to Danida A/c)		13,850.00	-	13,850.00
		<b>122,122,562.69</b>	<b>136,487,269.87</b>	<b>122,122,562.69</b>
		0.45		
<b>Notes:</b>				
1. Ksh. 135 million was received from Danida on 29th January 2016. The total amount received for the programme is <b>Ksh. 250 million</b>				
2. Interest earned during the quarter was <b>Ksh. 757,892.77</b> and bank charges was <b>Ksh. 5,357.50</b>				
3. <b>Ksh. 10,458,990.00</b> was disbursed to WRUAs during the quarter - See Funded WRUAs				
4. This is 15% of amount disbursed to WRUAs ( 15% * 10,458,990.00 = <b>1,568,848.50</b> )				
5. This is 5% management fee charged on disbursements for 3rd and 4th quarter ( 5% * (20,259,280+10,458,990) = <b>1,535,913.50</b> )				
6. <b>Ksh. 1,462,190.00</b> was incurred on Monitoring of WRUA projects during the quarter				
7. Out of <b>Ksh.106,830,238.00</b> disbursed to WRUAs during the financial year, <b>Ksh. 53,930,505.54</b> has been accounted for. The unaccounted for balance therefore is <b>Ksh. 52,899,732.46</b>				



Table 14.0: Fund accountability statement for MTAP II - EU SHARE Support

	Note	FYR 15/16 (As at 30.06.16)	CUMULATIVE (As at 31.03.16)	CUMULATIVE (As at 30.06.16)
<b>Opening Balance</b>		<b>36,022,338.02</b>		
<b>Receipts</b>				
Amount Received - EU SHARE	1	190,000,000.00	140,000,000.00	330,000,000.00
Interest earned	2	42,715.68	141,237.52	183,953.20
LOAN BORROWED FROM DANIDA A/C - REFUNDED		(75,026,828.80)	75,026,828.80	-
<b>Total Receipts</b>		<b>115,015,886.88</b>	<b>215,168,066.32</b>	<b>330,183,953.20</b>
<b>Total funds available for use</b>		<b>151,038,224.90</b>	<b>215,168,066.32</b>	<b>330,183,953.20</b>
<b>Payments</b>				
CBO Investments	3	131,207,157.00	156,261,130.00	287,468,287.00
WSTF Management fee (5%)	4	2,513,930.00	6,940,506.50	9,454,436.50
Recruitment of CRMs	5	3,348,309.30	5,534,118.25	8,882,427.55
Training & Induction of CRMs		-	1,125,170.00	1,125,170.00
Information campaign & Proposal Preparation	6	88,900.00	525,700.00	614,600.00
Capacity Building - Sub Grantees		-	5,272,780.00	5,272,780.00
Audit of Projects	7	580,000.00	2,470,611.05	3,050,611.05
Monitoring & Evaluation		105,000.00	1,000,800.00	1,105,800.00
Bank Charges	2	9,187.50	14,912.50	24,100.00
<b>Total Payments</b>		<b>137,852,483.80</b>	<b>179,145,728</b>	<b>316,998,212</b>
<b>Closing Balance</b>		<b>13,185,741.10</b>	<b>36,022,338.02</b>	<b>13,185,741.10</b>
<b>Represented by:</b>				
Cash and Bank balances		13,967,792.50	35,893,138.02	13,967,792.50
Unreturned Imprests		-	125,000.00	-
CRMS COST - JUNE 2016 - REFUND TO KFW A/C		(727,051.40)	-	(727,051.40)
WVAT - PWC - REFUND TO DANIDA A/C		(55,000.00)	-	(55,000.00)
		<b>13,185,741.10</b>	<b>36,022,338.02</b>	<b>13,185,741.10</b>
<b>Notes:</b>				
1. Ksh. 190 million was received on 27th June 2016. However, Ksh. 75,026,828.80 was borrowed from the DANIDA A/C during the year to fund EU Share Projects and additional Ksh. 40 million was also borrowed from DANIDA A/C on 23rd June 2016 to fund EU Share Projects. These amounts have been refunded to DANIDA A/C.				
2. Interest earned during the quarter was Ksh. 42,715.68 and bank charges was Ksh. 9,187.50				
3. Ksh. 131,207,157.00 was disbursed to CBOs during the quarter				
4. Ksh. 2,513,930.00 was charged as management fee. This brings the total management fee charged during the year to Ksh. 9,454,436.50. where as the total management fee should have been Ksh. 14,373,414.35 ( 5% * 287,468,287.00). The management fee balance of Ksh. 4,918,977.85 will be charged in the next financial year.				
5. Payments to CRMs for the months of March - June 2016 amounted to Ksh. 3,348,309.30				
6. Ksh. 88,900.00 was incurred under Information Campaigns to create awareness				
9. Ksh. 580,000.00 was Audit fee charged for the audit of MTAP II Projects				
12. Out of Ksh.287,468,287.00 disbursed to projects (CBO Investments) Ksh. 127,727,729.55 was accounted for during the quarter. The unaccounted for balance therefore is Ksh. 159,740,557.45				

## IFAD Financial Report

Table 15.0: Fund accountability statement for IFAD - UTaNRMP

<b>Fund Accountability Statement UTaNRMP Project 12 Months 1 July 2015 - 30 June 2016</b>				
	<b>BUDGET</b>	<b>1st July 2015 - 30th June 2016</b>		<b>Variance ( Actual Expenditure/Budget)</b>
<b>A. RECEIPTS</b>	<b>KES</b>	<b>KES</b>		<b>KES</b>
Balance Brought Forward 01.07.2015		3,557,050.00		
IFAD	<b>64,696,774.00</b>	64,696,774.00		
Interest on Balances				
<b>Totals</b>	<b>64,696,774.00</b>	<b>68,253,824.00</b>		
<b>B. PAYMENTS / EXPENDITURES</b>		<b>PAYMENTS</b>	<b>ACTUAL EXPENDITURE</b>	
1. Community Forest Associations (CFAs)	23,103,332.30	23,103,332.30	14,309,077.25	(8,794,255.05)
2. Water Users Associations (WRUAs)	24,310,177.00	24,310,177.00	8,524,445.00	(15,785,732.00)
3. Training and Capacity building for WRUAs and CFAs*	4,990,440.00	4,720,320.00	4,720,320.00	(270,120.00)
4. 15% for administrative fee for WRMA and KFS	7,112,026.40	6,015,226.40	2,779,676.30	(4,332,350.10)
5. Advertisement	2,100,000.00	2,522,538.90	2,522,538.90	422,538.90
6. 5% Administrative Fee for WSTF	3,080,798.00	2,387,088.20	2,387,088.20	(693,709.80)
7. Balance Brought Forward - 01.07.2015	3,557,050.00	1,012,713.00	1,012,713.00	(2,544,337.00)
<b>Total</b>	<b>68,253,823.70</b>	<b>64,071,395.80</b>	<b>36,255,858.65</b>	<b>(31,997,965.05)</b>
<b>BALANCE (A-B)</b>		4,182,428.20		
<b>FUND BALANCE</b>		<b>4,182,428.20</b>	-	
<b>Represented by:</b>				
<b>Cash Book Balance</b>		<b>4,837,816.40</b>		
<b>FUND BALANCE</b>		<b>4,837,816.40</b>	-	
<b>DIFFERENCE</b>		<b>(655,388.20)</b>		
*The difference of <b>Ksh. 655,388.20</b> relate to the CRMs costs for May 2016 and June 2016 which were not paid for as at 30.06.2016 even though the				
* Bank charges totalling to <b>Ksh. 30,250.00</b> have been included in this budget item and is charged under 5%				
** Funds carried over from previous period were not budgeted for. Out of the <b>KES 3,557,050.00</b> , <b>KES 982,463.00</b> has been spent on Initial Project Review Committee Activities (meeting and 1st call field verification) and Bank Charges totalling to <b>Ksh. 30,250.00</b> . Total expenditure incurred from the balance brought forward therefore is <b>Ksh.</b>				

## ANNEX 2: ASSORTED PROGRAMME PHOTOGRAPHS



*Operation maintenance training for Boka Community water & sanitation project*



*First sale of water kiosk for Boka Community water & sanitation project*



*Inspection of Zaina CFA tree nursery by the team on 6<sup>th</sup> June, 2016 in Nyeri County*

### ANNEX 3: STATUS OF MTAP II CBO PROJECTS AS AT 30TH JUNE 2016

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
1	Sericho community	Isiolo	4,500	9,733,000	9,733,000	<ol style="list-style-type: none"> <li>1) Environmental Impact assessment</li> <li>2) Expansion /desilting of 30,000m<sup>3</sup> water pan</li> <li>3) Construction of 2No.Cattle troughs</li> <li>4) Installation of solar powered pump</li> <li>5) Installation of , 2 plastic tanks of 10m3</li> <li>6) Construction of 2No. 2 door VIP latrines,</li> <li>7) Training (O &amp;M, sustainability)</li> </ol>	<ol style="list-style-type: none"> <li>1) Expansion and de-silting of Sericho water pan done.</li> <li>2) Fencing of the pan is completed.</li> <li>3) Construction of 2 troughs complete and in use.</li> <li>4) Installation of 2 No. rain water harvesting tanks done</li> <li>5) Construction of 2 No.2 door VIP Latrines done</li> <li>6) Building of pump house is complete</li> <li>7) Sign post for the works has been installed.</li> </ol>	<p><i>Overall completion is at 85%.</i></p> <p><b>Remaining works:</b></p> <ul style="list-style-type: none"> <li>- spillway not properly done</li> <li>- Installation of solar panels</li> <li>- Training on O&amp;M, sustainability</li> <li>- Project branding</li> </ul>
2	Dadacha Basa Community	Isiolo	3,000	9,969,000	9,969,000	<ol style="list-style-type: none"> <li>1) Construction of 100m<sup>3</sup> masonry tank,</li> <li>2) Repair of 100m<sup>3</sup> masonry tank,</li> <li>3) Laying of 8km distribution lines,</li> <li>4) Installation of 3 rainwater harvesting tanks,</li> <li>5) Repair 2 No. Cattle troughs &amp; 1No. New one</li> <li>6) Construction of 2 water kiosks,</li> <li>7) Construction of 3No.4 door VIP latrines</li> <li>8) CBO training - (O&amp;M, Sustainability).</li> </ol>	<ol style="list-style-type: none"> <li>1) Construction of 100m3 masonry tank complete</li> <li>2) Existing 100m3 tank repaired and in use.</li> <li>3) 5KM repair/rehabilitation pipeline is complete.</li> <li>4) Installation of 10m3 plastic tanks is complete</li> <li>5) Construction of 1 new &amp; repair of 2 cattle troughs done</li> <li>6) Construction of 2 No. water kiosk complete.</li> <li>7) Construction of 3No. 4 door VIP latrines done.</li> </ol>	<p>Overall project completion is at 75%</p> <p><b>Remaining work</b></p> <ul style="list-style-type: none"> <li>- Re-doing the 3KM new connection pipeline.</li> <li>- Reconstruction of the latrines using blocks.</li> <li>- Training in O&amp;M, sustainability</li> </ul>
3	Tuale Community	Isiolo	4,700	9,733,000	9,733,000	<ol style="list-style-type: none"> <li>1) Environmental Impact Assessment</li> <li>2) construction of 30,000m<sup>3</sup> water pan,</li> <li>3) Construction of 2No cattle troughs,</li> <li>4) Construction of 2No 2 door VIP latrines</li> <li>5) CBO training.</li> </ol>	<ol style="list-style-type: none"> <li>1) Environmental Impact Assessment.</li> <li>2) Water pan construction complete and in use</li> <li>3) Construction of 2No. 2-door VIP latrine done</li> <li>4) Sign post erection and project branding of all structures are completed.</li> </ol>	<p>Overall project completion is at 96%</p> <p><b>Remaining work</b></p> <ul style="list-style-type: none"> <li>- Follow up for EIA NEMA certificate</li> <li>- Training in O&amp;M, sustainability</li> </ul>
4	Gotu,Boji Dera	Isiolo	4,700	9,998,327	9,998,327	<ol style="list-style-type: none"> <li>1) Installation of 4No.120watts solar panels and SQ flex pump</li> <li>2) Procuring and trenching and laying of pipeline</li> <li>3) Construction of one 100m3 capacity masonry tank</li> <li>4) Fencing of tank area complete with lockable steel gate</li> <li>5) Laying of service pipeline to Gotu primary school, AP &amp; ASTO lines, and to communal water points and Irrigation farm</li> <li>6) Construction 1No. Water Kiosks</li> <li>7) Construction 2No. Cattle troughs</li> <li>8) Capacity building training (O&amp;M).</li> </ol>	<ol style="list-style-type: none"> <li>1. Solar panel purchased and installed.</li> <li>2. Laying of the pipe at Gotu was done.</li> <li>3. Construction of 100m3 tank is complete and is serving population of 1000 persons but fencing of the tank not yet done, laying service pipeline to Gotu primary , AP &amp; ASTO lines to communal water points not been completed, trenching of the pipeline is completed.</li> <li>4. Construction of 1No. Water kiosk done but of poor quality; the contractor to redo the work, works ongoing.</li> <li>5. Construction of 2 No. cattle troughs completed.</li> <li>6. Training scheduled for July 2016.</li> <li>7. Construction of intake and fencing of spring is done,</li> <li>8. Procurement and installation of pump and solar panels are complete but not tested yet,</li> </ol>	<p>Overall project completion based on weighting is at 91%.</p>

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
						<p><b>b) Boji Dera Water supply project</b> Construction intake sump and fencing of the spring area Procurement and installation of pump and solar panels Procuring and trenching and laying of pipeline Construction of 50m3 capacity masonry tank Laying of distribution line to community conservation Rangers personnel settlement and to Wildlife watering troughs Construction of 1No. Wildlife watering trough Construction of 1no. Community water point.</p> <p><b>d) )Gotu-Boji Community Sanitation project</b> Construction of 2 no. 2 door VIP latrine for Gotu Primary.</p>	<p>9. Procuring, trenching and laying of pipeline is completed. 10. Construction of 50m 3 masonry tank is complete, 11. Laying of distribution line to conservation ranger's house is complete except for the connections to the wildlife trough, the construction of wildlife trough is complete. 12. Construction of 1No community water point is complete. 13. Construction of 2No. 2 door VIP latrines in Gotu Primary is complete. 14. Branding of water tanks is completed.</p>	
5	Kawalash community	Isiolo	4,700	9,973,500	9,973,500	Conducting Environmental Impact Assessment for the construction of Kawalash pan, Construction of 30,000m3 water pan, Construction of 2 no. water troughs, CBO Training on Operation and Maintenance, 2 No. 2 door VIP latrines for Kalawash, 1No. 4 door VIP latrines for 1 no. schools for Mokori in the project area	<p>1. Environmental Impact Assessment was conducted in the stipulated time and a report has been produced .A copy of it ready for submission to WSTF and relevant institutions but NEMA has certified the report. 2. Construction of 30,000m3 water pan 100% complete with fencing. 3. Constructions of 2 No. water troughs are complete. But the depth of the trough needs to be raised because it is hard for sheep and goat to drink from. 4. Construction of 2 No. 2 door VIP latrines for Kalawash primary is complete. 5. Construction of 1 No 4 door VIP latrine at Kawalash primary completed. 6</p>	<p>Overall project completion based on weighting is at 96%</p> <p>Remaining activities; Training in O&amp;M, Sustainability to be conducted in July 2016.</p>
6	Handaki community	Wajir	2,870	9,953,500	9,953,500	EIA, construction of a 30,00m <sup>3</sup> water pan, 2No cattle troughs, 2No 2-door VIP latrines & CBO training.	<p>1. EIA is completed. 2. Water pan is incomplete with the spillway works &amp; fencing of the pan are yet to be done. 3. 2 No 2 door VIP latrines complete but privacy wall needs to be raised. 4. 2 No. cattle trough complete but wash outs need to be fixed. 5. Training to be done in July 2016. 6. Sign post has been erected.</p>	<p>Overall project completion based on weighting is at 80%.</p>
7	Turbani Community	Wajir	6,340	9,997,500	9,997,500	EIA, construction of a 30,00m <sup>3</sup> water pan, 2 cattle troughs, 2No. 2-door VIP latrines & CBO training.	<p>1. EIA is completed. 2. Water pan is yet to be completed with the construction of spillway. 3. 2 No. 2 door VIP latrines complete but privacy wall needs to be raised. 4. 2 No. cattle troughs to be completed with fixing of wash outs. 5. Fencing of the pan is complete.</p>	<p>Overall project completion based on weighting is at 83%.</p>

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
							6. Sign post has been erected & project branding completed. 7. Training scheduled for July 2016.	
8	Ingirir Gumi Gayo	Wajir	4,250	9,805,500	9,805,500	EIA, construction of a 30,00m <sup>3</sup> water pan, 2 cattle troughs, 2No. 2-door VIP latrines & CBO training.	1. EIA completed. 2. 30,000m <sup>3</sup> pan is almost complete pending construction of silt trap. 3. Construction of 2 No. cattle troughs is complete. 4. 2No.2 door VIP latrines have been completed. 5. Pan fencing on-going. 6. Project branding is done. 7. Training scheduled for July 2016.	Overall project completion based on weighting is at 85%
9	Boji Garas community	Wajir	2,571	7,075,480	7,075,480	50m <sup>3</sup> elevated steel tank, rising main & distribution lines of 2" dia; 3 km, 3 water kiosks, 6No. 10m <sup>3</sup> plastic tanks, 6No. 2 door VIP latrines, Fencing & Training	1. Construction of 6No.2door VIP latrines is complete. 2. Construction of 3No.water kiosks is complete. 3. Fabrication and installation of the steel tank is complete. 4. Project branding of the VIP latrines is completed but that of steel tank is pending. 5. O&M training scheduled for July 2016. 6. Sign post has been erected.	Overall project completion based on weighting is at 95%.
10	Wargadud community	Wajir	7,590	9,995,000	9,995,000	EIA, construction of a 30,00m <sup>3</sup> water pan, 2 cattle troughs, 2No. 2-door VIP latrines, Fencing & CBO training.	1. EIA completed. 2. Construction of 2 No. cattle troughs is complete but plastering to be redone and clear the wash-outs. 3. 2No.2door VIP latrines is completed. 4. 30,000m <sup>3</sup> earth pan is complete. 5. O&M training scheduled for July 2016.	Overall project completion based on weighting is at 91%
11	Buna community	Wajir	1,800	9,997,500	9,997,500	EIA, construction of a 30,00m <sup>3</sup> water pan, 2 cattle troughs, 2No. 2-door VIP latrines & CBO training.	1. EIA completed. 2. Pan to be expanded to reach the design capacity of 30,000m <sup>3</sup> and complete spillway and silt trap. 3. Construction of 2 No. cattle troughs is on-going. 4. 2 No. 2 Door VIP latrines is complete. 5. Fencing of water pan is complete. 6. Sign post erected & branding of VIP latrine is completed. 7. O&M training scheduled for July 2016.	Overall project completion based on weighting is at 71%
12	Manda Maweni Pipeline Extension	Lamu	700	9,230,000	9,230,000	6.5Km HDPE Pipeline extension 75mm, 63mm and 50mm dia. from Lamu Water & Sewerage Company boreholes connected from an existing elevated tank at Manda, 2No. Water kiosks, 2No. 10m <sup>3</sup> rain water harvesting tanks, training & 2No. 2-doors VIP latrines	1. Pipe line has been excavated and laid 3km. 2. Curing of all anchor blocks is done, 3. 2 kiosks almost complete. 4. The community toilets are partially complete. 5. Project branding scheduled for July 2016. 6. The first part of the pipeline that need to be laid underneath the ocean is laid and awaiting for clear waters for the 2nd part of the pipeline. 7. Construction of VIP latrines for the school are ongoing. The project is very slow due to unclear water of the sea and currents. The works are being evaluated for the release of funds to the contractor for finishing of the remaining works.	Overall project completion based on weighting is at 47%

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
13	Mkunumbi Community	Lamu	8,022	9,986,740	9,986,740	Kwa Cheka well rehabilitation, solar pump, rising main, 50m <sup>3</sup> elevated steel tank on a raised 10m high tower, 2Km HDPE 63mm dia. distribution lines from well to Mulumba, 5.2Km HDPE 63mm dia. distribution line from well to Koreni, Fence, 2No. water kiosks, 2NO. 2-doors VIP latrines with hand washing facility, branding & training.	<ol style="list-style-type: none"> <li>1. Steel tank has been erected fully and complete awaiting testing.</li> <li>2. Water piping of 7.2km is complete,</li> <li>3. Sanitation (2 blocks almost complete)</li> <li>4. Well rehabilitation is almost complete.</li> <li>5. Fencing of the well site is complete.</li> <li>6. Construction of water kiosk is completed.</li> </ol>	Overall project completion based on weighting is at 87%
14	Nairobi Area Community	Lamu	7,104	9,998,920	9,998,920	EIA, 30,000m <sup>3</sup> water pan, 2No. Water troughs, fencing, and 2No. 2-doors VIP latrines, branding & training	<ol style="list-style-type: none"> <li>1) EIA completed</li> <li>2) Pan excavated to the required capacity &amp; fenced.</li> <li>3) Gate for the pan is complete but not been fixed.</li> <li>4) Sanitation blocks are almost complete.</li> </ol>	Overall completion is at 75% 1) 2 No. water troughs . 2) 6. Project branding t
15	Pangani Pipeline Extension	Lamu	600	9,302,680	9,302,680	2.5 km 90mm HDPE pipeline extension from LAKWA boreholes, 4 km 63mm HDPE feeder pipelines, 1 km HDPE feeder pipeline, 4 No water kiosks, 2 No water harvesting structures 10m <sup>3</sup> each, 3Nos 4-door VIP, 2Nos 2-door VIP latrines, branding & training	<ol style="list-style-type: none"> <li>1. Excavation and pipe laying of 2.5km pipeline has been done.</li> <li>2. Construction of 2 kiosks is almost complete</li> <li>3. Materials for the construction of the VIP latrines for public institution have been mobilized.</li> <li>4. CBO is following up of the application for the road crossing to Kenya National Highway authority and submitting the application on 12th April 2016.</li> <li>5. Branding to be done in July</li> <li>6. O&amp;M training scheduled for July 2016.</li> </ol>	Overall project completion based on weighting is at 23%
16	Witu Watsan Project	Lamu	16,061	9,990,000	9,990,000	Fabrication & installation of 120m <sup>3</sup> elevated steel tank, water kiosk, pipeline extension, VIP latrines, branding & training	<ol style="list-style-type: none"> <li>1. Installation &amp; erection of 120m<sup>3</sup> steel elevated tank on 15m high tower complete. Piping (inlet and outlet) works and painting pending.</li> <li>2. 4.5km 63mm PN10 HDPE pipeline works ongoing with 3km trench excavated and pipe laid.</li> <li>3. 1 No. Water kiosk almost complete.</li> <li>4. Sanitation works had to be retendered and thus delays in completion of facilities.</li> <li>5. VIP latrine materials have been mobilized.</li> <li>6. Change of scope applied and approved.</li> <li>7. Training (O&amp;M, Sustainability) to be conducted when second trench is received.</li> </ol>	Overall project completion based on weighting is at 41%
17	Kone Community	Tana river	2,400	9,622,000	9,622,000	EIA, 30,000m <sup>3</sup> Water pan, 2 No. Water troughs; 2 No. 2 doors VIP latrine & Training of the CBO on Operation & Maintenance and Sustainability	<ol style="list-style-type: none"> <li>1. EIA completed.</li> <li>2. WSTF team visited the site and realized that the water pan is yet to reach the required size. Contractor and CBO instructed to ensure the works are complete.</li> <li>3. Construction of 2 No. 2 Door VIP Latrines were done to 100% completion.</li> <li>4. 2No. Animal troughs for cattle and goats completed.</li> <li>5. Construction of fence including installation of lockable steel gate &amp; chain -link was completed.</li> <li>6. Training not yet done.</li> <li>7. Branding of VIP not done.</li> </ol>	Overall project completion based on weighting is at 62%
18	Katsangani/Hurara	Tana river	10,200	9,047,500	9,047,500	Hydrogeological Surveys, 1 No. well digging	All activities are reported complete and project	Overall project completion based

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
	Community					and equipping; 1 solar powered pump installation, 10m <sup>3</sup> elevated plastic tank; 3.5Km rising main pipeline to plastic tank; 30m river crossing (6No. GI pipes class B 3", 2No. VJ coupling 3", 6No. Anchoring points & 6No. 3" sockets), 7Km uPVC 2" distribution pipeline to kiosks, 2No.yard taps and piping & training.	completion report submitted.	on weighting is at 100%
19	Aghi Community	Tana river	1,980	9,955,000	9,955,000	EIA, 30000m <sup>3</sup> Water pan, 2 No. Water troughs; 2 No. 2 doors VIP latrines & Training	All the activities reported complete and project completion report submitted.	Overall project completion based on weighting is at 100%
20	Dhidha community	Tana river	5,285	9,980,000	9,980,000	EIA, 30000m <sup>3</sup> Water pan, 2 No. water troughs (cattle & camel); 3 No. 2 doors VIP latrines & Training (O&M, sustainability)	All the activities reported complete and project completion report submitted.	Overall project completion based on weighting is at 100%
23	Gargasa (Huka Adhi)	Marsabit	1,380	9,570,000	9,570,000	Rehabilitation of earth pan: De-silting of silttrap, renovation of spillway and extension of inlet Installation of Four number four door VIP Latrine, Installation of 4 Number Rain Water Harvesting ( 2 PVC tanks of 10m <sup>3</sup> & gutter in 4 schools) .Construction of one number water trough, Training on O&M.	1. Water pan works has been completed. 2. Construction of 4No four door latrine still ongoing. 3. Rain water harvesting works is on-going. 4. 2No. 2 door VIP latrine works still ongoing.	Overall project completion based on weighting is at 83%
22	Kurkum (Kargi)	Marsabit	542	9,740,000	9,740,000	Well digging, protection and solar pump installation, Pipeline extension with of 5.2 km with raising main, pipeline extension distribution line, Installation of two number four door VIP Latrine and 2 number two door VIP, Latrine, Construction of one number water kiosk, Construction of one 25m <sup>3</sup> Capacity water tank. Training on O&M.	1. Pipeline installation and testing done 2. Construction 2No.2doorVIP latrines completed. 3. 1 No.4 door VIP latrines are completed & 1 No. 4 door is incomplete. 4. Construction of 25m <sup>3</sup> masonry tank has been completed but some leakages to be addressed. 5. Training (O&M, Sustainability) to be conducted in the month of July 2016. 6. 2 No. water kiosks have been completed but the window grill is to be changed with metallic door. 7. Sign post has been erected. 8. Branding of project structures is pending.	Overall project completion based on weighting is at 85%
24	Kubi Qallo 2 community	Marsabit	5142	9,996,782	9,996,782	1 No. 100m <sup>3</sup> masonry tank;1 No. pump house;3 No. cattle troughs;6 No. 2 door VIP latrines; 2 No. community water points; 3.6km pipeline & CBO training.	1. Pump house construction has been fully completed. 2. Installation of Genset is ongoing. 3. 2No. 2 door VIP Latrines complete but no privacy wall. 4. Construction of 100m <sup>3</sup> masonry tank is complete. 5. 3No cattle trough completed, 6. Project branding not yet done.	Overall project completion based on weighting is at 91%



NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
25	Sotowesa Community	Marsabit	3800	9,962,500	9,962,500	EIA, 30000m <sup>3</sup> Water pan, 2 No. cattle troughs; 2 No. 2 doors VIP latrines, branding, CBO training.	1. EIA has been completed. 2. Water pan completed. 3. 2 No.2 door VIP latrines work done with branding 4. Construction of 1 No.water trough completed. 5. Training scheduled for July 2016	Overall project completion based on weighting is at 100%
26	Funan Qumbi (Sololo)	Marsabit	1500	9,150,000	9,150,000	EIA, 20,000m <sup>3</sup> Water pan, 2 No. Cattle troughs; 2 No. RWH system tanks/ guttering/ branding; 3 No. 2 door VIP latrines & CBO training on O&M, sustainability.	1. EIA has been completed. 2. Water pan is reported complete, 3. 3 No 2 door VIP latrines are complete. 4. 1 No cattle trough is complete. 5. 2 No 10m <sup>3</sup> plastic tanks delivered to the site and works ongoing.	Overall project completion based on weighting is at 83%
27	Abdisamit community	Garissa	10,000	9,491,000	9,491,000	EIA, desilting & extension of 15,000m <sup>3</sup> water pan to 30,000m <sup>3</sup> , 2No.Water Troughs, 7 No. 2 door VIP latrines, 1 No. 2 Door VIP latrines for Abdisamet Dispensary & CBO training on O&M, Sustainability.	1. EIA has been completed. 2. Desilting & extension of 15,000m <sup>3</sup> completed. 3. Construction of 2 no. Water Troughs completed. 4. Construction of 8 No. 2 Door VIP latrines completed. 5. Training (O&M, Sustainability) not done. 6. Erection of Sign post/sign post pending	Overall project completion based on weighting is at 96%
28	Balich community	Garissa	4,200	8,104,983	8,104,983	Infiltration gallery & well, solar powered pump,8 km uPVC pipeline, 4 No.water Kiosks, publicity sign, branding & labeling of all structures, 8 No. 2 Door VIP latrines for community & 4 No. 2 Door VIP latrines, training.	Project completed and in use	Overall project completion based on weighting is at 100%
29	Dertu ** watsan project	Garissa	542	8,307,230	3,322,892	Supply ,installation & erection of a 50m <sup>3</sup> steel elevated tank, 9m high tower including civil works, 5 km UPVC pipeline class C 2" and 1.5" dia., 4No.Water Kiosks , New construction of 6No.cattle troughs construction, Rehabilitation of 3No. Cattle troughs, publicity Sign, branding & labeling of all structures, 2 No. 2 Door VIP Latrines for community, 2 No. 2 Door VIP Latrines for 2 schools CBO training on O&M.	1. Fabrication of steel tank ongoing. 2. Laying of 5km UPVC pipeline has been completed. 3. 4 No. Water kiosks ongoing 2 No. In Odhole and 2No. in Boransis. 5. Construction of 9 No. Water troughs complete. 6. 2 No.2door VIP latrines in Dertu mosque and Borehole are completed. 7. 2No.2door VIP latrines for 2 schools 1No.Boransis pry and 1No. In Odhole ECD is ongoing. 8. Change of scope requested and approved. 9. Training (O&M, Sustainability) scheduled for July. 10. Branding of all projected structures is pending.	Overall project completion based on weighting is at 84%
30	Ijara watsan project	Garissa	37,490	9,714,300	9,714,300	Desilting and extension of silt trap and desilting of inlets (Maah pan), 2 No. 2 Door VIP latrines for community, 4 No. 2 Door VIP latrines for 3 schools, 5 km pipeline, RC tank tower (6m High) & 2 No. plastic tanks of 10m <sup>3</sup> , 4No.Water Kiosks, 3No. Cattle troughs, solar powered pump, gated fence for water pan, publicity sign, branding & labeling of all structures & CBO training.	Project completed	Overall project completion based on weighting is at 100%
31	Kotile watsan project	Garissa	9,935	9,000,700	9,000,700	Installation & erection of 50m <sup>3</sup> steel elevated tank, 9m high tower including civil works, submersible pump, 2 km uPVC pipeline 2"	Project completed and in use.	Overall project completion based on weighting is at 100%

NO	PROJECT NAME	COUNTY	TARGET POP'N	WSTF - COMMITTED FUNDS (KSHS.)	DISBURSED FUNDS - (KSHS.)	FUNDED ACTIVITIES	IMPLEMENTATION STATUS	LEVEL OF PROJECT COMPLETION
						&1.5" dia., 4No.water Kiosks, 8No.Rain water harvesting 10m3 each, publicity Sign, branding & labeling of all structures, 2No. 2 Door VIP Latrines, 6 No. 2 Door VIP latrines for 3 schools & training.		
32	Kasha watsan project  (Well upgrade & pipeline extension)	Garissa	6,700	7,891,183	7,891,183	Well upgrade & pipeline extension, RC tank tower of 6m High, 2 No. plastic tanks of 10m <sup>3</sup> , solar powered pump, 9 km uPVC pipeline, 4No. Water Kiosks, Rain water harvesting for 2 schools – 2No. 10m <sup>3</sup> plastic tanks, concrete base, fittings, & guttering, 6 No. 2 Door VIP latrines - 2 No. for community, 4 No. for 3 schools, Branding & CBO training.	<ol style="list-style-type: none"> <li>1. RC Tank Tower (6mHigh) &amp; 2No.</li> <li>2. Plastic tank of 10m3 has been installed.</li> <li>3. Installation of solar powered pump proposed to be changed to 3No. VIP latrines and 1No.water kiosk.</li> <li>4. Laying of 9km Upvc pipeline has been completed.</li> <li>5. 4No. water kiosks (Bulla Hagar, Bulla Hodhan, Kasha market, &amp; Kasha dispensary) have been completed awaiting branding.</li> <li>6.2No.Rain harvesting for schools. The tanks were delivered awaiting to be installed.</li> <li>7. 2No.2door VIP latrines for community (Waanri and Bulla Hagar) have been completed.</li> <li>8. 4No.2door VIP latrines for 3 schools (2No.Kasha Primary, 1No.Dabeiley pry &amp; 1No.Hirbai ECD).3No. Has been completed and 1No. At Kasha pry remaining.</li> <li>9. Change of scope requested and approved.</li> <li>10. Installation of 3No. 2-Door VIP latrines.</li> <li>11. Construction of 1No Water Kiosk &amp; project branding of all structures is pending.</li> <li>12. Training for O&amp;M scheduled for July 2016.</li> </ol>	Overall project completion based on weighting is at 77%
	<b>Total</b>		<b>181,998</b>	<b>303,967,825</b>	<b>298,983,487</b>			

#### ANNEX 4: STATUS OF J6P WATER PROJECTS AS AT 30TH JUNE 2016

No	County	Water Utility name	Project name	Target population	WSTF Contribution - Kshs.s	County contribution - Kshs.s	Overall project costs - Kshs.s	Proposed activities	Implementation status
1	Narok	Narok Water Company	Mulot Water Project	29,566	19,523,785	7,228,550	26,752,335	32m3/hr conventional treatment plant, 2No. 100m3 masonry clear water pump, 50m3 backwash system; rehabilitation of and expansion of existing pump house; purchase and installation of electric pumping set high lift; 50m3 masonry & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
2	Narok	Narok Water Company	Emurua Dikirr	20,000	30,005,112	12,442,800.00	42,447,912	32m3/hr conventional water treatment plant; 11.2km pipeline, 2No. Solar pumping units, 1 no. kiosk, 2No. 50m3 masonry tanks, 1No. Spring protection box; 1 no. 100m3 masonry tank; 100No. Consumer meters; 2No. bulk meters & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
3	Narok	Narok Water Company	Kilgoris Water Project	15,000	15,279,568	4,490,000.00	19,769,568	32m3/hr conventional treatment plant; 1 No masonry 100m3; Rising main; accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
4	Migori	Migori County Water & Sanitation Co. Ltd	Rongo Water & Sanitation Project	7,956	13,622,663	5,142,894.00	18,765,557	Expansion of existing water supply, construction of a CFU, water kiosks, 225m3 masonry storage tank	Funds disbursed in June 2016; procurement to commence in mid July 2016.
5	Migori	Migori County Water & Sanitation Co. Ltd	Kigonga Water & Sanitation Project	5,502	13,303,444	5,195,265.00	18,498,709	Upgrading of high & low lift pumps, installation of motor, 11km distribution line, 3No. Water kiosks, 3No. masonry storage tanks of 50m3 & 100m3	Funds disbursed in June 2016; procurement to commence in mid July 2016.
6	Migori	Nyakona Water Users Association	Nyakona Water Users Association	4,200	16,073,635	5,437,388.00	21,511,023	Developing of Giribe springs, upgrading of borehole pump from electric to solar, laying of 12 km distribution line, water kiosks, 50m3 masonry storage tanks	Funds disbursed in June 2016; procurement to commence in mid July 2016.
7	Migori	Nyanduong C Community Water Supply	Nyanduong C Community Water Supply	4,600	14,534,264	5,355,500.00	19,889,764	Drilling of a new borehole and upgrading the electric driven pump with a solar pump, improving the distribution lines, installation of master/individual meters, constructing of water kiosks	Funds disbursed in June 2016; procurement to commence in mid July 2016.
8	Migori	Migori County Water & Sanitation Co. Ltd	Uriri/Bware Water & Sanitation Project	4,780	14,110,234	4,758,140	18,868,374	Expansion of 16km water supply, distribution lines, high lift pump, 5No. water kiosks	Funds disbursed in June 2016; procurement to commence in mid July 2016.
9	Migori	Nyasare Water & Sanitation Co. Ltd	Nyasare Water & Sanitation	4,850	15,406,030	4,654,550	20,060,580	Upgrading of borehole at Waseta, construction of storage tank, 100m3 rehabilitation of spring and new springs at Kakaro sub location, laying of 12.5km distribution lines	Funds disbursed in June 2016; procurement to commence in mid July 2016.
10	Nandi	Lelmokwo Water Water Users Association	Lelmokwo Water Water Users Association	5,700	12,601,000	4,952,000	17,553,000	Rehabilitation of water supply, 1.5km laying of raising main, 2km distribution line, 100m3 elevated steel tank, 2No. Water kiosks, consumer meters	Funds have not been disbursed due to a challenge in registration of water utility.

No	County	Water Utility name	Project name	Target population	WSTF Contribution - Kshs.s	County contribution - Kshs.s	Overall project costs - Kshs.s	Proposed activities	Implementation status
11	Nandi	Kobujoi community Water Users Association	Kobujoi community Water Users Association	5,500	12,211,216	4,597,320	16,808,536	Expansion of existing water supply by installation of a hydram pump, constructing 2No. 100m3 masonry tanks, 2.7km rising and distribution line, water kiosks	Funds disbursed in June 2016; procurement to commence in mid July 2016.
12	Nandi	Cheptil dam Water Users association	Cheptil dam Water Users association	7,000	12,094,000	5,159,000	17,253,000	Expansion of existing water supply by upgrading the low & high lift pumps, constructing 50m3 masonry tanks, replacing the rising and distribution line of 24km, construction of a CFU, water kiosks and VIP latrines	Funds disbursed in June 2016; procurement to commence in mid July 2016.
13	Nandi	Kimatkei/Kipkoil Water Water Users Association	Kimatkei/Kipkoil Water Water Users Association	5,700	12,419,200	5,241,600	17,660,800	27km Expansion of existing water supply by improving the distribution lines, installation of master/500No. individual meters, 2No.water kiosks,	Funds disbursed in June 2016; procurement to commence in mid July 2016.
14	Nandi	Kimng'oror Water Users association	Kimng'oror Water Users association	7,000	17,246,252	2,571,000	19,817,252	Expansion of existing water supply by upgrading the low & high lift pumps, constructing 2No. 50m3 masonry tanks, replacing the rising main of 1.6km and 12.2km distribution line, construction of a CFU, water kiosks	Funds have not been disbursed due to a challenge in registration of water utility.
15	Tharaka Nithi	Kamwene Water Users Association	Kamwene Water Project	6,000	6,741,315	3,559,800	10,301,115	18.7km Pipeline extension, 100m3 masonry tank, consumer & bulk meters & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
16	Tharaka Nithi	Nithi Water & Sanitation Project	Kathwana Water Project	9,550	21,222,434	18,316,914	39,539,348	New intake works, 3000m3/day capacity treatment works, 225m3 storage tank, 1 no. 100m3 storage tank, 20KM pipeline mains and 16.9km distribution lines	Funds disbursed in June 2016; procurement to commence in mid July 2016.
17	Tharaka Nithi	Nithi Water & Sanitation Project	Augmentation of Kibunga Kakimiki Water Project	4,542	20,880,748	9,868,580	30,749,328	21.5km pipeline extension, 100m3 storage tank, consumer & bulk meters & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
18	Tharaka Nithi	Nithi Water & Sanitation Project	Augmentation of Mutonga Gituma Water Project	3,607	4,173,380	1,404,504	5,577,884	Replace solar battery, rapid sand filtration unit rehabilitation, power supply connection, consumer & bulk meters & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
19	Tharaka Nithi	Murugi Mugumango Water Society	Augmentation of Murugi Mugumango	20,700	7,340,592	1,961,400	9,301,992	25no. Bulk meters,1000 no. consumer meters, 1 no. meter testing equipment, 25 no. valve chambers, accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
20	Tharaka Nithi	Muthambi 4K Water Association	Water project augmentation & sanitation project	12,900	3,782,125	945,700	4,727,825	8 no. master meters, 4km pipeline, chlorination	Funds disbursed in June 2016; procurement to commence in mid July 2016.
21	Laikipia	Nyahururu water and sewerage company	Limunga Water Project	3,000	12,024,026	5,153,154	17,177,180	135m3 Masonry tank, 12.8km Pipelines, 2 no. Water kiosks - In Situ & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
22	Laikipia	Nanyuki Water & Sewerage Company	Katheri Nariginu sanitation project	15,000	15,556,853	6,667,222	22,224,075	20.2km Pipeline extension, 100m3 sectional steel tank & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.

No	County	Water Utility name	Project name	Target population	WSTF Contribution - Kshs.s	County contribution - Kshs.s	Overall project costs - Kshs.s	Proposed activities	Implementation status
23	Laikipia	Sipili Borehole Water Users Association	Sipili Water Project	10,000	15,599,561	6,685,526	22,285,087	Borehole drilling & equipping, 3 phase power connection, 50m <sup>3</sup> galvanized steel tank on 15m steel tower, 2No. Water kiosks, 12km pipeline, power house, consumer meters, and 3No. Steel towers & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
24	Laikipia	Doldol water and sanitation association	Luisikut Sanitation Project	15,000	14,288,051	6,123,450	20,411,501	Hydro geological survey, EIA, borehole drilling & equipping, 50m <sup>3</sup> & 75m <sup>3</sup> storage tanks, rising main, power house, electricity connection, pump & solar installation & accompanying measures	Funds have not been disbursed due to a challenge in registration of water utility.
25	Laikipia	Sirimon Self Help Water Project	Sirimon Self Help Water Project	15,000	12,552,820	5,379,780	17,932,600	Rehabilitation of main valve chamber, Chemical dosing unit, Rehabilitation of the main tank	Funds disbursed in June 2016; procurement to commence in mid July 2016.
26	Kwale	Majimboni Muungano Water Self Help Group	Majimboni Muungano Water Self Help Project	6,896	15,431,925	4,257,126	19,689,051	Pipeline extension, 100m <sup>3</sup> masonry tank, 1No. Water kiosks, 3No. 10m <sup>3</sup> plastic tanks, rehabilitation of intake works, Composite Filtration Unit (CFU), pumping unit & accompanying measures.	Funds disbursed in June 2016; procurement to commence in mid July 2016.
27	Kwale	Mwangani Community Water Users Association	Mwangani Community Water Project	6,400	10,322,367	3,119,488	13,441,855	Pipeline extension, 100m <sup>3</sup> masonry tank, 6No. Water kiosks & accompanying measures.	Funds disbursed in June 2016; procurement to commence in mid July 2016.
28	Kwale	Mrima Borehole & Pipe Extension Project	Mrima Water Project	8,564	10,903,312	4,652,517	15,555,829	Pipeline extension, 100m <sup>3</sup> masonry tank, 3No. 10m <sup>3</sup> plastic tanks, 5No. Water kiosks, supply, install new pump & accompanying measures.	Funds disbursed in June 2016; procurement to commence in mid July 2016.
29	Kwale	Panama Shimoni Water Project	Panama Shimoni Water Project	12,500	8,124,179	3,586,640	11,710,819	4km Pipeline extension, 72m <sup>3</sup> elevated steel tank, renovation of 5No. Existing water kiosks, construction of 4No. Water kiosk, construction of 5No. tank support, supply, install of new pump & accompanying measures	Funds disbursed in June 2016; procurement to commence in mid July 2016.
30	Kwale	Kwale Water & Sewerage Company Limited	Godoni - Chitsanze Water Supply Project	7,037	15,900,133	-	15,900,133	7km Pipeline extensions, 2No. Water kiosks, 64m <sup>3</sup> sectional tank, consumer bulk meters & accompanying measures.	Funds disbursed in June 2016; procurement to commence in mid July 2016.
31	Kwale	Kwale Water & Sewerage Company Limited	Taru Gatsakuleni Water Project	1,500	8,622,614	-	8,622,614	4km Pipeline extensions, 3No. Water kiosks, consumer bulk meters & accompanying measures.	Funds disbursed in June 2016; procurement to commence in mid July 2016.
<b>Total</b>				<b>285,550</b>	<b>421,896,838</b>	<b>158,907,808</b>	<b>580,804,646</b>		

#### ANNEX 5: STATUS OF J6P SANITATION PROJECTS AS AT 30TH JUNE 2016

NO	COUNTY	WATER UTILITY NAME	PROJECT NAME	TARGET POPULATION	WSTF CONTRIBUTION - KSHS.S	COUNTY CONTRIBUTION KSHS.S	OVERALL PROJECT COSTS - KSHS.S	PROPOSED ACTIVITIES	IMPLEMENTATION STATUS
1	Migori	Migori county watsan Co. Ltd	Rongo-Riosiri sanitation project	400	1,157,110.00	84,000	1,241,110	Construction of 4 door VIP latrines in 3 schools; sensitization & awareness, hygiene promotion for schools, Barazas & dramas	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016.

NO	COUNTY	WATER UTILITY NAME	PROJECT NAME	TARGET POPULATION	WSTF CONTRIBUTION - KSHS.S	COUNTY CONTRIBUTION KSHS.S	OVERALL PROJECT COSTS - KSHS.S	PROPOSED ACTIVITIES	IMPLEMENTATION STATUS
2	Migori	Nyanduong water users association	Nyanduong Sanitation	400	1,501,480.00	84,000	1,585,480	Construction of 4 door VIP latrines in 4 schools; sensitization & awareness, hygiene promotion for schools, Barasas & dramas	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
3	Migori	Nyakona water users association	Nyakona Sanitation project	400	1,501,480.00	84,000	1,585,480	Construction of 4 door VIP latrines in 4 schools; sensitization & awareness, hygiene promotion for schools, Barasas & dramas	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
4	Migori	Migori county watsan Co. Ltd	Kegonga Sanitation	300	961,110.00	84,000	1,045,110	Construction of 4 door VIP latrines in 3 schools; sensitization & awareness, hygiene promotion for schools, Barasas & dramas	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
5	Migori	Migori County Water & Sanitation Co. Ltd	Uriri/Bware Sanitation Project	300	1,011,110	-	1,011,110	Hygiene promotion & 4 door VIP latrine in 3 schools	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
6	Migori	Nyasare Water & Sanitation Co. Ltd	Nyasare Sanitation	300	1,029,110	-	1,029,110	Hygiene promotion & 4 door VIP latrine in 3 schools	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
7	Nandi	Lelmokwo Water Users Association	Lelmokwo Sanitation project	300	2,000,000	420,000	2,420,000	Hygiene promotion & 10No. 2 door VIP latrine in 6 schools	Funds have not been disbursed due to a challenge in registration of water utility.
8	Nandi	Kobujoi community Water Users Association	Kobujoi Sanitation	400	2,156,000	924,000	3,080,000	Hygiene promotion, barazas, awareness creation & 8No. 2 door VIP latrine in 6 schools	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
9	Nandi	Cheptil dam Water Users association	Cheptil Sanitation project	500	1,766,800	757,200	2,524,000	Hygiene promotion, barazas, awareness creation & 10No. 2 door VIP latrine in 5 schools	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
10	Nandi	Kimatkei/Kipkoil Water Water Users Association	Kimatkei/Kipkoil Sanitation	400	1,766,800	757,200	2,524,000	Hygiene promotion, barazas, awareness creation & 8No. 2 door VIP latrine in 4 schools	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
11	Nandi	Kimng'oror Water Users association	Kimng'oror Sanitation	300	196,000	2,044,000	2,240,000	Hygiene promotion, barazas, awareness creation & 6No. 2 door VIP latrine in 3schools	Funds have not been disbursed due to a challenge in registration of water utility.
12	Tharaka Nithi	Kamwene Water Project	Kamwene Sanitation	50	330,489	-	330,489	Hygiene promotion, barazas, awareness creation & 2 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016

NO	COUNTY	WATER UTILITY NAME	PROJECT NAME	TARGET POPULATION	WSTF CONTRIBUTION - KSHS.S	COUNTY CONTRIBUTION KSHS.S	OVERALL PROJECT COSTS - KSHS.S	PROPOSED ACTIVITIES	IMPLEMENTATION STATUS
13	Tharaka Nithi	Nithi Water & Sanitation Project	Kathwana Sanitation	300	2,262,800	-	2,262,800	4 door pour flush public toilet, 2No. 2 door VIP latrine, 4 door VIP latrine & hygiene promotion	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
14	Tharaka Nithi	Nithi Water & Sanitation Project	Kibunga Kakimiki Sanitation	100	610,978	-	610,978	2No. 2 door VIP latrine & hygiene promotion	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
15	Tharaka Nithi	Murugi Mugumango Water Society	Murugi Mugumango sanitation project	50	330,489	-	330,489	1 no. 2 door VIP latrine; hygiene promotion activities	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
16	Tharaka Nithi	Muthambi 4K Water Association	Muthambi sanitation project	50	330,489	-	330,489	1 no.2 door VIP latrine & hygiene promotion	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
17	Laikipia	Nyahururu water and sewerage company	Limunga Sanitation Project	300	1,213,055	519,881	1,732,936	2No. 2 door VIP latrine, 2No. 4 door VIP latrine & hygiene promotion	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
18	Laikipia	Nanyuki Water & Sewerage Company	Katheri Nariginu sanitation project	200	449,049	192,450	641,499	2No. 2 door VIP latrine, lining of 4 door latrines & hygiene promotion	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
19	Laikipia	Doldol water and sanitation association	Luisikut Sanitation Project	100	525,000	225,000	750,000	Hygiene promotion, 2 no.2 door VIP latrine-disability friendly	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
20	Laikipia	Sirimon Self Help Group	Sirimon Sanitation Project	100	449,049	192,450	641,499	Hygiene promotion, 2 no.2 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
21	Kwale	Majimboni Muungano Water Self Help Group	Majimboni Muungano Sanitation Project	150	798,079	225,099	1,023,178	Hygiene promotion & 6 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
22	Kwale	Mwangani Community Water Users Association	Mwangani Sanitation Project	150	853,195	219,983	1,073,178	Hygiene promotion & 6 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
23	Kwale	Mrima Borehole & Pipe Extension Project	Mrima Water Project	150	1,221,000	-	1,221,000	Hygiene promotion & 6 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016

NO	COUNTY	WATER UTILITY NAME	PROJECT NAME	TARGET POPULATION	WSTF CONTRIBUTION - KSHS.S	COUNTY CONTRIBUTION KSHS.S	OVERALL PROJECT COSTS - KSHS.S	PROPOSED ACTIVITIES	IMPLEMENTATION STATUS
24	Kwale	Panama Shimoni Water Project	Panama Shimoni Sanitation Project	150	1,087,500	412,500	1,500,000	Hygiene promotion & 6 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
25	Kwale	Kwale Water & Sewerage Company Limited	Magombani Rural Sanitation Project	150	923,779	-	923,779	Hygiene promotion & 6 door VIP latrine	Funds disbursed in June 2016, Procurement and financial training to be done in July, 2016
		<b>Total</b>		<b>6,000</b>	<b>26,431,951</b>	<b>7,225,763</b>	<b>33,657,714</b>		



## ANNEX 6: STATUS OF J6P WATER RESOURCES PROJECTS AS AT 30TH JUNE 2016

No.	WRUA Project Name	County	Total Approved amounts (Kshs.s)	1 <sup>st</sup> Tranche Amount Disbursed (Kshs.s)	Activities Funded	Progress
1.	Mbuguni	Kwale	4,991,400	2,696,820	<ul style="list-style-type: none"> <li>➤ SCMP review,</li> <li>➤ Installation of 10 RWH tanks of 10m<sup>3</sup> each in public institutions,</li> <li>➤ Planting of 10,000 trees and fruit seedlings,</li> <li>➤ Construction of Djabia at Mwachipanga</li> </ul>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
2.	Mwachiga	Kwale	1,367,000	956,900	<ul style="list-style-type: none"> <li>➤ Capacity building and SCMP development,</li> <li>➤ Planning meeting,</li> <li>➤ Capacity building meeting,</li> <li>➤ SCMP development workKshs.op,</li> <li>➤ Compilation and ratification</li> </ul>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
3.	Loisukut	Laikipia	9,372,200	3,497,235	<ul style="list-style-type: none"> <li>➤ SCMP review,</li> <li>➤ Institutional development – exchange visits and Training on governance and financial management,</li> <li>➤ Construction of 3 gabions,</li> <li>➤ Construction of 3 sub-surface dams,</li> <li>➤ Rehabilitation of Ilkinyei spillway,</li> <li>➤ Installation of 15 RWH tanks of 10m<sup>3</sup> each in public institutions</li> </ul>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
4.	Lower Oyani	Migori	4,306,350	1,815,625.00	<ul style="list-style-type: none"> <li>➤ Riparian land marking – 5km,</li> <li>➤ Construction of 200 gabions and terraces,</li> <li>➤ Installation of 15 RWH tanks of 10m<sup>3</sup> each in public institutions</li> </ul>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
5.	Tebesi Gwitonyi	Migori	1,406,800	902,020	<ul style="list-style-type: none"> <li>➤ Capacity building and SCMP development,</li> <li>➤ Planning meeting,</li> <li>➤ Capacity building meeting,</li> <li>➤ SCMP development workKshs.op,</li> </ul> <p>Compilation and ratification</p>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
6.	Korondo Nyasare	Migori	4,402,250	997,810	<ul style="list-style-type: none"> <li>➤ SCMP review,</li> <li>➤ Water resource protection - Capacity building of the community on water and sanitation</li> <li>➤ Catchment conservation – Protection of riparian area, planting of 7,000 trees,</li> <li>➤ Protection of 2 no. Springs</li> <li>➤ Installation of 7 RWH tanks of 10m<sup>3</sup> each in public institutions,</li> </ul>	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.

No.	WRUA Project Name	County	Total Approved amounts (Kshs.s)	1 <sup>st</sup> Tranche Amount Disbursed (Kshs.s)	Activities Funded	Progress
7.	Riana Musache	Migori	1,406,800	902,020	Capacity building and SCMP development, ➤ Planning meeting, ➤ Capacity building meeting, ➤ SCMP development workshs.op,  Compilation and ratification	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
8.	Enkare Narok	Narok	4,983,200	1,779,505	➤ SCMP review, ➤ Catchment protection – riparian land pegging, spring protection and planting of bamboo trees ➤ Installation of 7 RWH tanks of 10m <sup>3</sup> each in public institutions and tree nurseries, ➤ Flood management and control, ➤ Abstraction survey	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
9.	Naroosura	Narok	4,428,863	1,684,144	➤ SCMP review, ➤ Installation of 7 RWH tanks of 10m <sup>3</sup> each in public institutions, ➤ Abstraction survey, ➤ Catchment protection – spring protection, planting of 4,000 tree seedlings	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.
10.	South Maara	Tharaka Nithi	4,964,900	2,089,430	➤ Installation of 8 no 10m <sup>3</sup> water harvesting and storage tanks in public institutions, ➤ Riparian land conservation – 50km riparian land pegging, planting of 10,000 trees ➤ Rehabilitation of Mpwii swamp	1 <sup>st</sup> disbursement made in June 2016; financial and procurement training scheduled for July 2016.

## ANNEX 7: STATUS OF MTAP II WATER RESOURCES PROGRAMME

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
1	Gallan Gof	Level 2	Isiolo	4,815,500	4,815,500	<p><b>1<sup>st</sup> Disbursement;</b></p> <p>1) Purchase &amp; installation of 8No. RWH tanks</p> <p>2) WRUA capacity building on proposal development; project management &amp; procurement &amp; Accounting</p> <p>3) Baseline survey on Water Resources &amp; Socio- economic status</p> <p><b>2<sup>nd</sup> Disbursement (disbursed)</b></p> <p>Construction of a 23m wide sand dam along Duse Lagha</p>	<p>1) 2 days Training on proposal writing, procurement and financial Management done</p> <p>2) Baseline Survey and water resource mapping has been done.</p> <p>3) 8 tanks procured, delivered and installed at the following schools and dispensaries include;</p> <p>i) G/Tulla –Dawa, Matagari and Nagaa Pri. School.</p> <p>ii) Duse – Duse Dispensary.</p> <p>iii) Eldera- Eldera dispensary and Eldera Pri.school</p> <p>iv) Modogashe- Omara Primary School and Modogashe Dispensary</p> <p>4) Construction of a 23m wide sand dam along Duse Lagha – Kshs.2,595,600.00 done</p>
2	Sericho	Level 2	Isiolo	4,815,500	4,815,500	<p><b>1<sup>st</sup> Disbursement</b></p> <p>1) Purchase &amp; installation of 8No. RWH tanks in public schools &amp; dispensaries</p> <p>2) WRUA Capacity Building on proposal devt; project management &amp; procurement &amp; Accounting</p> <p>3) Baseline Survey on Water Resources &amp; Socio- economic status</p> <p><b>2<sup>nd</sup> Disbursement (disbursed)</b></p> <p>1) Construction of a 23m wide sand dam along Duse Lagha</p>	<p>1) 2 days Baseline Survey and water resource mapping done</p> <p>2) Training on proposal writing, procurement and financial Management done on 9th and 10th of Dec 2015</p> <p>3) Procuring, delivery and installation of 8 tanks has been done The beneficiary schools for Tanks are:</p> <p>i) IresaHaboru –Iresahaboru dispensary and Malkamasa Primary School.</p> <p>ii) Badana – Badana Dispensary.</p> <p>iii) Biliqi- Biliqi Primary school</p> <p>iv) Sericho- Sericho Health Centre and Bari Girls primary School.</p> <p>v) Gubatu- Gubatu Dispensary</p> <p>vi) Qone- Qone primary School</p> <p>4) Construction of a 23m wide sand dam along Duse Lagha done</p>
3	Garfasa	Level 2	Isiolo	4,917,000	4,917,000	<p><b>1<sup>st</sup> Disbursement</b></p> <p>1) Carry out Baseline survey and water resource mapping</p> <p>2) Construct 8 No. Roof water harvesting tanks</p> <p>3) carry out capacity building for the WRUA on proposal writing, project management, Procurement</p> <p>4) Accounting</p> <p><b>2<sup>nd</sup> Disbursement (disbursed)</b></p> <p>1) Construct 1 Sand dam at MARKADAKA LAGHA</p>	<p>1) 2 days Training on proposal writing, procurement and financial Management done on 7th and 8th December 2015</p> <p>2) Baseline Survey and water resource mapping done</p> <p>3) Procuring, delivery and installation of the 8 tanks to schools and dispensaries done. The beneficiaries for the Tanks are:</p> <p>i) Malkadaka –Malkadaka Dispensary and Primary School.</p> <p>ii) Gafarsa – Gafarsa Dispensary and Abagarse Primary School</p> <p>iii) Muchuro- Muchuro dispensary and Primary school</p> <p>iv) Kombola- Kombola Primary School</p> <p>v) Belgeshe- Belgeshe Primary</p> <p>Construction of 1 Sand dam at MARKADAKA LAGHA done</p>
4	Wama	Level 2	Marsabit	4,193,940	4,193,940	<p><b>1<sup>st</sup> Disbursement</b></p> <p>1) Purchase &amp; Install 15 No.10m<sup>3</sup> RWH tanks in 4 public schools &amp; 5mosques</p> <p>2) Exchange Visit Tour – Kshs.258,000.00</p> <p><b>2<sup>nd</sup> Disbursement (disbursed)</b></p> <p>1) Livelihood Activity - bee keeping</p> <p>2) Construct 6 Water troughs,</p> <p>3) Sensitization meetings on water protection from pollution,</p> <p>4) Water quality testing</p>	<p>1) Exchange tour done</p> <p>2) Installation of 15 tanks complete</p> <p>.</p> <p>1) Livelihood Activity - bee hives procured and installed</p> <p>2) Construct 6 Water troughs, Sensitisation meetings on water protection from pollution and Water quality testing done</p>
5	Balesa- Dukana	Level 1	Marsabit	1,151,300	1,151,300	<p><b>One Disbursement</b></p>	

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						<ol style="list-style-type: none"> <li>1) Planning meeting Capacity building workKshs.op</li> <li>2) SCMP development workKshs.op</li> <li>3) Compilation of the SCMP</li> <li>4) Ratification of the SCMP</li> </ol>	<ol style="list-style-type: none"> <li>1. Capacity building done,</li> <li>2. SCMP developed, compiled and ratified</li> </ol>
6	North Horr	Level 2	Marsabit	4,124,040	3,172,350	<p><b>1<sup>st</sup> Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Carry out Abstraction and pollution survey along North Horr river</li> <li>2) Install 11 No. 10m<sup>3</sup> plastic tanks</li> </ol> <p><b>2<sup>nd</sup> Disbursement (not disbursed)</b></p> <ol style="list-style-type: none"> <li>1) Construct 10 No. bee hives</li> <li>2) Construct 6 troughs, carry out sensitization ,meetings and carry out water quality testing</li> <li>3) Accountant</li> </ol>	<ol style="list-style-type: none"> <li>1. Abstraction and pollution survey done along North Horr river done</li> <li>2. 11 no. tanks installed</li> </ol>
7	Griftu	Level 1	Wajir	1,151,300	1,151,300	<p><b>One Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Planning meeting Capacity building workKshs.op</li> <li>2) SCMP development workKshs.op</li> <li>1) Compilation of the SCMP</li> <li>2) Ratification of the SCMP</li> </ol>	<ol style="list-style-type: none"> <li>1. Capacity building done,</li> <li>2. SCMP developed, compiled and ratified</li> </ol>
8	Wara	Level 1	Wajir	1,152,100	1,152,100	<p><b>One Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Planning meeting Capacity building workKshs.op</li> <li>2) SCMP development workKshs.op</li> <li>3) Compilation of the SCMP</li> <li>4) Ratification of the SCMP</li> </ol>	<ol style="list-style-type: none"> <li>1. Capacity building done,</li> <li>2. SCMP developed, compiled and ratified</li> </ol>
9	Ewaso Habaswein	Level 2	Wajir	4,877,350	3,673,350	<p><b>1<sup>st</sup> Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Collect hydrological, landuse, population and economic activities data.</li> <li>2) Fencing Alan lus water pan (100x150m) and construction of waste disposal pit.</li> <li>3) Riparian Identification and pegging Ewaso &amp; lag dima 8 days</li> <li>4) Soil and water conservation (Gabion) Lag dima</li> <li>5) Establishment of a tree nursery at Habaswein</li> <li>6) Purchase &amp; installing 9 no. 10m<sup>3</sup> tanks/sensitization/shed construction</li> <li>7) Sensitization of stakeholders on riparian identification and pegging</li> </ol> <p><b>2<sup>nd</sup> Disbursement (not disbursed)</b></p> <ol style="list-style-type: none"> <li>1) Climate change-</li> <li>2) Project monitoring &amp; reporting training workKshs.op</li> <li>3) Livelihood component(greenhouses) –</li> <li>4) Accounting</li> </ol>	<ol style="list-style-type: none"> <li>1) Collection of hydrological, land use, population and economic activities data done.</li> <li>2) Fencing Alan lus water pan (100x150m) and construction of waste disposal pit done.</li> <li>3) Riparian Identification and pegging Ewaso &amp; lag dima 8days – done</li> <li>4) Soil and water conservation (Gabion) Lag dima – done</li> <li>5) Establishment of a tree nursery at Habaswein-done</li> <li>6) Purchase &amp; installing 9 no. 10m<sup>3</sup> tanks/sensitization/shed construction- done</li> </ol>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
10	Buna	Level 2	Wajir	4,300,050	2,401,700	<p><b>1<sup>st</sup> Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Collect hydrological, landuse, population and economic activities Protection of 1No spring and fencing of 2 No.</li> <li>2) Domestic water pans (200m x 150m) Ingirir and Buna.</li> <li>3) Construction of waste disposal pit</li> <li>4) Construction of green houses on demo farms and a tank</li> </ol> <p><b>2<sup>nd</sup> Disbursement (not disbursed)</b></p> <ol style="list-style-type: none"> <li>1) Sensitization on resilience building through</li> <li>2) restocking and Protection of grazing patterns</li> <li>3) Project monitoring &amp;reporting training workKshs.op</li> <li>4) Accounting.</li> <li>5) Development of 1 No tree nursery 25mx30m</li> <li>6) Flood management</li> </ol>	<ol style="list-style-type: none"> <li>1) Collection of hydrological, land use, population and economic activities data done.</li> <li>2) Protection of 1No spring and fencing of 2 No. domestic water pans (200m x 150m) Ingirir and Buna done</li> <li>3) Construction of waste disposal pits done</li> <li>4) Construction of green houses on demo farms and a tank done.</li> </ol>
11	Kulamawe	Level 2	Isiolo	1,999,200	1,999,200	<p><b>One Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Baseline survey</li> <li>2) Monitoring &amp; checking compliance of water users</li> <li>3) Pegging &amp; marking riparian area</li> <li>4) Sensitization of riparian landowners</li> <li>5) Tree planting</li> <li>6) Education tour</li> <li>7) Protection &amp; conservation of springs</li> <li>8) Promotion of RWH</li> <li>9) Financial management training &amp; accounts preparation</li> </ol>	<ol style="list-style-type: none"> <li>1) Baseline Survey and water resource mapping done</li> <li>2) 2 days Training on proposal writing, procurement and financial Management has been done.</li> <li>3) Tree planting done at Kulamawe Day secondary School and Action aid Farm done.</li> <li>4) Protection of Kuro spring not done and instead they changed to fencing of Tawakal water pan and planting trees around the pan , they say that Kuro spring are not occupied by people</li> <li>5) Procurement, delivery and installation of 3 tanks done.</li> </ol> <p>The beneficiary schools for Tanks are:  •Kulamawe – Kulamawe Day Secondary school.  •Boji – Boji Primary School  •Barambate- Abakiri Primary school</p> <ol style="list-style-type: none"> <li>6) Exchange tour done.</li> </ol> <p><b>Challenges</b>  There is no settlement at kuro spring so planting of trees around the spring is a challenge because nobody will water it</p>
12	Gotu	Level 2	Isiolo	1,886,764	1,886,764	<p><b>One Disbursement</b></p> <ol style="list-style-type: none"> <li>1) Catchment Mapping and Preliminary Identification of Abstractors</li> <li>2) Survey ,design for Construction of 2 Watering Troughs and protection &amp; fencing of 2 springs</li> <li>3) Stretch of 5 km along Ewaso Ngiro River planted with trees on both sides</li> </ol>	<ol style="list-style-type: none"> <li>1) Baseline Survey and water resource mapping done</li> <li>2) 2 days Training on proposal writing, procurement and financial Management done.</li> <li>3) Tree planting done in mosque compound, AP line, Along riverine done</li> <li>4) Cattle trough done</li> <li>5) Procurement, delivery and installation of 3 tanks done for beneficiary institutions</li> </ol>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						4) Inventory survey to determine the cost estimates (BoQs) on rehabilitation of 6 No. water pans 5) RWH plastic Tanks for 3 primary schools. 6) Training on Finance, procurement, Budgeting & Accounting process	The beneficiary schools for Tanks are: •Gotu –Gotu primary school. •Gachuru – Gachuru Primary School •Yaqabarsadi - Yaqabarsadi Primary school <b>Challenges</b> All the trees planted along the riverine i.e. at Gotu on Ewaso Nyiro River have been washed away by the flood.
13	Merti	Level 2	Isiolo	1,742,464	1,742,464	<b>One Disbursement</b> 1) Promotion of roof water harvesting to manage water demand. 2) Construction of livestock watering troughs 3) Tree planting (drought resistant and non-fodder species 4) Purchase of water storage tanks capacity 10,000 litres for 3 primary schools 5) Financial management training and preparation of financial accounting	1) 2 days Training on proposal writing, procurement and financial Management done. 2) Tree planting in institutions done i.e. at Merti Muslim secondary school, Quba Mosque, Khalifa Mosque, Taqwa Mosque, Al-Zakat orphan centre, Merti boarding primary school and public market in Merti but the one planted along the riverine has been destroyed by the flood in Merti. 3) Two water trough constructed in Bulase Badana 4) Purchasing, delivery and installation of 3 tanks done to beneficiary institutions  The beneficiary schools for Tanks are: •Bulesa –Taqwa primary school. •Mataarba – Mataarba Primary School •Korbesa-Korbesa Primary school <b>Challenges</b> The flooding that destroyed the trees planted along Ewaso Nyiro Riverine in Merti
14	Buriya	Level 1	Wajir	819,400	819,400	<b>One Disbursement</b> 1) Capacity build WRUA , Develop SCMP 2) SCM Plan, WorkShs.op report 3) Sensitization workShs.op 4) WorkShs.op on SCM Plan preparation	1. SCMP development done 2. Capacity building training done
15	Kigaruni	Level 1	Tana River	1,318,500	1,318,500	<b>One Disbursement</b> <ul style="list-style-type: none"> <li>• Planning meeting Capacity building workShs.op</li> <li>• SCMP development workShs.op</li> <li>• Compilation of the SCMP</li> <li>• Ratification of the SCMP</li> </ul>	1. Capacity building done, 2. SCMP developed, compiled and ratified
16	Salama	Level 1	Tana River	1,128,800	1,128,800	<b>One Disbursement</b> <ul style="list-style-type: none"> <li>• Planning meeting Capacity building workShs.op</li> <li>• SCMP development workShs.op</li> <li>• Compilation of the SCMP</li> <li>• Ratification of the SCMP</li> </ul>	1. Capacity building done 2. SCMP developed, compiled and ratified

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
17	Lake Shakababo	Level 2	Tana River	4,074,900	4,074,900	<b>1<sup>st</sup> Disbursement</b> <ul style="list-style-type: none"> <li>Baseline survey and water resource mapping,</li> <li>Installation of 10 No. roof water harvesting plastic tanks of 10m<sup>3</sup>.</li> </ul>	<ol style="list-style-type: none"> <li>The baseline survey has been completed</li> <li>10 No. roof water harvesting tanks have been installed.</li> <li>Livelihood enhancement (3000 poultry units established)</li> <li>Plant 5000 tree seedlings</li> </ol>
						<b>2<sup>nd</sup> Disbursement (Disbursed)</b> <ul style="list-style-type: none"> <li>Livelihood enhancement (3000 poultry units established)</li> <li>Plant 5000 tree seedlings</li> </ul>	
18	Madogo	Level 4	Tana River	9,104,550	9,104,550	<b>1<sup>st</sup> Disbursement</b> <ul style="list-style-type: none"> <li>SCMP review</li> <li>Installation of 5 No. Rain water harvesting plastic tanks of 10m<sup>3</sup></li> <li>Construction of 1 No. Sand dam (Kamudhe)</li> </ul>	<ol style="list-style-type: none"> <li>SCMP review done</li> <li>Installation of 5 No. Rain water harvesting plastic tanks of 10m<sup>3</sup> done</li> <li>Construction of 1 No. Sand dam (Kamudhe) done</li> <li>Construction of sand dam at lagha dele done</li> <li>Abstraction and pollution survey done</li> <li>5 malkas developed</li> <li>Exchange visit to upper tana for 5 days done</li> </ol>
						<b>2<sup>nd</sup> Disbursement (Disbursed)</b> <ul style="list-style-type: none"> <li>Construction of sand dam at lagha dele</li> <li>Abstraction and pollution survey</li> <li>Develop 5 malkas</li> <li>Exchange visit to upper tana for 5 days</li> </ul>	
19	Alamin Moju	Level 4	Tana River	9,086,600	9,086,600	<b>2<sup>nd</sup> Disbursement</b> <ul style="list-style-type: none"> <li>SCMP review</li> <li>Construction of Boka Ben Sand dam</li> <li>Installation of 11 no. 10m<sup>3</sup> roof water harvesting tanks</li> </ul>	<ol style="list-style-type: none"> <li>SCMP reviewed,</li> <li>Boka Ben sand dam constructed</li> <li>11 roof water harvesting tanks installed.</li> <li>5000 trees planted</li> <li>Sand dam constructed</li> <li>Exchange visit to Mau done</li> </ol>
						<b>2<sup>nd</sup> Disbursement (Disbursed)</b> <ul style="list-style-type: none"> <li>Plant 5000 trees</li> <li>Construct a sand dam</li> <li>Exchange visit to Mau</li> </ul>	
20	Bangale	Level 1	Tana River	1,443,100	1,443,100	<b>One Disbursement</b> <ul style="list-style-type: none"> <li>Planning meeting</li> <li>Capacity building meeting</li> <li>SCMP Development workShs.op</li> <li>SCMP compilation and ratification</li> </ul>	<ol style="list-style-type: none"> <li>Capacity building done,</li> <li>SCMP developed, compiled and ratified</li> </ol>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
21	Ijara	Level 2	Garissa	3,338,700	3,338,700	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Installation of 8 pilot roof water harvesting tanks</li> </ul> <p><b>2<sup>nd</sup> Disbursement (disbursed)</b></p> <ul style="list-style-type: none"> <li>strengthening WRUA capacity (WRUA Committee members training on good governance, Finance, Procurements)</li> <li>Tree planting</li> <li>Opening of malkas</li> <li>Resource mapping</li> <li>Accountant</li> </ul>	<ul style="list-style-type: none"> <li>8 No. Roof water harvesting tanks installed</li> <li>strengthening WRUA capacity (WRUA Committee members training on good governance, Finance, Procurements) done</li> <li>Tree planting</li> <li>Opening of malkas done</li> <li>Resource mapping done</li> <li>Accountant</li> </ul>
22	Dertu	Level 2	Garissa	4,695,490	4,695,490	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Install 10 No. roof water harvesting tanks (Dertu girls, Dertu primary, Dertu hospital, Bahuri primary, Bahuri hospital, Uthula primary, Boro Sisi ECD, mosque)</li> </ul> <p><b>2<sup>nd</sup> Disbursement (Disbursed)</b></p> <ul style="list-style-type: none"> <li>Construct 3 No. Djabias at Uthuli</li> <li>Carry out a training for the WRUA ON Procurement, financial management, proposal development</li> <li>Reporting</li> </ul>	<ol style="list-style-type: none"> <li>10 no. roof water harvesting tanks installed</li> <li>Construction of 3 No. Djabias at Uthuli done</li> <li>Training for the WRUA ON Procurement, financial management, proposal development done</li> <li>Reporting done</li> </ol>
23	Galmagala	Level 1	Garissa	1,453,100	1,453,100	<p><b>One Disbursement</b></p> <ul style="list-style-type: none"> <li>Planning meeting Capacity building workshs.op</li> <li>SCMP development workshs.op</li> <li>Compilation of the SCMP</li> <li>Ratification of the SCMP</li> </ul>	<ol style="list-style-type: none"> <li>Capacity building and SCMP development done</li> <li>SCMP developed</li> </ol>
24	Kanyang Balich	Level 2	Garissa	4,646,250	4,646,250	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Installation of 17 No. Rain water harvesting.</li> </ul> <p><b>2<sup>nd</sup> Disbursement (Disbursed)</b></p> <ul style="list-style-type: none"> <li>Construction of sheds for protecting tanks</li> <li>Development of 5 No. Malakas as livestock access corridors</li> <li>Institutional strengthening</li> <li>Baseline survey to collect data on water resources and social economic survey</li> </ul>	<ol style="list-style-type: none"> <li>17No rain water harvesting tanks installed</li> <li>Construction of sheds for protecting tanks done</li> <li>Development of 5 No. Malakas as livestock access corridors done</li> <li>Institutional strengthening done</li> <li>Baseline survey to collect data on water resources and social economic survey done</li> <li>Tree planting in 3 No primary schools done</li> </ol>



NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						<ul style="list-style-type: none"> <li>Tree planting in 3 No primary schools</li> </ul>	
25	Abdisamet	Level 1	Garissa	1,487,700	1,487,700	<p><b>One Disbursement</b></p> <ul style="list-style-type: none"> <li>Planning meeting</li> <li>Capacity building meeting</li> <li>SCMP development workKshs.ops</li> <li>Report compilation</li> <li>Ratification of SCMP</li> </ul>	<ol style="list-style-type: none"> <li>Capacity building done</li> <li>SCMP developed</li> </ol>
26	Kasha	Level 2	Garissa	4,275,440	4,275,440	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Install 5 No. roof water harvesting tanks</li> <li>Develop 5 No. Malkas along 5 watering points in Kasha sub catchment</li> <li>Construct 10. No bee hives</li> <li>Carry out training on good governance, Finance, Procurement and proposal development</li> </ul> <p><b>2<sup>nd</sup> Disbursement (Disbursed)</b></p> <ul style="list-style-type: none"> <li>Construct 2 Sand dams in Lagha Dele</li> </ul>	<ol style="list-style-type: none"> <li>No. 10m<sup>3</sup> Water tanks Installed. Completion delayed by ongoing rains which made roads inaccessible</li> <li>Training on good governance and proposal writing conducted</li> <li>10No. Beehive procured and installed</li> <li>5No. Malkas Opened</li> <li>Construction of 2 Sand dams in Lagha Dele completed</li> </ol>
27	Lagha Togwein	Level 1	Garissa	1,197,600	1,197,600	<p><b>One Disbursement</b></p> <ul style="list-style-type: none"> <li>Planning meeting</li> <li>Capacity building meeting</li> <li>SCMP development workKshs.ops</li> <li>Report compilation</li> <li>Ratification of SCMP</li> </ul>	<ol style="list-style-type: none"> <li>Capacity building done</li> <li>SCMP developed</li> </ol>
28	Lake Kenyatta	Level 3	Lamu	9,961,600	5,147,400	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Rehabilitation of an earth pan at Mkunumbi Kshs..3,078,000</li> <li>SCMP review, Kshs.. 646,400</li> <li>Delineate the area, develop map and initiate gazzettment process of Lamu &amp; L. Kenyatta, Kshs.. 338,000</li> </ul> <p><b>2<sup>nd</sup> Disbursement (not disbursed)</b></p> <ul style="list-style-type: none"> <li>Catchment protection Kshs.. 645,600</li> </ul>	<ol style="list-style-type: none"> <li>SCMP review done, SCMP report in place.</li> <li>Construction of Mukunumbi water pan of 10,000m<sup>3</sup> done. Funds for fencing and tree planting around the pan had not been included in first tranche. They will do it once the funds for second tranche are released.</li> </ol>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						<ul style="list-style-type: none"> <li>Carry out tree planting exercises in 10 selected sites-within selected beneficiary institution and 50 Riparian members - Kshs.. 449,400</li> <li>Construction of soil and water control structures[gabion and grass strips] - Kshs.. 912,000</li> <li>Piloting of modern roof catchment in Government institutions and provision of storage tanks, 5 No. plastic tanks, Kshs.. 999,400</li> <li>Budget for construction and fencing of bomani sand dam and planting of trees, Kshs.. 1,806,500</li> <li>Construction of djambia at Mapenya, Kshs. 1,106,300</li> </ul>	
29	Amu	Level 2	Lamu	4,746,740	4,746,740	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>Creating awareness on catchment protection</li> <li>Catchment rehabilitation</li> <li>Information dissemination</li> <li>SCMP review</li> </ul> <p><b>2<sup>nd</sup> Disbursement (Disbursed)</b></p> <ul style="list-style-type: none"> <li>Rain roof water harvesting tanks</li> <li>Bee keeping Shella Kitau Farmers SHG</li> </ul>	<ul style="list-style-type: none"> <li>SCMP review done</li> <li>Ratification and compilation of SCMP done.</li> <li>Information dissemination through branded T-shirts, burners and bags done.</li> <li>Training on tree planting by KFS done.</li> <li>Procurement and planting of 10,000 seedlings done. Varieties include: Casuarina, Kibiriti, Mwangati, Mkunguni, Mangoes, Cashewnuts and Blue gum.</li> </ul>
30	Witu	Level 2	Lamu	4,954,440	2,595,000	<p><b>1<sup>st</sup> Disbursement</b></p> <ul style="list-style-type: none"> <li>One day planning meeting for rain roof water harvesting and floating for quotations, Kshs..59,000</li> <li>Awareness creation on installation of storage tanks and watering troughs - Kshs.. 73,500</li> <li>Planting of indigenous trees in schools and public places Financial management training and preparation of financial accounting, Kshs.. 736,500</li> </ul>	<ul style="list-style-type: none"> <li>Installation of 9 rainwater harvesting tanks done at public institutions.</li> <li>Tree planting of 3000 seedlings done. Casuarina 1,641 seedlings, fruit trees- 400 seedlings and indigenous trees – 959 seedlings.</li> </ul>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						<b>2<sup>nd</sup> Disbursement (not disbursed)</b> <ul style="list-style-type: none"> <li>Installation of 10 no. rain roof water harvesting Kshs.. 1,690,000</li> <li>Abstraction survey for WITU sub catchment, Kshs.. 805,000</li> <li>Livelihood activities (beekeeping) Subira women group, Kshs. 320,240</li> <li>Baseline survey to collect data on water resources and socio economic status Kshs. 697,00</li> <li>WRUA institutional capacity strengthening Kshs. 417,000</li> </ul>	
31	Hindi Bele Bele	Level 2	Lamu	4,224,130	1,949,200	<b>1<sup>st</sup> Disbursement</b> <ul style="list-style-type: none"> <li>Construct 10 gabions along Bele Bele river and other parts of the sub catchment- Kshs.. 1,198,000.00</li> <li>Installation of 5 10,000liters tank at public places- Kshs.. 731,200.00</li> </ul> <b>2<sup>nd</sup> Disbursement (not disbursed)</b> <ul style="list-style-type: none"> <li>Construct 1 Djabia in Mkunguni- Kshs.. 640,430.00</li> <li>Carry out Abstraction and pollution survey along Bele Bele river – Kshs.. 751,700.00</li> <li>Plant and tend 20,000 trees at Bele Bele well fields- Kshs.. 1,410,800.00</li> <li>Report writing and Hire of accountant- Kshs.. 72,000.00</li> </ul>	<ul style="list-style-type: none"> <li>10 gabions have been constructed</li> <li>5 roof water harvesting tanks have been installed.</li> </ul>
32	Badha Hurri	Level 2	Marsabit	4,461,500	2043800	<b>1<sup>st</sup> Disbursement</b> <ul style="list-style-type: none"> <li>Carry out a baseline survey and water resources mapping</li> <li>Construct 6 troughs, carry out sensitization ,meetings and carry out water quality testing</li> <li>Construct 5 No. 10,000ltr tanks</li> </ul> <b>2<sup>nd</sup> Disbursement (not disbursed)</b> <ul style="list-style-type: none"> <li>Construct 10 No. bee hives</li> <li>Construct 2 No. Djabias</li> <li>Accountants</li> </ul>	<ol style="list-style-type: none"> <li>Baseline survey done</li> <li>Construct ion of 6 troughs, sensitization meetings and water quality testing done</li> <li>Construction of 5 No. 10,000ltr tanks done</li> </ol>
33	Arsim	Level 1	Marsabit	1,161,200	1161200	<b>One Disbursement</b>	<ol style="list-style-type: none"> <li>Capacity building done</li> <li>SCMP developed</li> </ol>

NO.	WRUA PROJECT NAME	CATEGORY	COUNTY	WSTF COMMITMENT (KSHS.S)	DISBURSED FUNDS - KSHS.S	SCOPE OF FUNDED ACTIVITIES	ACHIEVEMENT
						<ul style="list-style-type: none"> <li>• Planning meeting Capacity building workShs.op</li> <li>• SCMP development workShs.op</li> <li>• Compilation of the SCMP</li> <li>• Ratification of the SCMP</li> </ul>	
34	Shitley	Level 2	Wajir	4,430,590	2869900	<p><b>1<sup>st</sup> Disbursements</b></p> <ul style="list-style-type: none"> <li>• Install 12 No. 10m3 roof water harvesting tanks,</li> <li>• Training on good governance, &amp; proposal development and accounting</li> </ul> <p><b>2<sup>nd</sup> Disbursement (not disbursed)</b></p> <ul style="list-style-type: none"> <li>• Carry out a baseline survey and water resources mapping</li> <li>• Construct 2 water troughs,</li> <li>• Fence Far Boor water pan,</li> <li>• Construct 10. No bee hives</li> </ul>	1) Installation of 12 No. 10m3 roof water harvesting tanks done.
35	Fereiti	Level 1	Marsabit	1,174,400	1174400	<p><b>One Disbursement</b></p> <ul style="list-style-type: none"> <li>• Planning meeting Capacity building workShs.op</li> <li>• SCMP development workShs.op</li> <li>• Compilation of the SCMP</li> <li>• Ratification of the SCMP</li> </ul>	<p>1. Capacity building done</p> <p>2. SCMP developed</p>

## ANNEX 8: STATUS OF IFAD – UTANRMP WATER RESOURCES PROGRAMME

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
1.0	Irangi CFA	Embu	1,736,000.00	1,308,080.00	Forest Rehabilitation of 50 ha by planting 33,000 tree seedlings.	Planting of the 33,000 tree seedlings is complete and they are growing well.
2.0	Upper Rupingazi WRUA.	Embu/Kirinyaga	4,784,200.00	3,506,200.00	1) Capacity Building of WRUA on conflict management, group dynamics & IWRM. 2) Purchase & Installation of 13 No. 16m3 RWH tanks. 3) Kagumori dispensary. 4) -5 No. spring protection	1) Capacity Building of WRUA is completed. 2) RWH tanks installation is complete 3) Spring protection design is ready
3.0	Njukiini CFA	Embu	1,911,100.00	479,100.00	-Training on nursery establishment. -Establishment of a tree nursery with a total of 100,000 seedlings.	-Training of CFA on nursery establishment was done and completed. -Nursery establishment is done and completed.
4.0	Middle Thura WRUA	Embu	1,994,500.00	975,300.00	-Purchase and installation of 5 No. 16m3 RWH tanks.  - Planting of 20,000 tree seedlings	- 5 No. RWH tanks have been installed. - Installation have been brought forward.
5.0	Mugaka WRUA	Kirinyaga	1,999,900.00	894,050.00	- Capacity building on integrated water resources management (IWRM).  - Catchment rehabilitation through tree planting of 6000 tree seedlings along riparian land of Murubara & Gakuuo streams done.	-Both funded activities are completed
6.0	Lower Thiba WRUA	Kirinyaga	2,000,000.00	967,200.00	-Marking and pegging of 200km riparian land along Thiba River. -Planting of 8000 tree seedlings along the riparian land.	-Both funded activities are completed.
7.0	Kangaita CFA	Kirinyaga	1,986,465.00	1,622,000.00	-Training on tree nursery establishment. -Tree nursery establishment of 70,000 No. tree seedlings. - Forest rehabilitation of 30Ha. - Additional 20 Ha of forest rehabilitated in place of PELIS.	-Training on nursery establishment was done and completed. -30 Ha of the forest has been rehabilitated
8.0	Castle CFA	Kirinyaga	2,000,000.00	1,224,188.50	-Establishment of a tree nursery of 50,000 No. tree seedlings. - Forest Rehabilitation of 30 Ha.	-Rehabilitation of 30 Ha of forest land is completed. -50,000 No. of nursery tree seedlings established
9.0	Njukiini CFA	Kirinyaga	1,800,000.00	1,473,810.00	-Forest rehabilitation through planting of 22,500 No. tree seedlings. - Tree nursery establishment of 30,000 No. tree seedlings.	-Forest rehabilitation activity is completed and the planted seedlings are growing well. - Tree nursery establishment done.
10.0	Kiambicho CFA	Murang'a	1,536,145.00	916,830.00	-Forest Rehabilitation of 30 Ha. through planting of	--Forest rehabilitation activity is completed.

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
					18,700 tree seedlings.  -Protection of planted sites from destruction by animals & people.	- Protection of planted sites is a continuous activity hence ongoing.
11.0	Kiama WRUA	Murang'a	1,997,250	1,090,618.00	-Training on Integrated Water Resource Management (IWRM) & governance.  -Sensitise communities through 3 No. Barazas on riparian pegging & mark eucalyptus trees for cutting down.  -Planting of 9900 indigenous tree seedlings.	-All activities are completed.
12	Kiandogoro CFA	Nyeri	1,723,390.00	601,295	-2 committee planning meetings  -Cutting of stakes  -Transportation of stakes to site -Staking out  -Pitting  -Transportation of seedlings to planting sites  -Planting of 60,000 seedlings	-2 CFA meetings and 2 board meetings were held as planned  -Cutting of stakes done as verified through records;  -Transportation of stakes done and 60,000 stakes done as planned  -60,000 pits done  -Seedlings transported in 12 trips to planting sites  -A total of 52,275 seedlings have been planted leaving the balance for replacement.
13	Zaina CFA	Nyeri	1,948,475.00	1,125,825	-Purchase of indigenous seeds  -Purchase of 30,000 polythene tubes  -Fencing of the Nursery Raising of 20,000 seedlings – different species  -2nd pruning of 100 ha, Maintenance	-4 Kgs of indigenous seeds purchased  -Have purchased 30,000 polytubes  -Fencing of the nursery has been done  -Have raised a total of 23,000 seedlings to date  -Pruning is actually 4th pruning and 64 ha has been pruned  -Maintenance (ongoing)
14.	Chehe CFA	Nyeri	1,982,420.00	723,390	-3 Trainings on Tree Nursery establishment targeting 50 CFA members. As per tree nursery milestones  -Tree Nursery establishment for 1 Ha; land leasing, clearing bush, site digging, harrowing, and construction of seed bed, potting of 200,000 bags and planting of 200,000 seedlings.  -Fencing of the tree nursery Water supply; purchase and installation of 2 tank of 5m3, accessories and connection to existing gravity fed pipeline.	-3 trainings undertaken  -Nursery established.  -All materials for fencing procured (180 poles). Fencing is complete.  1 tank purchased, installed and connected.

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
15.	Kabaru CFA	Nyeri	1,996,225.00	1,671,679.20	<p>-1 day planning meeting with 19 CFA members and Forester</p> <p>-3 days training for 19 CFA management committee and 11 members</p> <p>-2 days training of 40 CFA members</p> <p>-1 day rehabilitation planning meeting with Forester.</p> <p>-Purchase &amp; planting of 30,000 seedlings in 40 Ha land</p>	<p>-Planning Meeting done on 29/05/2016 attended by 20 WRUA officials</p> <p>-Training Meeting held on 2nd, 3rd and 4th of May, 2016</p> <p>- 2 days training- A total of 45 members trained on 5th and 6th May, 2016</p> <p>-1 day forest rehabilitation planning meeting held on 3rd May, 2016 (minutes availed)</p> <p>-30,000 seedlings purchased and 28,000 planted at Gonde Bit with 2,000 reserved for beating up (replacement)</p>
16.	Kabage CFA	Nyeri	1,775,000.00	1,310,413	<p>-Capacity building; on TOT, governance and training of scouts</p> <p>-4th and 5th pruning of 104 Hectares of forest</p>	<p>-Trainings for 45 members on TOT and on governance done on 26th and 27th May, 2016 while training for 6 forest scouts was done on 29th May, 2016</p> <p>-A total of 104 Hectares pruned</p>
17.	Ragati WRUA	Nyeri	1,997,400.00	1,440,800	<p><b>Riparian conservation</b></p> <ul style="list-style-type: none"> <li>•1 day planning meeting</li> <li>•3 Public barazas to sensitize on riparian protection and removal of Eucalyptus trees</li> <li>•Purchase and planting of 5,000 water friendly trees on pegged area</li> </ul> <p><b>Abstraction survey</b></p> <ul style="list-style-type: none"> <li>•1 day planning meeting with stakeholders</li> <li>•3 public barazas to sensitize on water abstraction requirements and permit conditions to the public</li> <li>•Procurement of consultant and actual survey involving 30 days of data collection and analysis.</li> <li>•Hold 1 day meeting</li> </ul> <p><b>Pollution survey</b></p> <ul style="list-style-type: none"> <li>-Procurement of consultant and drafting of TOR</li> <li>-Sensitization barazas on resource protection and pollution survey</li> </ul>	<p><b>Riparian Conservation</b></p> <ul style="list-style-type: none"> <li>-1 day planning meeting on 21 April, 2016 attended by 11 stakeholders and 18 WRUA members</li> <li>-3 Barazas on 5<sup>th</sup> May, with 38 in attendance 2<sup>nd</sup> on 11<sup>th</sup> May and 12<sup>th</sup> May, 2016.</li> <li>-50.5 km pegged and marked and Eucalyptus removed. 5,000 trees planted</li> </ul> <p>Abstraction survey done</p> <p>Pollution survey done</p>
18.	Karua Hills CFA	Murang'a	1,800,000.00	1,742,600	<p>-3 days of 45 CBO CFA training on governance, book keeping and Financial records</p> <p>-Forest Rehabilitation Bush Clearing – 46 Ha of Hill A (6Ha) Hill B ( 40 Ha)</p> <p>Pitting – 23,000 pits within 46 Ha</p> <p>-Purchase of seedlings – 23,000 seedlings</p> <p>-Transportation of seedlings</p> <p>-Technical supervision</p>	<p>-45 trained on the stated areas on 28<sup>th</sup>, 29 April, 2016 and 2nd May 2016 (Summary training report and attendance list available and seen)</p> <p>-Forest rehabilitation done</p> <p>-All 46 Ha pitted accordingly –(Evidence in master roll</p> <p>-All 23,000 purchased as per Local purchase order, delivery note, and invoice.</p> <p>Transportation of seedlings done as per payment receipt</p>

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
					Purchase of 30 bags of fertilizer, 10 kgs of seeds, 45,000 polythene tubes, tools (wheelbarrows, hoes, jembes) -Purchase and installation of 5 m <sup>3</sup> capacity tanks at the nursery,  -Fencing of the nursery Purchase of fertilizer – 2 bags Purchase of pesticides and 25 tons of manure Practical training on nursery management	-Supervision done by Forest manager-Karua Hills station  -Purchase of 30 bags of fertilizer, 10 kgs of seeds, 45,000 polythene tubes, tools (wheelbarrows, hoes, jembes) done. -Purchase and installation of 5 m <sup>3</sup> capacity tanks at the nursery done -Fencing of the nursery done  Purchase of fertilizer – 2 bags Purchase of pesticides and 25 tons of manure done Practical training on nursery management done
19.	Thika Upper WRUA	Murang'a	1,926,700.00	1,547,100	<b>Abstraction survey;</b> -1 day planning meeting  -3 public barazas  -Procure Hydrologist consultant's services for conducting survey.  -Induction training  -Procure a consultant to guide in undertaking a pollution survey;	<b>Abstraction survey;</b> -Meeting held on 15th April, 2016 attended by 36 stakeholders as per minutes  -2 barazas held on 18th April and 29th April. 3rd rescheduled until procurement of consultant to ensure induction on survey approach.  -TOR for engaging consultant have been developed, Abstraction survey and pollution survey ongoing
20.	Gatare CFA	Murang'a	1,617,460.00	1,031,160	Rehabilitation of 20 Ha of Forest including; -Bush clearing of 20 Ha -Staking of 20 Ha -Pitting -Purchase of 14,000 seedlings -Transportation of seedlings to site. -Planting of 20 hectares – various species. -Protection of planted seedlings	<ul style="list-style-type: none"> <li>•The targeted 20 Ha have been cleared as per master roll</li> <li>•Staking done as planned.</li> <li>•Pitting accomplished as planned</li> <li>•Seedlings purchased as per evidence (request for quotation, minutes of procurement committee, payment cheque)</li> <li>•Transportation was done and paid for (payment receipt)</li> <li>•14,000 seedlings planted on 20 Hectare forest area. (Verified through site visit)</li> <li>•Protection activities have been undertaken and are continuous</li> </ul>
21.	Saba WRUA	Murang'a	1,946,200.00	1,315,200	Rehabilitation of Gakarati wetland; <ul style="list-style-type: none"> <li>•Planning meeting for 9 persons</li> <li>•2 days Stakeholders workShs.op</li> <li>•Marking and pegging of wetland – 80 Ha</li> <li>•Marking of riparian.75.2 Ha</li> <li>•Undertake wetland protection planning</li> </ul> - <b>Spring protection:</b> <ul style="list-style-type: none"> <li>•Undertake search in the lands office for 2 spring sites.</li> <li>•1 Planning meeting and 2 public barazas</li> </ul>	<ul style="list-style-type: none"> <li>•<b>Rehabilitation of Gakarati forest</b></li> </ul> Planning meeting held on 15th April, 2016 involving 12 WRUA officials and stakeholder.  <ul style="list-style-type: none"> <li>• Stakeholder workShs.op held on 18th and 19 April, 2016 involving 51 WRUA members. Topic covered WSTF overview, WDC framework, livelihoods management, financial accountability, Water resources infra structure, records management. Climate change, conflict management, procurement (attendance register availed)</li> </ul>



No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
					<ul style="list-style-type: none"> <li>•Construction of 2 spring wall and other accessories</li> <li>•Erection of billboards</li> </ul>	<ul style="list-style-type: none"> <li>•Surveying and delineation of the wetland done.</li> <li>•Wetland marking and pegging started in June, 4.8 Ha done (6% achieved).</li> <li>•Riparian marking and pegging not started yet.</li> <li>•Wetland protection planning not yet started</li> <li>•Search done for 1 spring site on 27th April, 2016 (documentation available)</li> <li>•1 planning meeting held on 20th April, 2016 to plan for spring protection. And 2 barazas on 28th and 29th April, 2016 as per evidence of photographs and report</li> <li>•Construction of 1 spring wall and other accessories done, the other fenced and not done as funds are inadequate.</li> <li>•Billboards not erected. To be done upon completion of all activities.</li> </ul>
22.	Thika Mid WRUA	Murang'a	1,997,200.00	1,733,600	<ul style="list-style-type: none"> <li>•Marking and Pegging of Thika River (98 km Length)</li> <li>•Rubiru spring protection</li> <li>•Abstraction survey</li> <li>•Financial reporting</li> </ul>	<ul style="list-style-type: none"> <li>•98 km length of pegging done</li> <li>•Only stakeholders meeting done for spring protection</li> <li>Rubiru spring protection ongoing</li> <li>• Abstraction survey done</li> <li>•Financial reporting not done yet</li> </ul>
23	Ragati CFA	Nyeri	2,000,000.00	992,000	<ul style="list-style-type: none"> <li>-2 sensitisation meetings</li> <li>-Nursery establishment; 250,000 target including; bush clearing, site digging, harrowing, seed bed preparation, purchase of polythene bags and potting</li> <li>-Fencing of the nursery</li> <li>-Purchase and installation of 2 Rotto tanks of 5 M3 capacity and installation of same</li> <li>-3 days Tree nursery training</li> </ul>	<ul style="list-style-type: none"> <li>-Nursery prepared and 250,000 seedlings planted</li> <li>-Fencing done</li> <li>-2 tanks installed</li> <li>-Training done for 3 days</li> </ul>
24.	Nithi WRUA	Meru	1,999,900.00	1,064,000	<ul style="list-style-type: none"> <li>-Planning meeting with key stakeholders</li> <li>-Sensitization meetings</li> <li>-Purchase of seedlings and planting</li> <li>-Riparian marking and pegging of Nithi River</li> </ul>	<ul style="list-style-type: none"> <li>-Planning meeting done however Members paid cash for meals</li> <li>-Sensitization meetings done</li> <li>-Planting of seedlings done</li> </ul>

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
					-Initiate procurement process of the consultant for abstraction survey	-Riparian marking and pegging complete -Procurement process done.
25.	Chogoria CFA	Tharaka Nithi	2,000,000.00	1,085,306	-Purchase and planting of seedlings for forest rehabilitation -Purchase and planting of seedlings for Catchment rehabilitation (wetlands)  -Training of community scouts for Forest protection Forest patrols	-Tree planting done with 90% seedling survival rate  -The two sites of Gakeu and Marigwe have been planted and fenced -30 community scouts trained  -Protection of planted sites done
26	Bwathonaro WRUA	Meru	5,000,000.00	2,967,500	-Sensitization on water allocation plan -Capacity building on financial management  -Thuruu spring protection  -Fencing of Mporoko wetlands  -Nursery establishment -Purchase of energy saving jikos	-Sensitization completed. Consultant to provide the training report.  -Capacity building completed. Consultant to provide the training report  -Construction works have commenced on spring protection  -The County Government proposing that the WRUA seeks an alternative site from Mporoko wetland which will lead to further delays  -WRMA officers have not been on the ground to offer support to the WRUA
27	Nyambene CFA	Meru	2,000,000.00	1,903,000	-Purchase and planting of seedlings for forest conservation  -Training of 30 CFA members on sustainable forest management	-Planting of seedlings complete. 80% seedling survival rate  -Training done report available
28	Upper Thangatha WRUA	Meru	1,999,900.00	1,301,500	-Planning meeting with key stakeholders  -Sensitization meeting on riparian land conservation  -Marking and pegging of riparian reserve  -Purchase and planting of seedlings at Thiti stream  -Initiate procurement process of the consultant for abstraction survey	-Planning meeting completed-Planned to be in 2 <sup>nd</sup> tranche but done in 1 <sup>st</sup> tranche. -Sensitization meeting completed. -Marking and pegging completed -Purchase and planting seedlings completed with 50% survival rate -Procurement process done
29.	Upper Thanantu WRUA	Meru	1,999,900	1,357,990	-Planning meeting with key stakeholders  -Sensitization meeting on marking and pegging  -Marking and pegging of riparian land -Purchase and planting of seedlings  -Planning meeting with key stakeholders for	-procurement process done -All other activities have been completed

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
					abstraction survey -Initiate procurement process of the consultant for abstraction survey	
30.	Luguso WRUA	Meru	1,999,400.00	1,206,100	-Planning meeting for installation of rain water harvesting tanks  -Procurement and installation of 10m <sup>3</sup> tanks in public schools  -Training on project management, IWRM, Institutional and Financial Management  -Initiate procurement process of the consultant for abstraction survey	-Planning meeting completed.  -Procurement and installation of RWH complete Contracts signed with beneficiary schools  -Training done  -Procurement process-Evaluation and award done
31.	Upper Thingithu	Meru	1,999,900.00	1,542,209	-Planning meeting with key stakeholders  -Sensitization meetings on riparian land conservation  -Riparian marking and pegging  -Purchase and planting of seedlings  -Training on financial and procurement management Abstraction survey	All activities are completed
32.	Gachiege WRUA	Meru	1,999,900.00	1,400,810	-Planning meeting for 21 committee members  -Holding sensitization meetings on riparian land conservation  -Riparian marking and pegging.  -Purchase and planning of water friendly trees on pegged areas  -Training in financial, IWRM and institutional development Abstraction survey	All activities are complete  Lack of coordination among the officials  -Records not precise and detailed e.g. financial, procurement and payment of casuals  -Delayed documentation of expenditures on activities carried out
33.	Lower Imenti	Meru	2,000,000.00	1,844,300	CFA training on tree nursery establishment Purchase of seedlings for forest rehabilitation Establishment of tree nurseries	-Training complete 25 CFA members trained -Purchase of tree seedlings done  -Nursery established
34.	MEFECAP CFA	Meru	2,000,000.00	1,980,000	-CFA training on sustainable forest management  -Purchase and planting of seedlings for forest rehabilitation  -Purchase of energy saving jikos	- Training done  -Procured 50,000 seedlings and planted -They were able to buy additional jikos because they sourced from the manufacturer in Mlolongo and not the Agents in Meru town -Records not precise and detailed e.g. financial, procurement

No.	Project Name	County	Total approved amount (Kshs.)	1 <sup>st</sup> Tranche amount disbursed (Kshs.)	1 <sup>st</sup> Tranche Activities Funded	Progress
						and payment of casuals -Forest rehabilitation through Plantation Establishment Livelihood

## ANNEX 9: STATUS OF RESULTS FRAMEWORK

WATER SERVICES TRUST FUND RESULTS FRAMEWORK										
Key Result Area	Result code reference	RESULTS	Account code reference	OBJECTIVELY VERIFIABLE INDICATORS (Quantifiable)	MEANS OF VERIFICATION	RISKS / ASSUMPTIONS	UNIT OF MEASURE	BASELINE	2015/16 TARGET	2015/16 ACHIEVED
<b>RESULT AREA 1: COUNTY CAPACITY DEVELOPMENT</b>										
<b>COUNTY CAPACITY ENHANCED</b> County capacitated in fulfilling their constitutional responsibilities in establishment of an enabling environment for the provision and monitoring of WRM,WS/SAN Services	11	<b>PLANNING / MONITORING</b> County capacitated in utilizing factual, evidence based decision support systems in planning of investments.	111	# Counties with accurate baseline WS coverage data (available and updated -online).	Web inspection Annual county water service coverage reports (similar to WASREB impact - for county)	County Political Buy-in to public display of information.	No	0	6	6
			112	# Counties where online data corresponds to County stated estimates of coverage	County documents/reports Case studies/analysis reports on data		No	0	-	-
			113	# County water development strategies/water master plans (demonstrating coherent staged approach to WRM,WS/SAN development)	County water strategies and/or water master plans		No	0	-	-
	12	<b>INSTITUTIONAL /LEGAL FRAMEWORK</b> A clear county framework for the development of effective sustainable pro-poor water services, supported.	121	# Counties having prototype county water law	County water bills SPAs with SSPs	MWI/ WASREB/ WRMA support and County Political Buy-in to joint support of WARIS type performance reporting system.	No	0	6	6
			122	# County with Water Bills (utilising county prototype water bill for a coherent legal framework for licencing SSPs)	County/WSTF project financing agreements County financing of similarly identified projects (WRM, WS/SAN)		No	0	-	-
			123	# County budgets and co-financing of joint WSTF/ county efforts (WRM/WS/SAN)	Co-financing/financing agreements Case study analysis of County contributions to water sector		No	0	6	6
			124	# SSP (small service providers) recognised under service provision agreements	SPAs - signed agreements		No	0	31	6
			125	# County WRUA associations established	County WRUA association registered		No	0	-	61
			126	# Intercounty/inter-WRUA Transboundary MOUs signed	Signed intercounty / inter-WRUA agreements		No	0	-	0
			127	# WSTF contributions made to improvement to County/WRMA/WRUA – Alignment within WDC framework	Revised WDC Modules Printed versions and their circulation Case studies		No	0	1	1
	13	<b>HUMAN RIGHTS/ GENDER EQUITY AND SOCIAL INCLUSION</b> County has capacity to record and address the needs of the underserved, ensuring GESI	131	# Counties with GESI guidelines	County WRM, WS/SAN M&E reports Case studies	Assumes coherent delineation of reporting on WU performance between WASREB and Counties	No	0	0	0
			132	# Counties with M&E providing disaggregated data (in access to WRM,WS/SAN)	County WRM, WS/SAN M&E reports		No	0	0	-

WATER SERVICES TRUST FUND RESULTS FRAMEWORK										
Key Result Area	Result code reference	RESULTS	Account code reference	OBJECTIVELY VERIFIABLE INDICATORS (Quantifiable)	MEANS OF VERIFICATION	RISKS / ASSUMPTIONS	UNIT OF MEASURE	BASELINE	2015 /16 TARGET	2015 /16 ACHIEVED
<b>RESULT AREA TWO: IMPROVED MANAGEMENT OF WATER RESOURCES</b>										
<b>WRM CAPACITY ENHANCED</b>  WRM initiatives protecting water resources and ensuring access and equity in water access thereby reducing water related conflicts and environmental degradation at intra/inter county level.	<b>21</b>	<b>WRM COMPLIANCE CONFLICT REDUCTION</b>  WRUA capacity enhanced to support measurement, regulation and abstraction/effluent discharge compliance in addressing water conflicts at	<b>231</b>	# of WRUAs/area with WAPs developed/endorsed by county	WAPs	Assumes water stress in sub catchment, Continued support from WRMA, WRUAs of capacity exist in Counties	No	0	12	12
			<b>232</b>	# County WRM reports - WRUAs/Sub catchment areas with water flow data/abstraction compliance mgt data	# County WRM reports		No.	0	12	12
			<b>233</b>	# Sub catchment river flow control regimes/MOUs agreements endorsed/in operation	WAPs endorsed		No	0	12	12
			<b>234</b>	Increased % of funding to WDC directed toward measurement, bulk meters (versus catchment conservation)	# WDC Contract analysis (case studies)		%	Low	12	12
	<b>22</b>	<b>CATCHMENT CONSERVATION AND REHABILITATION</b>  WRUA capacity to implement catchment conservation and protection through their sub catchment management plans enhanced	<b>241</b>	# SCAMPs contracts signed	WRUA/County-WSTF agreements funding cycles	Assumes continued support of WRMA and/or sourcing of qualified SAs, Agency agreements	No	0	15	15
			<b>242</b>	# WRUAs contracts completed /funds cleared	PMIS		No	0	82	27
			<b>243</b>	# WRUAs in category/Level 1,2,3,4	PMIS		No	-	82	79
			<b>244</b>	Kshs. investment in WRUA SCAMPs	PMIS		Kshs.	0	55million	17,399,440
	<b>23</b>	<b>WRUA OPERATIONAL SUSTAINABILITY</b>  WRUA operational sustainability enhanced	<b>221</b>	# WRMA agency/contracts or agreements with WRUA providing a sustained income based	WRMA agency/contracts	Assumes willingness of WRMA to enter into Agency agreements with WRUAs	No.	0	0	0
			<b>222</b>	# WRUA membership	WRUA reports		No.	-	-	10,309
			<b>223</b>	# /\$ WRUA activities financed by Counties	County budgets		%	0	30	10
			<b>224</b>	WRUA incomes increased	County M&E system Case studies WRUA reports		Kshs.	-	-	-
	<b>24</b>	<b>WRM HR/GESI</b>  Equitable benefits derived through WR interventions	<b>251</b>	Equitable benefits derived by all including vulnerable groups derived through WR interventions	GESI disaggregated data at baseline and impact reporting Case studies		%	0	30	-

WATER SERVICES TRUST FUND RESULTS FRAMEWORK										
Key Result Area	Result code reference	RESULTS	Account code reference	OBJECTIVELY VERIFIABLE INDICATORS (Quantifiable)	MEANS OF VERIFICATION	RISKS / ASSUMPTIONS	UNIT OF MEASURE	BASELINE	2015/16 TARGET	2015/16 ACHIEVED
<b>RESULT AREA 3: SUSTAINABLE ACCESS TO WATER SERVICES</b>										
<b>IMPROVED WATER SERVICE ACCESS</b> Water supply projects ensure improved equitable access to water services.	<b>31</b>	<b>WS COVERAGE</b> Increase in water access and utilization of services (coverage) for the un-served.	<b>311</b>	Number of people gaining access to improved drinking water sources	Contracts WPM updated data Project completion and verification reports	Budgets as indicated are made available	No		181,998	82,290
	<b>32</b>	<b>WU SERVICE QUALITY / OPERATIONAL EFFICIENCY</b> WU Operational performance in the sustainable provision of water services improved	<b>321</b>	Number of people receiving improved quality of service from existing improved water sources . Kiosk to house connection, Hours of supply; complaints response time etc.	PMIS project completion reports / field verification reports	Budgets as indicated are made available	No	813,000	994,998	895,290
			<b>322</b>	WU operational performance indicators improved (selected from WASREB performance indicators) o Revenue as % O&M o Reduction in NRW o Billing %	County WS (Impact) reports (QTR/Annual)	County prioritizes utility performance	Several pre-defined	-	-	-
			<b>323</b>	(GOOD PRACTICE MATRIX) Incl: Innovative Public Private Community WS Management Partnerships tested, WUs have business plans, tariff reviews and agreements.	Contracts Case studies Good practice report matrix	No	0	6	6	
			<b>324</b>	#WUs accessing/eligible for commercial credit OBA	PMIS Case studies in promotion of WU credit worthiness assessment	No	24	-	-	
	<b>33</b>	<b>WATER SERVICES HUMAN RIGHTS, GENDER EQUITY AND SOCIAL INCLUSION (WS HR/GESI)</b> All members of society (within WU mandated water service areas) with equitable access to and derive benefit from improved water services	<b>331</b>	All community members within WU mandated areas benefit equally (#/% poorest seen to benefit)	County QTR disaggregated data showing equal access Case studies	-	No	-	-	-

WATER SERVICES TRUST FUND RESULTS FRAMEWORK										
Key Result Area	Result code reference	RESULTS	Account code reference	OBJECTIVELY VERIFIABLE INDICATORS (Quantifiable)	MEANS OF VERIFICATION	RISKS / ASSUMPTIONS	UNIT OF MEASURE	BASELINE	2015/16 TARGET	2015/16 ACHIEVED
<b>RESULT AREA 4: SUSTAINABLE ACCESS TO SANITATION SERVICES</b>										
<b>IMPROVED SANITATION SERVICE ACCESS</b> Sanitation investments ensure improved equitable access to sanitation.	41	<b>IMPROVED INSTITUTIONAL SANITATION ACCESS</b> Improved access to sanitation facilities in public places (markets, schools, health centres- within mandated supply areas of water utilities)	411	# of facilities constructed	Project progress reports	Budgets as indicated are made available	No	0	72	84
			412	#/% of schools with latrines meeting recommended GOK latrine/student ratio.	Midterm and end term evaluation reports		No	0	24	60
			413	#/% of schools/health centres / other public institutions providing adequate sanitation services	DoE statistics		No	30	30	84
			414	# of institutions with hand washing facilities	DoPH statistics		No	30	30	3
	42	<b>HOUSEHOLD SANITATION COVERAGE</b> Household sanitation coverage increased (within WU mandated supply areas)	421	# of villages attained ODF status	ODF Verification reports/certifications	DPoH is able and willing to conduct CLTS activities in WU mandated service areas	No	0	-	-
			422	# of villages maintaining ODF status (1 year after ODF attained)	ODF follow up monitoring reports		No	0	-	-
			423	# of rural HH (within the mandated areas of the WU) with improved latrines/sanitation facilities	DoPH statistics National census		No	0	-	-
			424	# HH with hand washing facilities	DoPH statistics, CHW reports Case studies - learning of lessons (before /during)		No	0	-	-
	43	<b>SANITATION GENDER EQUITY AND SOCIAL INCLUSION (WS HR/GESI)</b> All members of society (within WU mandated water service areas) equitably have access to and derive benefit from improved sanitation services	431	#/% of most vulnerable HHs having acquired improved sanitation facilities	PMIS / Project M & E field reports	-	#/%	0	-	-
			432	# of public latrines with disability access	PMIS / Project M & E field reports		No	0	-	-
			433	# of institutions with menstrual hygiene facilities	PMIS / Project M & E field reports		No	0	-	-
			434	# HH accessing financing/alternative financing e.g. loans for improved sanitation products/services	PMIS / Project M & E field reports		No	0	-	-
	<b>WATER SERVICES TRUST FUND RESULTS FRAMEWORK</b>									



Key Result Area	Result code reference	RESULTS	Account code reference	OBJECTIVELY VERIFIABLE INDICATORS (Quantifiable)	MEANS OF VERIFICATION	RISKS / ASSUMPTIONS	UNIT OF MEASURE	BASELINE	2015/16 TARGET	2015/16 ACHIEVED
<b>RESULT AREA 5: CAPACITY DEVELOPMENT OF WSTF TO FULFIL IT'S MANDATE</b>										
<b>WSTF CAPACITY ENHANCED WSTF able to undertake its mandate through strengthened institutional capacity</b>	51	<b>PROJECT MANAGEMENT TOOLS</b> Project Management Tools developed for standardized, planning, financing, implementation and monitoring of Improved Water Services and WRM Investments	511	New Project cycle tools prepared and used in 6 counties	Rural cycle contracts signed PMIS VfM Reports	County buy-in to J6P Project Cycle	No	0	6	0
			512	Project cycle tools operationalised and revised based on their effectiveness/lessons learnt	WSTF reports PMIS PC Tool revisions		No	0	6	0
			513	M&E WU Performance Monitoring framework operational with all water points updated online linked to PMIS	Web page review		No	0	1	1
	52	<b>HARMONISATION AND ALIGNMENT</b> Operational systems within the WSTF contribute to investment alignment and harmonisation for more efficient, effective and transparent operation and coordination of investments	521	Operational systems within the WSTF harmonized and aligned for different funding sources. follow up of (ALIGNMENT MATRIX) To include: <ul style="list-style-type: none"> <li>joint oversight/steering committees</li> <li>joint/single audit systems harmonised</li> <li>joint/single universal results framework</li> <li>common WSTF reporting systems (County to WSTF and WSTF to investors)</li> <li>joint operational monitoring</li> <li>joint programme evaluations and assessments</li> <li>joint/single online WRM/WS/SAN information system (maji data)</li> <li>joint/single PMIS system (common systems for follow up and reporting of projects urban/rural)</li> <li>joint/single approaches to VAT</li> </ul>	WSTF ALIGNMENT MATRIX reporting follow up by WSTF Annual reports	Unified intention to harmonise systems amongst all stakeholders	1 1 1 1 1 0 1 1 1	1 1 1 1 1		
	53	<b>WSTF CAPACITY TO MANAGE FIDUCIARY RISK</b> WSTF's capacity to manage fiduciary risk enhanced	531	Location specific unit costs follow up systems - established and maintained	Unit costs guidelines	Willingness of stakeholders to support transparent public information systems	No	0	-	-
			532	#/% WSTF participation in procurement process at county level	Procurement reports		No.	0	0	0
			533	# County Tender assessments/contractor selection results made public on web	Web page review		No	0	0	0
			534	\$ Audit annual QCs QCs as a % of funds disbursed Total outstanding QCs	Audit and audit follow up reports		%	-	1	1

			535	# Enterprise risk survey recommendations implemented	WSTF enterprise risk action plan and reports		No	0	0	0
54	WSTF RESEARCH INNOVATION The WSTF supports innovative research initiatives in addressing key water sector challenges		541	Research funding cycle defined and call for research proposals	Online web calls Documented research cycle	Water Bill 2014 indicates role of WSTF in terms of its research promotion role	No	0	0	0
			542	#/\$ funds directed to supporting innovative research initiatives	WSTF reports		Kshs.	0	0	0
			543	Evidence of research results applied in investment programmes	Case studies		No	0	0	0
55	WSTF HUMAN RESOURCE CAPACITY The capacity of WSTF to contribute to the WSTF's fulfilment of its objectives enhanced		551	% technical staff as % of total staff	WSTF M&E systems WSTF HRD Records		%	-	-	37.2
				Average annual disbursement /staff number						
				% / # Staff attrition			%			
				Staff gender balance			%	-	30	39.3
56	WSTF BUSINESS PROCESS PERFORMANCE WSTF demonstrates improved business performance over time		561	Development index Development versus recurrent expenditure	Accounts		%	53	88	85
			562	Project processing efficiency	PMIS		Days	-	-	-
			563	Red flag alert system operational - % of projects red flagged	Red flag reports		No	0	1	1
			564	WSTF monitoring visits per project	PMIS		No	-	2	2
57	KNOWLEDGE MANAGEMENT Lessons learnt, research Information, Education and Communication on Rural WS/Sanitation and WRM Modalities developed.		571	# Articles/academic products published	Papers	Assumes WSTF reflected as research and innovation institution in 2014 water bill	No	0	0	0
			572	# Research initiatives promoted/funded	Call for proposals Grantee reports		No	0	1	1
			573	# Impact and VfM studies undertaken	VfM reports/Case studies		No	0	1	1
			574	# size of funding portfolio #/% Funds mobilised from (corporate/private sector sources/Counties) # total funds mobilised (including commercial banks, other co-financing arrangements, county or sub catchment funds)	WSTF investments WSTF Annual reports Case studies into reasons and means to increase corporate attractiveness of WSTF					

## ANNEX 10: IMPLEMENTATION STATUS OF THE ANNUAL WORKPLAN

WATER RESOURCES INVESTMENT'S WORK PLAN FOR 2015 - 2016 FINANCIAL YEAR									
WSTF Strategic Objective	Output	Activity	Output Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Achieved	Achieved (%)	Variance	Remarks
<b>PROGRAMME NAME: MTAP II</b>									
<b>Strategic Objective 2.0</b> To finance the development of sustainable water , sanitation and water resources management to improve access to 5M people		Finance Water Resource Projects (36 No. MTAP II WRUA projects)	No. of Projects funded	Project proposal BoT Approval Disbursement Request Memo, Payment/ Disbursement Voucher, Contract Agreement,  Progress/ completion report	36	35	97.22	1	Additional projects could not be funded as the programme period ended in June 30th 2016
		Targeting of viable areas for funding under MTAPII,	No. of reports for workshops/forums with counties	List of identified counties	6	6	100.00	0	
		Internal Project Monitoring of 20 No. WRUA project by programme staff.	No. of Project monitoring reports	Project monitoring reports	20	31	155.00	11	11 projects above the target were monitored by the programme staff
		External Project Monitoring by WRMA	No. of Project monitoring reports	Project monitoring reports	15	35	233.33	20	20 projects above the target were monitored by WRMA
		WRUA projects Monitoring by CRMs	No. of Project monitoring reports	Project monitoring reports	27	35	129.63	8	8 projects above the target were monitored by CRMs
<b>PROGRAMME NAME: IFAD - UTaNRMP</b>									

	Finance Water Resource Projects (28 No. IFAD - UTaNRMP WRUA & CFA projects)	No. of Projects funded	Project proposal BoT Approval Disbursement Request Memo, Payment/ Disbursement Voucher, Contract Agreement, Progress/ completion report	28	34	121.43	6	34 projects financed against a target of 28
	Undertake field appraisal for at least 36 No. (WRUA & CFA) projects	No. of Appraisal Reports	Appraisal Reports	36	34	94.44	2	Only 34 projects were successful after desk appraisal, hence recommended for field appraisal
	Internal Project Monitoring of 20 No. WRI projects ( 14 WRUA projects & 6 CFA projects) by programme staff.	No. of Project monitoring reports	Project monitoring reports	20	35	175.00	15	15 projects above the target were monitored by programme staff i.e. 16 WRUA projects and 18 CFA projects
	External Project Monitoring by WRMA and KFS (8 WRUAs and 7 CFA projects respectively)	No. of Project monitoring reports	Project monitoring reports	15	35	233.33	20	More projects (20 No) were monitored by KFS and WRMA i.e. 16 WRUA projects and 18 CFA projects
	CFA and WRUA projects Monitoring by CRMs	No. of Project monitoring reports	Project monitoring reports	27	35	129.63	8	8 projects above the target were monitored by CRMs
	Hold 6 No. Capacity Building / Sensitisation Workshops for the Counties/ Service Agents & WRUAs	No. of Sensitisation/ Capacity Building Workshops held	Sensitisation/ Capacity Building Workshop Reports	6	6	100.00	0	
<b>PROGRAMME NAME: GOF/GOS (J6P)</b>								

		Finance Water Resource Projects (18 GoF/GoS WRUA projects)	No. of Projects funded	Project proposal BoT Approval Disbursement Request Memo, Payment/ Disbursement Voucher, Contract Agreement, Progress/ completion report	18	10	55.56	8	Transboundary WRUAs could not be financed as only WRUAs that fall squarely within the J6P counties were targeted
		Targeting of viable areas for funding under GOF/GOS	No. of reports for workshops/forums with counties	List of identified counties	6	6	100.00	0	
		Hold 6 No. Capacity Building / Sensitisation Workshops for the Counties/ Service Agents & WRUAs	No. of Sensitisation/ Capacity Building Workshops held	Sensitisation/ Capacity Building Workshop Reports	6	6	100.00	0	
<b>CROSS CUTTING ISSUES</b>									
3.0 Develop innovative funding mechanisms to enhance development of sustainable water, sanitation and water resources projects in the counties		Support /coordinate the carrying out of the baseline survey on water resources for six target counties.	No. of reports on Water Resources baseline submitted. No. of Water Resource points mapped out.	Establishment of geo-referenced water resource points	6	6	100.00	0	
		Support /Co-ordinate the Case Study and Value for money study - WASH, CPC,WDC	No. of Case Study and Value for money study conducted	Case Study report; VFM report	1	1	100.00	0	
		Attend committee meetings, Editorial, Integrity Committee, Management Investment Committee meetings	No. of meetings held	Minutes of meetings held	6	6	100.00	0	
		Submit WRI progress reports to CEO & other depts..	No. of quarterly reports prepared	4 No. quarterly reports prepared	4	4	100.00	0	
		Branding of Vision 2030 projects	List of projects branded	Branding template Circulated	82	69	84.15	13	

		Support PMIS Development and implementation	1 No. operational PMIS	Functional PMIS	1	1	100.00	0	
		Support development of pro-poor and GESI guidelines	1 No. Pro -poor and GESI strategies for WRI programme developed	Guidelines and Strategies in place	1	1	100.00	0	In draft form
		Support development of County prototype water bill	1 No. County Prototype Water bill	6 no. County Engagement reports on prototype bill	6	6	100.00	0	
		Conduct and submit an Environmental Sustainability audit report to NEMA on compliance with EMCA 1999	1 No. Audit Report	Audit Report submitted to NEMA	1	1	100.00	0	
		Submit annual Environmental Sustainability Workplan to NEMA	1 No. Annual Workplan	Annual Workplan submitted to NEMA	1	1	100.00	0	
		Close the WDC audit queries raised	No. of Audit queries Resolved	Qualified Audit Report/Audit queries addressed	8	3	37.50	5	
<b>4.0 To enhance capacity development for efficient service delivery and ensure sustainability of investment</b>		Facilitate and organize financial clinic workshops for WRUAs/WRMA	No. of financial clinics organized & attended	Training Reports	12	12	100.00	0	Six for IFAD - UTaNRMP and Six for MTAP II
		Submit quarterly reports to National Environmental Management Authority (NEMA)	No. of Quarterly Reports for NEMA prepared	Quarterly Reports Submitted to NEMA & Certificate issued to WSTF by NEMA	3	3	100.00	0	
		Awareness creation on WDC through Newsletter Articles/ Papers	2 No. WDC articles prepared and photos provided to PRO for publishing	Published articles , papers and photos	2	2	100.00	0	
		Co-ordinate meetings with WRMA and stakeholders ( quarterly meetings with stakeholders eg donors& WRMA, Rotational meetings –bi – annually)	No. of meetings held	Minutes for meetings held	4	4	100.00	0	

		Staff development –Attend courses on project management, Integrated Water Resources Management, Pro Active Management, Monitoring & Evaluation; EIA in line with TNA requirements.	No. of trainings attended	No. of training reports	2	2	100.00	0	
		Carry out capacity needs assessment for WRUAs ( through desk WRUA report reviews & WRMA rotational co-ordination meetings)	1 No. Inventory of Capacity needs developed	Report on capacity needs assessment	1	0	-	1	Not done as proposals comes with identified needs by WRUAs with assistance from WRMA
		Organise 2 WRUA exchange programs with centres of excellence & emphasize on the transfer of skills to the youth through apprenticeship	No. of WRUA exchange programs held	Reports of WRUA exchange programs held	2	0	-	2	WRUAs through proposals request for an exchange programme