



MINISTRY OF WATER,
SANITATION & IRRIGATION



Annual Rural Harmonized Report FY 2020/2021



Annual Rural Harmonized Report FY 2020/2021

June 2021

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P.O Box 49699-00100 Nairobi, Kenya

1st Floor, CIC Plaza, Mara Road, Upper Hill

info@waterfund.go.ke

Author: Water Sector Trust Fund

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Executive Background

The 2020/2021 Fiscal Year presented an opportunity for the Water Sector Trust Fund to demonstrate the ability to meet its mandated obligation under the Water Act 2016 and in line with its strategic direction amid the challenges presented by the Corona Virus, (COVID-19) pandemic. The pandemic which has affected both global and local economic systems, caused widespread social and economic disruption resulting in slowed GDP. The challenges presented by this pandemic further exacerbated the Water Fund's already high risk investment environments that is the rural, marginalised and underdeveloped areas of Kenya.

Despite the stated challenges, significant progress was realised in enhancing access to water and sanitation services, in addition to water resources management with the Fund reaching 88,581 people with improved access to these critical resources and services and realizing a record absorption of 66% of available funds during the year under review. It is our conviction that this achievement could have been better in the absence of the COVID-19 disruption. While the Fund has completed the consolidation and alignment of its 2021/2022 annual workplans, budget estimates and procurement plan, the finalization and approval of the COVID-19 response and mitigation strategy that will seek to realign its systems, procedures, investment processes will further ensure efficiency in the face of COVID-19 response. The proposed measures are consistent with the Funds strategy on making the water and sanitation investments more resilient, and having systems in place to respond to disasters.

It is notable that the Fund realised remarked improvement in most of its core indicators as well as on the overall performance. It is also worth mentioning that with the J6P Programme coming to an end with GGEP and WLP programmes closing by end of 2021, the Fund has put in place robust plans for close out activities for these programmes to facilitate closure and end term evaluations in addition to audits.

This annual rural harmonised report provides the progress made by the Fund in the Financial Year 2020/2021 towards realizing the programme objectives, evidencing the success of our integrated investment models in accelerating access to water and sanitation services. To an extent, it demonstrates the outcomes and impact we are realising as we work to transform the livelihoods and lives of communities in the marginalised and underserved areas of Kenya.

The achievements presented in this report are as a result of mutually beneficial cooperation and partnerships by various stakeholders. Together, we will continue to make our ambitions a reality, in line with the Water Fund's Strategic Plan 2018- 2022, which looks at how to scale, accelerate and transform the lives of Kenyans through improved access to water and sanitation and with sustainable management of water resources.

In spite of our operational challenges and the challenges presented by COVID-19, we are confident that we have the right partnerships, resources, commitment and capabilities to meet our obligation ahead; to push the national agenda presented in the National Water Master Plan and the Vision 2030 through Medium Term Plan and global commitments including the Sustainable Development Goals (SDGs). We count on the sustainable partnerships to realise these ambitions.



Ismail F. M Shaiye

Chief Executive Officer

Introduction

As per the Water Act 2016, the Water Sector Trust Fund (WaterFund), is a State Corporation under the Ministry of Water, Sanitation and Irrigation established under the Act with the mandate to provide conditional and unconditional grants to the Counties in addition to Equalization Fund and to assist in financing the development and management of water services in the rural and urban marginalized and underserved areas.

The mandate areas as stipulated in the Water Act 2016 are detailed below;

- Community level initiatives for the sustainable management of water resources;
- Development of water services in rural areas considered not to be commercially viable for provision of water services by licensees;
- Development of water services in the under-served poor urban areas;
- Research activities in the area of water resources management and water services, sewerage and sanitation.

The mandate highlighted above is achieved through the following investment programmes;



The Fund is implementing a Strategic Plan 2018- 2022 which focuses on the following key result areas to realize its mandate:



Preface

This report is a thorough reflection and documentation of the WSTF journey of fast-tracking access to water and sanitation services to the underserved populace in Kenya. It analyses the performance of the Water Resources and Rural Investment Programmes for the period between 1st July, 2020 and 30th June, 2021.

Key milestones achieved during the year are highlighted in the investment programme section. Critically though, key challenges, lessons and opportunities for learning have been identified and documented and are anticipated to inform future programmes to ensure that the corporate objectives spelt out in the Strategic Plan (2018-2022) as well as wider sectoral commitments are all achieved.

The report has been compiled from the programmes and project reports received from County Resident Monitors (CRMs), County Resident Engineers (REs), implementing partners (IPs), project field visits, monitoring visits, consultant reports and peer evaluations, as well as from WSTF's own financial, monitoring and audit reports accrued during the FY 2020/2021 period.

The report is organized into the following chapters:

Executive Background: Considering that the Fund concluded 16 years since inception, the section enumerates our journey as well as a focus on its future goals.

The Fund: This is a brief highlight on the Fund's establishing instruments, mandate areas as well as the key result areas.

Preface: Gives a brief prelude on the sources of funds as well as the structure of the report.

Chapter 1: Provides a highlight on key achievements of the Rural and Water Resources Investment Programmes during the reporting period. Key strategic highlights and achievements on resource mobilization and partnerships are also highlighted.

Chapter 2: This section highlights the key institutional milestones and strategic actions undertaken during the FY 2020/ 2021. These include reforms in line with the Water Act 2016, resource mobilisation highlights, corporate strategy implementation and development of strategic documents.

Chapter 3: This section details the investment programme progress. It assesses the performance level against the project / programme work plan targets, highlighting areas that are behind schedule and explaining the reasons for variations from initial planning. Key challenges, mitigation measures and lessons learnt are also enumerated for each of the programme.

Chapter 4: WSTF audit and risk management. The chapter presents the WSTF internal control and risk management measures, the internal and external audits undertaken during the reporting period while analysing the questioned costs for previous audits and the trends of the same.

Chapter 5: Capacity Development, Monitoring and Evaluation. The chapter enumerates the main approaches used in monitoring and evaluation of the Funds programmes and projects; gives a highlight on the key achievements in support of the institutional monitoring function; summarises the key results by the investments including the output, outcome and impacts realized.

Abbreviations and Acronyms

AAHI	Action Africa Help International
A-in-A	Appropriation in Aid
AfDB	African Development Bank
ASALs	Arid and Semi-arid Lands
BOT	Board of Trustees
CBOs	Community Based Organizations
CDA	Capacity development Advisor
CECM	County Executive Committee Member
COVID	Corona Virus Disease
CLTS	Community Led Total Sanitation
CRMs	County Resident Monitors
CWG	County Working Group
CWI	Credit Worthiness Index
CFA	Community Forest Associations
CIDP	County Integrated Development Plan
CTCN	Climate Technology Centre & Network
DANIDA	Danish International Development Agency
DASAL	Department of Arid and Semi-Arid Lands
DE	Development Expenditure
DED	Development Engagement Document
DERP	Drought Emergency Response Programme
DI	Development Index
DP	Development Partner
DTF	Decentralised Treatment Facility
EACC	Ethics and Anticorruption Commission
EDE	Ending Drought Emergencies
EIAs	Environmental Impact Assessments
EU	European Union
FAS	Fund Accountability Statement
FY	Financial Year
GESI	Gender Equity and Social Inclusion
GETF	Global Environment & Technology Foundation
GCF	Green Climate Fund
GGEP	Green Growth and Employment Programme
GIS	Geographic Information System
GOF	Government of Finland
GOS	Government of Sweden
GOK	Government of Kenya
HQ	Headquarters
IFAD	International Fund for Agricultural Development
IPs	Implementing Partners
IWRM	Integrated Water Resources Management
JAOME	Joint Annual Operations Monitoring
J6P	Joint 6 Programme

KEWI	Kenya Water Institute
KFS	Kenya Forest Service
KISDEP	Kalobeyei Integrated Socio-Economic Development Plan
KM ²	Square Kilometre
KPWF	Kenya Pooled Water Fund
Ksh	Kenya Shillings
M&E	Monitoring and Evaluation
MOU	Memorandum of Understanding
MTAP	Medium Term ASAL Programme
MWS&I	Ministry of Water, Sanitation and Irrigation
NAWASCO	Nanyuki Water and Sanitation Company
NGEC	National Gender Equality Commission
NRMP	Natural Resource Management Programme
NWPC	National Water and Pipeline Corporation
OAG	Office of Auditor General
ODF	Open Defaecation Free
PC	Performance Contracting
PFMP	Participatory Forest Management Plans
PIF	Public Sector Investment Facility
PMIS	Project Management Information System
PRC	Programme Review Committee
PSF	Public Sanitation Facility
PPE	Personal Protective Equipment
PPP	Public Private Partnerships
QMS	Quality Management System
RF	Results Framework
RMF	Risk Management Framework
RWH	Rain Water Harvesting
SCMP	Sub Catchment Management Plan
SHARE	Supporting the Horn of Africa Resilience Programme
SI	Sustainability Index
TBD	To be Determined
UNHCR	United Nations High Commission for Refugees
UNICEF	United Nations Children's Fund
UTaNRMP	Upper Tana Natural Resource Management Programme
VIP	Ventilation Improved Pit Latrine
WASH	Water and Sanitation for Hygiene
WSPs	Water Service Providers
WASREB	Water Services Regulatory Board
WLP	Water and livelihood Programme
WSP	Water Services Provider
WSTF	Water Sector Trust Fund
WDC	Water Resources Users Association Development Cycle
WRA	Water Resources Authority
WRM	Water Resources Management
WRUAs	Water Resources Users' Associations
WU	Water Utility

Chapter 1: Overall Performance Highlights

The information graph below presents a summary of the key achievements during the year under review:

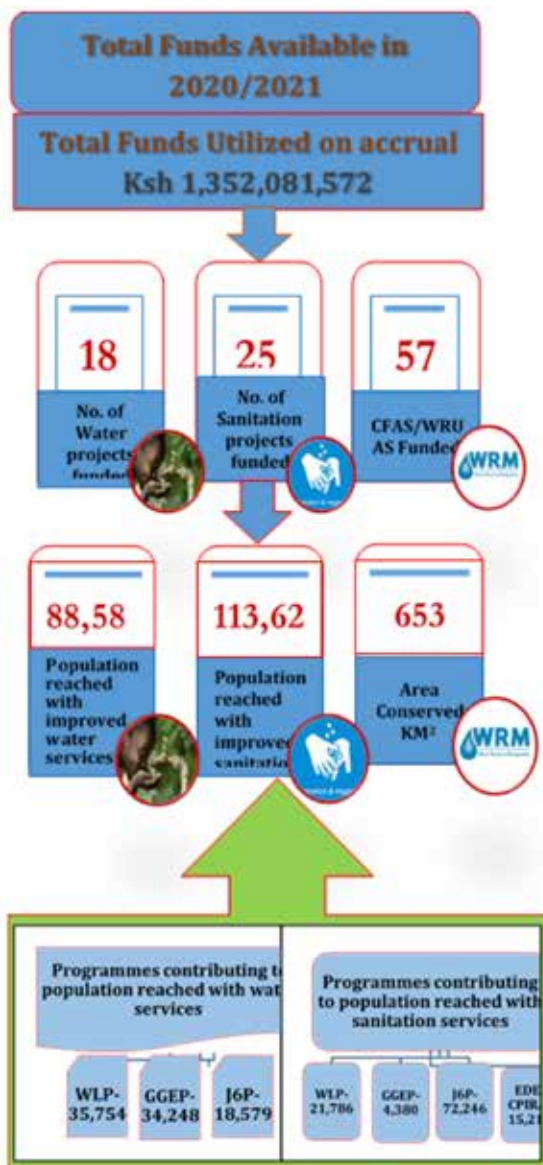


Figure 1: Key Achievements during the year



Turkana County



West Pokot County



Kirinyaga County



Figure 2: Selected photographs

To address and mitigate against climate change risks in projects, the Fund has adopted climate proofing approaches in the overall project cycle. Adoption of renewable energy options has proven to be a feasible investment option addressing sustainability concerns in water projects.

Annual Financial Performance

During the FY 2020/21, the Rural and Water Resources Investment Programmes received a total of **Ksh 228,270,489** from the Government of Kenya, DANIDA, European Union (EU), Government of Finland, Government of Sweden, and International Fund for Agricultural Development (IFAD) including interest accruals. The Fund had balances brought forward of **Ksh 1,822,068,227** and hence a total of **Ksh 2,050,338,717** was available for utilization. The Fund expended **Ksh 1,352,081,572** (inclusive of bank charges) in the Rural and

Water Resources Investment Programmes effectively absorbing **65.9%** of the available funds. Figure 3 shows an overview of the Funds flow to the Rural and Water Resources Investments Programme in 2020/21 FY.

66%

Overall Funds Absorption
in the Rural Investments
Programme

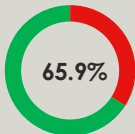
2020/21 Annual Financial Accountability Statement- All programmes			
Percentage of Funds Available Spent 		Summary TOTAL AVAILABLE FUNDS KES 2,050,338,717.72 TOTAL ANNUAL EXPENDITURE KES 1,352,081,572.19 FUNDS BALANCE KES 698,257,145.53	
Total Funds Available in Q3		Expenditure	
ITEM	AMOUNT	ITEM	EXPENDITURE
OPENING BALANCES			
GGEP	KES 429,742,706.35	GGEP	KES 284,164,258.60
IFAD	KES 108,063,989.30	IFAD	KES 72,959,136.80
J6P	KES 290,497,960.95	J6P	KES 266,390,465.80
EDE - CPIRA	KES 644,287,661.63	EDE - CPIRA	KES 342,259,608.12
WLP	KES 349,475,909.67	WLP	KES 386,308,102.87
(a) TOTAL OPENING BALANCE	KES 1,822,068,227.90	TOTAL	KES 1,352,081,572.19
(b) TOTAL DISBURSEMENTS	KES 219,948,086.50		
(c) TOTAL INTEREST INCOME	KES 8,322,403.32		
TOTAL AVAILABLE FUNDS (a+b+c)	KES 2,050,338,717.72		
CLOSING BALANCE	KES 698,257,145.53		

Figure 3: Overall WSTF Financial Performance FY 2020/ 2021

The financial performance analysis portrays a performance of above average on the basis of proportion of funds absorbed in relation to total fund available for utilization which is combination of funds carried forward from FY 2019/2020 plus total funds disbursed to the rural programmes from all Development partners including GoK. However, in absolute terms, fund utilization based on accruals doubled from a total of Ksh 369.3 million in 2018/19 to Ksh 905.4 Million in 2019/20 and Ksh 1,352.0 in the ended 2020/21 FY representing an upward trend in three consecutive Fiscal Years.

While the amount of Funds being carried forward from the previous year tripled (Ksh 451.6 Million in 2019/20 as compared to Ksh 1,822.1 Million in 2020/21), disbursements from DPs reduced drastically from 1,612.9 million Ksh to 219.9 Million Kshs. in 2020/21 yet

% absorption improved by a proportion of 21.9 from 43.1% in FY 2019/2020 to 66% in FY 2020/2021.

The Fund has committed to fully utilise allocated Funds to achieve 100% absorption rate in line with the Expenditure Framework planning cycles and also in line with the commitments of the corporate strategy. The trends in the absorption rates in the programmes is provided in the following charts that compares trends for the last four Fiscal Years on the overall and across specific programmes.

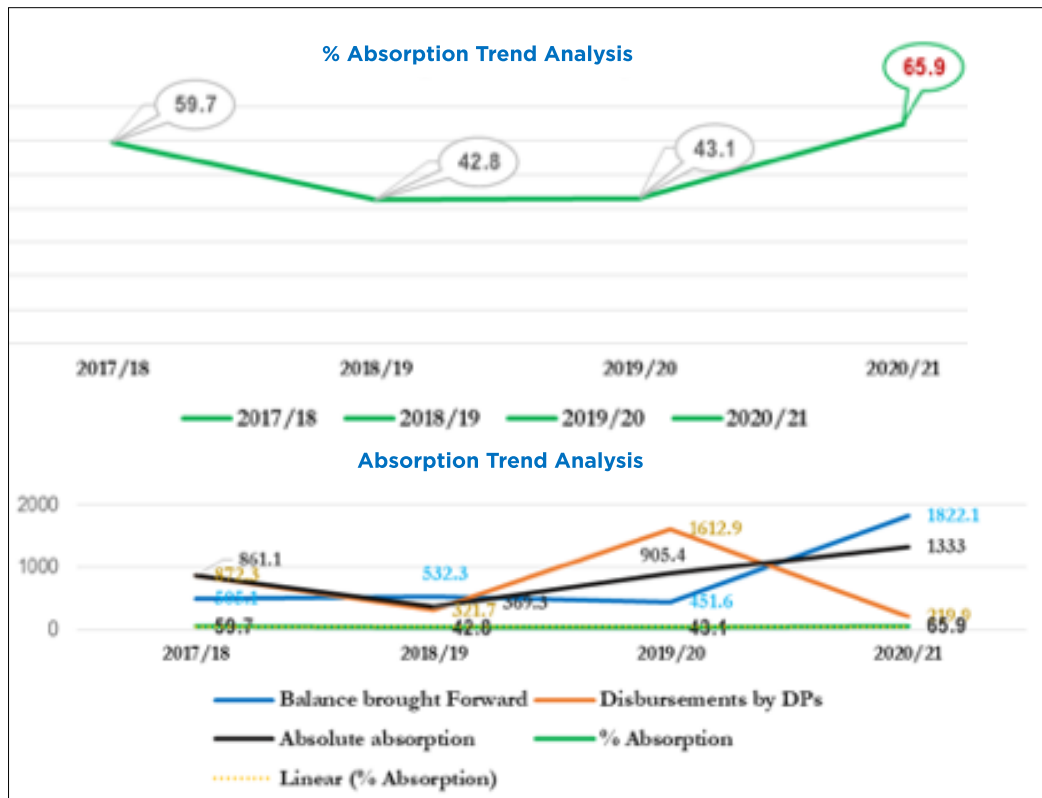


Figure 4: Overall Funds Absorption in the rural investments programmes

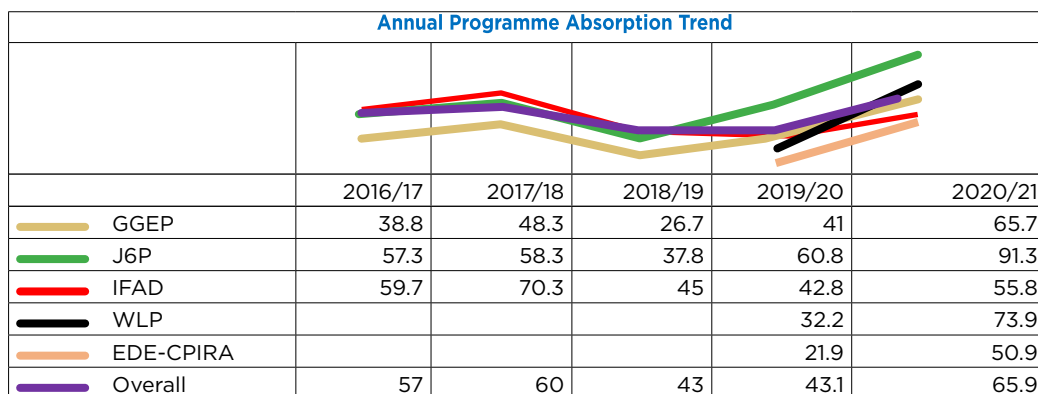


Figure 5: Overall Funds Absorption; specific rural investments programmes

Key challenges to funds absorption

- i) Persistent implementation issues that have affected implementation of the Water Resources Subcomponent in some of the programmes. These include inadequate capacity of implementing partners, delayed reporting and accountability issues.
- ii) Delays in inspection of completed works, issuance of payment certificates and execution of payments by WRUAs to contractors.
- iii) Governance and management issues by some implementing partners hindering start-up of projects, release of funds or implementation.
- iv) COVID-19 pandemic and restrictions measures put in place by the Government has affected implementation of activities requiring construction, stakeholder engagement and county level meetings under all programmes.
- v) Preliminary project activities such as procurement, detailed design, surveys and assessments has taken some Implementing Partners more time than anticipated especially under EDE-CPIRA, GGEP and WLP programmes.

The specific challenges influencing absorption in the investment programmes are further elaborated in the specific section of the programmes. To address challenges in Funds absorption, the Management has put in place the following measures;

- i) Enhancing the monitoring of the WSTF investments and programmes through engagement of Resident Engineers, improved capacity of the CRMs to support, monitor and report on the investment programmes, engagement of WRUA/CFA project technical coordinators from WRA/KFS for closer support to these groups and development of a corporate project management information management systems (Systems Integration Project).
- ii) Engagement of Northern Rangelands Trust to offer technical support to funded Conservancies as a measure to enhance absorption under the Water Resource Management Component.
- iii) Review of the WSTF projects implementation cycle with a view enhancing operational efficiencies while maintaining the integrity of the processes involved.
- iv) Continuous implementation of the revised staffing structure to enhance realization of efficiency in programme management
- v) Re-engineering the planning, budgeting and programming approaches and processes to ensure realistic planning and budgeting processes in line with the Strategic Plan.
- vi) Enhancing the capacity of the counties and implementing partners to plan for, develop, implement and sustainably manage the investments. This will also entail a full implementation of the risk management framework to mitigate against risk related delays.
- vii) Continued engagement of the Government of Kenya for the timely disbursement of funds as well as lobby for increased allocation of recurrent and development budgets

Efficiency in Resource Use

As the sector financing institution, the Fund focuses its investments' programmes to ensure that more resources are applied to development activities to ensure progressive and sustained growth. The Fund has adopted a Development Index as a measure of efficiency in resource use, calculated as highlighted below:

$$\text{Development Index (DI)} = (DE) / (DE+RE) \times 100\%$$

Where;

DI- Development Index

DE- Development Expenditure

RE- Recurrent Expenditure



The Fund aims at realising and maintaining a Development Index of 70% annually across investments and operational management. The base of 70% is considered best practice across development project and in line with The National Treasury's target. During the year under review, the Fund expended a total of **Kshs. 3,819,223,661** (including urban investments) on development expenditures and **Kshs. 413,110,099** on recurrent expenditure. This works out to a Development Index of **90.2%**. Effectively, 90.2% of the total investment programme expenditures were expended in the Fund's investment programmes with a direct impact on the realization of the Fund's mandate.

This index indicates a progressive improvement in resource use efficiency compared to the 2018/19 and 2019/20 Fiscal periods where Development Indices of 57.91% and 75.2% were realized indicating sustained improvement in resource use efficiency.

Chapter 2: Strategic Highlights

Corporate Strategy

The WaterFund under the leadership of the Board of Trustees has continued the implementation of its Strategic plan 2018-2022 during the period under review. In addition, the Fund undertook midyear and annual reviews of the implementation progress on the strategic objectives with the aim of documenting the achievements, challenges, lessons learnt and proposed strategies that would support in realization of the object of the Fund in line with its mandate.

Budget rationalization by The National Treasury was a key challenge affecting all strategic objectives. Specifically, the rationalization of budgets challenges were: omission/wrong capture of programme budget lines in the printed and supplementary budget estimates; budget reductions to already approved projects for financing; Insufficient allocation for roll out of research and innovation planned activities and implementation of institutional capacity enhancement programmes.

Other challenges that were documented included COVID-19 pandemic that has not only slowed the implementation of the annual workplan activities but also negatively impacted on productivity of human resources capital with restrictions in movement and limitation in time to undertake planned activities. Further, the Fund identified sustainability of financed projects as a key issue given that programmes were coming to a closure.

Regardless of the challenges enumerated, WaterFund is on track in terms achievements of the commitments as set out in the strategic goals. In the period under review the Fund realized remarkable progress as summarized in the figure 6 below:

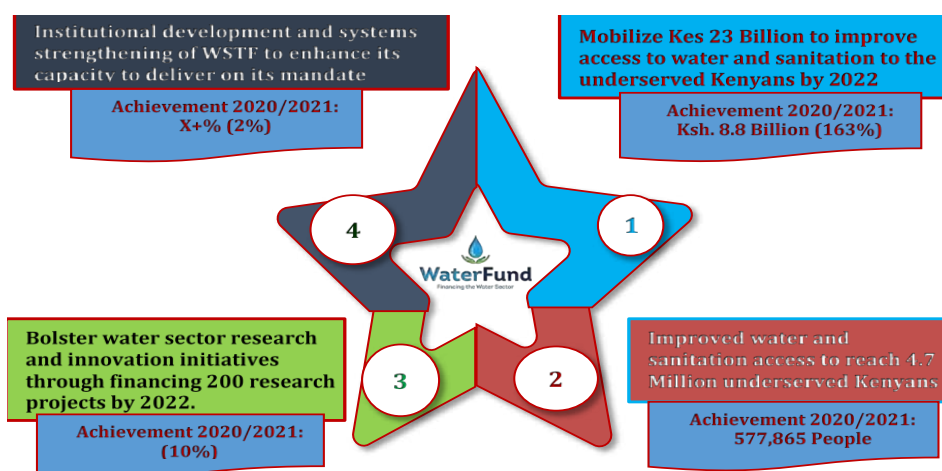


Figure 6: Summary of strategic goal achievements

In overall, the WaterFund has cumulatively achieved on its strategic objectives as summarized in table 1:

Table 1: WaterFund 2018-2022 strategy implementation status

Strategic Objective	Strategy Target	Cumulative Achievement	% Cumulative Achievement
Resource Mobilization	Ksh. 23 Billion	Ksh. 15.542 Billion	67.6%
Improving access to water and Sanitation services	4.7Million people	1,92 Million	40.8%
Bolstering Research in Water Sector	Financing of 200 Research Initiatives	Approved Research Financing Framework and Policy	10%
Strengthening of WSTF Capacity	X+10%	70%	70%

Where X is a combination of capacity strengthening indicators; staffing levels, employee satisfaction, customer satisfaction, work environment satisfaction and communication index which was established to be approximately 63% during Strategic Plan review.

Performance Contract

During the FY 2020/2021, the Board of Trustees on behalf of the WaterFund signed a commitment with the Ministry of Water, Sanitation and Irrigation in the form of a performance contract (PC) outlining the strategic intents of the WaterFund towards achievements of its mandate. The targets of the PC are delegated to management for implementation and cascaded down to all staff.

During the period under review, the WaterFund negotiated and vetted its performance contract for FY 2020/2021 which was prepared in accordance with the 17th cycle guidelines. In the same period, the WaterFund's performance for FY 2019/2020 was evaluated on the implementation of the performance contract targets. The evaluation was conducted by the Public Service Performance Monitoring and Management Unit (PSPMMU) which rated the performance as Very Good with a composite score of 2.6448 as per the evaluation criteria.

A summary of the status of achievement of the key performance under rural and Water resources investments programme indicators in the negotiated and vetted performance contract as at 30th June, 2021 is presented in table 2 below.

During the period under review, all the rural investment programmes targets were achieved compared to the previous period. The justification for improved achievement is enumerated in programme specific sections.

Table 2: Projects funded under the rural investments' programmes

Performance Criteria Category	Unit of Measure	Weight (%)	Target (FY 2020/21)	Achievements (FY 2020/21)	% Variance in Achievement	
C.7	Financing of Rural Water Supply and Sanitation Investments					
C.7.1	Water Projects Financed in Rural Areas	No.	6	35	41	17.14%
C.7.2	Sanitation Projects Financed in Rural Areas	No.	5	41	43	4.88%
Financing of Water Resources Management Projects						
C.8	Water Resources Management Projects Financed	No.	9	60	65	8.33%

Source: FY 2020/21 Vetted Performance Contract

Water Sector Research and Innovation Financing Framework/ Policy

During the period under review, WaterFund developed a robust research and innovation financing framework and policy through a consultative process to guide in the implementation of the financing research initiatives mandate as provided for in Section 114 (d) of the Water Act 2016. The framework and policy was approved for dissemination and implementation.

The key objective of the framework is to guide in generation of new knowledge and innovations in the sector through financing of research and innovation initiatives. The outputs of these initiatives are geared towards provision of innovative, practical and cost-effective solutions in the realization of sustainable provision of water, sanitation and sewerage services in addition to water resources management as well as addressing gaps through collaborations and adaption of innovative models for better delivery.

Review of Green Growth Strategy

The WaterFund had developed a draft comprehensive 10-year Green Growth Strategy in areas of the Fund's key mandate taking into account the national Green Economy Strategy and Implementation Plan (2015) with the objective of ensuring the WaterFund delivers and sustains green solutions to 50% of its current and future investments by 2030 to foster economic growth and development while ensuring that natural assets sustainably continue to provide the resources and environmental services.

However, as a result of the review of the Sectoral Plans, the WaterFund's Strategy 2018-2022 and to monitor and evaluate the WaterFund's strategic direction in implementation in the face of legislative and structural changes, a review of the strategy was been

deemed necessary. In this regard, WaterFund engaged the services of consultant to guide in the process of reviewing the draft strategy comprehensively and guide the management and the Board of Trustees in realization of strategic goals set forth by the Water und going forward. The Strategy was finalized, published and shared during the 4th quarter of FY 2020/2.

ISO 9001:2015 Recertification

The potential benefits to an organization of implementing a quality management system based on this international standard includes: the ability to consistently provide products and services that meet customer and applicable statutory and regulatory requirements; facilitating opportunities to enhance customer satisfaction; addressing risk and opportunities associated with its context and objectives; and the ability to demonstrate conformity to specified quality management system requirements

The WaterFund successfully transited from ISO 9001:2008 to ISO 9001:2015 in FY 2017/2018 and has been implementing the ISO 9001:2015 standards and requirements. During the period under review, the WaterFund continued to implement the Standards under the certification and is scheduled for independent external re-certification audit by the Kenya Bureau of Standards for the purpose of recertification to the ISO 9001:2015 as per the roadmap below.

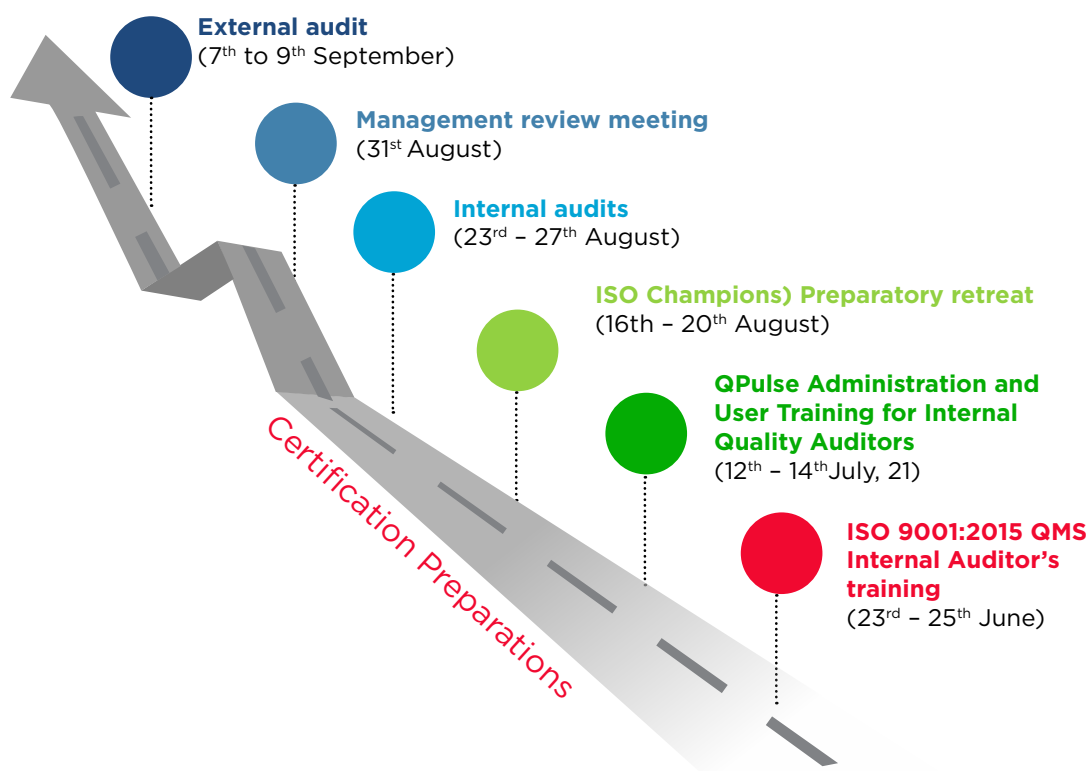


Figure 7: WSTF ISO 9001:2015 Recertification roadmap

Resource Mobilisation and Partnerships

Financing

The Fund targeted to receive Ksh 1.578. Billion in FY 2020/2021. However, a total of Ksh **1,227,465,471.7** was the actual amount received translating to 77.8 % of the 2020/21 annual target. This excludes Cash in transit from Development Partners and the balances carried forward from the previous financial year. The detailed are as per table 3 below.

Table 3: Funding Sources under the rural and Water Resources investments' programmes

Financing Partner	Budget	Funds Received (Kshs)	%
GoK	394,300,000	394,300,000	100.0%
IFAD-UTaNRMP	22,800,000	22,768,300	99.9%
GoS/GoF (J6P)	61,000,000	56,004,725	91.8%
DANIDA (GGEP)	51,000,000	50,390,353	98.8%
DANIDA (WLP)	399,000,000	385,000,000	96.5%
EU (CPIRA)	650,000,000	319,006,818	49.1%
TOTAL	1,578,100,000	1,227,470,196	77.8%

NB; as per revised budget (Supplementary II),

Funding Trend

The charts below shows the financing trends in the Fund over the last five years:

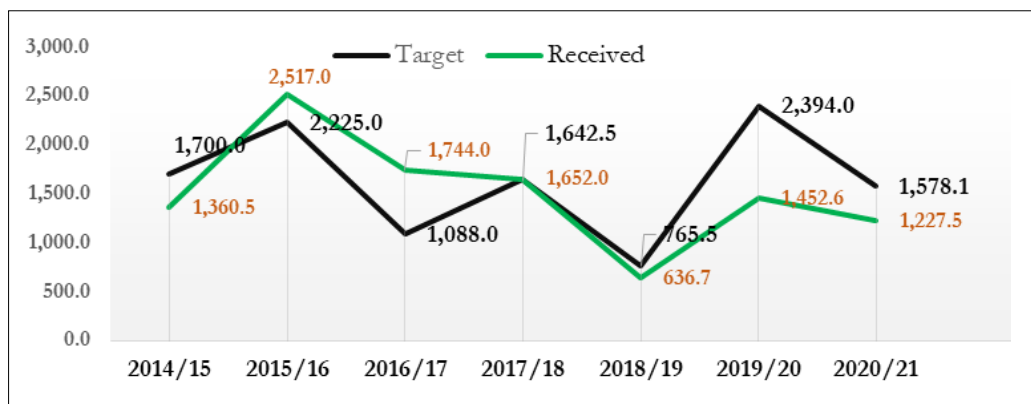


Figure 8: Funding trends for the WaterFund (2014-2021)

It is noteworthy from Figure 7 above that the funds receipt in the Fund has been on the decline for the last 5 Fiscal years, with the highest receipts having peaked in the FY 2015/2016. However, the FY 2019/20 recorded a significant improvement. The FY 2020/21 recorded further improvement with receipts surpassing targets by Ksh 892.5 Million.

New programmes and status of resource mobilization initiatives

During the reporting period, Water Sector Trust Fund realised new financing agreements totalling Ksh. 9.67 billion. Further, 12 proposals were submitted for funding. The WaterFund continued to follow up and negotiate on previously submitted proposals with the aim of getting them signed and funded. These, and other partnership development activities are captured in the section below:

Fundraising Activities

The following financing agreements were signed during the period;

- i) The Government to Government Agreement for the proposed new DANIDA funded Programme titled **Sustainable Management and Access to Water and Sanitation in the ASALs**. The Programme, to be funded at a total of Ksh.1.1 billion. The Programme will become effective when the bilateral agreement is signed between the WaterFund and the Royal Danish Embassy. This process is awaiting submission of the Engagement Documents to the National Treasury and the Attorney General for review and clearance. The Programme ends in 2025.
- ii) The financing and subsidiary agreements for the **National Performance Based Financing Programme** financed by the World Bank and GOK were signed during the financial year for Ksh. 6.9 billion. The Programme was aimed at improving liquidity and performance of Water Services Providers in response to the challenges posed by COVID-19. Under the Programme, WaterFund will disburse Ksh. 6.9 billion to WSPs, Ksh.5.4 billion as a grant and Ksh.1.5 billion as a loan. The programme will end in October 2022.
- iii) WaterFund signed the subsidiary agreement for the **Development of Water and Sanitation Sector – WSTF, Phase IV Project** funded by the German Development Bank (KfW) and GOK as a continuation of Programme Phases I, II and III. Under the Programme, WaterFund will finance water and sanitation projects to low income urban areas through the Water Services Providers, and including an Aid on Delivery Component. The Programme funding totals Ksh. 1.5 billion, of which approximately Ksh.253 million (2.2 million Euro) is GOK counterpart. The Programme will end in 2023
- iv) The Fund signed an addendum for the Upper Tana Natural Resources Management Programme for funding towards water resources management and forest management. The total funding planned so far in this addendum is approx. Ksh. 276 million. The addendum ends in December 2022.
- v) WaterFund engaged the Ministry of Water, Sanitation and Irrigation, and the National Treasury, for approval and funding towards a Sector Revolving Fund. Both the Ministry and the National Treasury have approved the Concept; however, Treasury has directed that it should not be a Fund with WSTF which is already a Fund, but rather a specific programme with a dedicated bank account. WaterFund has held extensive consultations with GOK, both National and County, Development Partners, Water Companies, Financial Institutions including Kenya Pooled Water Fund, and Civil Society organizations. The Revolving Loan facility is expected to cost between Ksh. 2 billion and Ksh. 5 billion over the next five years, and with collaboration with Partners and Financial Institutions, should grow to Ksh.30 billion over 10 years. The WaterFund, supported by WASH-FIN, will undertake a feasibility study to design, taking stock of the issues raised during stakeholder’s forums.

The status of resource mobilization initiatives during the FY 2020/2021 are tabulated below.

Table 4: Status of resource mobilization initiatives for FY 2020-2021

Item	Activity	Status / remarks
Public Sector Investment Facility (PIF) of Finland for supporting modernizing technology and systems for water services and water resources management. Kauko International	WaterFund received feedback on the PIF proposal and during the financial year continued to review the Project Document together with Kauko International, in line with the feedback. This included selection of technologies and site for implementation	Review to be submitted to the PIF in the 1 st quarter of 2021/2022.
Proposal to fund research activities in water resources management and water services, sewerage and sanitation	The Fund submitted a research proposal to two organizations namely USAID Development Innovation Ventures, and the Global Development Fund	USAID Development Innovation Ventures acknowledged receipt of the proposal and will communicate on whether the proposal will proceed to the next stage. Proposal to Global Innovation Fund was not awarded as per feedback received.
Green Climate Fund Proposal for green technologies through credit financing and Result Based financing	The National Treasury, on behalf of WaterFund, submitted the reviewed Concept to the Green Climate Fund	Awaiting feedback on the reviewed Concept, to move to the development of full proposal.
Revolving Fund Proposal for Sector loan financing	WaterFund submitted the Revolving Fund Concept to Development Partners and key stakeholders for review and funding consideration during consultative process	Several follow-ups are ongoing with various Partners and Financial Institutions to actualise the Programme
School WASH and Sanitation Proposal	WaterFund Submitted a Sanitation and School WASH Proposal to NCBA Bank for collaboration in extending the specified services	Awaiting feedback

Partnership Activities

During the year under review, the Fund held three (3) Rural Steering Committee Meetings and one (1) Joint Steering Committee meeting. Due to COVID-19 Pandemic, all Partnership meetings held during this period were virtual.

The Rural Steering Meetings provided awareness on programme status especially the programmes that were about to end, funds utilization, audit and monitoring issues among others. The meetings were a rich platform to address challenges and find a way forward, as well as a chance to apply lessons learnt across the various programmes. Through the meetings, WaterFund reporting has been greatly enhanced due to Partners' feedback regarding the need for reporting on impacts, reporting against budget, targets and expected Results on risk management, audit follow-up and environmental issues. The format of financial reporting also improved greatly as a result.

The Joint Steering meeting discussed both Rural and Urban Investments, and focused on cross-cutting and strategic issues including institutional highlights, funds absorption, virtual field visit and status of audit. Members also deliberated on end of programme issues, for programmes coming to an end namely J6P and GGEP/WLP. The World Bank OBA programme was reported to have ended in May, 2021.

The Fund held several Programme Steering Group meetings for the J6P to ensure smooth implementation and programme closure. WaterFund also held an end of Programme Review meeting for the Programme whose implementation period was until 30th June 2021. The Review focused on the achievements of the programme in provision of water, sanitation services and water resources management across the six (6) Counties, as well as challenges and lessons learnt. Further, it deliberated on the post closure activities and responsibilities of stakeholders on sustainability of funded projects.

The WaterFund held several meeting with the Royal Danish Embassy, key among them being the kick-off meeting to set the pace and meet the implementing partners for the new Programme, and the wrap up workshop for the GGEP/WLP Programmes.

During the reporting period, WaterFund held various bilateral and consultative meetings with all the Partners to discuss progress and emerging issues in ongoing and new programmes. The Fund also met the Partners, Water Sector Institutions and other stakeholders to deliberate on the Revolving Fund Concept as already indicated.

In an effort to raise more resources and contribute positively to the global water, sanitation and climate dialogue, the Fund participated in various networking forums including WASPA Youth and Women Conference held in Naivasha, and the Climate Data and Information Management workshop in Machakos. Other virtual forums included Webinars on sustainability of water and sanitation service delivery during the new normal under COVID-19 reality, launch of the National Performance Based Financing Programme, and planning meetings for the 9th World Water Forum and the World Water Week 2021, among others.

Next Steps in Resource Mobilization

In the Financial Year 2021/2022, the Fund will continue to follow up on submitted proposals, and expects that several of them will be signed and activities commence within the year. The Fund expects that the National Treasury will approve budget for the Revolving loan Framework. Partnership meetings and dialogue will continue within the COVID-19 guidelines and compliance.

Chapter 3: Investment Programmes Progress

Upper Tana Natural Resource Management Programme

Introduction and programme background

The IFAD - Upper Tana Natural Resources Management Programme (UTaNRMP) is a ten-year project (2012-2023) funded by the Government of Kenya, the International Fund for Agricultural Development (IFAD), Spanish Trust Fund and Local communities (beneficiaries) through Water Sector Trust Fund (WSTF) having been granted a two and half years extension to end on 30th June, 2023.

Table 5 below provides a highlight of the programme key statistics and overall cumulative achievements up to the end of the FY 2020/2021.

Table 5: UTaNRMP key statistics and cumulative achievements

Implementation Period	June 2012 to June 2023	
Programme Budget (Kshs.)	600 Million (based on the original budget)	
Receipts to Date (Kshs.)	552.2 Million	
Disbursements (Kshs.)	551.6 Million	
Target Counties	Nyeri, Embu, Meru, Kirinyaga, Tharaka Nithi and Murang'a	
Programme Objective/s	To enhance sustainable management of water resources and natural resources through the financing of Water Resources Users Associations and Community Forest Association activities.	
Key Milestones	Target	Cumulative Achievements
Finance WRUAs	61	59
Finance CFAs	33	31
No. of beneficiaries	277,700	1263,100
Tree nurseries established	-	47
Forest rehabilitation - Seedling planted (No.)	-	2,477,464
Forest rehabilitation area (HA)	-	1073

¹ Most of the projects supported were mainly on capacity development, forest protection and rehabilitation and livelihood interventions hence the average of achievement on this indicator. This is calculated as seedlings raising and procurement- 2,477,464, Maintenance of gazette forest areas- 1073 hacters, tree nurseries -47,Bee hives- 1465, greenhouses- 9, springs- 94, wetlands -13, fish ponds- 19 with 10,500 fingerlings, RWH- 6, EIAs- 5, marking and pegging - 12,006 Kilometers with 594,500 seedlings planted.

The IFAD - UTaNRMP programme supports Water Resources Users Associations (WRUAs) and Community Forest Associations (CFAs) in implementing conservation activities with the aim of contributing to rural poverty reduction in the Upper Tana Catchment. It is technically supported by Water Resources Authority (WRA) in conjunction with Water Fund for WRUAs and Kenya Forest Service (KFS) for CFAs. Through the implementation of Sub Catchment Management Plans (SCMP) by WRUAs and Participatory Forest Management Plans (PFMP) by CFAs activities, the programme has realised enhanced natural resource management through rehabilitation of degraded forest reserves and water catchment areas.

Annual Financial Report

During the FY 2020/2021, a total of Ksh 130,832,289.30 was available for supporting WRUAs and CFAs activities (including an opening balance of Ksh 108,063, 989.30) and Ksh 22,763,300 received from IFAD). A total of Ksh 57,873,152.50 was expended during the year hence an overall funds absorption of 55.8% based on accruals. Details of the expenditures are as detailed in the figure below.

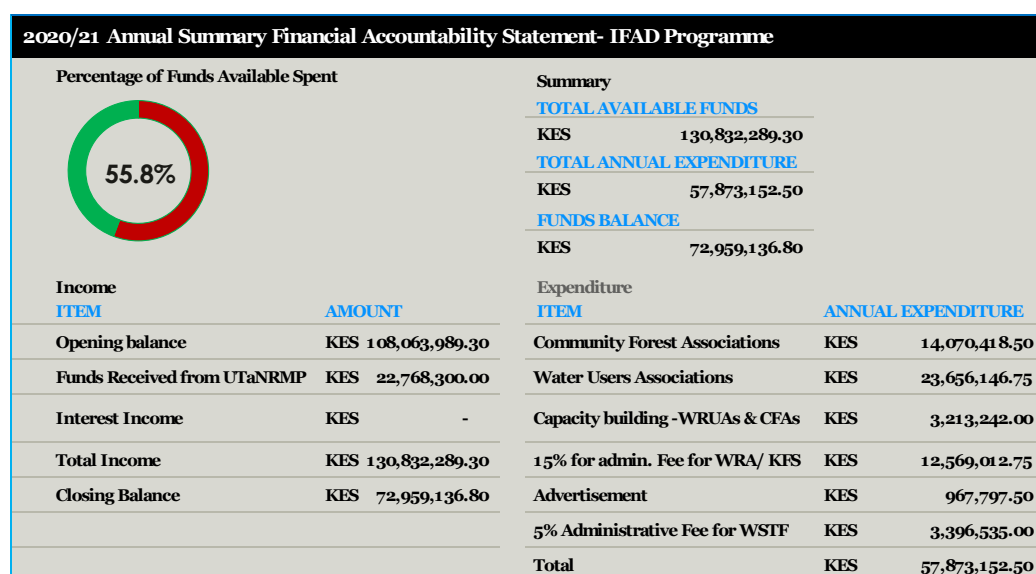


Figure 9: Annual Funds Accountability Statement- UTaNRMP

Annual Programme Achievements

Table 6 below highlights the key achievements made under the programme during the year under review.

Table 6: Key Achievements in 2020/2021 UNDER UTaNRMP

Implementation Period	1 st July 2020 to 30 th June 2021		
Funds available for disbursement (Kshs.)	130.83 Million		
Expenditure during the year (Kshs.)	57.87 Million		
Balance as at the end of the year	72.96Million		
Key Milestones	Target	Annual Achievements	Variance
Finance WRUAs	50	24	- 26
Finance CFAs	28	7	- 21
No. of beneficiaries	230,000	157,800	- 72,200
Capacity building workshops	1	9	+8

The Fund has so far made four calls for proposals from WRUAs and CFAs in the six target counties. The first call was on 25th July, 2014 and resulted in 34 proposals (16 WRUAs & 18 CFAs) being successful. As at 30th June, 2017, all call 1 WRUA and CFA projects had been fully implemented and completed. The second call for proposals was run in the print media of 6th May, 2016 with 43 projects (31 WRUAs and 12 CFAs) being successful and out of which 42 projects (12 CFAs and 30 WRUAs) received all the funding as at 30th June, 2018. The third call for proposal was advertised on 20th March, 2018 and as a result 96 project proposals (62 WRUAs and 34 CFAs) were received by respective Water Resources Authority (WRA) sub regions and Kenya Forest Services (KFS) conservancies for review. Out of which 82 projects (54 WRUAs and 28 CFAs) were recommended by the secretariat for further review by the Project Review Committee (PRC). The PRC recommended 78 projects for funding. However, due to budgetary limitations, only 57 projects (29 WRUAs & 28 CFAs) were funded based on a ranking criterion. The rest of the 21 WRUA projects were funded during the FY 2020/202, additional three WRUA projects were funded for second tranche activities. The fourth call for proposals was advertised on 11th May, 2021 and resulted in 69 proposals (28 CFAs and 41 WRUAs). The Embu secretariat embarked on the field and desk appraisal in quarter 4 to determine the potential WRUAs & CFAs for further and final appraisal by the Programme Review Committee (PRC).

Analysis of Results

The Fund is supporting the Programme in implementation of the sustainable water and natural resources management component. This component is designed to improve the sustainable utilization of water and other natural resources, mainly using community groups including the WRUAs and the CFAs. Under the sustainable water resources subcomponents, the implementation of the 155 contracts on water resources and natural

resources management activities has resulted in enhanced capacity of the communities for the WRUAs and CFAs to plan for and implement sub-catchment management/ Participatory Forest Management plans activities. This community centric approach has resulted in higher levels of ownership and sustainable management of water and natural resources.

Financial absorption for UTaNRMP based on accruals (disbursed and accounted) cumulatively was at 55.8% that is Ksh. 57,873,152 on accrual basis. The challenge/delay in accounting is as a result of most WRUA projects having received their 2nd tranche funds towards implementation of civil works delayed activities but were not ready to start reporting and accounting because of pending inspections and clearance by the WRA. However, the project activities are on track.

During the year under review, **7 CFAs and 24 WRUAs** were financed for 1st & 2nd tranche activities. The projects were financed to implement rainfall dependent activities, acquisition of statutory requirements such as Environmental Impact Assessments (EIAs), Hydrological, pollution, abstraction and baseline surveys, capacity development, livelihood activities, water regulation, and forest improvement after submission of milestone-based work plans. Cumulatively as at the FY 2020/2021 the following outputs had been realized;

- 1) 268 Rain Water Harvesting tanks of varying capacities (10m³, 12m³ and 15m³) installed in public institutions with total capacity of 2,799m³.
- 2) 4 common intakes constructed with various agreements signed with water projects.
- 3) 12,006 Kilometres pegged, 594,500 water friendly trees and 11,300 bamboos planted for riparian conservation in some of the pegged areas.
- 4) 94 springs and 13 wetlands protected by both WRUAs and CFAs
- 5) Forty seven (47) nurseries with 3,154,900 tree seedlings established.
- 6) 32 abstraction surveys, 22 pollution surveys and 25 baseline, survey and mapping surveys, 3 EIAs undertaken by WRUAs
- 7) 1073 hectares of forest land rehabilitated through planting of 1,068,250 seedlings
- 8) 6 Bulk meters installed through WRUAs.
- 9) 6 green houses established towards WRUA sustainability and livelihood improvement.
- 10) 207 hectares of previously planted forest areas maintained through planting of 105,000 seedlings at 90% survival rate
- 11) 748 Energy saving Jikos procured and distributed to beneficiaries
- 12) 126 members trained on efficient energy technology installation and use.
- 13) Eighty (80) gabions established towards enhancing soil and water conservation.
- 14) Nineteen (19) fish ponds, 300 m³ with 10,500 fingerlings each constructed for alternative livelihood improvement.

- 15) One thousand three hundred & forty (1340) bee hives procured and installed through WRUAs and CFA projects towards sustainability and livelihood improvement.
- 16) Forest maintenance 18 Kilometers done
- 17) Nature trail of 4 Kilometers cleared
- 18) 5 No. bamboo Greenhouse of 8 m x 15 m established
- 19) Installed 28 No. raw master meters at community water projects by WRUAs.
- 20) One sand dam- Gitumi constructed by Middle Thura WRUA
- 21) 10,080 fruit trees planted towards improved livelihoods.
- 22) One (1) exchange visit undertaken on bee keeping towards knowledge improvement.
- 23) 3,282 WRUA and CFA members from the targeted catchment areas trained on water resources management, bee keeping, scouting, fish farming, Bamboo propagation, green houses, tree nursery establishment and nature trail establishment.

UTaNRMP Risks and Mitigation measures

The programme risks and mitigation measures are highlighted in the following table:

Table 7: UTaNRMP Risk Management and Mitigation measures

Risk	Mitigating measures
<ul style="list-style-type: none"> i) Delayed Accounting by the WRUAs for funds disbursed due to current reporting process through WRA which is bureaucratic in nature ii) Delayed Implementation due to COVID-19 pandemic restrictions. iii) Delays in inspection of completed works, issuance of payment certificates and execution of payments by WRUAs to contractors iv) Unclear terms in the Memorandum of Understanding (MoU) between WRA and WSTF 	<ul style="list-style-type: none"> i) Fast track accounting of disbursements through Sub regional/ Regional /County accounting officers based at WRA and KFS offices. ii) WRA and KFS Headquarters to authorize the forwarding of accounting documents/ progress reports from the WRA/KFS Regional and County offices to WSTF using emails before onward submission of hardcopies to their offices to reduce delays. iii) Observed Ministry of Health guidelines during implementation of the project activities. iv) Fast tracking implementation and completion of call 3 Batch 1 WRUA activities through meetings between WSTF & WRA. v) WRA committed to fast track the inspection by mobilizing more of their technical staff to support all WRUA projects ready to inspections vi) Review of MoU between WRA and WSTF to clarify terms of engagement

Support to Equitable Access to Quality Water, Basic Sanitation and Enhanced Water Resources in Rural Kenya

Introduction and Programme background

The J6P “Support to Equitable Access to Quality Water, Basic Sanitation and Enhanced Water Resources Management in Rural Kenya” is based upon collaboration between the Water Sector Trust Fund and the two Development Partners including; The Government of Finland (GoF), the Government of Sweden (GoS) and the Government of Kenya (GoK). The programme aims to achieve its purpose through five outcomes namely:

Outcome 1: County capacity enhanced, (County capacitated in fulfilling their constitutional responsibilities in establishment of an enabling environment for the provision and monitoring of WRM, Water and Sanitation services).

Outcome 2: Water resources management conflicts reduced, (WRM initiatives protecting water resources and ensuring equity in water access thereby reducing water related conflicts and environmental degradation).

Outcome 3: Increased water service access, (Water supply projects ensure improved equitable access to water services).

Outcome 4: Increased sanitation service access, (Sanitation investments ensure improved equitable access to sanitation).

Outcome 5: WSTF capacity enhanced, (The Fund being able to undertake its mandate through strengthened institutional capacity).

Table 8 provides a highlight of the programme key statistics and overall cumulative achievements up to the end of the FY 2020/2021 since inception.

Table 8: J6P key statistics and cumulative achievements as at 30th June, 2021

Implementation Period	December, 2014 to December, 2021		
Programme Budget (Kshs.)	2,025 Million ² (<i>Inclusive of TAs cost of Ksh 360 M</i>)		
Receipts to Date (Kshs.)	1,559.44 Million ³		
Amount utilized to Date (Ksh)	1,552.65 Million		
Programme Objective	Equitable Access to Quality Water, Basic Sanitation and Enhanced Water Resources Management in the targeted counties.		
Key Results	Target	Cumulative Achievements	% Achieved
Component 1: County capacity enhanced			
No. of Counties with accurate baseline WS coverage data (available and updated online).	6	6	100%

² The amount includes TA support which is not administered by WSTF.

³ The figure is inclusive of interest earned by the programme funds amounting to Ksh 32.1 million

Key Results	Annual Target	Cummulative Achievements	% Achievement
Implementation Period	December, 2014 to December, 2021		
No. of Utilities with GIS maps used for planning and decision making.	32	24	75% ⁴
No. of Counties applying approved legal systems in water management.	6	2	33.33% ⁵
% County contribution) to WSTF supported water investments.	10	15.7	157%
No. of counties with approved GESI guidelines.	6	0	0 ⁶
Component 2: Enhanced Water Resources Management			
No. of basin wide action plans developed/ implemented.	18	15	83.3% ⁷
Amount of Funds utilised to support water resources management activities (Ksh.).	175.32 million ⁸	167.19 million	95.4%
Area covered in water resource management (km ²).	1800	1500	83.3%
Counties implementing a transboundary WRUA management framework to reduce water conflicts.	0	4	>100% ⁹
No. of WRUAs trained in governance, finance, procurement and management of water resources.	18	20	111% ¹⁰
Outcome 3: Increased Water Services Access			
No. of projects funded in the target counties.	40	42	105% ¹¹
Funds utilised to support water projects in the target counties.	880.22 million	879.33 million	99.9%

4 All the Utilities implementing projects were mapped.

5 Two counties of Kwale and Tharaka Nithi have enacted their respective County Water Laws. Laikipia County is yet to conclude the process.

6 The development of GESI Strategy was completed and approved by the Board of Trustees in Q4 of FY2020/2021. This applies to the guidelines, but are yet to be rolled out. WaterFund will roll out the guidelines in the FY2021/2022 using the GoK support.

7 Only 15 WRUAs were funded, 8 of them funded twice (batch I&II)

8 This is a revised figure from 300.75 million as per the approval of Budget reallocation and consideration of forex losses and interest earned.

9 Financing of WRUA activities beyond the administrative boundaries of the six counties came up in four of the six counties. These are Kwale & Mombasa, Laikipia & Meru, Nandi and Kisumu and Tharaka Nithi & Meru.

10 5 WRUAs were trained twice (Batch I&II).

11 10 of the 42 projects were funded twice to realize their full potential.

Key Results	Annual Target	Cummulative Achievements	% Achievement
Implementation Period	December, 2014 to December, 2021		
No. of people reached with improved water services in the target counties.	199,280	131,716	66.1 % ¹²
Sustainability index of the supported projects.	100	52%	52% ¹³
Average customer satisfaction from the supported projects (service levels).	1	TBD ¹⁴	
No. of Utilities trained in governance, finance, procurement and management of water and sanitation services.	26	24	92% ¹⁵
% County and community contribution in water services projects.	10	15.7	157%
% of the poorest population (those HH in SL 3&4 rising to 1&2).	50	TBD ¹⁶	TBD
Outcome 4: Increased Sanitation Access			
No. of projects/institutions funded in the target counties.	62	65	104.8%
Funds utilised to support sanitation projects in the target counties.	131.79 million	128.88 million	97.8%
No. of people reached with improved sanitation services in the target counties.	16,040	14,310	89.21% ¹⁷
Sustainability index of the supported projects. (Institutional)	> 70%	65%	92.8% ¹⁸
No. of villages implementing CLTS activities.	400	433	108.25%
No. of villages declared ODF.	376	399	106.11%
No. of public latrines with adequate access for PWDs.	12	3	25% ¹⁹
Outcome 5: Enhanced WSTF Capacity			
No. of new project cycles developed and implemented.	2	2	100%

¹² Population is expected once Pinyiny (Ntulele), Sogoo, Lelmokwo and Nyaduong C are fully operational.

¹³ This was based on Joint Annual Operations Monitoring Exercise (JAOME) of FY2019/2020.

¹⁴ The average customer satisfaction to be determined during the End of Programme Evaluation.

¹⁵ The total number of funded Water Utilities are 24 against a target of 26.

¹⁶ This will be carried out during the end of programme evaluation.

¹⁷ This is the total population reached on completion of sanitation projects.

¹⁸ This was based on Joint Annual Operations Monitoring Exercise (JAOME) of FY2019/2020.

¹⁹ Only 3 out of the target public sanitation facilities were constructed.

Key Results	Annual Target	Cummulative Achievements	% Achievement
Implementation Period	December, 2014 to December, 2021		
No. of new Investments Monitoring systems developed and implemented.	3	2	67%
No. of Information Systems developed and implemented.	1	0	0% ²⁰
Risk management efficiency- questioned costs as a proportion of total expenditure (%)	<3%	1.34%	>100%
No. of research projects funded	12	0	0 ²¹
Funds disbursed to support research initiatives (Ksh. M)	4	0	0% ²²
Staff gender equity- proportion of women in total staffing	50	39.1	78.3%
Proportion of staff with disabilities (%)	5	2	40%
CRMs engaged to support project activities	6	6	100%
Proportion of technical staff to total staffing	70	35	50% ²³
Staff trained on project implementation & management	40	20	50% ²⁴
Development index (%)	85%	81%	95.2%
Project processing efficiency (days)	90 days	120 days	67.7%
Red flag alert system operational - % of projects red flagged.	0	3	1.85% ²⁵
WSTF monitoring visits per project	2	2	100%
Knowledge management- Academic articles published on the programme	12	2	16.7% ²⁶

20 PMIS was developed in the beginning of the programme, the process of data entry took effect but could not proceed beyond a certain level owing to some technicalities that were experienced. The Fund is developing a Systems Integrated Project which will incorporate all existing systems and make it user friendly. This will be financed under GoK budget

21 The research policy and strategy has been developed and is awaiting approval by the Board.

22 No funds have been disbursed to support research initiatives aside from facilitating staff who accompanied Aalto students to the field on two occasions to Nandi and Laikipia for research activities.

23 Staffing freeze by the government has resulted in a low number of technical officers supporting programmes. This is augmented by the technical consultants supporting the programmes.

24 There were delays in the first year of implementation resulting in fewer trainings. Furthermore, there was no training budget for FY2020/2021.

25 3 projects red flagged out of 162 active projects and this is a reducing target where less is better

26 The Research and Innovation financing framework and policy was approved to be completed and thus publication of academic articles yet to be realized.

Annual Financial Report

In the FY 2020/2021, a total of Ksh 292,149,278 was available for utilization. A total of Ksh 266,390,465.80 was expended during the year, representing funds absorption of **91.2%**. Details of the expenditures are as illustrated in the figure 10 below.

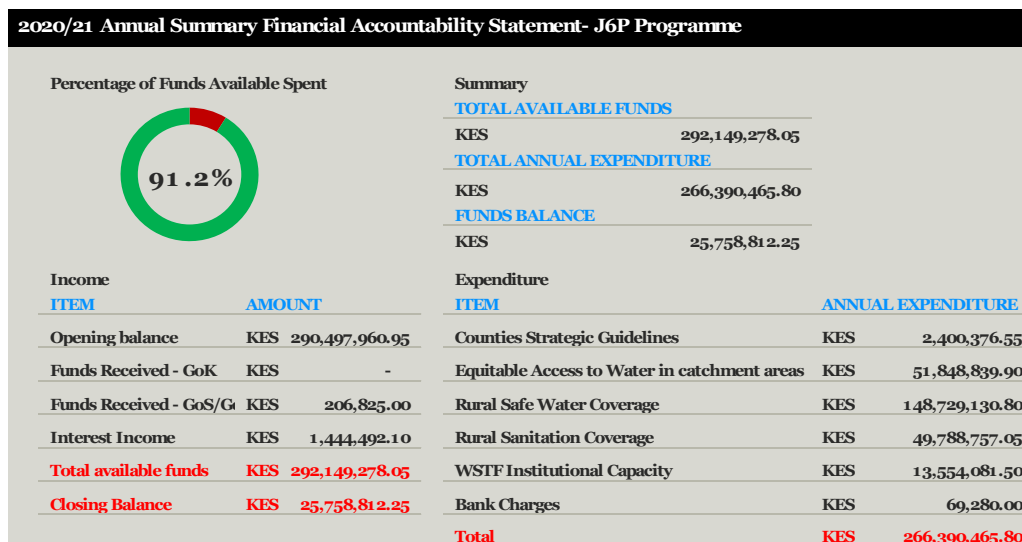


Figure 10: J6P Annual Funds accountability statement

Note: The Opening balance of Ksh. 290.5 million comprise of Ksh 148,022,112.95 as opening bank balance, Ksh 56,004,725.00 cash on transit, Ksh 94,275,300.90 as project receivables, Ksh 184,060 un-surrendered imprest, Ksh 1,444,492.10 being interest earned and Ksh 206,825 being foreign exchange gained

Annual Programme Achievements

The fiscal year July 2020 - June 2021 marked the fifth year of implementation of the programme. Table 9 below highlights the key achievements made under the programme during the year under review:

Table 9: Annual j6p programme achievements

Implementation Period	July 2020 to June 2021		
Programme Annual Budget (Kshs.)	Ksh 215.32 Million		
Amount available to spend	Ksh 292.15 Million		
Expenditure during the year	Ksh 266.39 Million		
Balance at the end of the year	Ksh 25.76 Million		
Key Results	Annual Target	Annual Achievements	% Achievement
Component 1: County capacity enhanced			
No. of Utilities with GIS maps used for planning and decision making	0	0	0
No. of Counties applying approved legal systems in water management	3	2	67.67 ²⁷
% Allocation of budgets (county contribution) to WSTF supported water investments	10	22.5	225% ²⁸
No. of counties with approved GESI guidelines	6	0	0 ²⁹
Proportion of projects/ project designs mainstreaming GESI concerns	6	0	0 ³⁰
Component 2: Enhanced Water resources management			
No. of WRUAs funded	6	6	100%
Amount of Funds utilised to support water resources management activities (Ksh. M)	36.91 million	33.92 million	91.9%
Area covered in water resource management (km ²)	3	2.5	83.3%
Proportion of funds invested in Water Resources Management (%) to the overall budget.	12.84	17.14	133%
Counties implementing a transboundary WRUA management framework to reduce water conflicts	0	4 ³¹	>100%
No. of WRUAs trained in governance, finance, procurement and management of water resources.	0	0	0

27 Kwale and Tharaka Nithi Counties successfully enacted their respective County Water laws.

28 The amount is from Pinyiny (Ntulele) Water Project in Narok

29 The development of GESI Strategy was completed and approved by the Board of Trustees in Q4 of FY2020/2021. This applies to the guidelines, but are yet to be rolled out. WaterFund will roll out the guidelines in the FY2021/2022 using the GoK support.

30 Despite the process of GESI strategy and guidelines development taking place, it is worth noting that all projects being implemented are GESI responsive in one way or the other.

31 Financing of WRUA activities beyond the administrative boundaries of the six counties came up in four of the six counties. These are Kwale & Mombasa, Laikipia & Meru, Nandi and Kisumu and Tharaka Nithi & Meru.

Key Results	Annual Target	Annual Achievements	% Achievement
Implementation Period	July 2020 to June 2021		
Outcome 3: Increased Water Services Access			
No. of projects funded in the target counties	5	5	100%
Amount utilised to support water projects in the target counties (Ksh. M)	94.49million	92.34 million	97.72%
No. of people reached with improved water services in the target counties	19,320	18,579	96.16%
Sustainability index of the supported projects	100	52%	52% ³²
Average customer satisfaction from the supported projects	100	TBD ³³	TBD
No. of Utilities trained in governance, finance, procurement and management of water and sanitation services	0	0	0
% County and community contribution in water supply projects	10	22.5	225%
Outcome 4: Increased Sanitation Access			
No. of projects funded in the target counties	13	13	100%
Amount utilised to support sanitation projects in the target counties (Ksh. M)	37.92 million	32.62 million	86.02%
No. of people reached with improved sanitation services in the target counties	6,100	6,100	100%
Sustainability index of the supported projects	>70%	TBD	TBD ³⁴
No. of villages implementing CLTS activities	115	228	198.26%
No. of villages declared ODF	115	203	176.52%
Outcome 5: Enhanced WSTF Capacity			
No. of new project cycles developed and implemented	0	0	0
No. of new Investments Monitoring systems developed and implemented	0	0	0

³² This was based on Joint Annual Operations Monitoring Exercise (JAOME) of FY2019/2020.

³³ To be determined during the end of programme evaluation.

³⁴ To be confirmed during JAOME of FY2021/2022

Key Results	Annual Target	Annual Achievements	% Achievement
Implementation Period	July 2020 to June 2021		
No. of Information Systems developed and implemented	0	0	0
Risk management efficiency- questioned costs as a proportion of total expenditure (%)	<3%	1.34%	100%
No. of research projects funded	0	0	0
Funds disbursed to support research initiatives	0	0	0
Staff gender equity- proportion of women in total staffing	50	39.1	78.3%
Proportion of staff with disabilities	5	1	20%
CRMs engaged to support project activities	6	6	100%
Proportion of technical staff to total staffing	70	35	50%
Staff trained on project implementation & management	0	0	0
Project processing efficiency (days)	0	0	0
Red flag alert system operational - % of projects red flagged	0	0	0
WSTF monitoring visits per project	2	2	100%
Knowledge management- Academic articles published on the programme	0	0	0

COVID-19 Response Projects

WaterFund, through the programme supported the implementation of 8 COVID-19 response projects in the six counties. Implementation of these projects begun in Quarter 1 of the fiscal year 2020/2021. The COVID-19 response activities ranged from rehabilitation of water supply schemes, installation of handwashing stations in strategic areas, provision rainwater harvesting tanks to ensure availability of water for handwashing, provision of face masks, fumigation services, awareness campaigns through local radio station and posters, training of public health officers, among other activities. In general, 165 hand washing points were installed and the water for use were supplied using water bowsers and stored in plastic water storage tanks. The total number of water storage tanks installed are 95 of various capacities (ranging from 5m³ to 10m³).

Through rehabilitation of water supply systems, 689 individual connections were realized, serving a total population of over 3,500 in Nanyuki. On the other hand, 12 communal water points were rehabilitated. The installation of an electric pump by Kobujoi water utility has seen 5,555 beneficiaries relieved from relying on water trucking. These are 98 individual connections and 11 institutions. In total, over 228,000 were reached through the various activities under the COVID-19 Response projects.

Sustainability Strategies for Water Utilities

WaterFund through the support of Capacity Development Advisor embarked on implementation of sustainability strategies based on the initial assessment which took place in Q1&Q2 of FY 2020/2021. The resultant of the assessment was the development of an action plan to be implemented in Q3&Q4 of FY 2020/2021. The Utilities that received the support of CDA on sustainability were 18 whereas 6 which are regulated by WASREB were considered to be able to get support from their respective County Governments and other stakeholders.

Each of the 18 water utilities have unique challenges that needs to be addressed. However, 4 water utilities were in a better position and required minimal support to transit to the next level of water service provision. The four utilities are Nyasare in Migori, Murugi Mugumango, Muthambi 4K and Kamwene in Tharaka Nithi County. In Kwale county, a proposal was made to have two water utilities (Panama Shimoni and Mrima) be managed by Kwale Water and Sanitation Company Ltd. on behalf of the County Government. The details of the action plan are annexed to the report.

During the final quarter of FY 2020/2021, capacity building workshops were conducted in Narok and Nandi counties. The Utilities that were considered for the workshop were Sogoo and Entasekera in Narok County, Nyaduong C in Migori County, Kobujoi, Kimngoror and Cheptil Dam in Nandi County. Kimatkei Kipkoil was one of the identified utilities for training but did not participate given that the Utility did not have functional management Committee. The County Government of Nandi is expected to act as a caretaker until a management committee is in place.

End of Programme Evaluation

During the period under review, WaterFund in consultation with the programme development partners drafted the End of Programme Evaluation Terms of Reference. This was as a result of approval of the End of programme evaluation Concept note by the partners. The draft Terms of Reference have been shared with stakeholders for input so as to ensure all aspects of the programme are evaluated to provide feedback on objectivity of the programme and provide vital lessons for future programming. Initial comments have been shared awaiting finalization by the Finland team as it is to take lead in management of the end of programme evaluation in consultation with other stakeholders.













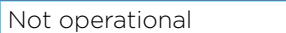

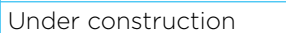

End of Programme Review Meeting

In the 4th quarter of the financial year, an end of programme review meeting was conducted virtually. The objective of the meeting was to take stock of achievements realized, challenges encountered and lessons learnt during implementation of the programme. The feedback from the counties were expected to focus on the following areas;

- i) Operational status of projects (Water supply, Water Resources management and Sanitation)
- ii) Number of beneficiaries per project
- iii) Challenges faced during implementation and operation stage
- iv) Lessons learnt

It was in this meeting that sustainability of J6P projects were highlighted and a snapshot was presented as per the table below:

Sustainability Snapshot

County	Water Utility	Sustainability Status
Kwale	1. Mwangani	
	2. Majimboni Muungano	
	3. Panama Shimoni	
	4. Mrima	
Laikipia	1. Doldol Luisukut	
	2. Sipili	
	3. Sirimon	Not operational
Migori	1. Nyasare	
	2. Nyaduong' C	
	3. Nyoprosony	
Nandi	1. Cheptil	
	2. Kimatkei Kipkoil	Recently completed
	3. Kimng'oror	
	4. Kobujoi	
	5. Lelmokwo	Not operational
Narok	1. Entasekera	
	2. Sogoo	Not fully operation
	3. Pinyiny/Ntulele	Under construction
Tharaka Nithi	1. Murugi Mugumango	
	2. Muthambi 4K	
	3. Kamwene	

Key: Below Average  Average  Above Average 

Sustainability Way Forward

County	Water Utility	Way forward to increase sustainability
Kwale	Mwangani	Fix governance, O&M systems, enlarge scope (to Kilifi County)
	Majimboni Muungano	Enhance governance, O&M systems
	Panama Shimoni	Failed governance; Hand over to Kwale Water
	Mrima	Failed governance; Hand over to Kwale Water
Laikipia	Doldol Luisukut	Reduce high NRW (70+%), attend environmental issues (sand harvesting)
	Sipili	Doing well, but requires increased production of water
	Sirimon	Rehabilitation of the washed intake, enhance governance
Migori	Nyasare	Doing well, sustainable & potential reference to guide Migori County Utilities.
	Nyaduong' C	Install power supply for pumps
	Nyoprosony	Enhance governance and rehabilitation of pipelines network
Nandi	Cheptil	Enhance governance, O&M systems
	Kimatkei Kipkoil	Enhance governance, O&M systems
	Kimng'oror	Enhance governance, O&M systems
	Kobujoi	Enhance governance, O&M systems
	Lelmokwo	Not operational; The project is part of the larger Kipkaren Dam water project being constructed to serve Eldoret. Lelmokwo will receive a daily allocation of 2,500m ³ treated water upon completion.
Narok	Entasekera	Enhance governance,
	Sogoo	Enhance governance, power connectivity to boost water to a section of the supply area.
	Pinyiny/Ntulele	Implementation complete, only electricity connection remaining to have the project operational.
Tharaka Nithi	Murugi Mugumango	Doing well, sustainable & potential reference to other Community water Utilities
	Muthambi 4K	Doing well, sustainable & potential reference to other Community water Utilities
	Kamwene	Doing well, sustainable potential reference to other Community water Utilities

Through the programme, the water utilities managed to procure **10,526** consumer meters, **out of which, 6,267** meters representing 59.5% of the total had been installed as at 30th June, 2021. WaterFund has thus communicated to all the utilities to ensure the meters are installed by 31st August, 2021 and thereafter an assessment on the progress will be carried out and decision will be made on case by case basis including an option of recalling of uninstalled meters and re-allocating to other utilities with need to install the meters. This will be done in consultation with the Development Partners.

Recommendations of the review meeting

- i) Counties to maintain traction & momentum for unfinished sustainability initiatives e.g. metering of each and every connection.
- ii) Counties, stakeholders, etc. to scale & mainstream sustainability strategies, experiences, and lessons beyond J6P: to other funding windows, sector etc.
- iii) Resource mobilization – upgrade, extensions, etc. (CIDPs, PPPs, DPs)
- iv) Oversight, performance monitoring, “publishing performance”
- v) Incentive systems: recognize/reward good performance – regulation
- vi) County to offer WUs favorable environment & capacity building
- vii) Support upcoming WUs – “Rural W/S Team”, by attaching to the existing Water Service Providers for mentorship.

Analysis of results

Outcome 1: County Capacity Enhanced

Tharaka Nithi County concluded the development of County water law in FY 2020/2021. This puts the number of enacted water laws to two out of three supported by the programme. On the other hand, Laikipia County embarked on the process of legislating the water law and as at the end of the financial year, the county water services bill had gone successfully through the public participation and is now due for discussion in the County Assembly. During the same period, the development of Laikipia County Water Masterplan was concluded.

WaterFund through the support of National Gender and Equality Commission (NGEC) in collaboration with the WSTF Gender Mainstreaming Committee managed to conclude the development of GESI Strategy and guidelines. The GESI Strategy was approved by the Board of Trustees in Q4. The approval of the GESI Strategy will allow WaterFund to revise the project tools that will guide proposal development, appraisal and approval of projects prior to funding. Further to this, WaterFund will roll out the GESI guidelines in the FY2021/2022 through other programmes before proposals are submitted for consideration.

Outcome 2: Improved Management of Water Resources

Implementation of water resources management activities continued in the period under review. The WRM activities implemented and completed during the period are as follows:

- i) Soil erosion control through construction of terraces – Mbogo valley WRUA
- ii) Spring protection – Kundos WRUA
- iii) Rain Water Harvesting Tanks – Mbuguni WRUA
- iv) Tree nursery establishment: - Mwachiga WRUA
- v) Tree planting (indigenous trees) – Mbogo valley WRUA
- vi) Water Pan Construction – Mwachiga WRUA
- vii) Bulk water meters installation – Sirimon WRUA
- viii) Construction of sand dams – Loisukut WRUA
- ix) Construction of a common intake – Sirimon WRUA
- x) Rain water harvesting tanks (12No. of 10m³ each) – Sirimon WRUA
- xi) Tree planting (10,400 bamboo) – Nithi Water and Sanitation Co. Ltd
- xii) Construction of gabions – Nithi Water and Sanitation Co. Ltd

The Mbogo valley sub-catchment is characterized by undulating and steep hills and valleys bordering Tinderet forest. Landslides and soil erosion are very common in both the forest and farm lands. The effects of soil erosion go beyond the loss of fertile land and reduced agricultural production. In some instances, it leads to sedimentation in streams and rivers, clogging of waterways and general pollution. Management of soil erosion therefore requires multi-pronged approach that majorly focuses on best land use practices that includes construction of terraces and tree planting as was implemented by Mbogo Valley WRUA. Tree planting increases vegetation cover and reduces or controls surface run off, hence improving infiltration which later increases ground water recharge and reserves.

Reduced surface run off on the other hand reduces soil erosion which in the long run controls siltation of rivers and other water bodies as well as eutrophication. The waters of Kathita River in Tharaka Nithi and Meru counties is highly turbid due to erosion. This has contributed to the high cost of treating water for Kibunga Kakimiki water project in Tharaka Nithi. In order to address this phenomenon. Nithi Water and Sanitation Company Ltd took the lead in conserving Kathita catchment by planting 10,400 bamboo seedlings in quarter 3 of the fiscal year. In addition to tree planting, the Utility constructed gabions in major gullies leading to Kathita River. The gabions play a key role in control soil erosion in the catchment, hence reducing sediment load and turbidity in the river. The impact of this catchment conservation initiative will be felt in Kibunga Kakimiki water treatment plant as less chemicals will be used in the process.

Rain water harvesting is one of the ways of ensuring availability of water for domestic use, particularly in institutions and individual homesteads. A total of 21 rain water harvesting tanks were installed by Mbuguni and Sirimon WRUAs. The water storage tanks were installed in public institutions across the sub-catchments. In addition to public institutions, the Mbuguni WRUA procured 3No. 6m³ water storage tanks for the

special need groups as a way of implementing their Human Rights Based Approach (HRBA) strategy. The three tanks were installed in Association of People with Disability of Kenya (APDK) centre, Kwale School for the deaf and Pamoja children's home. In total, over 7,250 beneficiaries have been reached through this intervention.

Harvesting of surface runoff for use, especially during dry period is one of the interventions being advocated for by the sector players. Use of various technologies being encouraged based on availability and associated cost. This approach improves the per capita available water in the country. In the financial year 2020/2021, Mwachiga WRUA constructed a 10,000m³ volume water pan to harvest surface runoff for animals and domestic use. The WRUA is situated in a semi-arid region of Kwale County. Loisukut in Laikipia North, a semi-arid part of Laikipia County constructed 5 sand dams to store water during the rainy season for use during the dry period. This is an approach that has proved to be sustainable in the area and has been serving the community during the prolonged droughts. These sand dams will serve both domestic and livestock in the area.

Water use related conflicts is always common between various users that includes crop farmers and the pastoralists. This is always being witnessed during the dry period in many parts of the country. In order to address this phenomenon, Sirimon WRUA embarked on metering all the abstractors/abstraction points within their sub-catchment. As at the end of financial year, the WRUA had managed to install bulk water meters in 10 of the targeted water projects/abstractors. The main aim of this metering programme is to ensure that an abstractor draws only what is permitted and allow what is not required to flow downstream for other users including the pastoralists. By so doing, conflict is averted and equitable sharing of the resource is assured. Furthermore, the abstracted volume is paid for and the revenue is expected to be ploughed back into the catchment for WRM related activities.

Outcome 3: Sustainable Access to Water Services

The implementation of activities by 9 water projects in the five counties were completed within the financial year 2020/2021. Implementation of 8 of these projects begun in FY2019/2020 and had received their first disbursement. The ninth project is Pinyiny/Ntulele whose implementation begun in November, 2020 after a successful procurement process. It is this project that is yet to start operation owing to delayed electricity connection. A power line has been constructed (by 30th June, 2021), but installation of electricity transformer has delayed operationalization of the project. It is expected that once the project is operationalized, connection to consumers, which include metering will begin forthwith.

During the same period, connection of consumers in Solio Settlement Scheme water project in Laikipia County continued and as at the end of the period under review 1,720 individual connections had been achieved, bringing in an additional 8,600 consumers. In addition, 9 schools and 1 health facility has been connected in the period under review. Further to this, a good other project across the six counties reached out to more beneficiaries through their improved services. These are Kimatkei Kipkoil, Cheptil Dam, Kimng'oror, all in Nandi County, Nyoprosony and Nyasare in Migori, Majimboni Muungano in Kwale, Kathwana in Tharaka Nithi as well as Sogoo and Entasekera in Narok County. In general, **18,579** consumers benefitted from improved water services in the six counties. The additional population has their service levels improved from level 4 to 2 in most of the water supply schemes, and some to level 1 (Kathwana and Majimboni).

Four projects under the programme will either remain partially operational or totally non-operational. Among the four are Lelmokwo water project which remains non-operational until Kipkaren Dam Water Supply project is completed. Lelmokwo is set to benefit from the said project by getting a daily allocation of 2,500m³ of treated water. Pinyiny/Ntulele, Sogoo and Nyaduong C are dependent on power connection to become fully operational.

Outcome 4: Improved Sanitation Services Access

WaterFund continued to support implementation of institutional sanitation through water utilities in the six counties. In FY2020/2021, 14 sanitation projects in public institutions and 1 Public sanitation facility in Chogoria market centre were implemented and successfully completed. Completion of these projects, has seen a total of **6,100** beneficiaries reached with improved sanitation. A provision for persons with disability facilities were included in each of the sanitation project implemented.

In implementation of Community Led Total Sanitation (CLTS), the programme had a target of realising 400 villages triggered, out of which 376 villages were expected to be certified as Open Defecation Free (ODF) at the end of the programme. To date, 433 villages have been triggered out of which 399 villages with a total population of 169,399 have been declared. During the reporting period (FY 2020/2021) **203 villages** were declared ODF. The total population reached with improved household sanitation access during the reporting period is **66,146**

Outcome 5: Water Sector Trust Fund's capacity

Enhanced capacity of WSTF to fulfil its mandate, particularly to manage fiduciary risk will be realized under this component. The engagement of CRMs has improved communication between the Fund and the Counties as well as implementing partners, ensuring that decision making is efficient. The CRMs are also representing WSTF at the County level sector engagements hence ensuring the presence of WaterFund at the County level.

The value of project level audit issues has considerably reduced to less than 3% (1.34%) due to timely implementation support and financial advice by the CRMs to the implementing partners. Coordination of field level activities has also been enhanced due to the active involvement of the CRMs.

In addition to managing fiduciary risk, Audit exercise of WSTF programmes took place in the year under review and the report shared with all stakeholders. The findings of the Audit of FY2019/2020 are elaborated in the chapter under Audit and Risk in this report. The reported audit issue in Tharaka Nithi County involving the improper use of project funds meant for Water bill process has been addressed. The Water Utility has refunded a total of Ksh 3,906,389. This amount includes the second disbursement made and was not utilized by the Utility in the process of enactment of County water law. The details of this is also captured in the Chapter under Audit in this report.

Risks and Mitigation Measures

The risks and mitigation measures that were experienced during the reporting period are summarized in the table below.

Table 10: J6P Programme risks and mitigation measures

Risk	Mitigation measures
Effect of COVID-19 on implementation of projects and sustainability strategies.	<ul style="list-style-type: none"> - The implementing partners to work closely with the CRMs, REs and Contractors in ensuring that the best strategy is deployed in implementing the projects as they observe MoH guidelines on mitigation of the disease. - The sustainability strategies to be shared with the CRMs and the Utilities in advance for implementation.
Low sustainability of implemented projects	<ul style="list-style-type: none"> - Sustainability strategies have been developed for each Utility developed by WaterFund and the Water Utilities. The Utilities prepared action plans for implementation of the set strategies.
Inadequate time to disseminate GESI guidelines at the Counties due to COVID-19 effects	<ul style="list-style-type: none"> - WaterFund will explore ways of disseminating the guidelines in FY2021/2022.

Key implementation challenges

The following are the key implementation challenges and the adaptive measures

Table 11: Implementation challenges of J6P programme

KEY ISSUES	ADAPTIVE MEASURES
Slow response by Laikipia county in enactment of county water legislation.	County executive encouraged to engage County assembly in ensuring the bill is enacted into law within the stipulated period. As at 30 th June, 2021, the bill had successfully undergone public participation and is due for the final process in the Assembly.
Delays in connection of electricity to projects	The Counties to continue engaging the management of Kenya Power to hasten the process of power connection.

Lessons Learnt

In the course of the implementation of the programme, the following are lessons learnt;

- i) It is worth considering sustainability aspects of the projects right from the design stage and ensure inculcation of good principles from the onset of implementation.
- ii) Timely project supervision by qualified engineer(s) to avoid delays and ensure adherence to the approved specifications.
- iii) Advance County contribution by counties to projects will reduce unnecessary delays.
- iv) Capacity of both WUs and WRUAs need to be enhanced to enable them manage and operate the completed works to ensure sustainability.

Ending Drought Emergencies: Climate Proofed Infrastructure for Improved Water Supply and Sanitation in ASAL areas (EDE-CPIRA)

Introduction and Programme Background

The EDE-Climate Proofed Infrastructure Programme is financed under the 11th European Development Fund under the action title “Ending Drought Emergencies: Climate proofed infrastructure for improved access to water supply and sanitation in arid and semi-arid lands”. The Programme is being implemented under the National Common Programme Framework, Ending Drought Emergencies, Pillar II and targets 8 counties namely; Kilifi, Taita Taveta, Samburu, Mandera, Kitui, West Pokot, Baringo and Kajiado Counties. The programme initial duration started from date of signing in December 2017 for 55months, until the year 2022.

In the month of December 2019, WaterFund received an addendum no. 2 to the financing agreement which provided changes in technical and administrative provisions (TAPS) and extension of execution period starting from the initial date of signing of December 2016 and to end in 102 months from this date. The effective Programme duration will be December 2016 to June 2025. The implementation phase is fixed at 84 months.

The total Programme funding is EUR 27,100,000 which comprises of:

- Europe Union funding: EUR 20,000,000 (73.8%)
- National Government: EUR 4,000,000 (14.8%)
- County Government Contribution: EUR 3,100,000 (11.4%)

The Programme has four key result areas namely:

Result 1: Communities in ASALs have improved access to water supply and sanitation services

Result 2: Sustainable management of Water Resources in ASALs is improved.

Result 3: Public Private Community Partnerships implemented in the water provision in ASAL.

Result 4: Reduced COVID-19 disease incidence and associated socio-economic effects

NB: Result 4 is mainly due to the emergency of COVID 19 and approval by the European Union for WaterFund to undertake a COVID-19 Emergency Response Programme under this Programme

Cumulative programme achievements

The Programme agreement was signed in December 2017, and Water Sector Trust Fund received a pre-financing total to Ksh 648 million. These funds were received as A in A (Appropriation in Aid) instead of Revenue and therefore could not be utilised until the funds receipt process was regularised. This process was concluded and the funds captured in the FY 2019/20 budget, thereafter a special account was opened at the Kenya Central Bank. WaterFund has so far received a total of **Kshs 648,447,173** from European Union and additional **Kshs 85,000,000** from the Government of Kenya out of which a total of **Kshs 415,394,595.72** has been expended cumulatively since the start of the programme. Subsequently, from the inception of the programme, the following cumulative achievements have been realised;

- i) Signing of Memorandum of Understanding with the Eight Counties; baseline studies across the target counties; conducting of inception and proposal development capacity building workshops; county capacity assessment; pre-financing of feasibility and design of eight climate proofed water projects from the target eight counties; and approval of 24 Water, Sanitation and CLTS projects under the 1st call for proposals.
- ii) Receipt, appraisal and approval of 8 WRUA proposals from Water Resources Authority.
- iii) Conducting of two trainings by the European Union Kenya Delegation to the EDE CPIRA programme team on the programme objectives on 15th November 2019 and on Grants and contracts, held on 27th February, 2020.
- iv) Financing of 8 Water Projects, 8 Public Sanitation Projects, 8 WRUA Projects, 8 CLTS Projects and 4 COVID 19 Emergency Response Projects.
- v) Training of all EDE CPIRA Programme on Senior Management, Financial Management, Monitoring and Evaluation and Project Management.
- vi) Appraisal of the 8 water projects proposals and 8 PSF proposals for the second call projects in eight counties.
- vii) Recruitment of consultants to undertake development of designs for six projects under the 2nd call in 6 counties.
- viii) Listing of expenditure verifications since the inception of the programme.
- ix) Procurement of technical support for all the Water and WRUA Projects.
- x) Project monitoring for the all the projects

The table below shows the cumulative Programme achievements for the EDE CPIRA Programme.

Table 12: EDE CPIRA Key Statistics and Cumulative Achievements

Implementation Period	December 2017 to December 2024		
Programme Budget (Kshs.)	3 Billion		
Receipts to Date (Kshs)	648,447,173		
Disbursements to Date (Kshs)	458,727,200		
Indicators	Targets	Cumulative Achievement	% Achievement
Specific Objectives: The deficit of climate- proofed water supply and sanitation infrastructures and their maintenance is identified, planned and progressively addressed in a coordinated and comprehensive manner at national, county and community level.			
Population using an improved drinking water source and improved sanitation facility within targeted counties.	362,000	35,020 ³⁵	9.67%

³⁵ The numbers are 5,000 Mashuru PSF, 6,000 Mutomo PSF, 2,279 Mandera PSF, 1,935 West Pokot CLTS, 15,506 Kitui CLTS and 4,300 for the pipeline connections under Covid-19 Emergency Projects. However, there is 119,010 which has been achieved under COVID-19 Emergency Response projects in four Counties which has not been included.

Indicators	Targets	Cumulative Achievement	% Achievement
Outputs:			
Result 1: The communities in ASALs have improved access to water supply and sanitation services			
No. of Climate-proofed medium sized water projects completed in compliance to approved and agreed upon standards.	24	0 ³⁶	0
No of villages which attain Open Defecation Free (ODF) status.	36	6	17 ³⁷
No of Public Sanitation Facilities	36	3	8.3 ³⁸
Result 2: Sustainable management of Water Resources in ASALs is improved			
No. of climate proofed water resources infrastructure projects completed to manage and restore water catchments to sustain water availability based on SCMPs.	20	0	0 ³⁹
Result 3: Public Private Community Partnerships (PPCPs) are implemented in the water provision in ASAL			
No of ASAL County Governments / WSPs adopting PPPs in water sector	8	0	0
No of water projects managed by Private Operators by 2020	16	TBD	TBD
Result 4: Reduced COVID 19 disease incidence and associated socio-economic			
Objective: No. of people reached with improved access to water services under the COVID 19 Emergency response programme	6,200	4,300	100
No. of projects rehabilitated in the target counties	4	4	100
No. of additional distribution systems developed in the target counties under the emergency programme	4	4	100
Additional storage capacity developed under the emergency programme (m ³)	320	498	156
No. of Hygiene Promotion and disinfection actions undertaken in hotspots	16	16	100
No. of Hygiene sensitisation actions undertaken under the programme	16	16	100
No. of Emergency COVID WASH Teams supported in the target counties	48	48	100

36 Nyangoro Maktau Water Project is almost complete

37 West Pokot has celebrated 6 villages for attaining ODF status

38 Mandera, Mutomo and Mashuru PSFs are complete

39 8 WRUA Projects have been funded and are now under implementation. 6 WRUA proposals have been received under 2nd call awaiting appraisal

- i) WaterFund has also received Eight WRUA proposals from Water Resources Authority which have since been appraised ready for financing.
- ii) European Union Delegation to Kenya has undertaken two trainings to the EDE CPIRA Programme team on the programme objectives on 15th November 2019 and Grants and contracts, held on 27th February, 2020.
- iii) WaterFund has also participated in the following Conferences, trainings and Congresses;
 - 1st ASAL Conference in 2018, Kilifi County
 - Devolution Conference from 4th -8th March, 2019
 - EU funding conditions and familiarization on the PRAG guidelines.
 - 2nd ASAL conference at Mada Hotel, Amboseli, Kajiado County in 2019.
 - 3rd Kenya Science Journalists Congress in Mombasa from 18th to 20th November 2019. This Congress was hosted by the Media for Environment, Science, Health and Agriculture (MESHA).

Annual Finance Report

The funds available for the utilization was **Kshs 672,554,544.60** comprising of an opening balance of **Ksh 644,287,661.63**, interest income of **Kshs 3,266,882.97** and GoK counterpart contribution of **Kshs 25,000,000.00**. A total of **Kshs 342,259,608** was expended during this period resulting in fund absorption of **50.9%** of the available funds.

2020/21 Annual Summary Financial Accountability Statement- EU CPIRA

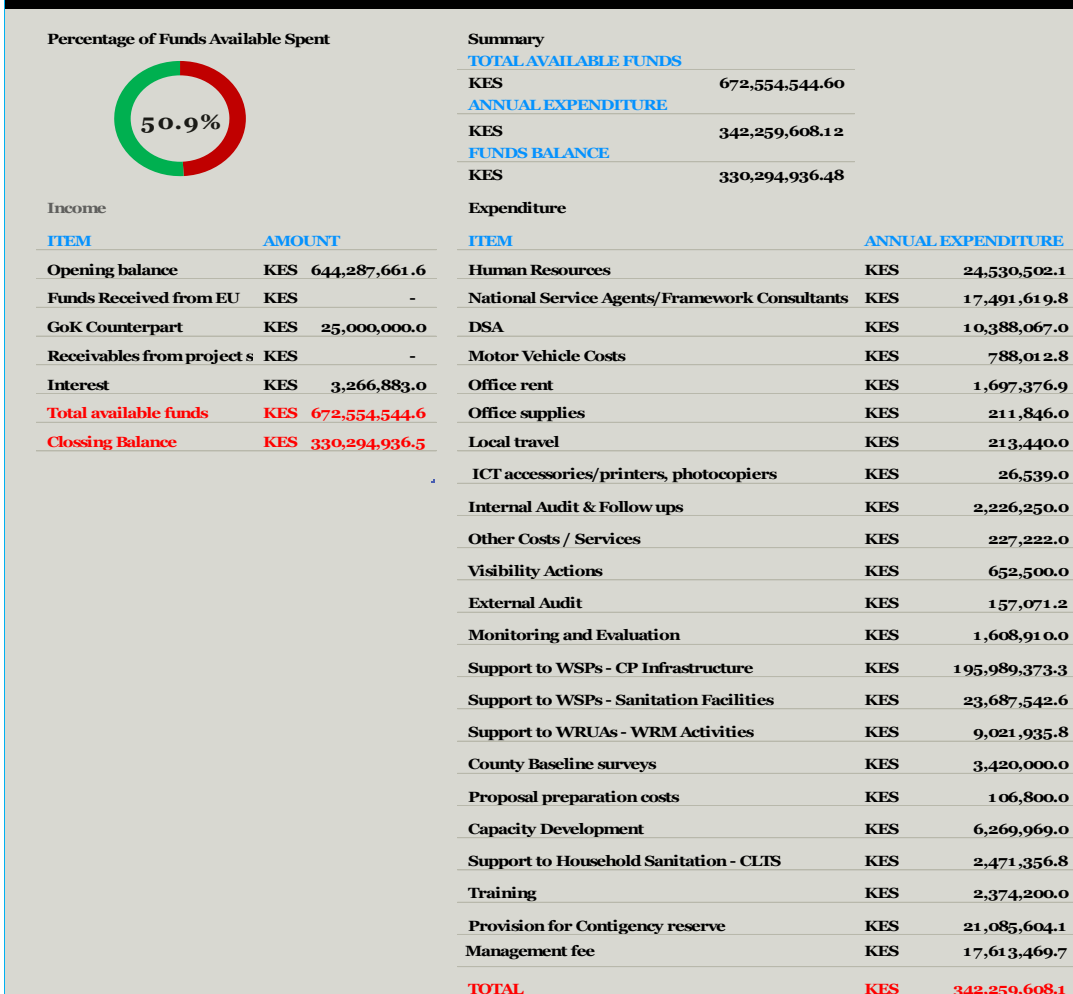


Figure 11: EU CPIRA Annual Funds accountability statement

Annual Programme Achievements

The table below highlights the key achievements under the programme in FY 2020/21

Table 13: EDE CPIRA 2020/2021 achievements

Implementation Period	December 2017 to December 2024			
Programme Annual Budget (Kshs.)	1,050 Million			
Amount available to spend (Kshs)	672 Million			
Expenditure during the year (Kshs)	342 Million			
Balance at the end of the year (Kshs)	330 Million			
Indicators	Annual Target	Annual Achievements	% Achievement	Remarks
Specific Objectives: The deficit of climate- proofed water supply and sanitation infrastructures and their maintenance is identified, planned and progressively addressed in a coordinated and comprehensive manner at national, county and community level.				
Proportion of population using an improved drinking water source within targeted counties (%)	100,000	35,020	35	
Proportion of population using an improved sanitation facility within targeted counties.				
Result 1: The communities in ASALs have improved access to water supply and sanitation services				
No. of Climate-proofed medium sized water projects completed in compliance to approved and agreed upon standards	8	0	0	8 water Projects have commenced construction. 2 are almost complete
No of villages which attain Open Defecation Free (ODF) status.	8	3	38	All the Counties have started implementation. West Pokot has celebrated the ODF achievements
No of Public Sanitation Facilities	8	3	38	All Counties have started implementation. Mandera, Kitui and Kajiado, have completed their PSFs

Indicators	Annual Target	Annual Achievements	% Achievement	Remarks
Result 2: Sustainable management of Water Resources in ASALs is improved				
No. of climate proofed water resources infrastructure projects completed to manage and restore water catchments to sustain water availability based on SCMPs.	8	0	0	8 WRUA Projects have been financed.
Result 3: Public Private Community Partnerships (PPCPs) implemented in the water provision in ASAL				
No of ASAL County Governments / WSPs adopting PPPs in water sector	0	0	0	Baseline Survey has been undertaken
No of water projects managed by Private Operators by 2020	0	0	0	Process of identifying viable water projects ongoing by SNV
Result 4: Reduced COVID 19 disease incidence and associated socio-economic				
Objective: No. of people reached with improved access to water services under the COVID 19 Emergency response programme	>2,000	4,300	215	
No. of projects rehabilitated in the target counties	4	4	100	
No. of additional distribution systems developed in the target counties under the emergency programme	4	4	100	
Additional storage capacity developed under the emergency programme (m ³)	320	498	156	
No. of Hygiene Promotion and disinfection actions undertaken in hotspots	16	16	100	
No. of Hygiene sensitisation actions undertaken under the programme	16	16	100	
No. of Emergency COVID WASH Teams supported in the target counties	48	48	100	

Analysis of Results

Result 1: The communities in ASALs have improved access to water supply and sanitation services

The following activities were the achievements during the period under review;

The following activities were the achievements during the period under review;

- i) Desk and Field Appraisals for the 2nd Call Proposals (Water and PSF): WaterFund received 8 proposed medium sized climate proofed water projects proposals, and 8 Public Sanitation Facility Project Proposals. The proposals were subjected to a desk review where the following issues were noted: lack of adherence to climate proofing concepts, proposed management models of the projects, non-adherence to the set budget ceiling and County Contributions. The comments were shared with the respective WSPs/ Counties. A virtual meeting was also organized with the WSPs, Counties, CRMs and REs so as to gain common understanding on the guidelines.
- ii) Support to implementing partners on procurement processes for all water projects. Technical Procurement support was offered to all the WSPs on medium sized climate proofed water projects tender Evaluation. This was done so as to ensure that the WSPs adheres to procurement procedures and guidelines, in addition to PPAD Act, 2015.
- iii) Monitoring of ongoing projects was done for all the projects under implementation. These included Climate Proofed Water Projects, Public Sanitation Facility Projects, Community Led Total Sanitation Projects and the COVID 19 Emergency Response Projects. During this exercise, adherence to Financing Agreements, quality of works, adherence to scope, timeliness, standards, sustainability and value for money were assessed.
- iv) Ground breaking Ceremonies and Pre-Project implementation training for Garma Mugur, Ilimukuyu, Bamba Midoina, Nyangoro Maktau and OI Arabal Water Projects were undertaken. The pre- project meetings were undertaken with the WSPs, County and Contractors for the PSFs and Water Projects so that each stakeholder could understand their roles and responsibilities during implementation.
- v) Engagement of framework consultants to undertake surveys and designs for 5 water projects: 3 consultants have been engaged to undertake the surveys and designs for 5 Water projects. The designs are being undertake in Taita Taveta, Kilifi, Kajiado, West Pokot and Samburu Counties. The proposals for Baringo and Mandera counties have been reviewed and considered viable and are planned for designed in the next quarter. Kitui County has been requested to submit alternative proposal since the earlier proposal was not viable.
- vi) Disbursement of funds and monitoring of the implementation of various projects in the 8 Counties; WaterFund continued to disburse funds to water, sanitation and CLTS projects being implemented in the 8No. Counties. As a result a total of Kshs 414,428,995.50 was disbursed during this financial year.
- vii) Follow up on County Contributions for the 2nd Call Projects: WaterFund followed up with the Counties on their expected County contributions for the 2nd call projects. A letter was written to convey how much is expected from each County. At the same time, this information was shared with the Counties and Water Service Providers during the EDE CPIRA Baseline Validation workshop conducted by SNV

on 25th and 26th February 2021 As a result of these follows, the total expected County contributions for all the eight Counties for the 1st call projects have now been realized as indicated in table 14 below.

Table 14: EDE CPIRA County contributions

County	Water Projects Amount (Kshs)	PSF Projects Amount (Kshs)	CLTS Projects Amount (Kshs)	Total Contributions (Kshs)
Kitui	9,565,889.00	752,614.00	112,945.00	10,431,448.00
Kilifi	13,029,258.10	764,339.30	77,064.00	13,870,661.40
Taita Taveta	11,520,095.00	743,318.00	103,748.00	12,367,161.00
West Pokot	11,816,975.00	682,834.00	67,548.00	12,567,357.00
Kajiado	12,050,390.63	702,717.00	70,330.00	12,823,437.63
Mandera	10,089,416.00	767,286.00	79,298.00	10,936,000.00
Samburu	10,116,388.00	769,130.00	79,586.00	10,965,104.00
Baringo	9,017,576.00	743,318.00	87,230.00	9,848,124.00
TOTAL	87,205,987.73	5,925,556.30	677,749.00	93,809,293.03

NB: This was undertaken in order to ensure that the Counties put in place appropriate plans and budgets towards their new proposed projects which will further contribute to the realization of the general 13% Programme budget set aside for the Counties.

Result 2: Sustainable management of Water Resources in ASALs is improved

- i) WaterFund conducted a capacity building exercise for 8 WRUAs that are implementing the EDE CPIRA Programme. Specifically they were capacity built on appropriate procurement processes and also on proper documentations. The exercise was conducted on 15th and 20th February, 2021. This was done to ensure that the WRUAs are adhering to the Public Procurement and Asset Disposal Act 2015.
- ii) Call for proposals for the 2nd batch of WRUA projects: WaterFund wrote a letter to Water Resources Authority (WRA) for additional 8No. WRUAs to be considered for funding. WRA was requested to observe issues such as climate proofing, equity, and coverage so as to be in line with the proposed medium sized climate proofed water projects. These WRUA projects will contribute to increasing water resource areas under conservations. Six proposals have been received thus will be subjected to both desk and field appraisals.
- iii) Support to Bendera and Kamasian WRUAs on procurement processes: The two WRUAS were supported during the tendering and evaluation for two pans and springs by providing procurement technical support. Specifically, procurement support included but not limited to capacity building, procurement planning, and preparation of bid documents, evaluation of tenders and management of contracts. This was undertaken to ensure that there was adherence to Public Procurement and Asset Dispersal Act 2015 and public procurement regulations.
- iv) Disbursement of Funds: A total of **Kshs 23,229,024.80** was disbursed to 8 WRUAs during this period

Result 3: Public Private Community Partnerships (PPCPs) are implemented in the water provision in ASAL

This result area is being implemented by SNV

- i)** Baseline data for 31 community water systems across the 8 counties was collected and further analysis currently being undertaken.
- ii)** Physical training workshop on Public Private Community Partnerships was conducted with participants drawn from the target counties, 16 county officials (2 from each target county) and 8 WSTF CRMs.
- iii)** Private sector engagement meetings were held with various private sector firms e.g. Sidian, Aqua for all, Epicenter, Fundi fix, Family Bank and Davis & Shirtliff.
- iv)** Review meeting between WSTF and SNV was held to improve coordination among implementing partners. Among the notable highlights was WSTF influencing future calls to focus on infrastructure improvement of community water systems.
- v)** Trained 15 WUA members from Kapkirwok water system in Baringo County on Governance and Sustainability of community water systems.
- vi)** Initiated Business case development, for Muruny Chepareria water system in West Pokot, Manoa and Mdeminyi water systems in Taita Taveta, Mkondoni and Kambicha water systems in Kilifi, Sajiloni and Impiro water systems in Kajiado county.

Result 4: Reduced COVID 19 disease incidence and associated socio-economic

WaterFund has continued to implement the COVID 19 Emergency response Programme under the EDE CPIRA Programme. The total disbursement to the four targeted Counties of Kilifi, Kitui, Kajiado and Mandera was **Kshs 27,996,000** out of the total budget of **Kshs 28,000,000**. All the activities have now been concluded and a total of **Ksh 27,934,450.40** has been accounted for while **Kshs 57,005.60** has been refunded.

Result 5: Institutional Capacity building

WaterFund staff under EDE CPIRA Programme undertook trainings in various fields to capacity build them so as to improve their capability in implementing the programme. All the five staffs were trained on Senior Management Course, Financial Management for Project accountants and Result Based Monitoring

Risks and mitigation measures

The risks and mitigation measures experienced during the period are summarized in the table below.

Risk	Mitigation measures
Delay in implementation of Bukolow Banyoley Water Project in Mandera due to insurgent’s attack and burning down the contactors excavator	The contractor has been asked to resume the works under strict security after meeting with the county commissioner.

Key implementation challenges

The following were the key implementation challenges and the adaptive measures.

KEY ISSUES	ADAPTIVE MEASURES
COVID- 19 that has resulted into postponement and delay of some activities such as the monitoring of the projects.	Continuous follow ups and working from home and occasionally from the office. CRMs/ Res support consultants have intensified their monitoring visits to the projects to ensure adherence to quality and timeline.
Insecurity challenges in Baringo and Mandera Counties	The CRM liaised with the WPS, the Contractors and the security organs to see how the project can proceed without much interruptions. As such WaterFund approved the use of contingency to support security contingent measures where necessary.
Weak/ low capacity of WRUAs implementing EDE CPIRA Programme activities.	A technical support was provided to the WRUAs by WaterFund's Procurement Specialist. The WRUAs were capacity built on procurement and documentation issues.
Change of MoH staff implementing CLTS Project in Kilifi County	Frequent follow up to find out who has been posted to replace the officers who left has been done by the CRM.

Focus for next reporting period

The following activities will be the focus of next reporting period;

- i) Receipt and appraisals of batch 2 WRUA Projects
- ii) Development/review of designs for the 2nd Call medium Sized climate proofed water projects
- iii) Monitoring of the ongoing projects
- iv) Follow up on County Contributions for the 2nd Call Projects
- v) Disbursement of funds to various Implementing partners
- vi) Commissioning of the completed projects.
- vii) Approval of the reviewed detailed design reports for the water projects.
- viii) Initiation of Development of Water Policies, Water Masterplans, Water Strategies and Water Bills in four Counties
- ix) Implementation of Research financing framework in relation to climate proofing/ challenges

Green Growth and Employment Programme

Introduction and Programme Background

WaterFund under the support of the Governments of Kenya and Denmark is implementing the Green Growth and Employment Programme to support access to and management of water resources in the Arid and Semi-Arid Lands. The operating framework of its

implementation is detailed in the bilateral agreement between the Danish Ministry of Foreign Affairs and WaterFund in a development engagement that entered into force on 1st July, 2016. The expected outcome of the programme is enhanced water resource management and investments in selected counties for improved and sustained access by communities and households to water and sanitation for their domestic and productive needs. The programme aims to achieve its objectives through the following components:

Output 1: ASAL counties capacity and engagement in water related planning improved

Output 2: Water and sanitation access and deficit in the ASAL addressed

Output 3: Sustainable and community based management of water resources improved

Output 4: Improved capacity of and engagement by implementing agents (WRUAs, CBOs, and Water Services Providers) for planning and efficient water service delivery

Output 5: Enhanced experience for promoting public private partnerships in water provision in the ASALs

Output 6: Strengthened institutional performance of WSTF

The table below provides a highlight of the programme key statistics and overall cumulative achievements up to the end of the FY 2020/2021 since inception. The detailed analysis of the cumulative achievements is provided in the annexes.

Table 15: GGEP key statistics and cumulative achievements

Implementation Period	July, 2016 to December, 2021		
Programme Budget (Ksh)	975 Million		
Receipts to date	896.2 Million		
Disbursements to date	378.9 Million		
Key Results	Cumulative Target	Cumulative Achievements	% Achievement
Overall Outcomes			
Increase in number of households with sustained coverage from improved water services in eight ASAL counties as a result of the DED	30,000	20,748	69.2 ^{40%}
Increase in number of households with sustained coverage from improved sanitation services in eight ASAL counties as a result of the DED ⁴¹	4,000	682	17.1% ⁴²

40 3No. DERP completed projects & 13 GGEP projects provide this population reached.

41 23 Water and sanitation projects have received funding from WaterFund.

42 12 sanitation projects have been completed in this year in Isiolo, Lamu, Mandera, Tana River and Turkana Counties.

Key Results	Cumulative Target	Cumulative Achievements	% Achievement
Increase in area implemented under improved water resources management planning (as SCMP or other water and range management arrangements) in the eight targeted ASAL counties as a result of the DED	7,000	560	8% ⁴³
Component 1: County Capacity Enhanced			
Number of Counties effectively using water and sanitation data for planning and for performing their regulatory functions.	8	8	100%
Number of Counties with an effective water sector legislative and policy formulation framework to support effective planning and implementation.	3	0	0%
Component 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas			
Increase in number of households with water services from WaterFund in this engagement in the eight ASAL countries.	30,000	18,960	63.2%
Increase in number of households with sanitation services from WaterFund in this engagement in the eight ASAL countries.	4,000	682	17.1%
Average Sustainability Index of the WaterFund supported investments in the 8 target counties (%):	70	67	92.8%
% of facilities funded through the engagement that are climate proofed and mainstreaming green approaches.	80	100 ⁴⁴	125%

43 Area covered by 5 conservancies and 15 WRUA projects implementing water resource management.

44 20No. water and sanitation projects are climate proofed and using direct green technologies or a hybrid arrangement.

Key Results	Cumulative Target	Cumulative Achievements	% Achievement
% of targeted households in programme counties are expressing satisfaction with the water and/or sanitation services	0	TBD	TBD
Number of HHs reached with temporary emergency water supply under the DERP (Drought Emergency Response Programme): i.e. water trucking.	0	1,788	>100%
Component 3: Sustainable and community based management of water resources improved			
Number of WRUAs, Community Conservancies or other community based natural resource management organisations reporting improved natural resource management from partnering with WaterFund, and/or able to move to the next (higher) level of funding for NRM.	27	21	77.8%
Increase in volume (m ³) of total water storage capacity from the WaterFund investments.	0	246,170	>100%
Increase in area (km ²) with improved water resources management planning including SCMPs in WRUAs, range management in the eight targeted ASAL counties	7,000	560	8%
Component 4: Capacity of implementing Agents improved			
Number of WRUAs/CBNRM organisations that have successfully implemented their WRUA projects under this engagement.	27	22 ⁴⁵	81.5%

45 22 Completed WRM projects - 12 Level 1, 4 Level 2, 4 Level 3 WRUAs and 2 Level 4.

Key Results	Cumulative Target	Cumulative Achievements	% Achievement
The number of WUs/WSPs that have successfully implemented all their county water and sanitation projects under this engagement (and number of projects).	21	15 ⁴⁶	71.4%
The number of CBOs running water facilities implemented through projects in this DED that achieve satisfactory sustainability.	3	1 ⁴⁷	33.3%
Component 5: Experience generated from PPCP in Water Provision in ASALs			
Number of Public-Private-Community Partnership management approaches piloted in the target counties.	2	0	0%
Component 6: Strengthened institutional performance of WaterFund			
Proportion of WaterFund supported investments mapped and managed in an effective management information system (%).	100%	0	0%
WaterFund capacity to support project identification, implementation support and monitoring is improved. Percentage change in WaterFund CAI	51	51	100%
Proportion of questioned costs funded through the DED against total DED investments.	<10	1.92%	>100% ⁴⁸

46 3No. DERP & 13 GGEP projects that beneficiaries currently have access to water and sanitation services in Isiolo, Lamu, Mandera, Tana River, Turkana and Wajir counties.

47 Turkana water and sanitation projects are being implemented by CBOs. One CBO project- Namoru Akwan beneficiaries have access to water and sanitation

48 5 projects had questioned costs of Ksh 5,328,973 against a total project cost of Ksh 278,017,333.75 out of a sample of 20 projects

Annual Finance Report

During the FY 2020/2021, a total of Ksh 432,345,545.05 (Ksh 429,742,706.35 as opening balance and Ksh 2,602,838.70 as interest earned in the financial year) was available to support programme activities in six output areas. A total of Ksh 283,909,132.60 was expensed during the year. Direct investment to water resource management was Ksh 40,311,535.50 inclusive of WRA technical support fees whereas Ksh 149,920,286 was disbursed to sixteen water and sanitation projects.

The overall funds absorption was 65.7% based on accruals and the closing balance in terms of accruals was Ksh 148,436,412.45 and the details of the expenditures are highlighted in figure below. Therefore, GGEP team has developed a follow up plan to ensure all the project funds with Implementing Partners are expensed before the end of the programme. The follow up plans include bi-weekly meetings with programme team to assess the progress achieved.

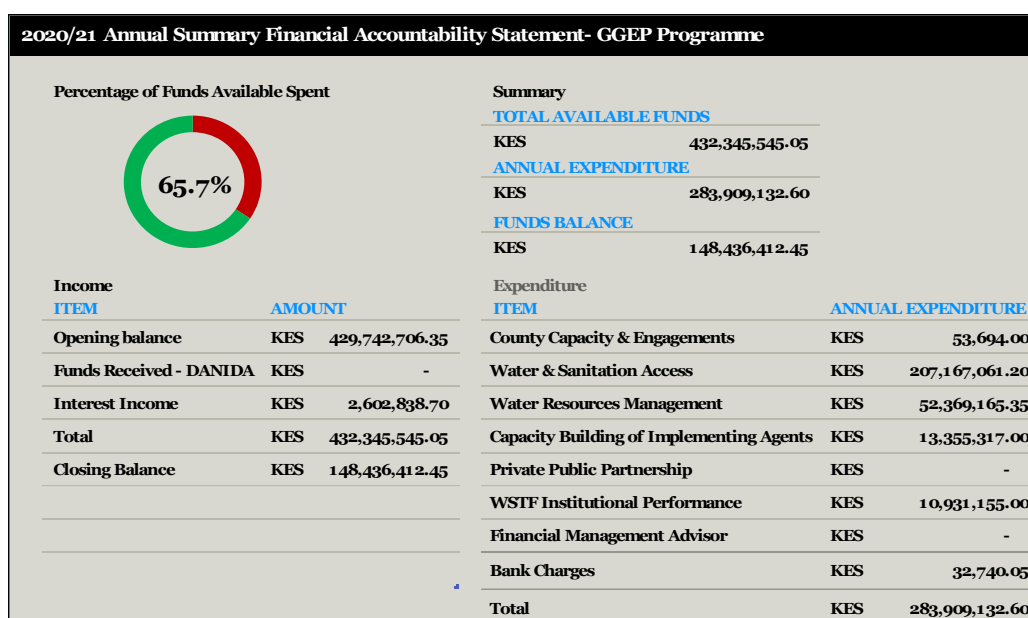


Figure 12: Funds Accountability Statement- GGEP

During the financial year, WaterFund received Ksh 34,447,761.45 as project refunds from Implementing Partners who successfully completed their projects and had savings. A revised work plan of the activities up to 31st December, 2021 has factored the proposal on utilization of refunds in other programme activities.

Therefore, the closing bank balance of **Ksh 87.7m** will be utilized on the following activities: host county review meetings, complete Watsan and WRM projects, GGEP/WLP documentary, end of programme audit and evaluation, monitor and closure activities as per the revised work plan shared with DANIDA for review and approval.

Annual Programme Achievements

The following table provides an overview of GGEP achievements during the year:

Table 16: GGEP Programme Annual Achievements

Implementation Period	1 st July, 2020 to 31 st December, 2021		
Programme Annual Budget (Ksh)	334.9 Million		
Funds Available for Investments	432.4 Million		
Expenditure during the year	283.9 Million		
Key Results	Annual Target	Annual Achievements	% Achievement
Overall Outcomes			
Increase in number of households with sustained coverage from improved water services in eight ASAL counties as a result of the DED	30,000	5,708	19 ⁴⁹ %
Increase in number of households with sustained coverage from improved sanitation services in eight ASAL counties as a result of the DED	4,000	372	9.3%
Increase in area (km ²) implemented under improved water resources management planning (as SCMP or other water and range management arrangements) in the eight targeted ASAL counties as a result of the DED	7,000	560	8%
Component 1: County Capacity Enhanced			
Number of Counties effectively using water and sanitation data for planning and for performing their regulatory functions.	8	8	100%
Number of Counties with an effective water sector legislative and policy formulation framework to support effective planning and implementation.	3	0	0 ⁵⁰ %
Component 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas			
Increase in number of households with water services from WaterFund in this engagement in the eight ASAL countries.	30,000	5,708	19 ⁵¹ %

49 9 water and sanitation projects completed in this FY

50 Lamu, Mandera & Tana River counties submitted their requests for support in the May, 2020 and thus the activities could not commence due the COVID 19 restrictions on social distance.

51 9 water and sanitation projects completed in this FY

Key Results	Annual Target	Annual Achievements	% Achievement
Increase in number of households with sanitation services from WaterFund in this engagement in the eight ASAL countries.	4,000	730	18.3%
Average Sustainability Index of the WaterFund supported investments in the 8 target counties (%):	70	67	92.8%
% of facilities funded through the engagement that are climate proofed and mainstreaming green approaches.	80	100 ⁵²	125%
% of targeted households in programme counties are expressing satisfaction with the water and/or sanitation services	24	4	16.7 ⁵³ %
Number of HHs reached with temporary emergency water supply under the DERP (Drought Emergency Response Programme): i.e. water trucking.	0	0	0%
<i>Component 3: Sustainable and community based management of water resources improved</i>			
Number of WRUAs, Community Conservancies or other community based natural resource management organisations reporting improved natural resource management from partnering with WaterFund, and/or able to move to the next (higher) level of funding for NRM.	27	12	44.4 ⁵⁴ %
Increase in volume of total water storage capacity (m ³) from the WaterFund investments.	0	30,700	>100%
<i>Component 4: Capacity of Implementing Agents improved</i>			
Number of WRUAs/CBNRM organisations that have successfully implemented their WRUA projects under this engagement.	27	22	81.2%

52 20No. water and sanitation projects have adopted green technologies and climate proofed designs & 3 are utilizing the hybrid concepts

53 4 Completed projects in Tana River and Wajir counties

54 3No. Completed WRM projects in this FY.

Key Results	Annual Target	Annual Achievements	% Achievement
The number of WUs/WSPs that have successfully implemented all their county water and sanitation projects under this engagement (and number of projects).	21	4	19.1% ⁵⁵
The number of CBOs running water facilities implemented through projects in this DE that achieve satisfactory sustainability.	3	1 ⁵⁶	33.3%
Component 5: Experience generated from PPP in Water Provision in ASALs			
Number of Public-Private-Community Partnership management approaches piloted in the target counties.	2	0	0%
Component 6: Strengthened institutional performance of WSTF			
Proportion of WaterFund supported investments mapped and managed in an effective management information system (%).	100%	0	0%
WaterFund capacity to support project identification, implementation support and monitoring is improved. Percentage change in WaterFund CAI	51	51	100% ⁵⁷
Proportion of questioned costs funded through the DED against total DED investments.	<10	1.92%	>100% ⁵⁸

55 4No. projects implemented by WSP that beneficiaries have access to water and sanitation services.

56 1No. project implemented by CBO that beneficiaries have access to water and sanitation services.

57 WaterFund undertook capacity development of all Implementing Partners in contract, financial and procurement management based on baseline survey reports before implementation of the programmes.

58 Questioned costs from 5 projects against a sample of 20 projects.

Analysis of Results

Output 1: ASAL counties capacity and engagement in water related planning improved

During the financial year under review, WaterFund held eight review meetings in May, 2021 with all the Implementing Partners to review the progress, address challenges and kick start closure activities for the programme. Special planning meetings were also held in Isiolo and Lamu counties to plan for the commissioning of the two projects in Isiolo and one in Lamu. In a ceremony that was officiated by the County Commissioner, Godarupa and Mogore Watsan Projects in Isiolo were handed over to the County department of Water to manage and operate the projects on behalf of the community. However, Poromoko Widho Watsan Project was handed over to Lake Kenyatta Water Users Association (LAKWUA) which was financed under DERP.

The programme was able to achieve the target of eight baseline reports that are also in use in the target counties. The legislative documentation was however not achieved due to late submission of budget and the Covid 19 pandemic. The development of legislation requires a lot of consultative meetings with various groups and the Ministry of Health protocols prevents large gatherings. The funds were reallocated to finance water and sanitation projects under output 2 as approved by DANIDA.

Output 2: Water and sanitation access and deficit in the ASAL addressed through support to 24 new and county prioritized water and sanitation services delivery systems

In the period under review, 17 water and sanitation projects received Ksh 149,920,286.00 as subsequent disbursement to assist in implementation of outstanding works. The projects were in the following counties: Garissa, Isiolo, Turkana and Wajir. All the projects have received the second and third disbursements and no additional funds will be disbursed to Implementing Partners. In addition, 9 water and sanitation projects were completed namely: Harajabs – Garissa, Godarupa, Mogore – Isiolo, Poromoko Widho – Lamu, Kipao, Nanighi – Tana River, Riba, Koriya and Sabuli – Wajir increasing water access to **5,708 households** and sanitation access to **730 households**. In total 23 (twenty-three) projects were monitored by the County Resident Monitors, Resident Engineers and WaterFund headquarters staff to review the progress of implementation, address challenges and propose corrective measures as well as kick start the closure process.

Projects implementation status per county is as follows: 2 (two) projects are in Garissa have corrective measures to undertake that were proposed by WaterFund after a technical audit monitoring visit, 85% implementation status averagely and 1 (one) project is complete. Isiolo has 2 commissioned projects and 1 (one) project at 85%. Lamu has 1 (one) commissioned project, 2 (two) projects have completed infrastructural works financed by WaterFund but lack a key component to ensure optimum operation. One (1) project each in Mandera, Tana River and Turkana and 2 (two) Wajir projects are 100% complete and in use. One Turkana project is at 90% while the other is at 50% due to challenges of subcontracting by the contractor but the team is closely following it up to ensure completion. Two projects in Wajir are at final stages as a few pending issues in the snag list are being addressed following it up to ensure completion. Two projects in Wajir are at final stages as a few pending issues in the snag list are being addressed.

Output 3: Sustainable and community based management of water resources improved through support to 27 WRUAs

During this financial year, 12 projects (5 conservancies and 7 WRUAs) were financed from 5 counties namely: Garissa, Lamu, Mandera, Tana River and Turkana at a total cost of Ksh 37,999,532. WRA fee of Ksh 2,312,003.50 was also disbursed to ensure provision of technical support during construction and implementation of soft components by the WRUAs. Diverse storage facilities were also completed within the financial year to increase capacity by 30,700 m³. Three projects implemented by the following WRUAs: Wama WRUA, Dahan WRUA and Amu WRUA were completed within the reporting period with infrastructural works such as berkads, djabias and water pan undertaken respectively.

The GGEP team was also involved in discussion with Northern Rangeland Trust to fast track development of Community Development Management Plan which are at advance stages and awaiting presentation to the communities in an Annual General Meeting after being presented to members in draft form. The three conservancies are: Hanshak Nyongoro, Kiunga and Pate Marine conservancies all from Lamu County. The activities will be completed before the end of the first quarter in the next financial year. Habarow, Lagha Tulla and Lorugum WRUAs will complete the pending activities by August, 2021 to kick start the closure process. Kochodin WRUA is still under investigation on the misappropriation of project funds and the case is in court and thus there is a likelihood that the project will not be completed before the deadline.

Output 4: Improved capacity of and engagement by implementing agents (WRUAs, CBOs, and Water Services Providers) for planning and efficient water service delivery

During the financial year, three conservancies in Lamu County underwent a series of training as part of the software component during implementation of the projects. The training courses were as follows: beekeeping, djabia water committee training and rangeland management training. The participants were 104 in total and were represented as follows: 83 males, 20 female and one Person with Disability. The Operations and Maintenance training for all Watsan and WRM Implementing Partners are scheduled in the next financial year. The training will be facilitated through consultancy and will be participatory to enable the Ips share and utilize the experience/challenges experienced while managing the projects.

Output 5: Enhanced experience for promoting public private partnerships in water provision in the ASALs

Lamu County embraced one of the delivery options in the Guidelines for provision of water and sanitation in rural and underserved areas as published by Water Service Regulatory Board (WASREB) in December, 2019 in partnership with WaterFund, Kenya Market Trust (KMT) and Caritas International to govern the rural water supply space. The service delivery Option 1.1 was selected and it entails existing regulated WSP taking over the community/small scale water supply system. Lamu Water and Sewerage Company has handed over Poromoko Widho Watsan Project to Lake Kenyatta Water Users Association (LAKWUA) which was previously financed under the Drought Emergency Response Programme. The County has mandated the LAKWUA to manage the water supply systems in the larger Lamu West Sub County. WaterFund will closely monitor its performance in service delivery and to ensure future sustainability.

Output 6: Strengthened institutional performance of WaterFund

During the year under review, six CRMs and four Resident Engineers were engaged and maintained to support GGEP implementation activities both infrastructural and software in the eight target counties. The CRM act as liaison officers between WaterFund headquarters and the counties. The REs offer the technical support in development and review of bills of quantities, supervise construction of works and review payment certificate and ensure proper documentation for both WATSAN and WRM projects. They are making close follow ups to ensure the quality and value for money is realized in all projects. In addition, they support the Implementing Partners with the reviewing implementation of the snag list to ensure all recommendations are implemented prior to accounting and closure of the projects bank accounts.

The Audit and Risk Management department engaged the Price WaterHouse Coopers who undertook the rural harmonized audit in September, 2020. The department developed a follow up action plan for unresolved findings. The A&RM and GGEP team are engaging the Implementing Partners to ensure the required documentation is provided. The Audit and Monitoring and Evaluation departments also assessed the projects to ensure there is adherence and compliance to contract management, project implementation standards and proper financial management. After the field visit, exit meetings provided opportunities for feedback to the IPs on areas of improvement and mechanism to fast track the outstanding works.

The Terms of Reference for the GGEP/WLP documentary and GGEP/WLP end of programme evaluation were also developed during the reporting period and approved by DANIDA. The responsible officers are kick starting the procurement process to ensure the two activities are undertaken during the expected timeline.

Table 17 : GGEP Programme risks and mitigation measures

Risk	Mitigation measures
Sub-contracting by winning bidders	<ul style="list-style-type: none"> i) Undertaking due diligence on winning binders ii) Enforcement of contract corrective measures in cases of breach iii) Close monitoring of contracts by Resident Engineers
Effects of COVID-19 pandemic	<ul style="list-style-type: none"> i) Liaise with IPs to continue implementation of activities that require minimal participation while observing Ministry of Health guidelines. ii) Awareness creation by CRMs and REs as they monitor projects.
Poor performance by the implementing partners	<ul style="list-style-type: none"> i) Capacity building of implementing partners ii) Encourage stakeholder involvement – County, IPs and WaterFund staff iii) Routine monitoring by County and WaterFund staff iv) Constitute effective project task teams
Limited support to CBOs in Turkana County	<ul style="list-style-type: none"> i) Assigning a County Officer to oversee WaterFund projects. ii) Collaboration with Ministry of Water County staff. iii) Joint planning with County staff and WaterFund CRM.

Key implementation challenges

The following are the key implementation challenges and the adaptive measures

Table 18 : Implementation challenges of GGEP programme

Key Issues	Adaptive Measures
Political interference in target counties	<ul style="list-style-type: none"> i) Open communication channels at county level through CRMs/REs and HQ staff ii) Sensitization of county staff and political leaders on programme activities and structure for implementation
Insecurity in Garissa, Lamu, Mander and Wajir counties	<ul style="list-style-type: none"> i) Security alerts before proceeding to high risk areas. ii) Sensitize on anti-terrorism. iii) Hire armed Police to guard project site while implementation is ongoing. iv) Provide satellite phones to ease communication.
Conflict of interest by various stakeholders within the target Counties	<ul style="list-style-type: none"> i) Proper mobilization and awareness. ii) Strictly follow laid down structures and procedures in identification and targeting of projects.
High tides in the ocean hampering routine monitoring in Lamu County	<ul style="list-style-type: none"> i) Adhering to metrological alerts and observing the seasonal calendar as routine monitoring is planned.
Limited Capacity of implementing partners	<ul style="list-style-type: none"> i) Engagement of CRM/REs and Programme staff to offer technical support to WSPs/WUs regularly. ii) Implementing partners trained on areas they have capacity gaps.

Lessons Learnt

The following lessons were learnt during the financial year:

Importance of due diligence in the procurement process

GGEP team appreciated the need and importance of undertaking a due diligence exercise of the works implemented by the winning bidder to ascertain what was presented on paper as experience is actual reality to the organization the works were undertaken. This process also build confidence to the IPs especially with projects that were capital intensive and also with technologies that were new to WaterFund in implementation e.g. desalination plants implemented in Lamu County.

In addition, the due diligence will prevent cases where some contractors after winning the bids and accepting to undertake the infrastructural works decide to sub contract to other contractors at a low fee despite the sub-grantee not having the capacity to under the jobs of such magnitude. The effects of such are poor workmanship, use of substandard quality of materials and delayed project delivery.

County commitment and ownership

Despite the counties making commitments at the start of the programme to provide technical support to ensure the projects are undertaken within the specific timeline, the provision of this technical assistance has been limited and in some cases not provided. As a way forward, WaterFund will seek a written commitment from the County Department of Water to assign one staff to oversee WaterFund projects. This is important since all projects are assets of the County Governments and a combined effort by the IPs and the county will ensure smooth operation and future sustainability of the projects by all partners being in sync

Community uptake of green principles and technologies

All the funded GGEP projects have continued to embrace the green technologies especially the solarisation of the current schemes. However, some communities (Wajir) especially where there are large number of livestock visiting the troughs feel that the solar power is slow in filling water and propose an additional use of genset to have the option of a hybrid system. WaterFund has therefore continued to sensitize the communities on the need to fully adopt green technologies and also promote review of designs to consider large number of livestock.

Water and Livelihood Programme

Introduction and Programme Background

Water Sector Trust Fund (WaterFund) and the Government of Denmark through DANIDA, signed a financing agreement on 20th December, 2017, to support a 'Water and Livelihood Programme (WLP) in Refugee, Host and Other Vulnerable Communities of Kenya.' This is an addendum support of 40 DKK million (equivalent of Ksh. 600 million), in addition to the Green Growth and Employment Programme funding, supporting 8 ASAL Counties in Kenya. The programme targets the Refugee and Host Communities in Turkana West Sub County of Turkana County.

The expected outcome is "Enhanced water resources management and investments in Turkana West and selected ASAL Counties, for improved and sustained access by communities and households to water and sanitation for their domestic and productive needs. The programme is being implemented by five selected partner agencies, in five Wards (Lopur, Kalobeyei, Songot, Lokichoggio and Kakuma) within the Turkana West Sub County, addressing both the host community needs and those of the refugee families, in Kakuma and Kalobeyei settlements. The programme core focus areas are in; water access, sanitation, hygiene and water resources management, with concepts of livelihood through small scale agriculture and social empowerment.

The following are the programme expected outputs;

Output 1: County capacity and engagement in water related planning enhanced

Output 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas

Output 3: Sustainable and community based management of water resources improved

Output 4: Capacity of implementing agents improved for planning and efficient water service delivery.

Output 6: Strengthened institutional performance of WSTF

Largely, the Water and Livelihood Programme is contributing to the WaterFund's existing thematic programme objective of inclusive greener growth, with higher employment. The table below provides a highlight of the programme key statistics and overall cumulative achievements up to the end of the FY 2020/2021 since inception. The detailed analysis of the cumulative achievements is provided in the annexes;

Table 19: WLP key statistics and cumulative achievements

Programme Period	20 th December, 2017 to 31 st December 2021		
Implementation Period	1 st July 2018 to 31 st December, 2021		
Programme Budget (Ksh)	600 Million ⁵⁹		
Receipts to date (Kshs)	612,432,608.20 ⁶⁰		
Key Results	Target	Cumulative Achievements	% Achieved
Overall Outcomes			
Increase in No. of households with sustained coverage for improved water services in Turkana West Sub County & target ASAL counties.	6,000	6,119 ⁶¹	101.9%
Increase in No. of households with sustained coverage for improved sanitation services in Turkana West Sub County & target ASAL counties.	4,000	3,631 ⁶²	90.8%
<i>Access to improved water sources</i>	59%	To be determined (TBD)- at end of project evaluation	0%
Increase in areas with improved water resource management including range management and progress in catchment planning for Tarach river basin.	2,000	650.48 ⁶³	32.5%
Component 1: County Capacity Enhanced			
No. of Counties effectively using water and sanitation data for planning and for performing their regulatory functions	1	TBD	0%

59 As per DED exchange rate was 1:15 as at year 2017

60 Kshs 220,459,646.70 received in FY 2019/2020, Kshs 124,500,000 received in quarter 1 FY 2020/2021 Kshs 100,500,000 received in quarter 2 FY 2020/2021, Kshs 171,972,961.50 received in Quarter 4 FY 2020/2021 inclusive of cash in transit of Kshs 11,972,961.50.

61 160 HH in year 1 and 5959HHs year 2.

62 All results achieved in year 2 (159 Households in Q3, 785 HHs in Q 2, 1,526 HHs in Q1 and 1,161 in Q4)

63 Unit is in Km². Area given based on Water resource management Implementation.650 Km² on rangeland management and 0.48 Km² on conservation agriculture.

Key Results	Target	Cumulative Achievements	% Achieved
Component 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas			
Increase in number of new households with water services from WSTF in this engagement in Turkana West Sub-County	6,000	6119	101.9%
Increase in number of new households with sanitation services from WSTF in this engagement in Turkana West Sub-County	4,000	3,631	90.8%
Access to improved water sources	59%	TBD	0%
Average Sustainability Index of the WSTF supported investments in the Turkana West	70	TBD	TBD
% of facilities funded through the engagement that are climate proofed and mainstream green approaches	5	5	100%
% Satisfaction on service of the targeted people in Turkana West Sub County	TBD	TBD	TBD
Component 3: Sustainable and community based management of water resources improved			
Increase in water storage capacity in the target areas	30%	TBD	0%
Increase in areas with improved planning for water resources including range management in Turkana West and progress in catchment planning for Tarach river basin.	2,000	650.48 ⁶⁴	32.5%
Component 4: Capacity of implementing Agents improved			
Percentage of implementing agents for new WSTF projects in Turkana West with improved capacity for addressing and managing water, sanitation and water resources including rangeland management in an integrated manner	100	80%	80%
No. of implementing partners trained in Financial & Procurement Management	5	5	100 %
Component 6: Strengthened institutional performance of WSTF			
No. of projects monitoring visits	5	5 ⁶⁵	100%
Proportion of questioned costs against total WSTF investments to assess value for money and the WSTF capacity to manage fiduciary risk as a result of its investments (%)	10	TBD	TBD

64 Unit is in Km². Area given based on Water resource management Implementation. 650 Km² on rangeland management and 0.48 Km² on conservation agriculture.

65 All projects monitored during the year

Annual Finance Report

During the FY 2020/2021, a total of Ksh 522,457,060 was available for use. This being Kshs 171,972,961 funds received from DANIDA and Kshs 1,008,189 as interest earned for the period. During the financial year, a total of Kshs 386,308,102 was expended hence an absorption of 73.9% on accrual basis. Details of the expenditures are as detailed in figure below.

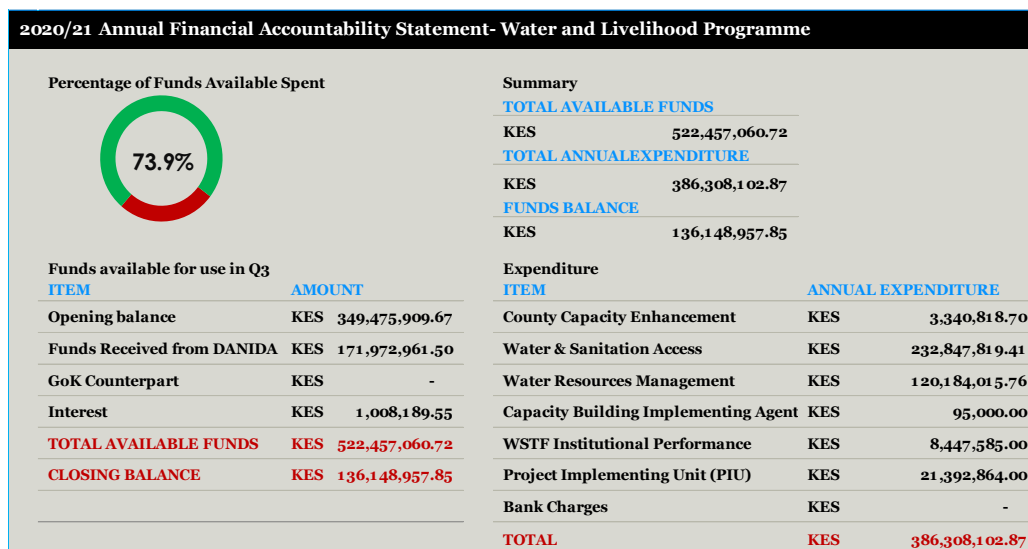


Figure 13: Funds Accountability Statement- WLP

Annual Programme Achievements

The following table provides an overview of WLP achievements during the 2020/21 year:

Table 20: WLP 2020/21 Annual Achievements

Reporting Period	1 st July, 2020 to 30 th June, 2021		
Implementation Period	1 st July 2018 to 31 st December 2021		
Programme Budget (Ksh)	600 Million		
Funds Available for Investments (Kshs)	522,457,060.72		
Expenditure during the Year (Kshs)	386,308,102.87		
Key Results	Annual Target	Annual Achievements	% Achievement
Overall Outcomes			
Increase in No. of households with sustained coverage for improved water services in Turkana West Sub County & target ASAL counties.	6,000	5,959	99.3%

Key Results	Annual Target	Annual Achievements	% Achievement
Increase in No. of households with sustained coverage for improved sanitation services in Turkana West Sub County & target ASAL counties.	4,000	1,161	90.8%
Access to improved water sources	59%	TBD	0%
Increase in areas with improved water resource management including range management and progress in catchment planning for Tarach river basin.	2,000	650.48 ⁶⁶	32.5%
Component 1: County Capacity Enhanced			
No. of Counties effectively using water and sanitation data for planning and for performing their regulatory functions	1	TBD	0%
Component 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas			
Increase in number of new households with water services from WSTF in this engagement in Turkana West Sub-County	6,000	5,959	99.3%
Increase in No. of households with sustained coverage for improved sanitation services in Turkana West Sub County & target ASAL counties.	4,000	3,631	90.8%
Access to improved water sources	59%	TBD	0%
Average Sustainability Index of the WSTF supported investments in the 8 target counties	70	TBD ⁶⁷	TBD
% of facilities funded through the engagement that are climate proofed and mainstream green approaches	5	5	100%
% Satisfaction on service of the targeted people in the Turkana West Sub County	TBD	TBD	TBD
Component 3: Sustainable and community based management of water resources improved			
Increase in water storage capacity in the target areas	30%	TBD ⁶⁸	0%
Increase in areas with improved planning for water resources including range management in Turkana West and progress in catchment planning for Tarach river basin.	2,000	650.48	0%

66 Unit is in Km². Area given based on Water resource management Implementation. 650 Km² on rangeland management and 0.48 Km² on conservation agriculture.

67 To be determined During JAOME and end of project evaluation

68 To be determined during end of project evaluation

Key Results	Annual Target	Annual Achievements	% Achievement
Component 4: Capacity of implementing Agents improved			
Percentage of implementing agents for new WSTF projects in Turkana West with improved capacity for addressing and managing water, sanitation and water resources including rangeland management in an integrated manner	100	80%	80%
No. of implementing partners trained in Financial & Procurement Management	5	5	100 %
Component 6: Strengthened institutional performance of WSTF			
Proportion of WSTF supported investments mapped and managed in an effective management information systems (%)	100	0	0%
Enhanced Project monitoring (No.)	5	5	100%
Proportion of questioned costs against total WSTF investments to assess value for money and the WSTF capacity to manage fiduciary risk as a result of its investments (%)	10	0	0

Analysis of Results

Output 1: County capacity and engagement in water related planning enhanced

During the year, Water Sector Trust Fund in close collaboration with the County Government line departments, Water Resources Authority (WRA) and United Nation Higher Commissioner for Refugee (UNHCR) continued offering technical backstopping to the 5 Implementing Partners in the implementation process for both infrastructural and software components of the Programme.

To boost the capacity of the UNHCR in monitoring of ground water abstraction and water utilization, the Programme is supporting installation of aquifer water level monitoring system. The system will be a decision making information tool for underground water resource on current utilisation, future development and exploration of groundwater in Turkana West. The system will further enhance optimised abstractions in response to development and climatic changes for improved water security. The project has experienced delays due to COVID 19 effects in the supply of some of the imported parts (Pulse reader and Antennae). This is expected to be resolved before the programme closure.

During the reporting period, WaterFund participated in 4 Sub County WASH stakeholder forums and 2 Kalobeyei Integrated Socio-Economic Development Plan (KISEDIP) coordination meetings that also included the 5 implementing partners under WLP. The meetings brought together WASH development partners and implementers, Sub County Public Health representatives and other key stakeholders. In the meetings, stakeholders were briefed on WLP Projects key achievements both in water and sanitation access where challenges and best practices were discussed. Synergies were also asserted in projects implementation enhancing support on each other's capacities.

The programme also supported 3 Community Led Total Sanitation (CLTS) meetings, to support partner's capacities in updating progresses on the Real Time Monitoring Information System (RTMIS) and analysing the bottlenecks on CLTS Implementation. The meeting discussions were on key actions to strengthen implementation, monitoring, reporting and advocacy on WASH with County Public Health's guidance on key sanitation focus areas for success of the Programme. These meetings gave rise to improved reporting of CLTS progress with a timely 100% reporting on the RTMIS.

Five (5) Programme review meetings were held during the financial year, to discuss on Programme progress and share transitional plans that will be put in place as the programme comes to an end. As a result, the programme outputs were improved with valid contribution from the County and stakeholders' feedbacks from implementation monitoring.

The program initiated support to the County government of Turkana to implement the County Water Act of 2019, to improve on water and sanitation Governance institutional framework, with the formation and registration of the rural and urban Water Service companies. As a result, the two companies have been formed and registered with the Registrar of companies. In this structure, the urban company will have cost centers in key urban locations for efficient management.

WaterFund has engaged the Kenya Water Institute (KEWI), to carry out capacity assessment for Lokichoggio and Kakuma cost centers, for purposes of training the operators and the sub County office on capacity gaps identified. The two centers will be supported with basics tools of operation and maintenance, as well as standard operating procedures. This is part of equipping the centers, for the company to be able to discharge their mandate on water service provision. This will be concluded in the first quarter of next financial year. 4 other water committees and the maintenance team in Kakuma refugee camp have also been supported with operation and maintenance.

The Programme supported one baseline evaluation, through the monitoring and evaluation department, to establish the key WASH and livelihood indicators for benchmarking, to measure impact at the end of the project. In relation to this, a baseline validation workshop was held during the period, for feedback sharing on the findings which highlighted the programme pre-investment indicators as well as adoption of the report as a true reflection of the realities in the targeted area.

A total budget of Kshs. 2,836,718.70 has been spent to achieve the reported outputs above, out of the budgeted Kshs 6,800,000 for the year.

Output 2: Water and sanitation access and deficit in the ASALs addressed including those in refugee impacted ASAL areas

During the financial Year, the programme substantially completed 9 water supply schemes i.e Kakuma town, Lokora, Kenya redcross, Kakuma refugee camp, Kalobeyei refugee camp, Lokichoggio town, Nakulumei, Loitakori, Kangura and Lopuski water projects. 6 boreholes were drilled and equipped with solar pumping technology with rehabilitation of 7 other boreholes that are all solar enabled. Two other boreholes are ongoing rehabilitation, to be completed in the first quarter of next financial year. 12 shallow wells were also rehabilitated in Choro farm and Lokichoggio, to boost water supply for domestic access, irrigation farming and rangeland management.

Cummulatively, 5,959 households have been reached with improved access to water services out of the targeted 6,000 new households. The Programme has also increased water production by 143m³/hour with the new boreholes, increased storage capacities by 900m³ and 31.8 Km pipeline extensions. Other beneficiaries to be reported upon final completion of the pending water supplies; Lokichoggio town, Kakuma tow water supply and Napeikar water supply projects that are at advanced stages in completion.

To improve access to Household latrines, the Programme during the year completed construction of 35 blocks of Urine diverting dry toilets, 50 blocks of disabilitiy friendly larines and production of 1,000 household latrine slabs. As a result **944 households have now an access** to improved sanitation facilities. The programme also continued to support Community Led Total Sanitation (CLTS) and hygiene promotion during the year. A total of 57 villages have been triggered, out of which 17 villages have been certified, 8 villages verified and 13 at claim level. Monitoring and follow ups are ongoing to establish progress in latrine construction and ODF achievement.

With the above interventions, an estimated 3,631 households have access to sanitation, through direct support to household constructions of latrines and latrine slabs provision as well as through CLTS. Follow ups are ongoing and periodic reports will be updated in the final project report. On public sanitation access, the Programme supported construction of Kakuma Town Public Bio sanitation facility that is complete for handover. The facility is Located within Kakuma town market, to serve an average of 500 users per day. As a sanitation enterprise model, the facility has two shops and kitchenette, connected to a bio gas energy produced from the biodigester. The facility will provide an innovative and energy efficient solution.

At the institution level, the *programme* has completed construction of 22 blocks of 4 door Ventilated Improved Pit (VIP) latrines, 6 blocks of biodigester latrines and 2 No, 8 door septic toilets a cross the 4 wards. Construction of 6 other blocks of 4 door latrines is ongoing. In total 3,325 school going children (1745 Girls, 1580 Boys) have been reached counted as per standard ratios for boys and girls.

During the period, Kshs 232,847,819.41 was spent under this component, being direct Projects costs with accounting by the implementing partners (Kshs.214,999,252.81), WSTF Management cost (Kshs. 16,161,424.60) as well as Kshs. 1,687,142 for monitoring related costs. Cumulatively Kshs. 477,986,468.45 has been utilized for the component.

Output 3: Sustainable and community based management of water resources improved

For enhanced surface water runoff harvesting and increasing storage, five water pans have substantially been completed out of the 7 that were planned. One of the completed pans is of 50,000m³ while the rest are 30,000m³. The two incomplete pans (Kochomin and Kaawoi) are ongoing and follow ups with contractors ongoing to complete them within the extended timeline closing mid-September, 2021. The 5 Water pan have increased water storage capacities by 170,000 m³ which is being utilized for pastoral households and livestock water access alongside influencing the conservation of the environment for the surrounding area. The water pans are situated along the animal migratory and grazing corridors as was prioritized by the County Government, purposely to encourage settlement in the areas to beef up security and reduce cross border conflicts with movement of animals to Uganda and South Sudan for Water access.

Fabrication and installation of 3 green bio digesters at three hotel enterprises within the refugee camp 3 were completed within the reporting period. The system is utilising invasive tree species - prosopis and kitchen waste to produce methane gas. There has been reported reduction by a third on fuel used per day, translating to a reduction on over dependence of charcoal for the hotels. It is envisaged that, with optimisation of gas production to maximum, the hotels will allow distribution of surplus to neighbouring enterprises. This is working on a goal to reduce the amount of greenhouse gases emitted, improved waste management and reduced deforestation. The bio-digesters also produce slurry as an end waste product, which will be safe for use in farming or trees and fruits especially. Hotel owners are currently using the waste to irrigate trees within the premises.

Through conservation agriculture and micro climate influence, the programme in partnership with the County Department of Agriculture, supported 453 farmers and one (1) Community Forest association (CFA) within Lopur to adopt irrigation and dryland conservation agriculture. This includes vegetable irrigation farming in a 15 fenced Acre Choro farm utilizing water from 8 shallow wells that were rehabilitated and supply of 5 portable solar pumps. The farming space here is not adequate as farmers are expanding even outside the fenced area.

Using cash for work approach, 30 acres of trapezoidal bunds were constructed, to reclaim 30 acres' land converting it to agriculture. The farmers are in their 3rd season majorly on sorghum and cowpeas, with sections under pasture for reseeding. On environmental production, a component of water resources management, 60 Acres of Woodlots have been established and trees planted utilizing zaypits technology.

The programme during the year supported two women groups with shade nets at Kangura and Lokichoggio. This support was coupled with capacity building sessions to the farmers, shallow well management committees and the CFA to enhance projects sustainability. As a result, farmers have reported increased revenue from improved crop husbandry knowledge and reduced cost of production. For example, sales revenue increase to Ksh. 700 per week up from Ksh. 300 before the interventions with each farmer averaging to Ksh. 3,000 per season.

OXFAM and World Vision working with the Water Resources Authority, have managed to establish 4 Water Resource Users Associations (WRUAs). This are 1 in Loitikipi aquifer, 2 in Kakuma for Tarach aquifer and 1 in Lokichiggio catchment. 4 Sub Catchment Management plans (SCMPs) covering 12,009.6 Km² have been developed and adopted through a public participation process, for Loitikipi, Kakuma, Tarach and Lokichoggio catchments. Within the WRUAs catchment areas, range land management committees (RMC) were facilitated to review their grazing plans with a cumulative coverage of 650Km². Other activities on rangeland management include partnership with Kenya Tsetse and Trypanosomiasis Eradication Council (KENTEC), in capacity building RMCs on tsetse flies and trypanosomiasis control with Tsetse fly traps installtion in strategic points to cover a target area of 9km² making the areas habitable for animal grazing.

During the period, Kshs 120,184,015.76 was spent under this component, being direct cost on WRM implementation activities (Kshs 119,168,378.76) and Kshs 1,015,637 on WRM projects monitoring.

Output 4: Capacity of implementing agents improved for planning and efficient water service delivery.

WaterFund continued to engage with the various County technical teams from departments of Water, Public Health, Livestock and Agriculture, as well as other agencies such as WRA and UNHCR, to offer technical backstopping to the implementing partners. This include financial and procurement support, and also on political interests' management for successful implementation.

One (1) refresher training to review progress and clarify to implementing partners on any challenges regarding the processes was supported. The training targeted the key programme contacts, finance and procurement. Support was also sought through a meeting with the Country directors and the CEO- WaterFund, to seek support in implementation.

Subsequently, implementing partners continued to develop capacities management structures for rural water supplies, sanitation and water resource management as well as developing strategies for continuity and operation and maintenance plans of infrastructure, to enhance sustainability.

A total of Kshs. 95,000 was spent during the quarter on this component.

Output 6: Strengthened institutional performance of WSTF

The Programme Implementation Unit (PIU), County Resident Monitor, County Resident Engineer and Technical Advisors, have continued to ensure implementation efficiency and capacity development of implementing partners as well as ensuring continuous monitoring for quality assurance and meeting programme objectives. This has been through on routine peer reviews and discussions, on job trainings and interaction with support from other WaterFund departments.

WaterFund has creatively and proactively managed the programme, and managing concerns timely as they are raised. There has been enormous support to implement within the period, from management and other departments within WSTF for compliance and ensuring effectiveness in reporting and accounting for funds.

There has been continuous development of institutional capacities on NGO implemented projects, through strengthened Fiscal and technical contract management. Much learning has been on harmonising different operation guidelines, approaches (Practices) and policies with different organisations.

The WaterFund through various interactions with other development partners, County Government and the Implementing Partners have continued to create avenues to reflect on the embedding strategies, development model interventions/approaches, continued identifying and documentation of lessons learnt and recommendations for future programmes. This has therefore ensured that WaterFund has a blend of several implementation strategies, a room for continuous quality improvement and dynamic frameworks.

During the period, Kshs 8,447,585.00 was spent under this component, being costs for Project monitoring (Kshs. 4,733,828), and vehicle logistics (Kshs. 1,967,715.00), Audit Cost (Kshs. 870,533) other associated costs accumulated to Kshs. 1,395,509 for driver and office space.

Mainstreaming green growth and climate proofing

The Water and Livelihood Programme contributes to WaterFund's' objective of inclusive greener growth with higher employment, while promoting biodiversity and ecosystem protection with climate proofing of infrastructure. The programme has continued to ensure activities integrate low carbon and resource efficient technologies, risk mitigation, and account for the environment in designs. This is also contributing to reduced operation costs and ensuring sustainability.

In view of the above, the Programme invested in designs that are easy to operate and maintain, with utilization of solar energy in pumping systems and ensuring that the facilities can withstand the test of any catastrophic event. Employment creation for increased family income and diversification of livelihood has been given priority in the Water and livelihood Programme.

The following project components were adopted in the programme, to ensure green growth and climate proofing mainstreaming;

- i) Adoption of septic toilet systems, a decentralized treatment technology for human waste as well as pit lining for household latrine. The septic technology addresses the issues of latrine pits collapsing and preventing ground water pollution.
- ii) Construction of climate proofed pump houses, that are spacious, to ensure proper circulation and accommodate 2 systems in case of high pumping demand.
- iii) Borehole protection with raised aprons, riprapping of animal troughs and water pan channel protected with gabions as a safety measure against flooding effect.
- iv) Adoption of resource oriented sanitation concepts with construction of bio digester latrines and toilet as well as green Bio digesters, aimed at cutting down on green-house gases emission that impact on ozone layer, which instead emits environmental friendly, methane gas that is harvested for cooking. This approach saves on cost of firewood in school feeding programmes and prevents deforestation.
- v) Compliance with legislation requirements for all projects, with Environmental impact management plans provided for risk mitigation.
- vi) Infrastructural designs taking into consideration of natural risks such as floods and promotion of green technology.
- vii) Business enterprises in Kakuma town public toilet, creates employment opportunities to operators as well as waste handlers for the Kakuma hospital incinerator.

Key Implementation Challenges and Mitigation Measures

Key Issues	Mitigation Measures
Political interests in procurement. The local leadership demanding for award of tenders in favour of Turkana contractors, regardless of the provisions of the PPADA,	<ul style="list-style-type: none"> i) Implementing partners advised to adhere to criteria and ensure transparency. ii) Local leadership sensitized on the need to have fair and open process considering the tenders are open and award only based on qualifications and experience as required in the PPADA, 2015. iii) Some low threshold works have been procured competitively at local level through request for quotation and restricted tender processes.
Slow implementation pace of some partners due to internal procedures and approvals in procurement processes	<p>WaterFund expedited on review process of tenders and issuance of no objections within a 2-day turn around period to allow for the partners to progress.</p> <p>Change of implementing partner for Kalobeyei host community supplementary funding for AAHI to implement, as they have proved committed and faster to implement. Regular follow up meetings to offer support to the partners on any challenges.</p>
Contractor declining to mobilize in one of the project sites for latrine construction and in 2 others contractors vacated site	AMREF advised to repeat process through restricted tendering approach. Contract termination and use of force account to implement in one of projects sites by World Vision as AMREF considers alternatives
Change of pipeline scopes and designs during implementation as a result of new road networks in Kakuma and Lokichoggio, thus affecting the main contract	Approval of the changes timely to avoid delays
Contractors not following specifications as per design documents leading to repeat jobs	Working with as build provisions while ensuring quality and meeting objective.
Lack of commitment from some implementing partners and top management not giving support to the implementing officers.	Engaging top leadership of the IPs to provide support to the implementing team.

Plans for next financial year

These covers the period between July and December, 2021, being defects liability period. This is an extended timeline, for clearing up on pending activities, payments processing and monitoring for defects as well as time for closure. The plans for the period include;

- i) Support training of the water service providers (Kakuma and Lokichoggio) on operation and maintenance in close collaboration with Kenya Water Institute (KEWI). Team to also produce Standard Operating Procedures and provision of tools for routine operation and maintenance for the two regional centres of Kakuma and Lokichoggio.
- ii) Completion of Water, Sanitation access and water resources management interventions by the Implementing Partners as provided in the work plan.
- iii) Project monitoring to ensure completion of projects within required timelines and adhering to quality.
- iv) Release of pending disbursements and payment of retention monies.
- v) Programme closure meetings with implementing partners and stakeholders

Chapter 4: WSTF Audit and Risk Management

Introduction

The realization of WSTF's key strategic objectives is anchored on engagement of implementing partners with adequate capacity to plan, develop, implement, monitor and supervise its investments. The Fund's key role in the project implementation cycle is resource mobilization, investment and risk management. In order to ensure that fiduciary risks are adequately identified and mitigated against, one of the key responsibilities of WSTF is to ensure that the inherent project, program and operational risks are managed effectively and efficiently. This is done through continuous review and assessment of the funding procedures and systems, review of operating systems as well as project implementation. Risk Management is a key progressive activity in the Audit and Risk department of the Fund.

Internal Controls

These are controls designed to manage rather than eliminate the risk of failure to achieve business objectives due to circumstances which may reasonably be foreseen and can only provide reasonable and not absolute assurance against material misstatement or loss. The Fund has established and maintained strong internal control systems to manage and mitigate risks during the 2020/21 Fiscal Year.

Risk Management

This is the identification, assessment, and prioritization of risks and the coordinated and economical application of resources to minimize, monitor, and control the probability and/or impact of unfortunate events or to maximize the realization of opportunities. The implementation of the risk management system through the Risk Management Framework continued throughout the period. During the year there were several red flagged projects. These projects had been flagged by several players in the project cycle, including staff at the WSTF and project monitors on the ground.

Internal Audit

As part of its responsibilities, the Internal Audit and Risk department carried out audits of the various programmes and payments in the WSTF. Walk through tests on the various functions at the Trust Fund office were carried out to check for weaknesses in the various systems at WSTF.

The department was involved in capacity building of the Fund's agents with the Investment Department requesting it to make presentations in the various financial and procurement training sessions held by the Fund.

Follow up of previous audit queries and in particular costs questioned by both the external and internal audits was done.

During the year the department was called upon to investigate various allegations of fraud and misappropriation of funds by the implementing partners. The department shared any findings with the development partners and relevant state organs where necessary.

External Audits

Harmonized Audit for the financial period ended 30th June 2020

During the year the WSTF contracted PricewaterhouseCoopers (PwC) to carry out financial audit and technical reviews of its sub-grantees. The audit assignment was in line with the financing agreements which WSTF has executed with various development partners which require projects audits to be carried out every financial year.

The objectives and scope of the audits were:

- i) To report on whether the fund accountability statements for each project managed by WSTF have been prepared, in all material respects, in line with the WSTF's accounting policies;
- ii) Express an opinion on whether the Fund Accountability Statement for the projects present, in all material respects, project revenue received and costs incurred for the period audited and is in accordance with WSTF's accounting policies;
- iii) Evaluate and obtain a sufficient understanding of the projects' internal control structure, access control risk, and identify reportable conditions including material internal control weaknesses;
- iv) Perform tests to determine whether WSTF had complied, in all material respects, with agreement terms and applicable laws and regulations.

Financial audit findings - Summary of Questioned Costs

The auditors questioned expenditure amounting to **KES 9,040,037** from the review of expenditure incurred by 93 sampled projects as a result of it either being unsupported, inadequately supported or overstated (Table 25).

Table 21 : Questioned costs for 2019/20 per project and County

Description	J6P	IFAD UTaNRMP	GGEP	EU- SHARE	EDE- CPIRA	WLP	TOTAL
Total reported expenditure (in KES)	385,327,390	79,842,213	278,017,333	17,918,858	95,492,670	98,078,964	954,677,428
Questioned costs (in KES)	3,235,223	148,660	5,328,973	327,181	0	0	9,040,037
% of Questioned cost	0.8%	0.2%	1.9%	1.8%	0.0%	0.0%	0.9%
No. of projects audited	27	33	22	8	3	0	93
Total no. of projects with questioned costs	2	2	5	2	0	0	11

As at 30th June, 2021, all the questioned costs as per the 2019/20 audit cycle were outstanding. The GGEP had the highest amount of questioned costs during this cycle of audits with of KES **5,328,973** being questioned. The department is still making a follow-up on the other matters too.

Status of All Questioned Costs

The questioned costs from the various rural harmonized audits stand at Ksh 25,855,291 as at 30 June 2020.

Table 22 : Questioned costs trend as from 2011 to 30th June, 2020

PROGRAMME	AUDIT CYCLE					Total
	2016	2017	2018	2019	2020	
GGEP	-	-	196,000	99,290	5,328,973	5,624,263
IFAD	-	-	1,335,800	20,000	148,660	1,504,460
J6P	-	24,500	9,273,181	1,344,726	3,235,223	13,877,630
EU-Share	-	0	0	247,312	327,181	574,493
MTAP II	3,479,055	696,100	0	99,290	0	4,274,445
Total	3,479,055	720,600	10,804,981	1,810,618	9,040,037	25,855,291

The department has been following up on the outstanding costs by making visits to the affected projects as well as engaging the external auditors' technical team to assess whether the projects have met their objectives. The Fund has also engaged the EACC to assist in the follow up of corruption related matters

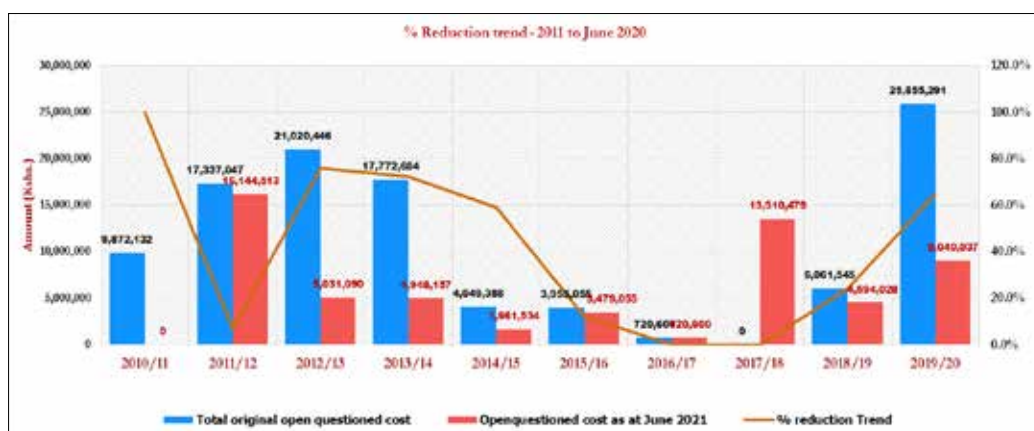


Figure 14: Questioned cost trend as from 2011 - 2021

Statutory Audit

During period, the Office of the Auditor-General (OAG), released the statutory audit report of the Fund in which he issued an unqualified opinion on the financial statements of WSTF.

Statutory Audit

The Office of the Auditor-General (OAG), is yet to release the statutory audit report of the Fund.

Appointment of WSTF's external auditors

The Fund is in the process of procuring the services of a new external auditor after the expiry of PricewaterhouseCoopers (PwC) term. We expect to have identified and new external auditor in the 1st quarter of 2021/22.

Planned Activities for the First Quarter 2021/22

This being the last quarter in the financial year 2020/21 the WSTF is preparing the work-plan for the financial year 2021/22. This notwithstanding, the department expects to facilitate the statutory and projects audits for the just ended financial year in the 1st quarter of 2021/22 as well as carry out routine audits of the various departments and programmes as mandated by the Audit Committee charter.

Chapter 5: Capacity Development, Monitoring, Evaluation

Introduction

The Fund has prioritised improvement in the monitoring and evaluation system as a key priority to ensure the realization of value for money from the investment programmes. This ensures that project management issues, fiduciary risks are adequately identified and mitigated against. One of the key responsibilities of WSTF is to monitor the implementation of programme activities as well as evaluate the achievement of specified objectives.

WSTF's key strategic objectives' achievement is anchored on engagement of implementing partners with adequate capacity to plan, develop, implement, monitor and supervise its investments. The Fund's key role in the project implementation cycle is resource mobilization, baseline survey, investment appraisal and financing, monitoring, risk management, reporting and evaluation.

During the quarter under review, the Fund continued to implement Monitoring and Evaluation approaches focused on tracking project implementation, outputs, and systematic measurement of the achievement of component objectives, projects completion, timeliness and effectiveness. This has resulted in improved provision of strategic information to inform planning, design and implementation of projects.

This chapter details the approaches taken in the monitoring and evaluation of the Funds' Investments as well as the key outcomes in the results framework

Monitoring and Evaluation approaches

The Fund continued to undertake monitoring and evaluation functions through County Resident Monitors and Engineers, engaged consultants, staff and interns through planned routine monitoring. Therefore, during the period under review the Fund has made tremendous progress on the following monitoring and evaluation approaches;

CRM engagement and alignment

The WaterFund continued the engagement of County Resident Monitors (CRMs) to support in oversight of projects implementation at the county level. The CRMs support is not only limited to project implementation as they equally represent the Fund at various fora in the counties of operation as a result improving the partnership between WaterFund and Stakeholders with increased presence. During the period under review, the Fund continued the engagement of 19 CRMs after successfully undergoing appraisal in Q2 of the financial year.

In the same period, the management appointed a task team to advice on a proposed harmonized approach in undertaking field based activities at the county level. The task team was commissioned in Q4 and has since drafted a report on the harmonized approach. The rationale of the harmonized approach is to strengthen WaterFund operations at the county level to ensure consistency, efficiency and to avoid overrepresentation given the

various programmes that the Fund finances in various counties with each programme adopting different approach in undertaking field based activities.

The draft report is expected to be subjected to stakeholder's validation in Q1 of FY 2021/2022, this will be a consultative process to ensure that all stakeholders' views are integrated in the process. In addition, the task team in consultation with the stakeholders will come up with a practical and implementable roadmap for implementation of the proposed harmonized approach.

Resident Engineers Engagement

During the period under review, the WaterFund continued the engagement of the 14 Resident Engineers (REs) across the programmes (J6P-3, GGEP-6 and EU-CPIRA-5). The REs compliment the CRMs in terms of technical aspects of the projects under implementation in addition to review of designs and capacity enhancement of the Implementing partners. Due to the change in strategic intent of the Fund to finance Medium sized projects, the REs have provided the technical support and as such the projects under implementation continue to record good progress both technically and financially thus are likely to be delivered with timelines and budgets.

Further, the WaterFund undertook the EDE-CPIRA REs through a competency based on boarding induction in Q4 to familiarize the REs with the operations of the Fund. The essence of the exercise was also to update the REs on the ongoing projects implementation progress as well as in understanding their roles and responsibilities.

Engagement of Field based KEWI interns

During the period under review, through the Join Six Programme, the Fund continued the engagement of the Field-based water technicians to support funded water utilities in addressing Operations and Maintenance issues. In addition, the water technicians supported in development and implementation of Standards Operation Procedures for various aspects of the investments. Initially, the field-based interns were to be engaged for a period of 1 year however, this was extended for a further 1 year period till 30th June, 2021. The rationale for extension was premised on the fact that the Interns had built strong professional working relationship in the utilities, a foundation which provided for continuity in the implementation of action plans.

The impact of the internship programme has recorded tremendous results especially in areas of improved efficiency with lead times in addressing O&M reduced by nearly 50%. The record keeping at the utilities have greatly improved with metering, billing and revenue collection showing an upward trajectory across operational utilities. Building on the successes of this pilot programme, WaterFund in collaboration with Development partners looks forward to adoption of the same in future programmes as this approach supports in attainment of sustainability of financed projects.

Routine Project Monitoring

Monitoring is usually integrated and undertaken through specific programme teams with the technical support of officers from the Planning, Research, Monitoring and Evaluation department. The department is strongly supported by a team of Technical Advisors (TAs) in the achievement of its Monitoring support role. This function is further augmented by independent framework consultants engaged by the WaterFund from time to time.

During the period under review, a total of 194 projects against an annual target of 156 were monitored bringing the proportion monitored in the annual to 24% overachievement as summarized in table 1 below. A summary of the key issues and their mitigation measures is provided in Table 27.

Table 23: Summary of projects monitored against targets in 2020/21 FY

Investments	Annual Targets	Accumulative Achievements
Monitor rural investment projects and develop monitoring reports	96	152
Monitor water resources projects and develop monitoring reports	60	42
Total	156	194

The issues noted during the routine project monitoring are detailed below in addition to the remedial measures taken for specific flagged projects.

Table 24: Summary of projects' monitoring issues and their mitigation measures






Key Issue	Mitigation Measures instituted
Delays in inspection of completed works and execution of payments to contractors	Implementing Agents committed to fast track the inspection by mobilizing more of their technical staff to provide technical support to projects ready to inspections
COVID- 19 that has resulted into postponement and delay of some activities such as the monitoring of the projects.	WaterFund intensified CRMs monitoring visits to the projects in order projects are timely monitored and areas for intervention are timely responded to ensure adherence to timely implementation and quality of funded scopes.
Political interests in procurement.	Implementing Agents trained on Public procurement and asset disposal Act 2015(PPADA) and Public procurement and asset disposal regulation 2020 .Local leaders engaged and sensitized needs to have national and competitive tendering processes.
Limited capacity on implementing Partners	WaterFund trained Implementing Partners (IPs) on areas of limited capacity and engaged County Resident Engineers (REs) to bolster capacities of IPs to ensure adherence to timeline and quality.
Slow implementation pace of some partners due to internal procedures and approvals in procurement processes	WaterFund hastened on review process of tenders and issuance of no objections within 2 working days to allow for the partners to progress.






Flagging of projects

As part of the fiduciary risk management, mitigation and monitoring, WaterFund routinely flags projects as an escalation procedure to expedite required actions based on the risk event and the likely impact on the realisation of the project objectives. The flags are initially raised by the CRM on the first instance or the monitoring teams. These are then reported to the WSTF M&E team and the programme team for review and advice. Based on the assessment, the projects are flagged as yellow for average risk and red for high profile risks. The Investment and Monitoring Committee of the Board as well as the Audit Committee are informed on the projects with issues on a quarterly basis with recommendations for action communicated to the management. Issues management and flagging system is part of the wider implementation of the risk management system through the Risk Management Framework.

As at start of Quarter 1, seven (7) projects were flagged Red. However, as at end of June, 2021, only two out of the seven had Red Flags including Kasha WRUA and Kone Water project. Kochodin WRUA was the only project red flagged during the reporting period (table 25 has details)

Table 25: Summary of Rural Flagged Projects as at 30th June, 2021

Project Name	County	Prog.	Key Issue	Status as at 30.06.2021	Remarks	
Kasha WRUA	Garissa	GGEP	Accountability challenges (200,000 for accompanying measures unaccounted for; retention fees and VAT not withheld; non-compliance with the financing contract	Issue remains un resolved	Remains as Red Flag	
Kone Water and Sanitation Projects.	Tana-River	MTAP 2	Doubtful expenses of Kshs. 6m. The issue referred to EACC. So far no report from EACC.	Issue remains un resolved	Audit dept. following up with EACC. Remains as Red Flag	
Kochodin WRUA	Turkana	WLP	Misappropriation of project funds by WRUA officials	Issue referred to Office of the Director of Public Prosecution.	New red Flag	
Tharaka-Nithi Water Bill	Tharaka Nithi	J6P	Questioned cost of Ksh 2,728,600 - being funding for enactment of the County Water Bill	Follow up done, County Govt. of Tharaka Nithi has contributed funds to complete the project. The Bill is complete and finalized as Water law.	WSTF to liaise with the WSP for closure of the Account. Improved from Red to Yellow Flag	

Project Name	County	Prog.	Key Issue	Status as at 30.06.2021	Remarks	
Irangi CFA	Embu	IFAD	Cheque book not updated; evidence of signed blank cheques; cheque counterfoils not properly filled; Quotations not properly filled; Lack of specifications of the required items; tender evaluation minutes not seen; Cash purchases noted; use of cash sale receipts without ETR; Minutes of cash withdrawals not seen. Expenditures tie to the budgeted amounts without a slight variation on any item	Status remains as at end of June, 2020	Follow up and clearance by programme team leader and CRM on-going - Remains Yellow until cleared.	
Laikipia County water Bill	Laikipia	J6P	The bill didn't pass second reading due of political influence	The Water Bill was re-introduced in the County Assembly, has successfully gone through public participation and now expected to back in the house for final process of enactment.	Bill expected to be enacted as law and published in Q1 of 2021/2022. (Moved from Red to Yellow)	
Lelmokwo Water Project	Nandi	J6P	The project components demolished (rising main and master meters) during demolition of the Lelmokwo old treatment works.	Central Rift Valley Water Work confirmed that the project is part of the beneficiaries of the larger Kipkaren water project currently under implementation.	Improved from Red to yellow flag. Can only improve to green upon completion of the new projects.	
Wama WRUA	Marsabit	GGEP	First payment to contractor done 2 weeks after the contract was signed between the contractor and the WRUA	WRA reviewed the 2nd disbursement before payment. The project is now reported as complete	Issue considered as resolved. Improved from Red to Green	

Project Name	County	Prog.	Key Issue	Status as at 30.06.2021	Remarks	
Chuka CFA	Tharaka nithi	IFAD	Poor document filling; payment vouchers are not filed serially; Cash book entries not adding up; a cash withdrawal of Ksh. 120,000/- not broken up to match the expenditures associated with it; .Minutes of cash withdrawals not seen, Payment of allowances for procurement committee totaling to Ksh. 9,000/- not in the budget and require to be refunded	All issues resolved and confirmed by WSTF (Finance)	Issue considered as resolved. Improved from yellow to Green	
Chogoria CFA	Tharaka-Nithi	IFAD	Delayed implementation of CFA activities due to a change in CFA account details.	Implementation of funded activities completed.	Issue resolved. Improved from yellow to Green	
Qachacha Water project	Marsabit	EU SHARE	Contractor has not completed works related to auxiliary activities	Project reported as complete, completion certificate available.	Improved from Red to Green	
Kathandeini CFA	Kirinyaga	IFAD	Cash book not properly maintained; Quotations missing return/opening dates; Payees not indicated on most payment vouchers; payment vouchers are not signed.	Issues resolved with the assistance the CRM and verified by external auditor	Improved from yellow to Green flag	
Thika Mutikaliiala WRUA	Muranga	IFAD	Payment vouchers for Sept. & Oct., 2019 not supported; weaknesses in procurement noted; quotations not properly filled, evaluation cost budgeted was Ksh 20,000 but the WRUA spent Ksh.40,000	All issues resolved, all projects activities under Call 3 completed, remaining fund amounting to ksh 109,000 refunded to WSTF and final report submitted	Issue resolved. Improved from Red to Green	
	Doldol CLTs Project	Laikipia	J6P	Activities done not matching with the cost; Some activities not involving public health department; and support documents of Ksh 19,000 not provided.	WSTF has totally discontinued the activity	
	Sirimon CLTs	Laikipia	J6P	Ksh 130,000 overspend causing some scopes not to be implemented	WSTF has totally discontinued the activity	

Progress in the Funds Monitoring and Evaluation and County Capacity development support

During the period under review the Fund continued to undertake activities aimed at enhancing the capacity of the Counties to realise their mandate. These are detailed in the following sub-chapters;

Systems Integrated Project

The WaterFund in strengthening its monitoring systems, initiated the development of a unified project management system based on a thorough analysis of the existing project management systems referred to as a Systems Integration Project (SIP). The rationale for the SIP was to strengthen WaterFund's programme management capacities, assist in tracking projects progress, anchor the investment policy and aligning the business processes in response to the reporting requirements and corporate restructuring as well as raising flags on areas that require improvement.

During the period under review, WaterFund entered into contractual agreement with the procured vendor, Masterpiece Fusion Ltd (the Consultant) on development of the WaterFund Integrated Information System. A technical Workshop was held between WaterFund, the Consultant, representatives of development partners, and Technical Advisors. The 2-day virtual meeting focused on introducing the Consultant, in-depth understanding of the System Requirements Specification (SRS) and presentation of the inception report by the Consultant. The Consultant also demonstrated other SharePoint online based system are similar to the proposed WaterFund Integrated Information System.

Other follow up physical and virtual meetings were held weekly in the months of May and June between the technical teams from WaterFund and Consultant in order to come up with technical process documents including the work flows for the Project management modules, work-planning and performance contracting module, and office automation modules.

The project management module is nearly complete encompassing the Financing agreement project cycle, Proposals, Projects and Monitoring & Evaluation sub modules. The process documents for work-planning and office automation modules are also in progress.

Once these process documents are finalised and approved, the Consultant will embark on design of the clickable screen mock-ups in July. This will allow visual evaluation and validation of the system functions. Release of the alpha version of the system is expected in the month of August.

County prototype Bill

Under the J6P programme, the Fund is supporting Laikipia and Tharaka Nithi and Kwale Counties in the development of their Water prototype bill. This will not only provide a foundation for water development but also guide the Counties regulation framework for water sector and sanitation in the Counties.

During the period under review, the Tharaka-Nithi Water prototype bill passed all the county assembly stages and signed by governor, gazetted and finalized as water law. Commissioning not done due to current Corona Virus pandemic crisis. However, the Fund is planning to deliberate this issue with the county government on how to undertake the

commissioning exercise in the present of the pandemic.

While Laikipia prototype bill two preliminary meetings have been held with County Attorney, CEC water, Director Water and County assembly committee staff in preparation for the bill introduction and revised work plan, Re-introduction of the bill letter from county Attorney sent to County Assembly .The new Bill of 2021 was published by the Government Printer and tabled in the Assembly for 1st reading. The committee has held a 2 day engagement with Members of the County Assembly.

The Bill is committed to public participation in early July in readiness for 2nd Reading after which the Bill is expected to go through 3rd Reading and Finalization for Governor's assent into an Act by end of September 2021

While the Kwale water prototype bill was gazetted and finalized as water law. Commissioning not done due to current Corona Virus pandemic crisis. However, the Fund is deliberating with the county government on how to undertake the commissioning exercise in the present of the pandemic.

EU CIPRA Phase II Projects Survey and Design development

The WaterFund with support of consultants undertook a baseline survey for the eight programme. During the period under review, WaterFund engaged three consulting firms to undertake survey and design development exercise of EU-CIPRA programme phase II projects. Service contracts were signed on 24th June, 2021. Consultants submitted inception reports to WaterFund prior to the field exercise. These reports were reviewed and comments shared. Final version of these reports received. Currently, Consultants are undertaking field exercises, the assignment is anticipated to be finished during Q1 of financial year 2021/2022

Baseline survey support

During the period under review, the WaterFund undertook a baseline survey for Piniyiny (Ntulele) water project in Narok County funded by the Joint Six Programme. The overall objective of the survey was to provide accurate and verifiable baseline data and information that would be necessary for objective reporting on progress made as well as measure the outputs, outcomes and impacts resulting from the intervention. The baseline employed census approach as an appropriate survey methodology due to its accuracy and ease of reference during programme evaluation. The baseline report has been drafted and upon review, the report will be published as a project reference document

Universal Result Based Monitoring and Evaluation Framework

During the quarter under review, the Fund used the results framework as a corporate tool designed to monitor progress in the realization of the Fund's objectives and enhance corporate consistency through consolidation and streamlining of the various reporting frameworks and harmonization across the various financing mechanisms and investment programmes.

The results measurement framework has enhanced a results culture across all levels of the Fund. This has focused on the key strategic priorities: programme progress review, performance management, investment effectiveness, and identification performance improvement areas. The framework entails continual measurement and assessment

of both qualitative and quantitative indicators within the national, sector and the Funds frameworks.

The key results areas as detailed in the results framework in the Fund are:

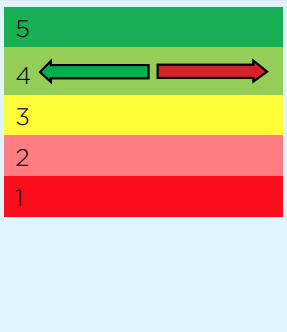
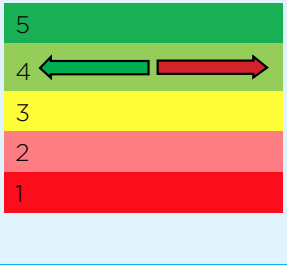

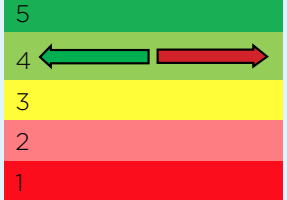
- i) Enhance capacity of the Implementing and support partners to implement projects
- ii) Improved water resources management
- iii) Improved access to water services
- iv) Improved access to sanitation services
- v) Enhanced capacity of WSTF to support project implementation

Most of the programmes experienced implementation challenges manifested in the low levels of absorption and low level of investments during the period under review. This has resulted in an overall yellow to red-flag rating in the performance.






The table below presents the overall rating of the key result areas in the Fund during the quarter under review:

Table 26: Overall rating of the key result areas – FY 2020/21

Key Result area	Rating	Remarks
<p>Results area 1: Enhance capacity of the Implementing and support partners to implement projects;</p> <p>Substantial achievement in capacity building of implementing partners realized particularly by the IFAD and WLP programmes and partly in GGEP and EDE-CPIRA programmes.</p>	5	<p>WLP and IFAD have demonstrated significant levels in capacity of implementing partners. Areas with low performance includes;</p> <ul style="list-style-type: none"> i) J6P; <ul style="list-style-type: none"> a) 0 No. of counties with approved GESI guidelines against a target of 6. b) Only 2 No. of Counties applying approved legal systems in water management against a target of 6 ii) GGEP; 0 No. of counties with effective water legislation and policy framework to support planning and implementation against a target of 3.
	4	
	3	
	2	
	1	

<p>Results area 2: Improved water resources management</p> <p>Achievement under this result was rated as above average with a total of 45 WRUAs funded against a target of 55 and 12 CFAs achieved against a target of 20 Translating to 82% and 60% respectively. However, measurement of area conserved remains a key challenge.</p>		<p>There is need for formulation of a clear criterion for measuring the area conserved under this result area.</p>
<p>Results area 3: Improved access to water services</p> <p>Achievement under this result was rated as below average due low performance noted across all programmes. A total of 88, 581 people were noted as reached out of the 357,000 Strategic target translating to 25%</p>		<p>There is serious need for the specific programmes to evaluate the contributory challenges so as to formulate strategies for ensuring improved performance in this result the FY 2021/22.</p>
<p>Results area 4: Improved access to sanitation services</p> <p>Achievement under this result was rated as above average with a total of 39,881 people noted as reached out of the 52,500 Strategic target translating to 76% (excluding those reached through CLTs)</p>		<p>Rated as above average. However, there is room for improvement under this result area.</p>
<p>Results area 5: Enhanced capacity of WSTF to support projects</p> <p>Achievement under this results was noted as above average.</p>		<p>Rated as average across. However, proportion of programme based staff still low as compared to current workload.</p>

Criteria for Rating (based on the results framework achievements)

-  1= 0% - 20%
-  2= 20% - 40%
-  3= 40% - 60%
-  4= 60% - 80%
-  5= 80% - 100%

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PROGRAMME PHOTOS

EU-SHARE PROGRAMME



Elevated Steel tank and a two-door VIP latrine at Ngalabilabia Borehole, Isiolo County



DANIDA Finance Management Technical Advisor Meeting with LAWASCO Managing Director in his office and another meeting with Kizingitini women at their Djabia site, Lamu County



Electrification of Kina Water Project

Two-door VIP latrine at Kina, Isiolo County

J6P PROGRAMME



Completed Kilgoris Public Sanitation Facility



Constructed water kiosk in Kibunga Kakimiki water project and one of the first connections for Solio Settlement Scheme water project



Ongoing construction of an intake for Kimatkei Kipkoil water project



Governor of Kwale Assenting to the Water and Sanitation Bill

GREEN GROWTH EMPLOYMENT PROGRAMME



Completed sanitation blocks with branding and hygiene messages at Kiunga and Kizingitini Primary Schools.



The completed 100m³ underground water tank equipped with submersible pump at Bright Girls Secondary School



Kiunga Conservancy djabia under construction



Lower Tana Delta Conservancy - tree nursery and mangrove restoration on going on River Tana

WATER AND LIVELIHOOD PROGRAMME



Completed shallow well



Shadenets (30m x 8m) installed at Lokichiggio for horticultural farming



Cowpeas in Locho Edome trapezoidal bund farm



UDDT latrine constructed in kakuma refugee camp



Household latrines constructed as a result of CLTS support



Drilled borehole by oxfam at Nakuangat

EU-EDE PROGRAMME



EU-EDE Signed Financing Agreements for Water and Sanitation projects with WSPs representatives



Denmark Ambassador to Kenya at the exhibition booth for WSTF during the 2nd ASAL conference held in Kajiado.



Participants during the Proposal Development Workshop in Taita Taveta



WRUA appraisal for the Proposed Kollit Spring protection by Bendera WRUA, Samburu County representatives



COVID-19 Emergency response 1000L tanks procured and ready for delivery by Olkejuado WSP, Kajiado County



Water trucking in Kilifi by Kilifi Mariakani Water and Sewerage Co. Ltd

IFAD-UTaNRMP PROGRAMME



Zaina CFA model greenhouse establishment Nyeri County



Kabaru CFA tree nursery Nyeri County



Gatare CFA fishpond installation for trout fish farming ongoing- Murang'a County



Chogoria CFA Operational fishpond, Tharaka Nithi County



Training session of Forest scouts by the MEFECFA CFA, Meru County



Riparian Pegging by Ndera Wrua Muranga County

FUND ACCOUNTABILITY STATEMENTS

FUND ACCOUNTABILITY STATEMENT FOR J6P PROGRAMME

WATER SECTOR TRUST FUND				
GoK / GoS II/GoF II PROGRAMME (J6P)				
FUND ACCOUNTABILITY STATEMENT - J6P				
AS FROM 1ST APRIL 2021 TO 30TH JUNE 2021				
		QUARTERS 1, 2 & 3	QUARTER 4	CUMULATIVE
	Note	FY 2020/2021 (As at 31.03.21)	FY 2020/2021 (As at 30.06.21)	FY 2020/2021 (As at 30.06.21)
Opening Balance -Bank		148,022,112.95	17,133,437.20	148,022,112.95
Opening Balance - Cash In Transit		56,004,725.00	56,004,725.00	56,004,725.00
Opening Balance - Receivables -J6P		94,275,300.90	75,883,426.95	94,275,300.90
Opening Balance - Receivables -Old GoS		1,075,603.00	1,075,603.00	1,075,603.00
Receivables - Unreturned Imprests		184,060.00	930,300.00	184,060.00
Opening Balance - Payables -CRMs, RE, Suppliers		(9,063,840.90)	(3,541,370.00)	(9,063,840.90)
Total Opening Balance		290,497,960.95	147,486,122.15	290,497,960.95
Receipts				
Funds Received - GoS - Forex Gain		-	206,825.00	206,825.00
Funds Received - Interest Earned	1	1,260,576.10	183,916.00	1,444,492.10
Funds Received - GoK Counterpart		-	-	-
Total Receipts		1,260,576.10	390,741.00	1,651,317.10
Total funds available for use		291,758,537.05	147,876,863.15	292,149,278.05
Payments				
Counties Strategic Guidelines	2	3,502,710.00	(1,102,333.45)	2,400,376.55
Equitable Access to Water in catchment areas (WRUAs)	3	16,439,368.50	20,994,567.40	37,433,935.90
Rural Safe Water Coverage	4	80,682,373.85	66,427,790.95	147,110,164.80
Rural Sanitation Coverage	5	34,618,651.10	11,419,945.95	46,038,597.05
WSTF Institutional Capacity	6	8,982,592.00	4,508,489.50	13,491,081.50
Bank Charges		46,720.00	22,560.00	69,280.00
Total Payments		144,272,415.45	102,271,020.35	246,543,435.80
Closing Balance - 30.06.2021		147,486,121.60	45,605,842.80	45,605,842.25
Represented by:				
Cash and Bank balances		17,133,437.20	7,027,977.85	7,027,977.85
Cash In Transit Balance		56,004,725.00	-	-
Add - Project Receivables - J6P	7	75,883,426.95	39,405,251.90	39,405,251.90
Add - Project Receivables -OLD GOS (Kimangao Wate	7	1,075,603.00	1,075,603.00	1,075,603.00
Receivables - Unreturned Imprests		930,300.00	581,150.00	581,150.00
payables - WSTF Management Fee & Suppliers		(3,541,370.00)	(2,484,137.45)	(2,484,137.45)
		147,486,122.15	45,605,845.30	45,605,845.30
				(3.05)
Notes				
1. NO funds were received during the financial year, However, Cash In Transit was USD 525,767.23 (Ksh. 56 million) being funds from the Government of Sweden held at the National Treasury at the beginning of the financial year was received in the course of the year. Interest Earned during the quarter was Ksh. 183,916/- , the total Interest Earned during the financial year was Ksh. 1.4 million				
2. There was a reversal of questioned cost for Tharaka Nithi County Water bill for an expenditure that had been captured in the FY 2018/19, this has therefore resulted in a negative expenditure of Ksh. 1.1 million during the quarter for Component 1. The total incurred during the year for GESI guidelines and Consultancies for Water bill was Ksh. 2.4 million				
3. Ksh. 20.9 million was spent under Component 2, being funds accounted for by the WRUAs , WRA fees and WSTF management fees. The total cost incurred during the financial year was Ksh. 37.3 million				
4. Ksh. 66.4 million was spent under Component 3, this being funds accounted for by the Water Projects, Covid 19 Emergency Response Projects, WSTF Management fees, Resident Engineers and Water Technicians costs. The total cost incurred during the financial year was Ksh. 147.1 million				
5. Ksh. 11.4 million was spent under Component 4, being funds accounted for by the Sanitation, CLTS and Covid 19 Emergency Response Projects. The total cost incurred during the financial year was Ksh. 46 million				
6. Ksh. 4.5 million was spent under Component 5 being CRMs costs, Audit costs, WSTF Fiduciary Risk Management costs and routine project monitoring expenses . The total cost incurred during the financial year was Ksh. 13.4 million				
7. Out of the Total Disbursement to projects (Water Services, WRUAs, Covid 19 ER and Sanitation) Ksh. 40.4 million had not been accounted for as at 30th June 2021 and this forms the projects receivables figure.				

FUND ACCOUNTABILITY STATEMENT FOR GGEP PROGRAMME

cambr				
GREEN GROWTH & EMPLOYMENT PROGRAMME (GGEP)				
FUND ACCOUNTABILITY STATEMENT				
AS FROM 1ST APRIL 2021 TO 30TH JUNE 2021				
		QUARTERS 1, 2 & 3	QUARTER 3	CUMULATIVE
		FYR 20/21	FYR 20/21	FYR 20/21
	Note	(As at 31.03.21)	(As at 30.06.21)	(As at 30.06.21)
Opening Balance - Bank		233,738,572.70	81,188,309.75	233,738,572.70
Opening Balance - Cash In Transit		50,390,353.30	26,390,353.30	50,390,353.30
Opening Balance - Project Receivables		152,285,652.15	149,199,243.15	152,285,652.15
Opening Balance - Payables		(6,778,971.80)	(10,346,503.00)	(6,778,971.80)
Opening Balance - Other Receivables		107,100.00	4,258,187.00	107,100.00
Total - Opening Balance		429,742,706.35	250,689,590.20	429,742,706.35
Receipts				
Funds Received from DANIDA	1	-	-	-
Interest Earned	1	2,061,462.40	541,376.30	2,602,838.70
Total Receipts		2,061,462.40	541,376.30	2,602,838.70
Total funds available for use		431,804,168.75	251,230,966.50	432,345,545.05
Payments				
1. County Capacity & Engagements		53,694.00	-	53,694.00
2. Water & Sanitation Access	2	164,715,924.75	42,451,136.45	207,167,061.20
3. Water Resources Management	3	32,592,621.60	19,776,543.75	52,369,165.35
4. Capacity Building of Implementing Agents	4	9,906,517.00	3,448,800.00	13,355,317.00
5. Private Public Partnership		-	-	-
6. WSTF Institutional Performance	5	6,310,631.00	4,620,524.00	10,931,155.00
7. Financial Management Advisor		-	-	-
8. Bank Charges		27,370.05	5,370.00	32,740.05
Total Payments		213,606,758.40	70,302,374.20	283,909,132.60
Closing Balance - 30.06.2021		218,197,410.35	180,928,592.30	148,436,412.45
Represented by:				
Cash and Bank balances		81,188,309.75	87,727,121.70	87,727,121.70
Cash In Transit	1	26,390,353.30	-	-
Project Receivables -GGEP	6	149,199,243.15	62,674,248.00	62,674,248.00
Payables - REs Cost, CRMs Costs, Suppliers		(10,346,503.00)	(2,224,332.80)	(2,224,332.80)
Receivables		4,258,187.00	259,375.00	259,375.00
		250,689,590.20	148,436,411.90	148,436,411.90
				0.55
Notes:				
1. No funds were received during the financial year apart from the Interest Earned of Ksh. 2.6 million . There was a Cash In Transit of Ksh. 50.3 million at the Central Bank of Kenya (The National Treasury) at the beginning of the Financial year this was received in the course of this financial year.				
2. Ksh. 42.5 million was incurred under Component 2 - Water and Sanitation Access being funds accounted for by Implementing Partners for Water and Sanitation projects, WSTF Management fee and project monitoring costs. The total costs incurred during the year was Ksh. 207.1 million				
3. Ksh. 19.7 million was incurred under Component 3 - Water Resources Management being funds accounted for by WRUAs, WRA fees and WSTF Management fee. The total costs incurred during the year was Ksh. 52.3 million				
4. Ksh. 3.4 million was incurred under Component 4 - being Resident Engineers costs and Capacity Building of Implementing Partners. The total costs incurred during the year was Ksh. 13.3 million				
5. Ksh. 4.6 million was incurred under component 6 -WSTF Institutional Performance being project monitoring costs, CRM Costs and Audit follow up costs. The total costs incurred during the year was Ksh. 10.9 million				
6. Out of the funds disbursed to projects, Ksh. 62.6 million had not been accounted for as at 30th June 2021 forming part of project receivables.				

FUND ACCOUNTABILITY STATEMENT FOR WATER AND LIVELIHOOD PROGRAMME

WATER SECTOR TRUST FUND				
WATER AND LIVELIHOOD PROGRAMME (WLP)				
FUND ACCOUNTABILITY STATEMENT				
AS FROM 1ST APRIL 2021 TO 30TH JUNE 2021				
		QUARTERS 1, 2 & 3	QUARTER 4	CUMULATIVE
		FYR 20/21	FYR 20/21	FYR 20/21
	Note	(As at 31.03.21)	(As at 30.06.21)	(As at 30.06.21)
Opening Balance - Cash and Bank Balances		70,457,736.22	16,565,741.37	70,457,736.22
Opening Balance - Cash In Transit		225,000,000.00	-	225,000,000.00
Opening Balance - Receivables - Project Receivables		55,944,567.45	177,707,382.50	55,944,567.45
Opening Balance - Receivables - staff imprests		77,076.00	2,414,332.00	77,076.00
Opening Balance - Payables - Audit Fee		(2,003,470.00)	(10,018,383.00)	(2,003,470.00)
Total - Opening Balance		349,475,909.67	186,669,072.87	349,475,909.67
Receipts				
Funds Received from DANIDA		160,000,000.00	-	160,000,000.00
Interest Earned	1	614,202.50	393,987.05	1,008,189.55
Total Receipts		160,614,202.50	393,987.05	161,008,189.55
Total funds available for use		510,090,112.17	187,063,059.92	510,484,099.22
Payments				
1. County Capacity Enhancement	2	861,818.70	1,974,900.00	2,836,718.70
2. Water & Sanitation Access	3	215,079,023.81	17,712,795.60	232,791,819.41
3. Water Resources Management	4	440,400.00	116,058,921.76	116,499,321.76
4. Capacity Building of Implementing Agents		95,000.00	-	95,000.00
5. WSTF Institutional Performance	5	6,100,030.00	2,347,555.00	8,447,585.00
6. Project Implementing Unit (PIU)	6	15,545,236.00	5,830,828.00	21,376,064.00
Total Payments		238,121,508.51	143,925,000.36	382,046,508.87
Closing Balance - 30.06.2021		271,968,603.66	43,138,059.56	128,437,590.35
Represented by:				
Cash and Bank Balances		16,565,741.37	84,596,146.97	84,596,146.97
Add: Receivables - Projects	7	177,707,382.50	48,690,124.92	48,690,124.92
Add: Receivables - staff imprests		2,414,332.00	601,712.00	601,712.00
Less: Payables - PIU costs, Audit cost and suppliers		(10,018,383.00)	(5,450,390.80)	(5,450,390.80)
		186,669,072.87	128,437,593.09	128,437,593.09
				2.74
Notes:				
1. Ksh. 160 million was received during the financial year. Interest Earned during the quarter was Ksh. 393,987/- and total Interest Earned during the year was Ksh. 1 million				
2. Ksh. 1.9 million was incurred under Component 1 - County Capacity and Engagements being costs for baseline Surveys. The total cost incurred during the financial year was Ksh. 2.8 million				
3. Ksh. 17.7 million was incurred under Component 2 - Water and Sanitation Access being funds accounted for by the Implementing Partners and project monitoring costs. The total cost incurred during the financial year was Ksh. 232.8 million				
4. Ksh. 116 million was incurred under Component 2 - Water Resources Management being funds accounted for by the Implementing Partners and project monitoring costs. The total cost incurred during the financial year was Ksh. 116.5 million				
5. Ksh. 2.3 million was incurred under Component 5 - WSTF Institutional Performance being project monitoring costs and motor vehicle logistics. The total cost incurred during the financial year was Ksh. 8.4 million				
6. Ksh. 5.8 million was incurred under Program Implementing Unit (PIU) being Remuneration costs. The total cost incurred during the financial year was Ksh. 21.3 million				
7. Out of the funds disbursed to implementing Partners, Ksh. 48.69 million had not been accounted for as at 30th June 2021 forming part of project receivables				

FUND ACCOUNTABILITY STATEMENT FOR EU -EDE PROGRAMME

WATER SECTOR TRUST FUND				
EDE- CLIMATE PROOFING PROGRAMME				
FUND ACCOUNTABILITY STATEMENT - EDE CPIRA				
AS FROM 1ST APRIL -30TH JUNE 2021				
		QUARTERS 1,2 & 3	QUARTER 4	CUMULATIVE
		FY 20/21	FY 20/21	FY 20/21
		(As at 31.03.2021)	(As at 30.06.2021)	(As at 30.06.2021)
	Note			
Opening Balance-Cash at bank		300,140,387.09	42,779,304.45	300,140,387.09
Opening Balance-Receivables		10,423,572.00	253,012,518.95	10,423,572.00
Opening Balance-Payables		(1,447,781.00)	(12,524,881.47)	(1,447,781.00)
Opening Balance -Cash In Transit		312,152,914.02	168,614,664.02	312,152,914.02
Opening Balance-Exchange loss		23,018,569.52	23,018,569.52	23,018,569.52
Opening Balance				
Total Opening Balance		644,287,661.63	474,900,175.47	644,287,661.63
Receipts				
GOK Counterpart	1		25,000,000.00	25,000,000.00
Interest Earned		2,793,439.83	473,443.14	3,266,882.97
Total Receipts		2,793,439.83	25,473,443.14	28,266,882.97
Total Funds Available for Use		647,081,101.46	500,373,618.61	672,554,544.60
Payments				
Human Resources	2	18,527,628.14	6,002,874.00	24,530,502.14
National Service Agents/Framework Consultants	3	14,439,599.75	3,052,020.00	17,491,619.75
DSA	4	8,421,897.00	2,045,370.00	10,467,267.00
Motor Vehicle Costs	5	738,012.80	50,000.00	788,012.80
Office rent	6		1,697,376.90	1,697,376.90
Office supplies	7		211,846.00	211,846.00
Local travel	8	213,440.00	200,100.00	413,540.00
ICT accessories-printers, photocopiers and repairs		26539		26,539.00
Internal Audit & Follow ups	9	147,650.00	1,345,600.00	1,493,250.00
Other Costs / Services	10	171,314.08	40,907.94	212,222.02
Visibility Actions	11		652,500.00	652,500.00
External Audit	12	119,071.20	38,000.00	157,071.20
Monitoring and Evaluation	13	725,800.00	657,560.00	1,383,360.00
Support to WSPs - CP Infrastructure	14	74,642,313.08	118,321,945.93	192,964,259.01
Support to WSPs - Sanitation Facilities	15	17,271,364.97	6,416,177.67	23,687,542.64
Support to WRUAs - WRM Activities	16	2,869,162.80	3,029,730.00	5,898,892.80
County Baseline surveys	17		3,420,000.00	3,420,000.00
Capacity Development	18	5,921,369.00	254,100.00	6,175,469.00
Support to Household Sanitation - CLTS	19	1,926,960.01	544,396.82	2,471,356.83
Training	20	1,972,200.00	402,000.00	2,374,200.00
Provision for Contingency reserve	21	19,485,739.10	1,599,865.00	21,085,604.10
Management fee		4,560,865.47		4,560,865.47
Total payments		172,180,926.40	149,982,370.26	322,163,296.66
Closing Balance - 30.06.2021		474,900,175.06	350,391,248.35	350,391,247.95
Represented by:				
Cash at bank		42,779,304.45	137,556,421.75	137,556,421.75
Receivables		253,012,518.95	205,553,095.83	205,553,095.83
Payables		(12,524,881.47)	(8,882,934.47)	(8,882,934.47)
Cash In Transit		168,614,664.02		-
Exchange loss	22	23,018,569.52	16,164,665.24	16,164,665.24
		474,900,175.47	350,391,248.35	350,391,248.35
			0.00	
Notes:				
1. Ksh 25,000,000.00 was received as GOK counterpart contribution and Ksh 175,468,568.30 received from National Treasury				
2. Ksh 6,002,874.00 represents staff & remuneration cost				
3. Ksh 3,052,020.00 represents design and review consultancy costs				
4. Ksh 3,056,235.00 representst per diem for staff while undertaking program activities				
5. Ksh 50,000.00 was spend on fuel for vehicles used during programme activities				
6. Ksh 1,697,376.00 was spend on office rent				
7. Ksh 211,846.00 was spend office supplies				
8. Ksh 200,100.00was spend on flights for staff carrying out programme activities				
9. Ksh 1,345,600.00represents costs of staff per diem during Detailed Expenditure Breakdown exercise				
10. Ksh 40,907.94 represents staff airtime and bank charges for the period				
11. Ksh 652,500.00 was spend in printing T.shirts				
12. Ksh 38,000.00 represents external audit fee _contribution to the audit fee for FY 2019/20				
13. Ksh 657,560.00 represents costs incurred by staff during monitoring and Evaluation of projects				
14. Ksh 118,321,945.93 was accounted for under water infrastructure projects				
15. Ksh 6,416,177.67 was accounted for under sanitation projects				
16. Ksh 3,026,730.00 Was accounted for under WRUA projects				
17. Ksh 3,420,000.00 was spend on consultants carrying out baseline surveys				
18. Ksh 254,100.00 represents costs of staff carrying out capacity building of counties				
19. Ksh 544,396.82 was accounted for under CLTS projects				
20. Ksh 402,000.00 Represents costs of staff training				
21. Ksh 1,599,865.00was accounted for under COVID 19 Emergency projects				
22. Exchange loss of Ksh 16,164,665.24 was realised in the financial year ending 2020/21				
23. Under Budget utilization ,the expenditure includes costs funded by WSP				

FUND ACCOUNTABILITY STATEMENT FOR IFAD - UTANRMP PROGRAMME

WATER SECTOR TRUST FUND				
IFAD /UTaNRMP PROGRAMME				
FUND ACCOUNTABILITY STATEMENT				
AS FROM 1ST APRIL 2021 TO 30TH JUNE 2021		QUARTERS 1, 2 & 3	QUARTER 4	CUMULATIVE
	Notes	FYR 20/21 (As at 31.03.21)	FYR 20/21 (As at 30.06.21)	FYR 20/21 (As at 30.06.21)
Opening Balance		22,291,808.00	6,512,213.60	22,291,808.00
Opening Balance - Receivables - CFAs		12,094,631.00	13,661,400.00	12,094,631.00
Opening Balance - Receivables - WRUAs		66,317,567.50	71,656,858.75	66,317,567.50
Opening Balance - Receivables - WRA		9,337,136.40	8,419,109.95	9,337,136.40
Opening Balance - Payables -Advert, CRMs & Audit costs		(1,983,403.60)	(1,169,031.00)	(1,983,403.60)
Opening Balance - Receivables - Unsurrendered Imprests		6,250.00	766,200.00	6,250.00
Total - Opening Balance		108,063,989.30	99,846,751.30	108,063,989.30
Receipts				
Amount Received - UTaNRMP	1	6,768,300.00	16,000,000.00	22,768,300.00
Total Receipts		6,768,300.00	16,000,000.00	22,768,300.00
Total funds available for use		114,832,289.30	115,846,751.30	130,832,289.30
Payments				
1. Community Forest Associations (CFAs)	2	3,634,221.00	7,404,105.50	11,038,326.50
2. Water Users Associations (WRUAs)	3	2,675,518.00	17,971,927.75	20,647,445.75
3. Training and Capacity building for WRUAs and C	4	2,827,682.00	385,560.00	3,213,242.00
4. 15% for administrative fee for WRMA and KFS	5	3,099,894.00	9,469,118.75	12,569,012.75
5. Advertisement	6	366,559.00	601,238.50	967,797.50
6. 5% Administrative Fee for WSTF	7	2,381,664.00	1,014,871.00	3,396,535.00
Total Payments		14,985,538.00	36,846,821.50	51,832,359.50
Closing Balance 30.06.2021		99,846,751.30	78,999,929.80	78,999,929.80
Represented by:				
Cash and Bank balances		6,512,213.60	4,360,145.10	4,360,145.10
Programme Receivables - CFAs	8	13,661,400.00	6,091,620.50	6,091,620.50
Programme Receivables - WRUAs	8	71,656,858.75	69,170,362.70	69,170,362.70
Opening Balance - Receivables - WRA/KFS		8,419,109.95	-	-
Payables - CRMs & Audit cost		(1,169,031.00)	(622,198.50)	(622,198.50)
Add Receivables - Unsurrendered imprests		766,200.00	-	-
		99,846,751.30	78,999,929.80	78,999,929.80
Notes:				
1. Ksh. 16 million was received from IFAD UTaNRMP during the quarter. Total funds received during the financial year was Ksh. 22.7 million .				
2. Ksh. 5.3 million were funds accounted for by Implementing Partners (CFAs) for Call 3 projects . Total funds accounted for during the financial year was Ksh. 8.9 million				
3. Ksh. 17.9 million were funds accounted for by Implementing Partners (WRUAs) for Call 3 projects. Total funds accounted for during the financial year was Ksh. 20.6 million				
4. Ksh. 385,560/- was Capacity building expenses for Call 3 batch II WRUAs. Total cost incurred during the financial year was Ksh. 3.2 million				
5. Ksh. 9.4 million was incurred under 15% WRA and KFS fee. The total cost incurred during the financial year was Ksh. 12.5 million				
6. Ksh. 601,238.50 was Advertisement cost for Call 3 batch II WRUAs. The total cost incurred during the financial year was Ksh. 967,797.50				
7. Ksh. 1 million was incurred under 5% Administrative fee to cater for Audit costs, CRMs costs and Monitoring costs. Total cost incurred during the financial year was Ksh. 3.4 million				
8. Out of the total funds disbursed to WRUAs, CFAs, WRA and KFS, for implementation of Call 2 and 3 projects, the funds not yet accounted for amounts to Ksh. 75.2 million forming programme receivables figure				

FUND ACCOUNTABILITY STATEMENT FOR EU SHARE PROGRAMME

WATER SECTOR TRUST FUND		
GoK /MTAP II PROGRAMME		
FUND ACCOUNTABILITY STATEMENT - EU SHARE		
AS FROM 1ST JULY 2020 TO 30TH JUNE 2021		
		CUMULATIVE
		FYR 20/21
	Note	(As at 30.06.2021)
Opening Balance -Bank		1,988,040.08
Opening Balance - Receivables		8,691,470.10
Total Opening Balance		10,679,510.18
Receipts - Interest Earned		20,488.00
Total funds available for use		10,699,998.18
Payments		
CBO Investments	1	929,809.60
WSTF Management fee (5%)		-
Recruitment of CRMs		-
Information campaign & Proposal Preparation		-
Capacity Building - Sub Grantees		-
Audit of Projects		-
Monitoring & Evaluation		-
Bank Charges		3,475.40
Total Payments		933,285.00
Closing Balance - 30.06.2021		9,766,713.18
Represented by:		
Cash and Bank balances - EU SHARE ACCOUNT		1,660,249.23
Cash and Bank balances - WSTF RESERVE ACCOUNT		554,997.45
Project Receivables	2	7,551,466.10
		9,766,712.78
Notes:		
1. Ksh. 929,909/- was accounted for by the Water Utilities implementing Call 2 projects during the year.		
2. Out of the funds disbursed to projects, Ksh. 7.5 million had not been accounted for as at 30th June 2021.		

IMPLEMENTATION STATUS OF ANNUAL WORK PLANS AND PROJECTS

WORKPLAN IMPLEMENTATION STATUS - J6P PROGRAMME

Key Result Area	Activity	Output Indicator	Means of Verification/ Evidence	Unit of Measure	Annual Target	Quarterly Targets and Achievements											
						Q1 Target	Q1 Actual	Variance	Q2 Target	Q2 Actual	Variance	Q3 Target	Q3 Actual	Variance	Q4 Target	Q4 Actual	Variance
	Consultancy services to Develop County Water Strategies	No. of Counties with water development strategies demonstrating coherent staged approach to WRM, WS/SAN development	County water strategies and sector regulations framework	No	1	1	0	1				0	1	0			
	Consultancy services to Develop County Water Master Plans	No. of Counties with water master plan demonstrating available resources and development approach to WRM, WS/SAN projects	County water master plan developed	No	3				3	0	3	0	2	0	0	0	1
	Consultancy services to Develop County engagement strategy guidelines	Number of county engagement strategies and guidelines developed	County engagement strategy and guidelines	No	1	1	0	1				0	1	0			
	# County exchange visits - CECMs	# County exchange visits - CECMs	County Exchange visit reports	No	6							6	0	6	0	0	6
	County programme review meetings/workshops	Number of county programme review meetings held (Review cum annual progress report)	County programmes review meeting reports	No	1							1	0	1	0	0	1
	Development and enactment of County water legislation (County prototype bill)	No. of Counties having county prototype water law	County water bill/acts incorporating prototype guidelines	No	3	3	0	3	3	0	3	0	1	2	0	0	2
	County workshops/trainings to disseminate GESI guidelines	Engagement of a consultant to finalize development of GESI strategy and guidelines (for a maximum period of 4 months)	County WRM, WS/SAN M&E reports Case studies	No	6				6	0	6	0	6	0	6	0	6
	Production of materials/information packages on GESI - Project monitoring tools and proposal forms.	Proportion of projects/project designs mainstreaming GESI concerns	Project design reviews, projects monitoring and assessments	%	100							100	0	100	0	0	100
IMPROVED MANAGEMENT OF WATER RESOURCES: Financing of water resources management initiatives and relevant climate change mitigation	Finance WRU/As to implement Sub catchment management plans Level II	No. of WRUA projects financed-Level II	WRUA reports WRA reports	No	3	3	0	3	0	1	1	3	3	0			
	Finance WRU/As to implement Sub catchment management plans Level III	No. of WRUA projects financed-Level III	WRUA reports WRA reports	No	5	5	0	5	0	2	2	5	3	2	0	1	0
	Finance WRU/As to implement Sub catchment management plans Level IV	No. of WRUA projects financed-Level IV	WRUA reports	No	2				0	1	1	2	0	2	0	1	0
	WRA management fee for Batch 1&2 projects	No. of Project monitored/supported	WRA reports	No	10	8	0	8	10	3	7	10	9	1	9	9	0

Key Result Area	Activity	Output Indicator	Means of Verification/Evidence	Unit of Measur	Annual Target	Quarterly Targets and Achievements																
						Q1 Target	Q1 Actual	Variance	Q2 Target	Q2 Actual	Variance	Q3 Target	Q3 Actual	Variance	Q4 Target	Q4 Actual	Variance					
IMPROVED WATER SERVICE ACCESS: Enhance access by target group;	Support WRUA Proposal preparation and undertake appraisal	No. of WRUA proposals reviewed	Proposals and Appraisal Reports	No	1	1	0															
	Revise and produce WDC booklets	No. of revised WDC booklets	WDC booklets Printed versions and their circulation	No	1	1	0	1													0	
	Revision of WSTF/WRA MoU and WRUA financing contract to reflect on the changes in the institutional mandate brought about by enactment of Water Act, 2016. The activity to involve consultancy and stakeholder workshops.	Revised MoU and WRUA Financing template		Revised and signed MoU and WRUA Financing template	No	1	1	0	1													
	WSTF Administrative fee (5% of project cost)				%	5	5	0	0	5	5	0	5	5	0	5	5	0	5	5	0	
	# of WRUAs trained - Capacity building through exchange visits	No. of WRUAs trained	WRUA reports	WRUA reports	No	3				3	0	3										
	# Capacity building workshops	No. of WRUAs trained	WRUA reports	WRUA reports	No	1	1	1	0													
	Finance Water Supply projects	No. of Water Utilities projects supported	Signed Contracts Project Completion Reports PMIS /Project M & E field reports		No	14	14	0	14	14	3	11	14	5	9	1	1	8	3			
	Preparation of water supply proposals and appraisal	No. of Water Utilities projects proposal	Project Proposals		No	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1	
	# of projects supported to respond to COVID-19 pandemic	No. of projects supported in response to COVID-19 pandemic	Signed contracts	Signed contracts	No	8												8	5	3		
	WSTF Administrative fee (5% of project cost)				%	5	5	0	0	5	5	0	5	5	0	5	5	0	5	5	0	
# WUs supported in implementation/operational improvement	No. of workshops/trainings conducted	Apprenticeship engagement and training for a minimum period of six months. The amount to cater for wages and capacity building of Interns.		No	23	23	0	23	23	0	23	23	0	23	2	3	0	2	3	0	23	0
Support to Resident Engineers/Service agents for implementation of Batch II projects	No. of WUs supported in implementation/operational improvement	REs/Service Agent reports		No	3	3	0	3	3	0	3	3	0	3	3	0	3	3	0	3	0	
Production of Rural Water Utilities Toolkit/technical package/Brochures	No. of Rural Toolkit produced	Rural Water Utilities Toolkit		No	1				1	0	1	1	0	1	0	1	0	1	0	1	0	1
Development and production of GESI guide packs	No. of WUs supported in GESI implementation/operational improvement	GESI guide packs toolkit		No	24	24	0	24	24	0	24	24	0	24	0	24	0	24	0	24	0	24

Key Result Area	Activity	Output Indicator	Means of Verification/ Evidence	Unit of Measure	Annual Target	Quarterly Targets and Achievements																		
						Q1 Target	Q1 Actual	Variance	Q2 Target	Q2 Actual	Variance	Q3 Target	Q3 Actual	Variance	Q4 Target	Q4 Actual	Variance							
IMPROVED SANITATION SERVICE ACCESS: Enhance access by target group;	Finance institutional sanitation projects	No. of school/health center facilities constructed	Project Completion Reports PMS/ Project M& E field reports	No	25	25	1	24	25	3	22	24	24	1	22	2	24	24	0	3	0	0		
	Finance public sanitation facilities	No. of Public sanitation facilities constructed	Project Completion Reports PMS/ Project M& E field reports	No	2	2	0	2	2	0	2	0	0	1	1	1	0	0	1	0	1	0	0	
	# of projects supported to respond to COVID-19 pandemic with hygiene related activities	No of projects supported in response to COVID-19 pandemic	Signed contracts	Signed contracts	No	8	8													8	5	3	3	
	WSTF 5% administrative cost	No. of Water Utilities projects supported	Signed Contracts Project Completion Reports/PMS/ Project M& E field reports	Signed Contracts Project Completion Reports/PMS/ Project M& E field reports	No	5	5	0	0	5	5	0	5	5	0	5	0	5	5	0	5	5	0	
	Finance Household sanitation/CLTS projects	No. of triggered villages	Project reports CHW reports	Project reports CHW reports	No	150	79	95	18	0	12	0	0	0	4	0	0	0	0	0	0	0	0	
	CLTS follow - up activities	No. of Counties supported in CLTS strengthening activities	Project reports CHW reports	Project reports CHW reports	No	5	5			5	5	0	5	5	0	5	0	5	5	0	0	0	0	
	Carry out GESI disaggregated survey	No. of public toilets result in women and men/youth benefiting from the sanitation service employment opportunities	Project reports and M&E field reports	Project reports and M&E field reports	No	2	2														2	0	2	2
	Facilitate Programme steering group meetings	No. of joint oversight/steering committees meetings	Minutes of meetings	Minutes of meetings	No	4	4	1	0	1	0	0	1	1	0	0	0	1	1	0	1	1	0	0
	Update and ratification of Design unit Costs guidelines	Location specific unit costs follow up systems - established and maintained	Unit costs guidelines	Unit costs guidelines	No	1	1	0	1	1	0	1	1	1	0	1	0	1	1	0	1	0	0	1
	CRM support to projects	No. of CRMs engaged	No. of CRMs engaged	CRM contracts CRM reports	No	6	6	6	0	6	0	6	0	6	6	0	6	0	6	0	6	6	0	0
WSTF CAPACITY ENHANCED: Improve CRM to enhance M&E and reporting on programmes; Participation in water week, water related exhibitions, County agricultural shows, Developing an innovation policy that incentivizes staff and partners who develop solutions to expand access.	Analysis of Audit follow-up reports	% Audit annual QCs - performance improved (reduced vol/amount of QCs)	Audit and audit follow up visit reports	No	1	1								1	1	0	1	1	0	1	1	0	0	
	Conduct County Specific Risk surveys and conditional audit of projects	County Based Risk survey assessments on investment programmes	Risk Assessment Reports	No	6	6	0	6	6	0	6	6	6	0	6	0	6	6	0	6	0	0	6	
	Support Quality Assurance visits by WSTF staff	No. of counties visited by staff	Project Monitoring reports	Project Monitoring reports	%	100	100	13	87	100	100	0	100	100	8	3	100	0	17	0	10	0	0	
	Conduct Annual harmonized Audit	Harmonized annual audit conducted	Annual Harmonized Audit reports	Annual Harmonized Audit reports	No	1	1	1	0	1	1	0	1	1	0	1	0	1	0	1	0	1	0	1
	GESI mainstreaming by WSTF - Trainings of Gender Committee	GESI mainstreaming in WSTF	GESI Committee reports	No	1	1															1	0	1	1

PROJECTS IMPLEMENTATION STATUS – J6P WATER, SANITATION AND WRUA PROJECTS

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks	DLP start date / end date	Additional/new population reached
						Technical Progress (%)	Disbursed Funds (Kshs)	%			
Water projects											
1	Nandi	Cheptil water project	Construction of pump house and installation of pumps, Construction of 225m ³ storage tank 2km of Pipeline construction (distribution network) and procurement of office equipment and bulk water meters.	3250	10,499,893	100%	4,157,899	89%	Construction complete. The project is on Defects and Liability Period.	30.01.2020 / 30.07.2020	111 new connections cumulative. Hence cumulatively 555 additional people
2	Nandi	Kimng'oror Water Project	Spring protection, CFU connection to the existing system, Laying of distribution network (600m) and procurement of office equipment	3160	8,414,116	100%	3,799,178.	100%	Construction complete. The project is on Defects and Liability Period.	23.10.2019 / 23.04.2020	55 cumulative new connections. Hence 275 additional people
3	Nandi	Lelmokwo Water Project	Pump installation, Construction of 11.4km of pipeline, Construction of an office block	5850	22,899,986	88%	16,722,660.	94%	Construction completed but not operational due to challenges of the source. RV/WDA have picked up the matter and so far they have been to the site and to meet with the community and the project team.	20.01.2020 / 20.07.2020	
4	Laikipia	Solio Water Project	Gravity main pipeline - 31.5km, Distribution network - 30 km of assorted pipe sizes, Storage tanks (2No. Of 225m ³), Accompanying Measures - To include 1700 water meters	25899	143,063,644	100%	119,943,644.00	100%	Construction complete. NAW/ASCO implementing the last mile connectivity as the project enters DJJP.	01.04.2020/ 30.09.2020	6 connections, all in schools and 1 dispensary.
5	Laikipia	Sirimon Water Project	Construction of Composite Filtration Unit (CFU), Chemical house, Procurement of consumer water meters.	9375	8,799,998	100%	7,919,998.00	100%	Construction complete. The project is at DJJP.		
6	Narok	Sogoo Water Project	Drilling of 2 and Equipping of 3 boreholes 29.5km of pipeline (Rising main and distribution network), Procurement and installation of 1000 meters, 2 No Grade 9 and office block 2No storage tanks of capacity 225m ³ and 100m ³	10000	43,847,999	85%	39,057,089	100%	Construction in progress. Management model to be arranged for the project by Narok water and the County.		

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks	DLP start date / end date	Additional/new population reached
						Technical Progress (%)	Disbursed Funds (Kshs)	%			
7	Narok	Entasekera Water Project	Spring Development and Protection and Intake structure, 8.6km of Pipeline, 2No storage tanks of capacity 50m ³ each, 100No. Consumer water meters, 2No water kiosks and 4No cattle troughs	5000	19,365,316	100%	17,790,348	100%	Construction complete project under DLP. Management model to be arranged for the project by Narok water and the County.	01.05.2020/31.10.2020	17 Unmetered connection (85people), 2. Water kiosks (600 people), 2No. Yard taps (150 people), 4No. Cattle troughs (250 livestock). Total- 835 people and 250livestocks
8	Tharaka Nithi	Kathwana Water Project	Conventional treatment plant of capacity 3000m ³ per day, Double grade 9 house, backwash system, Chlorination house, 500No. Consumer meters	9550	44,888,393	90%	40,392,068	100%	Construction in progress.		
9	Tharaka Nithi	Kibunga Kakimiki Water Project	25.5Km pipeline of assorted sizes and fittings, 3No. Standard In situ water kiosks, 500No. Consumer meters	24481	35,671,393	98%	17,881,073	56%	Construction complete. Testing of the system has been hindered by unregulated connections and destruction of intake pipes.		
10	Migori	Nyasare Water Project	Protection of 3No. Springs, Pipeline extension (2km), Billing software and consumer water meters.	9160	8,121,980	100%	7,421,980.	100%	Construction complete. The project is in DLP started after joint inspection was done and snag agreed to be remedied during the period	22.05.2020/22.11.2020	101 new connections, hence 505 consumers
11	Migori	Nyaduong C.Water Project	Protection of 3 springs, Construction of a sump, 3.6km of pipeline, Electricity connection, Construction of 100 m ³ water storage tank	3250	8,510,250	18%	0	0	Construction in progress		
12	Migori	Nyoproson y Water Project	Borehole equipping (Installation of solar panels, pump) 4.7km of pipeline to Olasti tank	2000	8,516,855	6%	0	0	Construction in progress		
13	Kwale	Mairiboni Mungano Water Project	Construction of back wash system Procurement of consumer water meters	1985	1,560,000	53%	855,000.00	55%	Construction in progress. The contractor has so far supplied the materials and consumer water meters.		
14	Nandi	Kimatkei Kipkol water project.	Rehabilitation of the intake Construction of 5km of gravity main pipeline Construction of 5km of distribution network	5585	18,500,000	47%	6,251,000.	50%	Construction in progress		3 new connections. Hence 15 additional people
15	Narok	Pinyiny Water Project	Installation of submersible pump, tanks, pipeline, water kiosks and consumer water meters.	6,500	50,776,420	0%	-	0%	To be considered in FY2020/2021		

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks	DLP start date / end date	Additional/new population reached
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs)	%			
Sanitation projects											
15	Lankipia	Bungoma Primary	8 door pour flush toilets, bio septic tank, Hygiene promotion and IEC Materials on handwashing	225	1,387,623	100%	1,387,623.3	100%	Completed.	31.01.2020/ 30.04.2020	200
16	Kwale	Shimoni Primary school I	6 door pour flush sanitation block for Shimoni primary school with Urinal, Hygiene promotion and sensitization	150	1,500,000	100%	900,000.00	60%	Completed		180
17	Tharaka Nithi	Kamwene (Nkururu primary)	2No 2door VIPs Hygiene Promotion	100	710,000	100%	703,190.00	100%	Completed		100
18	Narok	Kilgortis PSF	Construction of 1No PSF at the Bus park/ stage Hygiene Promotion and supervision	800	5,040,000	100%	2,850,000.0	57%	Construction complete. Project on DLP	30/04/2020 30/07/2020	
19	Narok	Olopikidon g'oe Primary school	2No. 4door VIPs; latrines, one with Urinal Hygiene promotion and sensitization	220	1,470,000	75%	800,000.00	54%	Construction in progress		
20	Narok	Mosiro Boarding Primary school	2No. 4door VIPs; latrines, one with urinal Hygiene promotion and sensitization	220	1,470,000	65%	800,000.00	54%	Construction in progress		
21	Kwale	Egu Primary School Sanitation	2No. 4door VIPs; latrines, one with Urinal Hygiene promotion and sensitization	220	1,491,000	20%	818,000.00	55%	Construction in progress		
22	Kwale	Madibwani Primary School sanitation	1No. 4door VIPs; latrines for girls 1No. 3door VIPs; latrines, with Urinal Hygiene promotion and sensitization	190	1,281,000	30%	698,000.00	54%	Construction in progress		
23	Tharaka Nithi	Kathwana secondary school	8 door pour flush toilets with urinal, Septic tank of 40m ³ - cost to include sewer connections from the two blocks to the septic tank, Hygiene promotion and IEC Materials on handwashing	220	3,119,366	0%	-	0%	Procurement process completed and contract signed. Construction to begin by 30 th may, 2020		
24	Kwale	Magombani Primary School	3 door with urinal, 6 door without urinal	240	910,000	0%	-	0%	The project was re-tendered and construction to commence in July, 2020		
25	Kwale	Mgandini Primary School	22 door VIP with urinal for boys, 26 door VIP without urinal for girls, accompanying measures and administration cost.	1310	6,555,000	10%	3,648,350.0	56%	Construction in progress after lifting of cessation of movement.		
26	Kwale	Shimba Hills secondary	6 door VIP for girls, accompanying measures and administration cost	150	845,000	85%	447,650.00	53%	Construction in progress		
27	Kwale	Shimoni primary II	6 door with Urinal for boys - Pour flush and accompanying measures	150	1,380,000	90%	770,000.00	56%	Construction in progress		

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020		Remarks	DLP start date / end date	Additional/new population reached
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs)			
28	Nandi	Kapsato Primary	3 door VIP for girls, accompanying measures and administration cost	90	490,000	12%	370,410.00	76%	Construction in progress	
29	Nandi	Kibugat Primary	6 door VIP for girls, accompanying measures and administration cost	150	896,467	78%	476,986.00	53%	Construction in progress	
30	Nandi	ACK Bishop Muge Primary, Kiming'oror primary	18 VIP door (10 door for girls and 8 door with unrial for boys), accompanying measures, administration cost, 2 door VIP for girls, accompanying measures, admiration cost	540	3,203,000	45%	1,588,350.00	50%	Construction in progress	
31	Nandi	Lelmokwo primary, Itigo primary, Chieptant primary	5 door VIP (2 doors for with unrial boys and 3 doors for girls), accompanying measures, administration cost. 3 door VIP for girls, accompanying measures and administration cost 2 door VIP for girls, accompanying measures and admin cost.	250	1,418,466	74%	1,418,466.00	100%	Construction in progress	
32	Nandi	Kobujoi Resto Primary	5 door VIP (2 doors for with unrial boys and 3 door for girls), accompanying measures, administration cost. 14 door VIP (8 door for girls, and 6 door for boys), accompanying measures and admin cost.	530	2,770,000	60%	1,539,900.00	56%	Construction in progress	
33	Tharaka Nithi	Nkundi Primary	2 door VIP with unrial for boys and 3door VIP for girls, accompanying measures and admin cost	135	689,879	95%	375,231.00	54%	Construction in progress	
34	Migori	Onyalo primary, Ranganya and Warisia primary schools.	17door VIP at Onyalo pry sch., 7door VIP at Ranganya pry sch, 6door VIP at Warisia pry sch and accompanying measures	785	4,055,000	12%	2,260,350.00	56%	Construction in progress	
35	Tharaka Nithi	Chogoria PSF	Construction of 1No PSF at the Pole Pole bus stage, Hygiene Promotion and supervision	1000	5,000,000	5%	-	0%	Construction in progress	
WRUA Projects										
36	Migori	Tebesi Gwitonyi	Awareness creation on waste disposal Replacement of eucalyptus with indigenous trees - 10,000 seedlings, transportation and planting. Restoration of wetlands - inventory, protection and rehabilitation, Construction of soil conservation structures (gabions & diversion ditches), Train WRUA members on soil & water conservation techniques (survey, demonstration on terracing, cut-off drains & grass strips) for 5 days, Pollution survey, 2No. Capacity building meetings for WRUA members on preparation for implementation of EDGP, WRUA exchange visit, Administrative cost		4,130,522	76%	4,130,522.5	100%	Complete	
37	Narok	Enosagami	SCMP Review, Catchment protection Riparian land protection, Rain water harvesting tanks - 10No, 10m3 tanks and gutters, Administration and Branding		4,992,620	87%	4,992,620.00	100%	Complete	

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020		Remarks	DLP start date / end date	Additional/ new population reached
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs) %			
38	Narok	Naroosura	Construction of Communal Water Points Construction of Cattle Troughs, Tree Nursery Establishment, Protection of Catchment areas, Riparian Marking and pegging, Establishment of Apiary (Beehives), Branding, Addd 2.5% administrative costs		8,296,264	81%	8,296,264	100%	Complete	
39	Nandi	Kundos WRUA	10 No. Installation of Roof Water Harvesting Tanks, Bee keeping - 30No. bee hives, 8No.Spring Protection, Tree Nursery establishment -100,000 Seedlings, Terraces 2kms		6,046,820	48%	6,046,820.	100%	Implementation in progress	
40	Nandi	Mbogo Valley WRUA	10No. Spring protection, 10No. Roof Rain Water Harvesting, WRUA Exchange visit to WRUAs in Bomet, Bee Keeping 20No. langstroth hives, Riparian protection 30,000 seedlings for 50km, Terraces 2Km along the road		7,655,550	50%	3,489,550.0	46%	Implementation in progress	
41	Tharaka Nithi	Kibunga Kakimiki Catchment project	Construction of 2 No. check dams, Rehabilitation of Kibunga Intake, Construction of 360m long Gabions, Planting of 20,000 Bamboo tree seedlings, Sensitization Meetings		19,481,420	5%	11,052,840	57%	Implementation in progress	
42	Laikipia	Sirron WRUA	Purchase and installation of bulk meters Construction of common Water Intake, Environmental Impact Assessment Abstraction and Pollution Survey, Installation of 12 No. RWHT tanks of capacity 10m3 in institutions, Installation of 12 No. RWHT tanks of capacity 3m3 for the vulnerable in the society, Establishment of 1 No. tree nursery Establishment of an apiary (40 No. bee hives) Constitution and bylaws review		7,747,330	21%	4,723,470.0	61%	Implementation in progress	
43	Laikipia	Loskut WRUA	Water resources assessment, Institutional development - Training on WRUA advocacy and fund raising and review of WRUA constitution and Bylaws, Catchment and riparian land conservation: Planting of non-fodder and drought resistant indigenous trees, Training and construction of 6 sub-surface/sand dams, Installation of 15 No. Rain water harvesting tanks - 10m3, Installation of 20 RWHT for the vulnerable - 3m3, Bee keeping, Bead making, Sensitization and mapping on proper rangeland management and its importance in WRM Installation of energy saving Jikos at 2 institutions, Develop a flood management plan		19,195,083	18%	12,093,673.	63%	Implementation in progress	

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			DLP start date / end date	Remarks	Additional/new population reached
						Technical Progress (%)	Disbursed Funds (Kshs)	%			
44	Kwale	Mbuguni	7 No. 10m ³ RW/H tanks in public institutions and 5 No. 3m ³ RW/H tanks for the vulnerable group, Protection of 2 No. springs. Cost to include fencing, spring box, collection chamber and communal water point, Fencing of Mbuguni dam, Mwachipanga djabia, Construction of cattle trough, and sanitation facilities within the two sites, Establishment of 2 No. tree nurseries at the Djabia and dam, Establishment of 20 No. box beehives		5,110,000	15%	2,290,000.0	45%		Implementation in progress	
45	Kwale	Mwachiga WRUA	Construction of gabions, Construction of Mwachiga water pan (10,800m ³) cost to include for fencing, offtake and 1 No. animal trough, Establishment of 1 No. tree nursery		4,980,000	10%	600,000.00	12%		Implementation in progress	
COVID 19 RESPONSE PROJECTS											
46	Laikipia	Narivuki COVID- 19 Mitigation Project	Replacement of 4.8km assorted pipeline sizes and extension of 1km 90mm pipeline in Ichuga area. Installation of 15no. Hand washing facilities with 1500 litres storage capacity, Procurement of 300 litres of disinfectant for fumigation, 16No reusable PPEs, 5 smoke sprayer/ Universal Sterilizer back pack, Support communication and reporting for the surveillance team, Provision for water trucking in hot spot areas as need arises.	3,200	4,137,100		2,482,250.45			Procurement process in progress	
47	Laikipia	Sipili COVID- 19 Mitigation Project	Replacement of 2km and extension of 1km pipeline of 25mm diameter in Sipili centre, Kamumbit, Irishya, Ndaragwiti and Kiharati areas. Roofing and repair of 3 existing storage tanks of 25m ³ each, Procurement and installation of 5no. Hand washing facilities of 1000 litres storage capacity, storage tank of 10,000 litres for Sipili health centre and 3no tanks of 5,000litres of identified centres, 300 litres of sodium chlorite disinfectant for fumigation in identified hot spot areas within Sipili centre, 3 portable hands sprayer and 3no. reusable PPE's, JEC materials for hygiene information and sensitisation on all installed structures	3,900	1,750,930		1,050,558.00			Procurement process in progress	
48	Tharaka Nithi	Tharaka Nithi County COVID 19 Project	Constructions of public hand washing facilities complete with storage tanks and guttering (5,000L or 10,000L) and drainage. Pipeline extension to the facilities where there is piped water. Water trucking to the hand washing facilities once a week or once in fortnight where sufficient storage has been provided. Provision of soap at the hand washing facilities. Disinfection of public facilities like markets and bus parks at intervals guided by the public health officers. Provision of 2500 litres of chlorine for disinfection booth at the county entry point of Kiacha. Provision of face masks to the needy community members.	17,400	4,077,900		2,446,740.00			Procurement process in progress	

No	County	Project Name	Project Scope	Target Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks	DLP start date / end date	Additional/new population reached
						Technical Progress (%)	Disbursed Funds (Kshs)	%			
49	Narok	Narok County COVID 19 Emergency Response Project	Rehabilitation of Majengo pipe bridge footing 300m long, Extension of distribution network 300m, Procurement of 5,000 litres plastic tanks, 20 No. in identified public institutions, Construction of hand washing points 18 No. including pipeline connections to selected centres, supply of hand washing liquid soap, Procurement and distribution of sanitizers, Water trucking by boozers, Sensitization on COVID-19 through 200 No. posters & local FM radio stations, 64 sessions	120,000	4,046,530				Procurement process in progress		
50	Kwale	Kwale County COVID 19 Project	Procurement and installation of plastic hand washing tanks of capacity 3200 litres, Procurement of hand washing detergents, Water trucking, Hygiene promotion and sensitization to the communities on COVID-19.	345,600	3,984,000				Procurement process in progress		
51	Nandi	Nandi County COVID 19 Project	Procurement of 5000liters tanks for handwashing (Kibugat -3, Mbogo valley – 2, Kipsirwo dispensary- 1, Water utility office); Construction of hand washing facilities including connections to tanks at shopping centres, supply of hand washing liquid soap, supply of 10,000 litres plastic tanks, Procurement and distribution of sanitizers, Procurement and distribution of face masks, Water trucking, Training of PHOs, untrained 50 nurses on COVID 19 pandemic.	19,500	3,864,700				Procurement process in progress		
52	Nandi	Kobujoi Water Project COVID-19 Response	Purchase and install a pump at Kobujoi water project. This is to enable the utility continue supplying water to the residents of Kobujoi.	4,000	1,350,000			810,000.00	Procurement process in progress		
53	Migori	Nyasare COVID-19 Emergency Response	Train 6 CHVs self-help groups, Procure and install 50 hand washing stations, Procure and install 20 automated handwashing stations, Purchase and distribute hand sanitizers, Purchase 2 fumigation machines, Conduct 87 targeted fumigation services, purchase and install 5Branded 4000 litres, Conduct 30 sensitization campaigns using public address system, Purchase 50pcs branded IEC materials, Conduct 20 radio talk shows using local radio stations, Revive the 12No. communal drawing points, Purchase 20 units of PPEs	173,000	3,466,000			2,079,600.	Procurement process in progress		

J6P CLTS PROJECTS

No.	County	Water utility	CLTS project	Project Cost in Ksh	No. of proposed villages	No. of certified villages	Population reached
1	Migori	Nyaduog C.WU	Nyaduog CLTS Project	429,250	7	7	1,449
2	Migori	Nyoprosoy WU	Nyoprosoy CLTS Project	425,250	7	7	7,690
3	Migori	Migori County Water & Sanitation Co. Ltd	Uriri CLTS Project	519,500	7	12	2,062
4	Migori	Migori County Water & Sanitation Co. Ltd	Rongo CLTS Project	425,250	7	7	5,100
5	Migori	Nyasare Water and Sanitation Company	Nyasare CLTS Project	425,250	8	8	1,000
6	Migori	Nyasare Water and Sanitation Company	Uriri Batch II CLTS Project	925,600	20	35	8,380
7	Migori	Nyasare Water and Sanitation Company	Rongo Batch II CLTS Project	1,098,700	31	32	14,895
			Suna East CLTS Project		20	20	5,874
8	Migori	Nyasare Water and Sanitation Company	Enhance school wash activities to sustain CLTS and personal hygiene among school children- 5 Schools	1,985,100			4,191
9	Migori	Nyasare Water and Sanitation Company	Kuria East CLTS Project	1,985,100	14	14	5,874
			Enhance school wash activities to sustain CLTS and personal hygiene among school children- 5 Schools				5,112
		Sub Total		8,219,000	121	142	61,627
10	Kwale	Majimboni Muungano Water Self Help Group	Majimboni CLTS Project	472,000	6	6	6,500
11	Kwale	Mwangani Community Water Users Association	Mwangani CLTS Project	472,000	6	0	8,576
12	Kwale	Mrima Borehole & Pipe Extension Project	Mrima CLTS Project	472,000	6	0	4,500
13	Kwale	Panama Shimoni Water Project	Panama Shimoni CLTS Project	472,000	6	0	
14	Kwale	Kwale Water & Sewerage Company Limited	KWALE CLTS Project	472,000	6	0	3,510
	Total			2,360,000	30	6	23,086

No.	County	Water utility	CLTS project	Project Cost in Ksh	No. of proposed villages	No. of certified villages	Population reached
15	Laikipia	Sipili Boreholes Water Users Association	Sipili CLTS project	579,250	7	-	4,625
16	Laikipia	Nyahururu Water and Sanitation Company	Limungu CLTS project	579,250	7	-	4,386
17	Laikipia	Sirimon Self Help Water Project	Sirimon CLTS project	523,000	7	-	5,505
18	Laikipia	Doldol Water and Sanitation Association	Doldol CLTS project	697,750	7	-	4,810
		Sub Total		2,379,250	28	-	19,326
19	Nandi	Lelmokwo Water Users Association	Lelmokwo CLTS project	534,000	4	4	1,025
20	Nandi	Kobujoi community Water Users Association	Kobujoi CLTS Project	534,000	4	5	1,600
21	Nandi	Cheptil dam Water Users association	Cheptil CLTS Project	534,000	4	5	2,295
22	Nandi	Kimatkei/Kipkoil Water Users Association	Kimatkei/Kipkoil CLTS Project	534,000	4	4	1,755
23	Nandi	Kimng'oror Water Users association	Kimng'oror CLTS Project	534,000	4	4	1,330
		Sub Total		2,670,000	20	22	8,005
24	Tharaka Nithi	Kamwene Water Project	Kamwene CLTS	757,250	10	10	2,790
25	Tharaka Nithi	Nithi Water & Sanitation Project	Kathwana CLTS Project	757,250	10	10	2,275
26	Tharaka Nithi	Muruigi Mugumango Water Society	Muruigi Mugumango CLTS Project	757,250	10	10	3,420
27	Tharaka Nithi	Nithi Water & Sanitation Project	Kathwana CLTS Batch 2 Project	1,312,400	28	30	8,400
28	Tharaka Nithi	Nithi Water & Sanitation Project	Kajuki CLTS Batch 2 Project	2,603,090	38		9,640
	Total			6,187,240	96	60	26,525
	Grand Total			21,815,490	295	230	138,569

WORKPLAN IMPLEMENTATION STATUS – GGEP PROGRAMME

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Quarterly Targets						Annual Achievement		
						Q1 Target	Q1 Achieved	Q2 Target	Q2 Achieved	Q3 Target	Q3 Achieved		Q4 Target	Q4 Achieved
GGEP Output 1: ASAL Counties capacity and engagement in Water related planning Improved	Counties effectively using water and sanitation data for planning in CIDPs and perform their regulatory functions	County capacity enhancement												
		Support to county Legislation	No. of meetings held	Meeting report & County legislation in place	3								0	
		Bench marking visit to performing WSPs	No. of bench marking visits	Bench marking reports		4	0	4	0					0
		County Stakeholder Workshops	No. of workshops held	Workshop reports	8	4	0	4	0					0
		Publish & printing information packages on GGEP	No. of information packages developed	Green Growth	1,000		500	500	1,000					1,000
		County Operations and Maintenance training	No. of trainings conducted	Training report	8								8	0
		County Financing Criteria	No. of meetings/workshops held	Financing criteria developed	2									0
GGEP Output 2: Water and Sanitation Access and Deficit in ASALs Addressed	Number of new services from WSTF in this engagement & Number of people receiving an improved and sustained service from WSTF in this engagement as a % of new people reached	Water & Sanitation access												
		Appraisal of proposed water and sanitation projects	No of appraised projects	Appraisal reports	6	6	14	6	10					24
		Finance Rural Water Projects	No. of Projects funded	Contracts signed, disbursement memos	21	6	6	10	7	5	2			15
		Finance Rural Sanitation Projects	No. of Projects funded	Contracts signed, disbursement memos	21	6	6	10	7	5	2			15
		Monitor implementation of Rural projects	No. of projects monitored	Monitoring reports	30	8	8	7	7	8	12	7	4	31
GGEP Output 3: Sustainable		Water Resource Management												
	Number of WRUAs graduating to	Appraisal of proposed water resource projects	No of appraised projects	Appraisal reports	5	3	8	2	2					10

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Works)	Annual Targets	Quarterly Targets							Annual Achievement
						Q1 Target	Q1 Achieved	Q2 Target	Q2 Achieved	Q3 Target	Q3 Achieved	Q4 Target	
<i>based management of water resources improved through support to WRUAs</i>	for water resource management	Finance Level III WRUA Projects	No. of Projects funded	Contracts signed, disbursement memos	6	2	4	1	2	3	3	3	
		Finance Conservancy Projects	No. of Projects funded	Contracts signed, disbursement memos	1	1	1		4	4		5	
		Monitor implementation of WRUA projects	No. of projects monitored	Project Monitoring reports	11	3	4	5	4	4	4	14	
		Technical support to WRUAs by WRMA	No. of Project monitoring reports	Project Monitoring Reports	11	3	2	4	5	4	4	17	
		<i>Implementing partners capacity development</i>											
GGEP Output 4: <i>Capacity building of implementing Agents (WRUAs, CBOs, WTLs) Improved</i>	Number of successfully implemented projects by WRUAs and Was & Revenues collected as a percentage of operating cost by Was	Capacity building workshops for WULs and WRUAs	No. of workshops held per county	Workshop reports	8	5	4	5	4	2		12	
		County review meetings on implementation	No. of review meeting	Review meeting report	2	5	1	1	0			5	
		In-service training & orientation of CRM & Resident Engineers	No. of trainings conducted	Training report	1		1	0				0	
		Remuneration & support to Resident Engineers for implementation	No. of Resident Engineers engaged	Resident Engineer Contract, implementation reports	8	8	4	8	4	8	4	4	
		Purchase of RE laptops &	No. of RE with new laptops	Laptops/tablets purchased	7	7	1			6		7	
Output 5: <i>Experience Generated from PPP in Water Provision in AYALs</i>	Number of innovative funding and management approaches reflected in WSTF programme & Difference in amount of revenue collected in comparison to that of	Development of a PPPs Framework.											
		Consultancy services to develop the PPCPs operating framework	No. of consultants engaged	Consultancy reports	1		1	0				0	
		Rollout of the PPPs Framework											
	Staff training and capacity development on PPCP	No. of staff trainings conducted in the PPCP framework		Training report	1		1	0	1	0		0	
	County Training on PPCP Models	No. of PPP trainings conducted		Training report	1				1	0		0	

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Quarterly Targets						Annual Achievement		
						Q1 Target	Q1 Achieved	Q2 Target	Q2 Achieved	Q3 Target	Q3 Achieved		Q4 Target	Q4 Achieved
projects with normal approaches		County support on PP/CP	No. of projects supported	Contracts signed	4		2				0	0		
		WSTF Institutional development												
		Project monitoring /field monitoring activities												
		Periodic project monitoring	No. of projects visited	Project monitoring reports	8		8			4	6		2	16
		Annual Operations Monitoring	Proportion of funded projects monitored	Annual Operations monitoring report	100					100	296			296
		Annual Operations Monitoring cloud storage	No. of contract signed	Cloud storage in use	1					1	1			1
		Satellite phones	No. of satellite phones	Satellite phones in use	4			4	0		4			4
		System Integration Project	No. of system integration project	operational system integration project	1					1	0			0
		SAP Annual license	No. of SAP license	SAP in use	3			3	0		3			3
		WSTF Staff Capacity Development Programme												
Purchase of CRM laptops & tablets	No. of CRM with new laptops/tablets	Laptops/tablets purchased	7			7	0		7			7		
Training in Project Management, Monitoring and Evaluation, IWRM, Gender, Green Growth	No. of staff trained	Staff training reports	7			1	1	3		2		1	4	
Information dissemination & publicity	No. of GGEP strategy, No. of WSTF visual publications	Audio visual and publications	300			50	0	150	0				700	
County Resident Monitors														
Remuneration	No. of CRMs engaged	Payment records	8		8	8	8	8	8	6	8	6	6	
Transport, logistics and stationery		Finance Reports	8		8	8	8	8	8	6	8	6	6	
Internal and external auditing														
Harmonized Audit Costs	No. of audits conducted	Audit reports	1				1	1					1	

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Quarterly Targets							Annual Achievement
						Q1 Target	Q1 Achieved	Q2 Target	Q2 Achieved	Q3 Target	Q3 Achieved	Q4 Target	
		Follow up and reporting on audit question costs outstanding on a Monthly basis	No. of follow-ups visits conducted	Audit follow-ups reports	8		4		2	4	6	2	14
		WASH Forums e.g. ASAL & Sanitation Conference	No. of conference held	Conference Reports			1	2	1				2
		Technical Assistance											
		Financial Advisor Remuneration		FA Reports	13	3	3	4	4	3	3	3	13
		FA out of office expenses			4	1	2	1	3	1	1	0	6
		FA other overheads			2		0	1	1	1	1		2
		Project implementation Unit Remuneration	No. of staff engaged	Payment records	4	4	4	4	4				4
		PIU Medical costs			4	4	4						4
		PIU Airtime			8	4	4	4	4				8

PROJECTS IMPLEMENTATION STATUS - GGEP WATER AND SANITATION PROJECTS

No	Projects name	County	Proposed Activities	Target population	Waterfund committed amount - Ksh	Disbursed amount - Ksh	Funds balances	Project status as at 30.06.2020
1	Harajab Water and Sanitation Project	Garissa	ESIA, design, borehole development, 50m ³ elevated steel tank, 150m rising main, 300m distribution line, 2No. Water kiosks, 3No. Livestock troughs, 1No. VIP latrine, fencing of site, capacity building & accompanying measures	2,000	19,258,439	4,557,245	14,701,194	Drilling & development of 218m borehole with yield of 9m ³ is complete. Water fit for consumption after analysis. Pipeline survey and survey raw data has been completed. Insecurity has halted activities at site currently.
2	Libahlow Water and Sanitation Project	Garissa	ESIA, design, borehole development, 50m ³ elevated steel tank, 3.8Km rising main, 1.3Km distribution line, 3 No. Water kiosks, 3No. Livestock troughs, 4No. VIP latrine, fencing of borehole site, capacity building & accompanying measures	2,250	23,036,034	4,560,322	18,475,712	Drilling & development of depth of 39m borehole with a yield of 8m ³ . Flood proofing has also been done by building gabions around the borehole. Pump house & 4No 4door construction of VIP latrines completed. Pipeline survey and design completed.
3	Shebia-aad Water and Sanitation Project	Garissa	ESIA, design, borehole development, 50m ³ elevated steel tank, 550m rising main, 300m distribution line, 2No. Water kiosks, 3No. Livestock troughs, 1No. VIP latrine, fencing of site, capacity building & accompanying measures	420	19,115,464	4,153,192	14,962,272	Drilling & development of depth of 300m borehole with yield of 6m ³ . Construction of 3No 2 door VIP latrines have been completed. Pipeline survey and design completed.
4	Nanighi Water and Sanitation Project	Tana river	Hydrogeological survey, design, EIA, borehole development, solar pumping system, 50m ³ steel tank on a tower, 3No. Water kiosks, 5km pipeline, VIP latrines, capacity building & accompanying measures	8,000	18,205,200	3,629,542	14,575,658	Borehole drilled with a yield of 10m ³ /hr. survey and design complete. Tender documents review for the infrastructural development ongoing. Construction of VIP Latrine completed
5	Kipao Water and Sanitation Project	Tana river	Hydrogeological survey, EIA, design, 30M large diameter well, submersible pump & solar system, fencing around solar site, 48m ³ steel tank on a tower, 6No. Water kiosks, 4km pipeline, 2 No. VIP latrines & accompanying measures	8,161	18,041,400	3,761,910	14,279,490	Borehole drilled with a yield of 6.9m ³ /hr. Review of tender documents infrastructural works ongoing. Construction of 2No.VIP latrines completed.
6	Namoru Akwan Lokorkor Water Project	Turkana	Solar pumping system, 50m ³ elevated tank, 5 No. stand pipes, 5 No. livestock trough, 10rising main, 10km distribution line, 2No. 4 door VIP latrines & accompanying measures	2,400	29,679,975	16,279,546	13,399,788	All the contracted works completed. The project following up on utilization of the project savings to implement other activities including VIP latrine, office equipping, pipeline extension, branding, fencing and Menstrual hygiene management. These are at procurement stage
7	Kangirisae Water & Sanitation Extension Project	Turkana	Design, survey, solar equipping, 50m ³ elevated steel tank on a 10m tower, 2No. Insitu open kiosks, 4.6km pipeline, 1No. 10m ³ plastic tank, 2no. Supply & installation of 3x3m sq. base tanks stand - 2m, 2No. Standard cattle troughs, borehole compound fencing, 2No. Four door VIP latrines, 2No. hand washing stands, hygiene promotion & IEC material & accompanying measures.	3,643	15,319,500	1,675,000	13,644,500	Survey and design report completed. Review of tender documents awaiting BOQ approval for water infrastructure in order to proceed to advertise. Tender evaluation for sanitation component completed and contract awarded.

No	Projects name	County	Proposed Activities	Target population	WaterFund committed amount - Ksh	Disbursed amount - Ksh	Funds balances	Project status as at 30.06.2020
8	Lokichar Water & Sanitation Extension Project	Turkana	Project survey & design, SP5A pumping unit, 2kw motor, solar & support structure, 100m ³ elevated steel tank on a 10m tower, 1No. Insitu water kiosk, 4No. Stand pipes secured on concrete platforms, 7.6km pipeline - 63mm & 50mm HDPE pipes, 4No. 10m ³ plastic tanks, Fabricate elevated steel towers 6m high to install 2 plastic tanks, 2No. Water troughs, Borehole compound fencing 30sq with concrete poles, 2No. 4Door VIP Latrines, Hand washing 2No. Stands, Hygiene promotion & IEC material & accompanying measures.	10,700	15,918,000	2,600,000	13,318,000	Survey and design review ongoing, absence of Kapese borehole data holding final design. Awaiting confirmation from Turkana County on ownership of the Kapese borehole by end of the month. Tendering for sanitation works ongoing.
9	Lanqura Community Water Supply Project	Mandera	EIA, 50,000m ³ Earth Pan construction, solar powered pumping system, water storage tank, 1No. Water kiosk, 3No. Latrines, 5km long rising mains, 1Km Distribution pipeline.	3,000	34,538,400	32,893,715	1,644,685	All project works complete at 100% completion.
10	Sake Community Rural Water Supply Project	Mandera	EIA, 50,000m ³ Earth Pan construction, pumping system, water kiosk, VIP Latrines, pipeline	4,000	34,538,400	32,893,715	1,644,685	All project works complete at 100% completion.
11	Kiunga Water and Sanitation Project	Lamu	15.09km pipeline Upvc DN 80mm, 50mm, 25mm and 20mm dia., 1No. 15m high, 50m ³ elevated steel tank, 1No. 50m ³ masonry sump tank, supply & installation of solar pumping set, supply & install solar generator for existing R.O. plant, 6No. 4 door VIPs, hand washing, perimeter fence	4,584	34,403,866	32,627,285	1,776,851	6No. 4door VIP latrine including hand washing facility at Kiunga primary school complete with branding. Solar pumping system installation ongoing at 99% completion including fencing. The plant is operational and the community members are using the water. Ongoing works at 35% progress include; construction of 15.093Km pipeline; fabrication and installation of 15m High 50m ³ steel elevated tank and Construction of 50m ³ sump tank
12	Kizingitini Water and Sanitation Project	Lamu	21.21 km Upvc pipeline of assorted sizes, 1No. 15m high, 50m ³ elevated steel tank, rehabilitation of 30m ³ sump tank, procure & install solar pumping set, 1No. 4 door VIP latrines, 3No. 4door VIP latrine, 1No. 2 doors, 109m perimeter fence, supply & install solar generator for R.O. plant, accompanying measures	4,657	40,557,443	38,660,898	1,896,545	1 no 2 door VIP latrine at Kizingitini dispensary and 4No. 4door VIP latrine including hand washing facility at Kizingitini primary school 100% complete. Installation of the solar panels completed with the pending works being installation of the pumping set awaiting the completion of the sump tank construction. Contractor mobilization to site ongoing for construction of elevated tank, rehabilitation of sump tank and construction of pipeline.
13	Poromoko-Widho Water and Sanitation Project	Lamu	Community mobilisation barazas, 14.026km pipeline Upvc DN 80mm, 50mm & 25mm dia, 1.No.50m ³ sump tank, Supply & install solar pumping system, 3No. 4door VIP latrine, purchase of project motor bike	3,500	15,472,899.00	7,691,504.00	7,781,395.00	Procurement complete and granted no objection to contract. Awaiting award to contractor.

No	Projects name	County	Proposed Activities	Target population	WaterFund committed amount - Ksh	Disbursed amount - Ksh	Funds balances	Project status as at 30.06.2020
14	Grodoma Water & Sanitation Project	Marsabit	EIA, design, reverse osmosis & ultra-filtration plant, solar equipping, 50m ³ elevated steel tank on 10m tower, rising main & distribution line - 5.7km, pump house, 2N. Insitu water kiosks, 2No. pre-paid metering systems, borehole compound fencing, 2 Livestock troughs, 2 No. Two door VIP latrines, hygiene promotion & IEC materials & accompanying measures	6,327	23,908,397	13,515,000	10,393,397	Survey and design ongoing.
15	Lataka Community Water Supply Project	Marsabit	EIA, design, 50m ³ elevated steel tank on a 10m tower, 2km distribution line, 1No. Water kiosk, 1No. Pre-paid meter technology, 2No. 30m livestock troughs, 2No. Two door VIP latrines, Hand washing 1No. Stand, hygiene promotion & IEC material and accompanying measures	8,000	18,786,662	3,143,000	8,058,162	Survey and design ongoing
16	Dakhane Water and Sanitation project	Marsabit	3km pipeline extension from existing solarized borehole; Construction of 50m ³ masonry tank; Construction of 1 No. cattle trough; Construction of 3 No. yard taps; Construction of 2No. 2 door VIP latrines at ECD.	600	6,384,050	3,629,542	3,241,050	Survey and design ongoing
17	Godarupa Water & Sanitation Extension Project	Istolo	Design, survey, 50m ³ masonry storage tank, rising main & distribution pipeline - 3756m, 2No. Water kiosks, 1 pre-paid metering system, 2No. Two door VIP latrines, 1No. hand washing stands, hygiene promotion & IEC material & accompanying measures	3,500	6,013,491	3,607,000	2,406,491	Request for 2 nd disbursement Pipeline works 98% complete with 4 chambers. Sanitation 45% complete with excavation of pit Latrines. All materials delivered to site for construction of kiosk and sanitation. Supply of tanks done. Perimeter fence at 30%.
18	Mogore Water & Sanitation Extension Project	Istolo	Fabrication and supply of 1No. 50m ³ elevated steel tank on 12m tower, laying of 3,800m HDPE 90mm rising main, solar improvement, borehole fencing, casing, construction of elevated generator house, 3No. 2 door VIP latrines, hand washing facility, hygiene promotion & IEC materials.	2,100	13,054,745.00	6,421,550.00	6,633,195.00	Pipeline works at 40% completion. Elevated steel tank at 50% complete foundation and tower done. Materials for construction of sanitation facility delivered on site.
19	Awassitu Pipeline Extension Water Project	Istolo	Preliminary activities, 8km rising main, 50m ³ masonry tank, accompanying measures	2,300	10,000,000	5,387,770	4,612,230	Survey and design complete. Review of design by WaterFund staff
20	Adadi Jule Water and Sanitation Project	Wajir	Earth pan excavation 40,000m ³ , fencing & branding, installation of solar pumping system, installation of 1.4km pipeline extension, construction of 2No. 9m long cattle trough, 2No. 2 doors VIP latrines block, installation of 10,000 litres UPVC tank & construction of 3m elevated steel	5,000	21,317,846	11,191,970	10,125,876	Water pan excavations at 90% complete, construction of VIP latrine at pit excavations 50%, installation of gallery & well construction 80%, construction of 2 no. 9m long cattle trough 100%, installation of 10,000l UPVC tank and construction of 3m elevated stand platform 100%, installation of solar pumping

No	Projects name	County	Proposed Activities	Target population	WaterFund committed amount - Ksh	Disbursed amount - Ksh	Funds balances	Project status as at 30.06.2020
21	Konja Water and Sanitation Project	Wajir	Salarising of 1No borehole, 2No water kiosk, 1.5km pipeline, 1No 2door VIP, Repair of masonry tank, 50M3 elevated steel tank, 2No cattle trough, Repair of open kiosk stand pipe, Salarising of 1No borehole, 2No water kiosk, 1.5km pipeline, 1No 2door VIP, Repair of masonry tank, 50M3 elevated steel tank, 2No cattle trough, Repair of open kiosk stand pipe	4,500	12,460,515	6,756,395	5,704,120	Steel tank foundation completed however yet to mobilize the tank at 30%, Masonry tank rehabilitation at 100% completed, Water trough, water kiosks and 2 door VIP constructions at 100% complete. Pipeline installations at 90% complete.
22	Riba water supply	Wajir	Salarising of 2No borehole, Renovation of 50m3 elevated steel tank, Fencing 2No borehole, Rehabilitation of 1No 2door VIP, 4No water kiosk, 4km 2" piping (HDPE)&400m G.I pipe class B, 50M3 Elevated steel tank, 2No cattle trough, Rehabilitation of stand pipe connect to elevated tank, Salarising of 2No borehole, Renovation of 50m3 elevated steel tank, Fencing 2No borehole, Rehabilitation of 1No 2door VIP, 4No water kiosk, 4km 2" piping (HDPE)&400m G.I pipe class B, 50M3 Elevated steel tank, 2No cattle trough, Rehabilitation of stand pipe connect to elevated tank	5,000	20,680,648	10,974,005	9,706,643	Completed works include: 4.4 km pipeline and fitting works, construction of 3no. 2door VIP latrine and hand wash one at the school and two at b/h site, construction of water kiosk, fencing of 50mx50m on b/h i&2, construction of 3no. 9m long cattle troughs, rehabilitation of 1no. 9m long cattle trough, fabrication and installation of elevated steel tank ongoing (foundations completed) 40%
23	Sabuli Water and Sanitation Project	Wajir	Salarising 1No borehole(BH1), Piping 4km, Steel tank 15m, Fencing 50X50m, 3No water trough(300x3), Pump house repair, BH12, Repair of 2No trough, 1No 2Door VIP toilet Purchase and installation of 100 Smart meter + chambers, Repair of fencing, Salarising 1No borehole(BH1), Piping 4km, Steel tank 15m, Fencing 50X50m, 3No water trough(300x3), Pump house repair, BH12, Repair of 2No trough, 1No 2Door VIP toilet, Purchase and installation of 100 Smart meter + chambers, Repair of fencing	12,500	18,299,090	9,518,885	8,780,205	Completed works include: 4 km pipeline and fitting works; construction of 1No. 2door VIP latrine and hand wash one at the school, construction of water kiosk, fencing of 50mx50m on b/h i&2, construction of 3no. 9m long cattle troughs, rehabilitation of 1no. 9m long cattle trough, fabrication and installation of elevated steel tank ongoing (foundations completed) 30%

PROJECTS IMPLEMENTATION STATUS – GGEP WATER RESOURCES PROJECTS

No	WRUA Name	County	Level	Proposed activities	Contract Amount (Ksh)	Current status	Remarks
1	Bubisa	Marsabit	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,388,600.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
2	Shurr	Marsabit	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,410,900.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
3	Turbi	Marsabit	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,361,700.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
4	Wama	Marsabit	Level 3	Desilting of 40,000 m ³ Dambala Gombo water pan	9,404,000.00	<ul style="list-style-type: none"> Design and drawings for water pan done by County surveyor. 2nd tranche funds disbursed in June, 2020 39,000m³ of pan desilted 92% of the project is complete 	92% of project is complete
5	Alikune	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,223,600.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
6	Anaam	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,344,100.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
7	Gedlun	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,430,200.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
8	Khansahole	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,227,800.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
9	Lagha Madha Marothi	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,300,800.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed

No	WRUA Name	County	Level	Proposed activities	Contract Amount (Ksh)	Current status	Remarks
10	Lagha Togwene	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,301,500.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
11	Tawakal	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,310,400.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
12	Koite Korisa WRUA	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, Ratification	1,423,100.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
13	Sharaha	Garissa	Level 1	Planning meeting Capacity building meeting SCMP development workshop, Compilation, v. Ratification	1,416,500.00	<ul style="list-style-type: none"> The SCMP was developed, compiled and ratified. Review of SCMP by WRA was done and the revised copies were resubmitted to the Fund. 	Closed
14	Habarow	Garissa	Level 2	Construction of a 30x2.1 m wide sub surface sand dam at habarow lagha upstream Construction of a 30x2.1 m wide sub surface sand dam at habarow lagha middle part	3,638,840	<ul style="list-style-type: none"> A 25m long by 1m high (from apron) sub-surface dam was constructed. Part of tranche 2 funds had been disbursed for Environmental Impact Assessment (EIA) of the second sand dam to be constructed plus design as part of the preliminary activities. Proposed structure is a forced structure and proper design was not carried out. Based on the designed calculation, the yield of the dam doesn't meet the actual water demand and therefore the proposed investment is not worthy. 	GGEP team proposes to terminate project at current stage
15	Kasha	Garissa	Level 3	Planning and procurement of a consultant Engineering Survey, design and other legal requirement (EIA, Hydrological survey, soil test and pan Design Construction of the 30,000 m ³ Water Pan, offtake system and associated structures	9,825,000	<ul style="list-style-type: none"> Environmental Impact Assessment and design of the pan was done. 98% of the 30,000 m³ Water Pan, offtake system and associated structures was constructed 	Letter written to WRA to assist the WRUA complete the pending works.
16	Buriya	Wajir	Level 2	Construction of a 23m. Wide sand dam along Lagha Harr,	4,269,600.00	<ul style="list-style-type: none"> A 15m long by 1.5m high (from the apron) sub-surface dam was done along Mado Ade sandy lagga. Part of tranche 2 funds had been disbursed for Environmental Impact Assessment (EIA) of the sand 	Project was terminate in June, 2020.

No	WRUA Name	County	Level	Proposed activities	Contract Amount (Ksh)	Current status	Remarks
				Construction of a 23m. Wide sand dam along Lagha Bisig.		dam to be constructed plus design as part of the preliminary activities. <ul style="list-style-type: none"> The Designs have not been officially received at WSTP through WRA, neither have the funds for preliminary activities been accounted for. 	
17	Kipsing	Isiolo	Level 2	Construction of a 23m. Wide sand dam along Kipsing Lagha Construction of a 23m. Wide sand dam along Seik Lagha	4,271,600	<ul style="list-style-type: none"> Infrastructural works for tranche 1 and 2 activities are complete. 	WRA to assist WRUA with finalizing the completion report and issue a completion certificate
18	Kuro Bisan Owo	Isiolo	Level 2	Inventory survey of water resources in the sub catchment Construction of a 23m. Wide sand dam along kuro lagha and aleldide lagha Exchange visit	4,956,600	<ul style="list-style-type: none"> Infrastructural works for tranche 1 and 2 activities are complete. Exchange visit to Isiolo WRUA was done 	WRA to assist WRUA with finalizing the completion report and issue a completion certificate
19	Garfasa	Isiolo	Level 3	Engineering Survey, design and other legal requirement (EIA , Hydrological survey, soil test and pan Design Construction of the 20,000 m ³ Water Pan, offtake system and associated structures including fencing	9,990,500	<ul style="list-style-type: none"> Construction of the 20,000 m³Water Pan, offtake system and associated structures including fencing complete. 	Closed.
20	Lorugum	Turkana	Level 2	Construction of sand dam at Kaemomung lagga (45*0.6*2.7)m, Infiltration gallery, Well sump, Draw off works, Cattle Trough	4,974,800.00	<ul style="list-style-type: none"> Survey and design of sand dam complete. Preparation of tender documents on going. 	Implementation on going
21	Lower Tana Delta Conservancy	Tana River	Level 4	Launching of the project, Tree Nursery development, planting of 3 acre mangroves in Darga Gaige degraded area and training by KFS, Installation of 3 water tanks at Odole primary, Ozi primary school, Kibokoni primary, Development of management plan, Trainings of herders, conservancy board and local leaders Development of land use plans and resource mapping, Alternative clean energy (biogas/ energy saving jikos) Development of grazing by-laws/county grazing bills, Purchase and Transport 6,000 tree seedlings and plant seedlings trees along the riparian area/river bank for 7 days	9,566,840.00	<ul style="list-style-type: none"> Project launched and tree nursery set up complete. Planting Mangrove trees, and installing the water tanks. Management plans scheduled for next quarter with NRT technical support 	Implementation on going

No	WRUA Name	County	Level	Proposed activities	Contract Amount (Ksh)	Current status	Remarks
22	Mujtama	Mandera	Level 2	Rehabilitation of 30,000m ³ Earth pan 2No. standard animal troughs Soft components	11,228,553.00	<ul style="list-style-type: none"> Survey and design complete. Finalization of tender documents and advertisement complete 	Awaiting tender evaluation and award
23	Dahan	Mandera	Level 2	Construction of 2 No.100 M ² Berkad	5,158,524.00	<ul style="list-style-type: none"> Construction of 2No. 100m³ Berkads on going 	Implementation on going
24	Amu WRUA	Lamu	Level 3	Construction of 2 No. 100m ³ Djabias Planning, procurement and report writing	5,447,510.00	<ul style="list-style-type: none"> Construction of substructure and superstructure works for the 100m³ underground Djabia at Bright girls and Wiyoni secondary schools is complete. Preparation of completion report 	Implementation on going
25	Hanshak Nyongoro Conservancy	Lamu	Level 4	<p>Launching of the project.</p> <p>Purchase and Transport 6,000 tree seedlings and plant seedlings trees in collaboration with local schools (Didewaride primary, Chalaluma primary and Moa secondary school) with 2000 seedlings each.</p> <p>Training of herders on rangeland management and development of grazing by-laws,</p> <p>Construction of a 100m³ underground water tank(djabia)</p> <p>Fencing of djabia (20X 20) metres</p> <p>Clearance of malthenge and reeds</p> <p>Opening of 2 malkas</p> <p>Advertisement and Tendering</p>	6,507,900.00	<ul style="list-style-type: none"> The contractor completed the excavation works for the 100m³ underground tank at Didewaride primary school and completed the walling for the tank. The works are approximately 50% 	Implementation on going
26	Pate Marine Conservancy	Lamu	Level 4	<p>To increase rain water harvesting to promote accessible safe clean drinking water by the communities (Construction of 100m³ underground water tank (djabia) and Establishment & training of the village water committee on proper hygiene, conservation, governance & financial management).</p> <p>Training community beneficiaries on beekeeping, Procurement of 16 beehives & issuance to the beneficiaries,</p> <p>To develop Conservancy Development Management Plan (CDMP) that captures the holistic, long-term vision for community development & conservation success, and Advertisement/tendering</p>	6,503,200.00	<ul style="list-style-type: none"> Contractor completed the excavation works for the 100m³ underground tank at Shangarubu village, compacted selected hardcore material for the tank and placed blinding and was in the process of installing reinforcement bars. The works are approximately 45% complete. 	Implementation on going
27	Kiunga Conservancy	Lamu	Level 4	<p>To increase rain water harvesting to promote accessible safe clean drinking water by the communities in Kiwayu (Construction of 100m³ underground water tank (djabia) and Establishment & training of the village water committee on proper hygiene, conservation, governance & financial management)</p> <p>To strengthen capacity of local communities to protect & restore priority mangrove habitat (Mangrove restoration</p>	7,020,600.00	<ul style="list-style-type: none"> Contractor completed the excavation for the 100m³ underground tank at Kiwayu primary school and was in the process of placing blinding for the tank floor. The works are approximately 45% complete. 	Implementation on going

No	WRUA Name	County	Level	Proposed activities	Contract Amount (Ksh)	Current status	Remarks
				training, planting & establishment of mangrove tree nurseries holding at least 20,000 seedlings) ii. To build the capacity of the communities & link them to conservation based livelihoods (Training community beneficiaries on beekeeping, Procurement of 30 beehives & issuance to the beneficiaries) iv. To develop Conservancy Development Management Plan (CDMP) that captures the holistic, long-term vision for community development & conservation success v. Advertisement and tendering			
28	Kiganuni WRUA	Tana River	Level 2	Opening 3No. Malkas Establishment of tree nursery/Agroforestry Planting of 10,000 indigenous trees & Establishment of indigenous trees nursery at Lalatifu holding at least 40,000 seedlings ii. Procurement & installation of 4 water tanks in local schools (each tank with a capacity of 10,000 litres), Procurement & delivery of 200 pieces of energy saving jikos. Procurement & delivery of 80 beehives and training of beneficiaries, 2No. 8 door VIP latrines (1 with urinal for boys) at Mrazini Primary School (213 boys and 203 girls), Launch & handing over ceremony for all projects, and Advertisement and Tendering	2,457,750.00	<ul style="list-style-type: none"> Fencing and setting up of nursery complete Construction of store complete 	Implementation on going
29	Ndera Conservancy	Tana River	Level 4	Construction of 30,000m ³ Earth pan. Construction of 2No standard animal troughs with 100m HDPE 32mm pipe length. Construction of 10m dug well, chlorine dozer and hand pump. Desilting of 15,000m ³ Earth pan Construction of 2No standard animal troughs with 100m HDPE 32mm pipe length Rehabilitation of 10m dug well, chlorine dozer and hand pump	6,544,400.00	<ul style="list-style-type: none"> Conservancy undertook planting of 10,000 indigenous trees. Establishment of indigenous trees nursery at Lalatifu holding at least 40,000 seedlings was in progress. Procured & installed 4 water tanks in local schools (each tank with a capacity of 10,000 litres). Procurement & delivery of 200 pieces of energy saving jikos was also done 	Implementation on going
30	Madogo WRUA	Tana River	Level 4	Construction of 30,000m ³ Earth pan. Construction of 2No standard animal troughs with 100m HDPE 32mm pipe length. Construction of 10m dug well, chlorine dozer and hand pump.	10,974,553.18	<ul style="list-style-type: none"> WRUA proceeded with excavation of water pan without WRA technical support Works are at 50% 	Implementation on going and follow up with WRA to offer technical support and supervise.
31	Lagha Tula WRUA	Tana River	Level 2	Rehabilitation of 10m dug well, chlorine dozer and hand pump Project Tendering and EIA Community Sensitization Survey and Design 30,000m ³ Kangragac water pan Rehabilitation WRUA Project Coordination and Supervision	3,670,443.00	Change of scope letter forwarded to WRA. The WRUA proposes to change from an earth pan to berkerd due to time limitation constrains and the pan is still filled with water.	Follow up with WRA office to submit letter to Waterfund
32	Kochoodin WRUA	Turkana	Level 2	WRUA Project Coordination and Supervision	10,142,706.00	<ul style="list-style-type: none"> Survey and design of the pan was done and the design report complete. Review of tender documents on going. 	Implementation on going

WORKPLAN IMPLEMENTATION STATUS – WATER & LIVELIHOOD PROGRAMME

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Quarterly Targets						Remarks
						Q1 Target	Q1 Achieved	Q2 Target	Q2 Achieved	Q3 Target	Q3 Achieved	
WLP Output 1: ASAL Counties capacity and engagement in Water related planning. Improved	Counties effectively using water and sanitation data for planning in CHDPs and perform their regulatory functions	County capacity enhancement		Minutes, Workshop reports	4	2	2	2	2	2	2	6 meetings held (1 Programme review and 1 planning meeting, 3 county leadership meetings- for consultation, planning and feedback) as well as 1 Programme launch meeting More meetings than planned have been held based on need for continuous review and follow ups
		County Stakeholder Workshops - Programme launch & stakeholder sensitization	No. of meetings/workshops held									
WLP Output 2: Water and Sanitation Access and Deficit in ASALs Addressed	Number of people with new services from WSTF in this engagement & Number of people receiving an improved and sustained service from WSTF in this engagement as a % of new people reached	Appraisal of water and sanitation projects	No. of appraised projects	Appraisal reports	5	5	5	5	5	1	Undertaken in 5 projects wards to establish feasibility of projects proposed by implementing partners for funding 1 desk appraisal for supplementary funding was done for Kalobeyei host community and needs assessment conducted by world vision 1 st tranche of funds disbursed to 5 implementing partners. This was disbursed end of quarter 2 but reflected in Q3 1 st tranche of funds disbursed to 5 implementing partners. This was disbursed end of quarter 2 but reflected in Q3 All the 6 Programme areas were visited to establish progress and support the IPs Undertaken in 5 projects wards to establish feasibility of projects proposed by implementing partners for funding 1 st tranche of funds disbursed to 5 implementing partners being costs for survey and design of WRM activities and establishment of WRUAs for Tarach catchment. This was disbursed end of quarter 2 but reflected in Q3 1 project in Lopur. Monitored twice being construction of Trapezoidal bunds and woodlots establishment. Other projects have not started	
		Finance Rural Water Projects	No. of Projects funded	Contracts signed, disbursement memos	5	5	5	5	5			
		Finance Rural Sanitation Projects	No. of Projects funded	Contracts signed, disbursement memos	5	5	5	5	5			
		Monitor implementation of Rural projects	No. of projects monitored	Monitoring reports	7		4	3	6			
WLP Output 3: Sustainable and Community Based Management of Water Resources Improved	Number of WRUAs graduating to next level of performance for water resource management	Appraisal of water resource management projects	No. of appraised projects	Appraisal reports	5	5	5	5	5		Undertaken in 5 projects wards to establish feasibility of projects proposed by implementing partners for funding 1 st tranche of funds disbursed to 5 implementing partners being costs for survey and design of WRM activities and establishment of WRUAs for Tarach catchment. This was disbursed end of quarter 2 but reflected in Q3 1 project in Lopur. Monitored twice being construction of Trapezoidal bunds and woodlots establishment. Other projects have not started	
		Finance WRUA Projects - New	No. of Projects funded	Contracts signed, disbursement memos	5	5	3	2				
		Monitor implementation of WRUA projects	No. of projects monitored	Project Monitoring reports	4		2	1	2	1		

Output	Output Indicator	Activity	Activity Indicator	Means of Verification (as per Result Frame Work)	Annual Targets	Quarterly Targets							Remarks			
						Q1 Target	Q2 Target	Q3 Target	Q4 Target	Q1 Achieved	Q2 Achieved	Q3 Achieved		Q4 Achieved		
WLP Output 4: Capacity building of implementing Agents (WRU-4s, CBOs, WUs) Improved	Number of successfully implemented projects by WRUAs and Was & Revenues collected as a percentage of operating cost by Was	Capacity building workshops for Implementing Partners	No. of workshops held per county	Workshop reports	2	1	1	1					This was a combined classroom training for all partners. On job capacity development is ongoing			
		Induction training of PIU	No. of trainings conducted	Training report	1	1							None during the quarter			
Project monitoring / field monitoring activities																
WLP Output 5: Strengthen institutional performance of WSTF	WSTF has access to and able to analyze regularly updated data on water and sanitation coverage in counties	Spot checking monitoring - M&E	No. of projects visited	Spot checking monitoring reports	10					5	1	5	6	All projects visited in the 5 wards and Kakuma refugee camp project		
		Project Implementation Unit														
		Programme Implementation Unit field visits	No. of visits	Reports	12	3	2	3	2	3	2	1	3	2	6 visits were conducted to the field offices and project sites as well as 1 visit to review process for the IPs in Nairobi	
		Programme Implementation Unit training	No. of staff trained	Staff training reports	4		1				2		1		None during the quarter due to workload	
		Purchase of staff laptops	No. of staff with new laptops	Laptops purchased	6	6	4								Done	
		Internal and external auditing														
		Harmonized Audit Costs	No. of audits conducted	Audit reports	1							1				None during the year as implementation is ongoing
		Automation of Proposal Application system-Turkana West Programme(TA support)	Online application system established	Online application system	1	1	1									Done

PROJECTS IMPLEMENTATION STATUS - WATER & LIVELIHOOD PROGRAMME

No	County	Ward/project area	Project Name	Project Scope	Project Budget (Kshs)	Technical Progress (%)	Project Status as at 30 th June 2020		Narrative
							Financial Progress	Disbursed Funds (Ksh)	
				<p>Water supply Drilling and equipping of Lopuksi borehole; equipping with solar system; fencing of borehole area; installation of 48CM steel elevated tank; 7000m pipeline extension, construction of 2 No. water kiosks and construction of 2 No. animal troughs; Rehabilitation of 8 shallow wells- equipping with hand pumps and construction of aprons for domestic and small scale agriculture water supply; support with portable solar pumping kits to 5 farmers groups in same shallow wells area for crop farming in 15 acre farm; fencing of 15 acre farm.</p>		60%			<p>Hydrogeological survey and ESIA is ongoing for Lopuksi borehole. Other accompanying water infrastructure will be undertaken after the drilling. Rehabilitation of 8 shallow wells in 15 acre Choro farm and fencing is complete with farmers working on the farm. The shallow wells rehabilitated also have collection sumps/troughs where water from the aprons collect and farmers can fetch and use it for irrigation. 5 farmers groups have been supported with portable solar kits for irrigation of crops.</p>
	Turkana	Lopur	<p>Action Africa Help International Project: Enhancing Livelihoods through Water Supply and Sanitation (ELI/WAS) improvement Project</p>	<p>Sanitation and Hygiene Promotion Community total sanitation (CLTS) activities to 30 villages; Supply of excavation tools for latrine construction on sharing; lofly tanks handwashing kits to schools; Construction of 6 No. 4 door latrines in 3 schools with bio digester component; Construction of 3 bio digester toilets in Markets for biogas production; hygiene and sanitation campaigns in schools and community.</p>	85,000,000	60%	29,381,595.30	72.5%	<p>CLTS follow up is ongoing in 16 triggered villages and is combined with hygiene promotion messaging. Lofly tanks hand washing stations have been purchased and are yet to be distributed pending the reopening of schools. In addition to the above the IP purchased tanks for drinking water since the children will also be trained on water treatment. Excavation tools were purchased to accelerate latrine construction. This are being used to dig latrine pits by communities. Construction of 6 No. blocks of 6 No. bio digester system latrines in 3 schools is ongoing and anticipated to be complete by end of July, 2020. Site handover for construction of Kanguesuroi pan has been done and construction will start by 1st August. Fencing and excavation of zaypits and planting of trees of 20 acre woodlots in 3 sub locations is ongoing. Tree nurseries will also be established in these areas. This is being undertaken in collaboration with the forest department. Harvesting has started in trapezoidal bund farms that were constructed in march to April through cash for work. This has reclaimed 30 acres land. 9 buckling Galla goats have been purchased and distributed to three farmers groups in the three sub locations. The goats will improve breeds in the areas with the objective of increasing family incomes with hybrids. 40 Farmers ToT's have been trained on dryland</p>
				<p>Water resource management Construction of 50,000 m³ earth dam at Pelekech with offtake and infiltration system for access; fencing dam area; Establishment of 20 acre woodlots and fencing the lots; construction of 60 trapezoidal bunds with cash for work approach, the same will work on woodlots with zaypits; Training farmers on the use of propops for animal feed supplement and biogas production; Supply of Galla he goats to 3 farmers groups for cross breeding to improve local breeds for higher income; support to pasture reseeding and hay bailing with hay/seed store construction.</p>					60%

No	County	Ward/project area	Project Name	Project Scope	Project Budget (Kshs)	Project Status as at 30 th June 2020													
						Technical Progress (%)	Financial Disbursed Funds (Ksh)	Narrative											
								conservation agriculture to reach out to 10 farmers each, outreaches are ongoing to ensure household kitchen ad fruit farming is embraced.											
				<p>Water supply Napeikar borehole improvement/rehabilitation 8 km Napeikar borehole to Nakurum pipeline extension (village and school and dispensary); Installation of 48CM steel elevated tank, Construction of 2No. Standard Water kiosks with 5CM overhead tanks, construction of school and dispensary tap stands, installation of plastic Storage tanks of 10CM for the two institutions; construction of 2No. Animal troughs. Lomonyakironok or Nakulumei drilled and capped borehole equipping (1 No. targeted after preliminary tests); Installation of solar pumping system, 5km pipeline extension, installation of steel elevated tank of 54CM; construction of 2 No. water kiosks with overhead storage of 5CM; construction of 2 No. animal troughs and fencing of borehole compound.</p>			<p>Test pumping, survey and design for 3 boreholes completed pending design reports</p> <p>Preliminary reports for water quality indicates that; Napeikar has below parameters of concern; Yield:</p> <table border="1"> <thead> <tr> <th>Parameter</th> <th>Result</th> <th>standard</th> </tr> </thead> <tbody> <tr> <td>Sodium</td> <td>230</td> <td>Below 200</td> </tr> <tr> <td>Bicarbonate</td> <td>761</td> <td>255</td> </tr> <tr> <td>Fluorides</td> <td>5.72</td> <td>1.5</td> </tr> </tbody> </table> <p>Nakulumei: yield 1.2m³, 65m column of water Iron 2.45 but should be below: 0.3 Lomonyakironok: 19m water column iron 4.5, chloride 4.50 (less 250)</p>	Parameter	Result	standard	Sodium	230	Below 200	Bicarbonate	761	255	Fluorides	5.72	1.5
Parameter	Result	standard																	
Sodium	230	Below 200																	
Bicarbonate	761	255																	
Fluorides	5.72	1.5																	
2	Turkana	Songot	<p>AMREF Health Africa Project: Turkana West Water, Sanitation and Livelihood (T/WASW/ALI) project</p>	<p>Sanitation and Hygiene Promotion Construction of 6 No. 4 door VIP latrines in 3 schools; Community Led Total Sanitation (CLTS) and hygiene promotion in 14 Villages and schools.</p> <p>Water resource management Excavation of 50,000 CM Kochomin Earth dam with offtake system for animal and domestic access Excavation of 30,000 CM Namurieto water pan with offtake system for domestic and livestock access. Establishment of 4 natural resource management committees working with Kenya forest service; Livelihood empowerment support of 4 committees (for boreholes water pan/dam above) for bee keeping project and tree planting around water points developed.</p>	75,000,000	60%	16,216,124	69.9%	<p>Triggering has been done in all the planned 14 villages and follow ups are ongoing for latrine construction to improve household latrine coverage.</p> <p>Tender has been awarded for construction of 6 No. VIP latrines in 6 schools contractor expected on site.</p> <p>SCHOOL health club patrons have been trained on CHASTI and campaigns with children to start once schools reopen</p> <p>Survey completed for earth dams and water pan.</p> <p>5 Water management committees responsible for management of construction and sustainability of the project established and trained.</p> <p>Beehives purchased</p> <p>Procurement to start for social empowerment interventions currently working on needs and RFQs</p>										

No	County	Ward/project area	Project Name	Project Scope	Project Budget (Kshs)	Project Status as at 30 th June 2020		
						Technical Progress (%)	Financial Disbursed Funds (Ksh)	Narrative
3	Turkana	Kalobeyei and Lokichoggio	<p>World Vision Kenya Project Turkana West Water, Sanitation and Environmental Management (TWASEMA) project</p>	<p>Water supply Kalobeyei/Kangura water supply; Rehabilitation (Solarization) of Kalobeyei borehole for Kangura village supply; 3 km HDPE PN12 pipeline extension to Kangura village; installation of 108CM steel elevated tank; construction of 2No. Water kiosk with 5 CM overhead tank; Construction of 2 No. standard cattle troughs Kalobeyei Refugee settlement water supply: 15,200m pipeline extension to 80 units (1120 households); installation of 4No. 100CM steel elevated tanks; construction of 80 yard taps. Lokichoggio town water supply: Hydraulic modelling of Lokichoggio town supply; Rehabilitation of 3 boreholes (Akonos I, Lipoal and ICRC) and salarising ; 7.5 km pipeline extension for rising main and distribution network; Construction of 4No. Water kiosks with 5CM overhead tanks; installation of 2 No. 50m³ Steel elevated tanks and Rehabilitation of 4 No. boreholes and equipping with hand pumps, construction of aprons with animal troughs in the grazing zones of; Lochooramoni, Natumamon I, Natumamon II and Iria.</p> <p>Sanitation and Hygiene Promotion Construction of Ecosan toilets (UDDT) for 5 blocks (40 households); sub grant local organization in Kalobeyei ward to undertake Community Led Total Sanitation, Sanitation marketing and hygiene promotion at household level on performance based approach. Construction of 4 No. 4-door VIP Latrines in 2 schools; Construction of 2No. 8-door latrines with septic system in Lokichoggio mixed secondary; Construction 3No. 4 door VIP Latrines for Lokichoggio Girls; sub grant local organization in Lokichoggio ward to undertake Community Led Total Sanitation, Sanitation marketing and hygiene promotion at household level on performance based approach; Hygiene promotion in schools.</p> <p>Water resource management Undertaking Desilting and expansion/construction of Kanamesek Water</p>	140,000,000	30%	28,415,472.61	<p>Survey for design of Lokichoggio and Kangura water supply system has been done</p> <p>Contractor on site for Installation of 4 No. Elevated steel tanks and 8.3km pipeline extension at Kalobeyei refugee settlement and construction of 80 yard taps.</p> <p>Final stages in rehabilitation of 4 boreholes (Lochooramoni, Natumamon I, Natumamon II and Iria) equipped with hand pumps.</p> <p>Equipping and water supply works and associated infrastructure for Lokichoggio and Kangura will be undertaken after survey and designs have been concluded.</p> <p>To be updated after accounting</p> <p>Construction of 20 UDDT latrines in Kalobeyei refugee settlement is ongoing.</p> <p>Repeat procurement ongoing for Construction of 7 No. blocks of VIP latrines in 2 schools as well as for 2 No blocks of septic tank systems. Early procurement was cancelled as it had anomalies and vendors did not meet thresholds.</p> <p>Capacity assessment for 2 NO. CBOs has been done and will start hygiene and sanitation promotion once agreements are signed.</p>
						25%		<p>Survey for design and EIA for construction of 3 water pans has been concluded</p>

No	County	Ward/project area	Project Name	Project Scope	Project Budget (Kshs)	Technical Progress (%)	Project Status as at 30 th June 2020		Narrative
							Disbursed Funds (Ksh)	%	
4	Turkana	Kakuma Refugee-Host and host community	<p>NRC Project: WASH improvement for refugees and host communities Project</p>	<p>offlake system and fencing; Excavation of 2No. 30/000CM water pans with offlake, cattle trough and fencing for the grazing zones (Kaawoi and Nakeruman). Support Women Economic Empowerment through horticultural farming using shadenets of 30m to 8m for Kangura Borehole system (2 No.) and Lokchegio town Water supply system (2 No.).</p> <p>Water supply Drilling and equipping of 3 No. boreholes; salinising of three drilled boreholes to increase production; 8km Pipeline extension to overhaul existing and integration to new supply; Construction of 6 No. power houses; Rehabilitate and repaint 4 No. leaking steel elevated tanks; Repair and rehabilitation of 50 tap stands; Water quality/aquifer monitoring; Hydraulic modelling of Kakuma camp water supply.</p>	75,000,000	40%	28,003,032.75	<p>ESIA and Hydrogeological survey to drill 3 boreholes in Kakuma camp has been done Contract awarded for consultant to undertake Hydraulic modelling of Kakuma water supply to allow for proposed 8 km pipeline. Tender awarded for installation of aquifer and water level monitoring system but mast for UNHCR had a breakdown and consultant had requested for variation for materials that were not included in call. Construction of 6 No. power houses ongoing Rehabilitation of 50 tap stands is ongoing using labour based approach. Tender evaluation for rehabilitation of elevated steel tanks is ongoing.</p>	
				<p>Sanitation and Hygiene Promotion Production and distribution of 1000 latrine slabs for household latrines construction; Lining of 400 latrine pits in flood prone areas; Construction of 50 disability friendly latrines; Construction of 5 No. 4 door school latrines; Construction of 15 Urine diverting dry toilets (UDDT) latrines; Decommissioning of 50 communal latrines; Hygiene and sanitation promotion including Community Led Total Sanitation (CLTS) campaigns in Kakuma refugee camp and host community</p> <p>Water resource management Planting of seedlings around borehole compounds and Climate proofing of 4 No. boreholes with construction of gabions and aprons</p>					<p>Slabs production is ongoing for household latrine Construction of disability friendly and UDDT latrines is ongoing using labour based approach as well as decommissioning of latrines. <i>With Kakuma refugee temporary settlement set up, the super-structures for UDDT and disability friendly latrines are made of iron sheets, due to common approaches used by all partners.</i> Contracting has been concluded for construction of 3 blocks of 4 door latrines in 3 schools. Excavation is ongoing. Hygiene and sanitation messaging is ongoing</p>
5	Turkana	Kakuma Town Water Supply and Public	<p>OXFAM Project: Support for sustainable and resilient WASH services for Kakuma town</p>	<p>Water access Hydraulic modelling for Kakuma town water supply, Drill and equip 2 No. new boreholes to boost production; Solarization of 3 No. boreholes (2 No. new boreholes and 1 No. rehabilitated (broken down windmill-BH15 or</p>	85,000,000	37.5%	27,455,282.56	<p>Hydraulic modelling data collection for design of Kakuma town water supply has been concluded and the consultant has presented 1st draft of the report. 2 boreholes drilled for Kakuma town water</p>	

No	County	Ward/project area	Project Name	Project Scope	Project Budget (Kshs)	Project Status as at 30 th June 2020			
						Technical Progress (%)	Financial Progress		Narrative
							Disbursed Funds (Ksh)	%	
		sanitation project		<p>capped borehole at Nakwangati; Construct 3 No. new steel elevated tanks each of capacity of 200M3; Construct 1 No. masonry tank reservoir; Overhaul of 1.4km pipeline extension for rising main and distribution network for Kakuma water supply; Construction of six smart water kiosks within Kakuma town.</p> <p>Establishment of Kakuma water company in line with County water and national government legislations with engagement of third party partner for capacity building of the company</p> <p>Sanitation Construction of 1 No. public Bio-sanitation facility and Construction of incinerator in Kakuma health facility</p> <p>Water resources management: Registration of 2 No WRUAs; development and adoption of sub-catchment management plans</p>	25%		<p>supply with yields of 16m³/hr</p> <p>Discussions are ongoing for acceptable management structure for Kakuma water supply in line with the county water act and regulator.</p>		
						30%		<p>Contract for construction of public bio sanitation toilet and incinerator has been signed awaiting completion of ESIA and NEMA approval.</p>	
						40%		<p>Mapping and mobilization for formation of 2 WRUAs has been completed. Discussions ongoing on registration with WRA and SCMP formation</p>	
TOTAL					460,000,000	39%	129,471,507.79	40%	

WORKPLAN IMPLEMENTATION STATUS – IFAD – UT&NRMP PROGRAMME

Key Result Area	Activity	Output Indicator	Means of Verification/ Evidence	Unit of Measure	Annual Target	Q1 Targets	Q1 Achievements	Variance	Q2 Targets	Q2 Achievements	Variance	Q3 Targets	Q3 Achievement	Variance	Q4 Targets	Q4 Achievement	Variance
Financing of water resources management initiatives and relevant climate change mitigation initiatives at the community level; Financing of WRUAs	Finance Water Resource Projects Level II (17 No. WRUA & 1 CFA project)	No. of Projects funded	WRUA reports	No.	18	0	0	0	12	2	10	16	0	16	13	-3	
	Finance Water Resource Projects Level III (31 No. WRUA & 27 No. CFA projects)	No. of Projects funded	WRUA reports	No.	58	0	0	0	31	11	20	47	13	34	40	+6	
	Finance Water Resource Projects Level IV (2 WRUA projects)	No. of Projects funded	WRUA reports	No.	2	0	0	0	1	0	1	2	0	2	1	-1	
	Undertake field appraisal for WRUA & CFA projects	No. of Appraisal Reports	Field Appraisal Reports	No.	0	0	0	0	0	0	0	0	0	0	0	0	0
	WRA & KFS management fee	No. of Project monitored/ supported	WRA& KFS reports	%	15	0	0	0	15	13	2	15	15	0	15	15	0
	Internal Project Monitoring by programme staff.	No. of Project monitoring reports	Project Monitoring Reports	No.	78	0	15	15	15	44	29	15	29	0	29	28	0
	External Project Monitoring by WRA&KFS (Joint WRUAs monitoring <i>W/with IFAD-PCT</i>)	No. of Project monitoring reports	Project Monitoring Reports	No.	78	15	15	15	0	29	29	0	29	24	5	28	0
	Projects Monitoring by CRMs	No. of Project monitoring Reports	Project Monitoring Reports	No.	78	15	71	71	56	29	204	175	204	20	184	31	+3
	Hold INo. Capacity Building / Sensitization Workshops for the CFAs & WRUAs - (Cost to include advertisement for successful WRUAs & CFAs)	No. of Sensitization/ Capacity Building Workshops held	Sensitization/ Capacity Building Reports	No.	1	0	0	0	0	1	0	1	1	1	0	3	+2

PROJECTS IMPLEMENTATION STATUS - IFAD WATER RESOURCES PROJECTS BATCH 1

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Contract)	WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)				
1.	Kuuu WRUA	Level 2	Meru	2,041,600.00	1,247,600.00	438,000.00	0.00	<p>Tranche 1</p> <p>i) River Kuuu Riparian land demarcation and conservation & planting 10,000 No. tree seedlings (15Km) both sides of the river inclusive of planning meeting, ii) Development and protection of 2No. Springs (Ka Mimumya and Ka Muthoni)-Design and BOQ development. iii) Report writing Physical and financial.</p> <p>Tranche 2</p> <p>i) Development and protection of 2No. Springs (Ka Mimumya and Ka Muthoni), ii) Report writing Physical and financial.</p>	<p>Activities completed and accounted at 99.9% as follows:</p> <ul style="list-style-type: none"> Marking/pegging 15Kms on both sides of the river complete. Tree planting along the pegged areas on both sides of the - 10,000 seedlings but WRUA achieved approximately 85% survival rate. 2 No. spring designs (Ka Munya and Ka Muthoni) complete. Fund Accountability statement and physical reports for tranche 1 activities submitted. <p>Community contribution not properly filed.</p> <ul style="list-style-type: none"> Tranche 2 funds disbursed Ksh. 689,363.50. Tranche 2 activities ongoing. 	
				4,116,763.00	846,050.00	591,600.00	0.00	<p>Tranche 1</p> <p>i) Construction of INo, Common Intake along Thangatha River (Design and BOQ development). ii) Establishment of INo. Apiary-60No. Langstroth hives. iii) Training on beekeeping iv) Report writing Physical and financial.</p> <p>Tranche 2</p> <p>Construction of INo, Common Intake along Thangatha River. Report writing physical and financial</p>	<p>Tranche 1 Activities completed as follows and accounted at 98.8%</p> <ul style="list-style-type: none"> EIA on common intake has been undertaken. 3 No apiaries established with 20 no beehives each. These were issued to 3 community groups. Training on bee keeping done for 2 days for 20 WRUA members. Fund Accountability statement and physical reports for tranche 1 activities submitted Community contribution not properly filled <p>Tranche 2 funds disbursed, K.sh. 3,193,590.00.</p>	
3.	Luguso WRUA	Level 3	Meru	2,647,100.00	228,100.00	337,700.00	114,600.00	<p>Tranche 1</p> <p>i) Wetland protection - Baisgiria swamp 88 acres 5000 indigenous tree seedlings. ii) Capacity building and Catchment conservation and exposure visit - 21 members 4 days. iii) Supervision, monitoring and Reporting.</p> <p>Tranche 2</p> <p>i) Wetland protection - Baisgiria swamp 88 acres 5000 indigenous tree seedlings. ii) Supervision, monitoring and Reporting.</p>	<p>Tranche 1 activities completed as follows and accounted at 99.8%</p> <ul style="list-style-type: none"> Exposure visit to Ngusishi WRUA for 21 WRUA members- This was done for one day instead of two due to limited budget Capacity building for 21 members for 2 days on Wetland protection and IWRM done. Baisgiria wetland survey and mapping (88 acres) done- The survey report indicated that the wetland was 33 acres 9 No. sensitization meetings on wetland protection done Community contribution is Ksh. 114,600.00 which is 33.9% of the expected WRUA contribution. Fund Accountability statement and physical reports for tranche 1 activities submitted 	
				2,647,100.00	228,100.00			<p>Tranche 2 funds disbursed, K.sh. 2,419,000.00</p>		

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
4.	Kiamuga Mutonga WRUA	Level 3	Meru	2,508,560.00	225,600.00	313,500.00	0.00.	<p>Tranche 1</p> <p>i) Development and protection of 7No springs (spring designs) (Konjo, Kauno, Kathanje, Gacigi, Kabutu, Karinga, Muuro Weiga).</p> <p>ii) Report writing (financial and physical).</p> <p>Tranche 2</p> <p>i) Development and protection of 7No springs (Konjo, Kauno, Kathanje, Gacigi, Kabutu, Karinga, Muuro Weiga)</p> <p>ii) Report writing (financial and physical)</p>	<p>Tranche 1 activities completed as follows and accounted at 100%</p> <ul style="list-style-type: none"> 3No. awareness creation barazas one each for the 3 zones Designs for 7No. Springs (Konjo, Kauno, Kathanje, Gacigi, Kabutu, Karinga, Muuro Weiga) done. Fund Accountability statement and physical reports for tranche 1 activities submitted <p>The community contribution not yet captured.</p> <ul style="list-style-type: none"> Tranche 2 funds disbursed Ksh. 2,376,185.00
				5,793,856.00	274,800.00	736,100.00	0.00	<p>Tranche 1</p> <p>i) Consolidation of Water intakes into 1No.Common Intakes along Mariara River at Mariene. (Intake design)</p> <p>ii) Report writing (financial and physical)</p> <p>Tranche 2</p> <p>Consolidation of Water intakes into 1No.Common Intakes along Mariara River at Mariene.</p> <p>Report writing (financial and physical)</p>	<p>Tranche 1 activities completed and accounted at 91.2 % accounting as follows:</p> <ul style="list-style-type: none"> 3No. sensitization meetings to create awareness on common intake in the upper, middle and lower zones. Common intake will be for 15 water projects in the middle zone. EIA and Hydrological designs for the common intake undertaken. Designs and BOQs for the Mariene common intake along Mariara River done. Exchange visit to Ngusishi WRUA for the 15 water projects done. Fund Accountability statement and physical reports for tranche 1 activities submitted <p>Community contribution not properly recorded.</p> <ul style="list-style-type: none"> Tranche 2 funds disbursed. Ksh. 5,749,238.50.
6.	Tungu WRUA	Level 3	Tharaka Nithi	2,147,640.00	815,040.00	335,800.00	0.00	<p>Tranche 1</p> <p>Planning meetings and Procurement of materials</p> <p>i) Restoration and protection of 3No water springs (spring design) (Katheruku, Kigwambogo and Kabugugu springs)</p> <p>ii) Establishment of 1No. Tree Nursery(25,000 seedlings)</p> <p>iii) Report writing (Physical and financial)</p> <p>Tranche 2</p> <p>i) Restoration and protection of 3No water springs (Katheruku, Kigwambogo and Kabugugu)</p> <p>ii) Planning meeting</p> <p>iii) Report writing (Physical and financial)</p>	<p>Tranche 1 activities completed with accounting at 99.5% as follows;</p> <ul style="list-style-type: none"> Designs for 3 No. springs (kenywa, gachimbuga and kabugugu) complete. Tree nursery established- 100,000 seedlings and construction of a potting shed done. Fund Accountability statement and physical reports for tranche 1 activities submitted Community contribution was not clearly recorded by the WRUA. <ul style="list-style-type: none"> Tranche 2 funds disbursed Ksh. 1,242,290.00

No.	Project name	Category	County	WSTF	Funding (Ksh)			Scope of Funded Activities	Completion Status
					Disbursed (WSTF)	WRUA support (Contract)	WRUA support (Actual)		
7.	Middle Mitonga WRUA	Level 3	Tharaka Nithi	6,071,685.00	1,024,100.00	858,270.00	<p>Tranche 1</p> <p>i) Development and Protection of 7 No. Springs (Spring designs) (Mbuci, Murimba, Marimba kanyoni, Gacingira, Kiborote, Rwara rwa Biura, Kanyengu).</p> <p>ii) Construction of one sand dam 15m Length, 5m wide, 1.5m high. (Sand dam designs)</p> <p>iii) Report writing (Physical and financial)</p> <p>Tranche 2</p> <p>i) Development and Protection of 7 No. Springs (Mbuci, Murimba, Marimba ka nyoni, Gacingira, Kiborote, Rwara rwa Biura, Kanyengu).</p> <p>ii) Construction of one sand dam 15m Length, 5m wide, 1.5m high.</p> <p>iii) Report writing (Physical and financial)"</p>	<p>Tranche 1 achievements are as follows with no accounting submitted.</p> <ul style="list-style-type: none"> 7No. springs designs (Murimba, rwararwa buria, marimba ka nyoni Kanyengu, mbuci, Gachagra and Kiborote) done EIA for the sand dam done and reports available Community contribution not properly recorded. Pending submission of 1st tranche Fund accountability Statements and final progress reports <p>Awaiting 2nd disbursement upon accounting and reporting of tranche 1 activities, request for funds letter from the WRUA and contract extension letter- contract expired in Mid-March 2020.</p>	
									0.00
8.	Nithi WRUA	Level 3	Tharaka Nithi	2,181,300.00	208,700.00	327,500.00	<p>Tranche 1</p> <p>i) Construction of 5 gabions namely (Kirima, Gatondo, Mutitu, Ngaru, Kiarugi). –Gabion designs</p> <p>ii) Construction of 2 springs (Kamarionda, Kagomba ka Miemba). –Spring designs</p> <p>iii) Report writing (Physical and financial)</p> <p>Tranche 2</p> <p>i) Development and Protection of 7 springs (Mbuci, Murimba, Marimba ka nyoni, Gacingira, Kiborote, Rwara rwa Biura, Kanyengu).</p> <p>ii) Construction of one sand dam 15m Length, 5m wide, and 1.5m high.</p> <p>iii) Report writing (Physical and financial)</p>	<p>Tranche 1 achievements are as follows with no accounting submitted:</p> <ul style="list-style-type: none"> Survey and mapping of the 3 springs and 2 wetlands- reports and maps available for the 5 sites Fencing of the 5 sites and installation of wooden gates for each site. Environmental Impact Assessment (EIAs) for the 3 springs- Reports available WRUA carried out part of the tranche 2 activities such as tree planting of 6,500 tree seedlings (1500 bamboo and 5,000 indigenous seedlings) due to favourable weather conditions despite not having received the 2nd tranche funds. <p><i>Community contribution not properly recorded.</i></p>	
									0.00
9.	Ruguti WRUA	Level 3	Tharaka Nithi	2,507,600.00	1,787,600.00	497,200.00	<p>Tranche 1 achievements are as follows with no accounting submitted:</p> <ul style="list-style-type: none"> Survey and mapping of the 3 springs and 2 wetlands- reports and maps available for the 5 sites Fencing of the 5 sites and installation of wooden gates for each site. Environmental Impact Assessment (EIAs) for the 3 springs- Reports available WRUA carried out part of the tranche 2 activities such as tree planting of 6,500 tree seedlings (1500 bamboo and 5,000 indigenous seedlings) due to favourable weather conditions despite not having received the 2nd tranche funds. <p><i>Community contribution not properly recorded.</i></p>		

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Contract)	WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)				
10.	Lower Mathioya WRUA	Level 3	Murang'a	2,289,150.00	1,138,150.00	263,900.00	0.00	<p>Tranche 2</p> <p>i) Development and Protection of 6No. Springs (Gatwe spring, Kathanje spring, Kiathi spring, Kanguni spring, kanoe, kamai) .</p> <p>ii) Report writing; physical and financial.</p> <p>Tranche 1</p> <p>i) Designs for protection of 3 springs (Kiramba, Kambwe and Wanjanju).</p> <p>ii) Establishment of an Apiary - 60No. Langstroth hives. Seedling production - 20,000 seedling</p> <p>iii) Capacity building/training on IWRM for WRUA members for 2 days 21 members.</p> <p>iv) Report writing (Physical and financial).</p>	<p>Tranche 2 funds disbursed Ksh. 720,000.00. Tranche 2 activities ongoing</p> <p>Tranche 1 activities achievements are as follows with accounting at 45.55%</p> <ul style="list-style-type: none"> Purchase and installation of 60 Beehives at, 5 hives have so far been colonized. 3 Spring designs done (Kiramba, Kambwe and Wanjanju) Training on bee keeping undertaken with 18 WRUA members getting trained (9 males and 9 females). Training on Integrated Water Resources Management (IWRM) undertaken for 2 days. 31 WRUA members trained Training on nursery establishment undertaken for 2 days. 17 WRUA members trained (9 females and 8 males) Community contribution not yet captured in the WRUA records. Pending accounting of 54.5% of the tranche 1 funds disbursement and submission of the final progress report. 	
11.	Thika Muti Kaliala WRUA	Level 2	Murang'a	1,574,300.00	617,500.00	363,400.00	10,000.00			

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
12.	Saba WRUA	Level 3	Murang'a	2,389,380.00	699,700.00	352,900.00	49,250.00	<p>Tranche 1</p> <p>i) Development and protection of 6No. Springs (Gatunguru, Nyagitebe, Wangari, Kiamururu, Gakanga, and Wondururumi) (spring designs).</p> <p>ii) Seedling production - 20,000 seedlings,</p> <p>iii) Report writing Physical and financial.</p> <p>Tranche 2</p> <p>i) Development and protection of 6No. Springs (Gatunguru, Nyagitebe, Wangari, Kiamururu, Gakanga, Wondururumi)</p> <p>ii) Report writing; physical and financial"</p>	<p>Activities achievements are as follows with accounting at 28.24 % of total funds disbursed.</p> <ul style="list-style-type: none"> One training on tree nursery establishment where 17 WRUA members were trained. Nursery establishment done-20,000 seedlings. Two (2) community awareness forums on spring protection at Iganjio and Githima where 86 persons attended Community contribution of Ksh 49,250.00 which is 28.6%
								<p>Tranche 2 funds disbursed Ksh. 1,774,310.00. Tranche 2 activities ongoing.</p>	
13.	Kiama WRUA	Level 3	Murang'a	3,698,400.00	724,400.00	412,600.00	0.00	<p>Tranche 1</p> <p>i) Development and protection of 7No. Spring designs (Gachonde, Gathanj, Gituamba, Mbakini, Nyangemi, Giachuki, and Kiriani).</p> <p>ii) Seedling production - 20,000 Seedlings.</p> <p>iii) Report writing Physical and financial</p> <p>Tranche 2</p> <p>i) Development and protection of 7No. Springs (Gachonde, Gathanj, Gituamba, Mbakini, Nyangemi, Giachuki, Kiriani)</p> <p>ii) Report writing(physical and financial)</p>	<p>Tranche 1 activities achievements are as follows with accounting at 85.99 %;</p> <ul style="list-style-type: none"> Training on tree nursery establishment for 2 days where 15 WRUA members were trained. Tree nursery establishment (20,000 seedlings) done Community contribution not yet captured. Pending accounting of 14.01% of tranche 1 funds disbursed and submission of final progress report.
								<p>Tranche 2 funds disbursed Ksh. 2,446,710.00. Tranche 2 activities ongoing.</p>	
14.	Kayahwe WRUA	Level 3	Murang'a	5,849,700.00	1,267,700.00	627,600.00	80,600.00	<p>Tranche 1</p> <p>i) Rehabilitation and desilting of 1No. 10,000 M3 Water pan (Kiruri dam)- Designs.</p> <p>ii) Establishment of 2 No ponds for Tilapia farming - 2800 fingerlings. Report writing (Physical and financial)</p> <p>Tranche 2</p> <p>i) Rehabilitation and desilting of 1No. 10,000 M3 Water pan (Kiruri dam)</p> <p>ii) Report writing physical and financial.</p>	<p>Tranche 1 activities achievements are as follows with accounting at 88.59 %;</p> <ul style="list-style-type: none"> Kiruri Water pan design completed and submitted to WSTF, the designs were reviewed and approved. Community contribution that had been attained was Ksh. 80,600.00 drawn from attendance public barazas, training on fish farming where members forfeited lunch. Pending accounting of 11.41 % of tranche 1 funds disbursed and submission of final progress report.
								<p>Tranche 2 funds disbursed Ksh. 6,165,210.00</p> <p>Tranche 2 activities ongoing.</p>	

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
15.	Kwarai WRUA	Level 2	Murang'a /Nyeri	1,768,300.00	841,060.00	256,400.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Development and protection of 3No spring designs (Kainangone, Kiwaiganjo, Kamutukia). ii) Seedling production - 20,000No. Seedlings. iii) Capacity building on role of WRUA in IWRM 40 WRUA members for 3 days. iv) Report writing physical and financial. 	<p>Tranche 1 activities achievements are as follows with accounting at 92.03 %</p> <ul style="list-style-type: none"> • Training on Integrated Water Resources Management (IWRM) for 3 days done with 40 WRUA members benefiting. • Training on nursery establishment done for 2 days with 15 WRUA members (4 females and 11 males). • Community contribution of Ksh 59,000.00 which is 39.73% of the target. • Pending accounting of 7.97% of tranche 1 funds disbursed and submission of final progress report. 	
							<p>Tranche 2</p> <ul style="list-style-type: none"> i) Development and protection of 3No springs (Kainangone, Kiwaiganjo, Kamutukia). ii) Report writing; physical and financial 		<p>Tranche 2 funds disbursed Ksh. 1,666,820.00. Tranche 2 activities ongoing.</p>
16.	Ndera WRUA	Level 2	Murang'a	2,483,460.00	2,039,500.00	406,200.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Mark, peg and plant 12,000 No. indigenous seedlings along 30 km of Ndera tributaries, ii) Establishment of 1No. Fish pond 1,400 Tilapia fingerlings, capacity building/training on IWRM for 21 members for 3 days. iii) Report writing (Physical and financial) 	<p>Tranche 1 activities achievements are as follows with accounting at 53.87 %</p> <ul style="list-style-type: none"> • Marking and pegging of 30 Kilometers was undertaken 15 Kilometers each side and planting of 12,000 seedlings. • Training on Integrated Water Resources Management was undertaken where by 26 persons were trained (16 males and 10 females). • Community contribution of Ksh 300,850,000 which is 94.2% • Pending accounting of 46.13% of tranche 1 funds disbursed and submission of final progress report. 	
							<p>Tranche 2</p> <ul style="list-style-type: none"> i) report writing; both physical and financial 		<p>Tranche 2 funds disbursed Ksh. 443,960.00. Tranche 2 activities ongoing.</p>
17.	Kagumo WRUA	Level 2	Nyeri	2,120,800.00	712,400.00	227,900.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Development and protection of 3No springs (Mutoigo, Rithorni and Gache). ii) Seedling production - 20,000No. Indigenous Seedlings iii) Capacity Building 21No. WRUA members on IWRM. Report writing (Physical and financial) 	<p>Tranche 1 activities achievements are as follows with accounting at 94.29 %</p> <ul style="list-style-type: none"> • Training on Integrated Water Resources Management (IWRM) for 29 WRUA members benefiting (11 females and 18 males). • Designs for springs done completed. • Training on tree nursery establishment for 2 days benefiting 17 WRUA members (9 Males and 8 females). • Installation of nursery tank done. • Community contribution not recorded in the community diary. • Pending accounting of 5.71% of tranche 1 funds disbursed and submission of final progress report. 	
							<p>Tranche 2</p> <ul style="list-style-type: none"> i) Development and protection of 3No springs (Mutoigo, Rithorni and Gache). ii) Report writing (Physical and financial) 		<p>Tranche 2 funds disbursed Ksh. 1,303,980.00. Tranche 2 activities ongoing.</p>

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
18.	Gura WRUA	Level 3	Nyeri	3,539,400.00	1,819,200.00	457,400.00	181,000.00	<p>Tranche 1</p> <p>i) Development and protection of 4No. Springs –spring designs (Kiandondo, Kagunyo, Maruguya Kanjiri and Kagari).</p> <p>ii) Establishment of 2No. Apiaries - 60No. Langstroth hives.</p> <p>iii) Seedling production - 20,000No. Indigenous Seedlings.</p> <p>iv) Planning meeting and procurement.</p> <p>v) Report writing (Physical and financial)</p>	<p>Tranche 1 activities achievements are as follows with accounting at 97.71 %;</p> <ul style="list-style-type: none"> • Training on bee keeping for 3 days done with 28 WRUA members (10 females and 28 males). • Training on nursery establishment done for 2 days with 15 WRUA members (5 females and 10 males). • Procurement and establishment of 120 beehives- apiary at Zuti Othaya • Designs report and drawings done • Community contribution of Ksh 181,000.00 which is 38.07% of the target. • Pending accounting of 2.29% of tranche 1 funds disbursed and submission of final progress report.
								<p>Tranche 2</p> <p>i) Development and protection of 4No. Springs (Kiandondo, Kagunyo, Maruguya and Kagari).</p> <p>ii) Report writing (Physical and financial)</p>	<p>Tranche 2 funds disbursed Ksh. 2,011,990.00.</p> <p>Tranche 2 activities ongoing.</p>
19.	Upper Gura	Level 2	Nyeri	1,822,250.00	779,200.00	710,250.00	0.00	<p>Tranche 1</p> <p>i) Marking and pegging of riparian land and Planting of 2000 Indigenous trees for 3.5km and 2.5km of 1000 bamboo tree seedlings on both sides of the river.</p> <p>ii) Development and protection of 1No.springs (Gachirchiri)</p> <p>iii) Capacity building WRUA on IWRM and conflict management - 21 members 2 days</p> <p>iv) Report writing (Physical and financial)</p> <p>v) Capacity building WRUA on IWRM and conflict management - 21 members 2 days</p> <p>vi) Report writing (Physical and financial)*</p>	<p>Tranche 1 activities achievements are as follows with accounting at 94.88%</p> <ul style="list-style-type: none"> • Training on Integrated Water Resources Management (IWRM) for 2 days done with 35 WRUA members (10 females and 25 males). • Designs for springs done and finalized. • Riparian pegging and marking 40 Kilometres done with 20 Kilometers each side. • Community contribution of not well captured. The records have mixed funded activities and WRUA contributions. • Pending accounting of 5.12% of tranche 1 funds disbursed and submission of final progress report.
								<p>Tranche 2</p> <p>i) Establishment of 3No. Fish ponds (1400 Tilapia fingerlings each)</p> <p>ii) Marking and pegging of riparian land and Planting of 2000 Indigenous trees for 3.5km and 2.5km of 1000 bamboo tree seedlings on both sides of the river.</p> <p>iii) Development and protection of 1No.springs (Gachirchiri)</p>	<p>Tranche 2 funds disbursed Ksh. 2,817,150.00. Tranche 2 activities ongoing.</p>

No.	Project name	Category	County	Funding (Ksh)			Completion Status	
				WSTF	Disbursed (WSTF)	WRUA support (Contract)		WRUA support (Actual)
20.	Lower Nyamindi WRUA	Level 2	Kirinyaga	3,285,700.00	1,104,200.00	153,000.00	452,000.00	<p>Tranche 1 activities achievements are as follows with accounting at 97.01 %</p> <ul style="list-style-type: none"> • Training on Conflict Management, Procurement, Finance, IWRM and Group Dynamics was undertaken for 32 members for 3 days. • 3 no. Sensitization meetings on riparian land protection done. • Marking and pegging of riparian land was done for 25 km along Kiri, Gatundi and Upper Nyamindi Rivers. Planting of 15,000 trees has not yet been done due to unfavourable climatic conditions. The WRUA requested for rescheduling of activities from planting trees to Installation of controlling and measuring devices to 5No. Self-help groups (6" raw water master meters done • Community contribution captured • Pending accounting of 2.98% of tranche 1 funds disbursed and submission of final progress report. <p>Tranche 1</p> <ol style="list-style-type: none"> Marking and pegging of riparian land for 25 km Training of 32 WRUA members for 3 days in IWRM, conflict prevention and resolution skills, Governance. Planning and procurement, report writing physical and financial
								<p>Tranche 2</p> <ol style="list-style-type: none"> Installation of controlling and measuring devices to 5No. Self-help groups' intakes 6" raw water master meters Carrying out abstraction survey, planting on marked areas - 15,000No. Indigenous tree seedlings for 25 Km. Planning and procurement Report writing; physical and financial" <p>Tranche 2 funds disbursed Ksh. 2,181,500.00. Tranche 2 activities ongoing</p>
21.	Kiwe WRUA	Level 3	Kirinyaga	2,928,140.00	1,179,240.00	908,100.00	0.00	<p>Tranche 1 activities achievements are as follows with accounting at 20.79 %</p> <ul style="list-style-type: none"> • Capacity building of 28 WRUA members on bamboo propagation and nursery establishment was done • Greenhouse was established. Tank was installed, seed bed and potting shed put up and fencing was done. The activity of greenhouse establishment was contracted as a whole due to the limited budget • Community contribution not yet captured. • Pending accounting of 79.21% of tranche 1 funds disbursed and submission of final progress report. <p>Tranche 1</p> <ol style="list-style-type: none"> Establishment of 1 No. bamboo nurseries 10,000 seedling and installation of green house Flood management - Construction of 5 gabions (Kirima, Gatondo, Mutitu, Ngaru, Kiarugu)(designs and BoQ). Report writing; physical and financial <p>Tranche 2</p> <ol style="list-style-type: none"> Flood management - Construction of 5 gabions (Kirima, Gatondo, Mutitu, Ngaru and Kiarugu). Abstraction survey; Report writing; physical and financial <p>Awaiting 2nd disbursement upon accounting and reporting on pending tranche 1 activities, request for funds letter from the WRUA and contract extension letter- contract expired in Mid-March 2020.</p>

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
22.	Imbongo WRUA	Level 2	Embu	3,203,000.00	1,785,900.00	417,650.00	0.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Planning and procurement meeting ii) Mark, peg and plant 15,000 indigenous tree seedlings and 1300No. Bamboo along 25km of Ena and Imbogo river riparian_ both sides of the river, iii) Restoration of Gakurungu spring (designs) iv) Capacity build 36 WRUA members and stakeholders on Governance and IWRM for 3 days. v) Report writing (Physical and financial). <p>Tranche 2</p> <p>Carry out an abstraction and pollution survey in Imbogo Sub-catchment Restoration and Protection of Gakurungu Spring. Report writing: physical and financial</p>	<p>Tranche 1 activities achievements are as follows with accounting at 46.0 %</p> <ul style="list-style-type: none"> • Training on Conflict Management, Procurement, Finance, and IWRM and group dynamics was undertaken for 31 members for 3 days. • 3 no. Sensitization meetings on riparian land protection took place. • Marking and pegging of riparian land was done for 29 km, planting of trees has not yet been done due to unfavorable climatic conditions. The WRUA requested to reschedule activities from planting trees to abstraction and pollution survey. • Abstraction and pollution completed. • Design of spring was done and the contractor was paid. • Community contribution not yet captured. • Pending accounting of 54.00 % of tranche 1 funds disbursed and submission of final progress report. <p>Tranche 2 funds disbursed Kshs. 1,558,605.00. Tranche 2 activities ongoing.</p>
23.	Lower Ena WRUA	Level 2	Embu	1,875,500.00	1,404,400.00	336,950.00	152,300.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Planning and procurement meeting ii) 355km Riparian marking, pegging and tree planting of 14,000 No. indigenous seedlings on both sides of the river, iii) Protection of Gatiruri-Raundu spring (spring design) iv) Carry out capacity building for the WRUA on IWRM, Governance, Conflict management and policies 32 WRUA members for 3 days. v) Report writing (Physical and financial) <p>Tranche 2</p> <p>Planning and procurement meeting Protection of Gatiruri- Raundu Spring Report writing(physical and financial)</p>	<p>Tranche 1 activities achievements are as follows with accounting at 89.28 %</p> <ul style="list-style-type: none"> • Pegging and marking of 35 km of riparian land was done for 10 days • Capacity building of 35 WRUA members was done • Topics covered included leadership, Procurement Management, Conflict resolution, Book keeping and IWRM. • Design of Gatiruri spring was done and approved by WRUA. • Protection of Gatiruri Spring was ongoing. • Community contribution captured amounting to 45.2% of the committed amount. • Pending accounting of 10.71 % of tranche 1 funds disbursed and submission of final progress report. <p>Tranche 2 funds disbursed Ksh. 471,100.00. Tranche 2 activities ongoing</p>
24.	Upper Ena WRUA	Level 2	Embu	2,633,500.00	1,559,900.00	420,950.00	0.00	<p>Tranche 1</p> <ul style="list-style-type: none"> i) Planning meeting ii) Mark, peg and plant 15,000 tree seedlings along of Kirurumwe river riparian-25Km_ both sides of the river. iii) Capacity build 36 WRUA members and stakeholders on Governance and IWRM for 3 days. iv) Report writing Physical and Financial. 	<p>Tranche 1 activities achievements are as follows with accounting at 53.21 %</p> <ul style="list-style-type: none"> • Training on Conflict Management, Procurement, Finance, IWRM and Group Dynamics undertaken for 32 members for 3 days • 3 no. Sensitization meetings on riparian land protection took place. • Marking and pegging of riparian land done for 25 km. planting of trees has not yet been done due to unfavorable climatic conditions. The WRUA requested to reschedule activities from planting trees to abstraction and pollution survey. • Abstraction survey had been done but the report has not yet been compiled.

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
25.	Gangara WRUA	Level 3	Embu	6,468,740.00	1,547,940.00	818,300.00	0.00	<p>Tranche 2 Carry out an abstraction and pollution survey in Upper Ena Sub-catchment Report writing physical and financial</p> <p>Tranche 1 i) Planning and procurement. ii) Construction of Gangara water pan (10,000 m³) (Designs) iii) Seedling production - 10,000 bamboo. iv) Report writing Physical and financial.</p>	<ul style="list-style-type: none"> • Pollution survey to be done after rains subside. • Community contribution not yet captured • Pending accounting of 46.79 % of tranche 1 funds disbursed and submission of final progress report. <p>Awaiting 2nd disbursement upon accounting and reporting on pending tranche 1 activities, request for funds letter from the WRUA and contract extension letter- contract expired in Mid-March 2020.</p> <p>Tranche 1 activities achievements are as follows with accounting at 65.56 %</p> <ul style="list-style-type: none"> • Capacity building of 23 WRUA members on bamboo propagation and nursery establishment was done for 5 days by KFS . • Greenhouse was established. The activity of greenhouse establishment was contracted as a whole due to the limited budget. • Design of the water pan was done and the report was available but had to be reviewed after it not being acceptable. • Community contribution not yet captured. • Pending accounting of 36.44 % of tranche 1 funds disbursed and submission of final progress report.
									<p>Tranche 2 i) Construction of Gangara water pan (10,000 m³). ii) Report writing Physical and financial.</p>
26.	Middle Thura (Mid Thura)WR UA	Level 3	Embu	2,973,960.00	860,660.00	858,270.00	0.00	<p>Tranche 1 i) Planning and Procurement meetings ii) Construction of 1No. sand dam (Girumi) 16m Length,5m wide, 1.5m high (designs) iii) Protection of 2No. Springs Kwa Ndamarwa and Kiangui springs. iv) Report Writing Physical and Financial.</p> <p>Tranche 2 Planning meeting, construction of 1No. sand dam (Girumi) 16m Length,5m wide, 1.5m high and Report writing; physical and financial</p>	<p>Tranche 1 activities achievements are as follows with accounting at 10.72 %</p> <ul style="list-style-type: none"> • Sensitization meeting on spring protection was done, 47 people attended. However, later on the springs namely Kwa Ndamarwa and Kiangui dried up and WKA advised the WRUA to change scope from protection of springs to rehabilitation of a sand dam. WSTF is yet to receive the request. • Design of Girumi sand dam was done but required review • Community contribution not yet captured • Pending accounting of 89.28 % of tranche 1 funds disbursed and submission of final progress report. <p>Awaiting 2nd disbursement upon accounting and reporting on pending tranche 1 activities, request for funds letter from the WRUA and contract extension letter- contract expired in Mid-March 2020.</p>

No.	Project name	Category	County	Funding (Ksh)			WRUA support (Actual)	Scope of Funded Activities	Completion Status
				WSTF	Disbursed (WSTF)	WRUA support (Contract)			
27.	Ragati WRUA	Level 3	Nyeri	4,553,013.00	895,300.00	862,000	237,500	<p>Tranche 1 activities achievements are as follows with accounting at 25.75 %</p> <ul style="list-style-type: none"> Signing of agreements with the respective water projects (11 No.) was done Hydrological survey was done Trenching of 3 no. small ponds was done instead of 1 no. large pond. Training of 18 WRUA members on fish farming was done for 2 days Community contribution captured amounting to 27.6% Pending accounting of 74.25 % of tranche 1 funds disbursed and submission of final progress report. <p>Tranche 2 funds disbursed Ksh. 10,342,067.00.</p> <p>Tranche 2 activities ongoing.</p> <p>Tranche 1 activities achievements are as follows with accounting at 19.11 %</p> <ul style="list-style-type: none"> Capacity building of 22 WRUA members on bamboo propagation and nursery establishment was done for 5 days Greenhouse was established. The activity of greenhouse establishment was contracted as a whole due to the limited budget. Slab for the tank done, seed bed was put up, potting shed was done and fencing was ongoing. Design of the 6 no. springs was done and the reports were available Community contribution not yet recorded Pending accounting of 80.89 % of tranche 1 funds disbursed and submission of final progress report. 	
28.	Upper Thiba	Level 3	Kirinyaga	4,079,940.00	1,659,340.00	485,500.00	0.00	<p>Tranche 1</p> <ol style="list-style-type: none"> Development and Protection of 6No. Springs (designs) (Gatwe, Kathanje, Kiathi, Kangari, Kanoo and Kama). Establishment of 1No. Bamboo tree nurseries (10,000 seedlings) Planning and procurement Report writing; physical and financial <p>Tranche 2</p> <ol style="list-style-type: none"> Development and Protection of 6. Springs (Gatwe spring, Kathanje spring, Kiathi spring, Kangari spring, kanoo springs, kama spring). Report writing; physical and financial. 	
29.	Kirwara	Level 3	Kirinyaga	1,437,940.00	1,372,340.00	193,500.00	351,500.00	<p>Tranche 1 activities achievements are as follows with accounting at 15.52 %</p> <ul style="list-style-type: none"> Capacity building of 21 WRUA members on bamboo propagation and nursery establishment was done for 5 days Greenhouse was established. Tank base was constructed, seed bed and potting shed were put up, and fencing was done. The activity of greenhouse establishment was contracted as a whole due to the limited budget. Community contribution captured Pending accounting of 84.47% of tranche 1 funds disbursed and submission of final progress report <p>Tranche 2 funds disbursed Ksh. 3,346,230.00. Tranche 2 activities ongoing.</p> <p>Tranche 1 activities achievements are as follows with accounting at 15.52 %</p> <ul style="list-style-type: none"> Capacity building of 21 WRUA members on bamboo propagation and nursery establishment was done for 5 days Greenhouse was established. Tank base was constructed, seed bed and potting shed were put up, and fencing was done. The activity of greenhouse establishment was contracted as a whole due to the limited budget. Community contribution captured Pending accounting of 84.47% of tranche 1 funds disbursed and submission of final progress report <p>Awaiting 2nd disbursement upon accounting and reporting on pending tranche 1 activities, request for funds letter from the WRUA and contract extension letter- contract expired in Mid-March 2020.</p>	

PROJECTS IMPLEMENTATION STATUS - IFAD COMMUNITY FOREST ASSOCIATIONS PROJECTS CALL 3 BATCH I

No	Project name	Category	County	WSTF commitment (Ksh)	Disbursed funds - Ksh	Balance Ksh	CFA support contract - Ksh	CFA support Actual - Ksh.	Scope of Funded Activities	Completion Status
1	Kathandeni (South East Mount Kenya Forest Ngariama Association CFA	Level 3	Kirinyaga	2,713,800.00	1,312,600.00	1,401,200	770,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Agroforestry support-nature base livelihoods; Purchase and planting 3,000 Avocado seedlings ii)Purchase and planting 2,000 fodder seedlings. iii)Maintenance of previously planted areas 10 Ha. iv)Report writings- physical and financial <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Community forest protection scout training of 20/No. Scouts for 21 days. ii) Seedling production 10,000 No bamboo seedlings iii) Report writing physical and financial. 	<p>Completed 1st tranche activities. 2nd tranche activities ongoing</p> <ul style="list-style-type: none"> i)Accounted for 48.00% of total funds disbursed. ii)Procurement of 3,000 Avocado for agroforestry, distributed to farmers and planted. iii)3,334 fodder seedlings distributed to farmers iv)Maintenance of previously planted area of 10 hectares done with 10,000 seedlings planted, spot weeding done and creper cutting completed. v)Additional 3Ha done as community contribution vi)Fund accountability statement and physical report for tranche 2 activities pending. vii)Pending accounting of 52.00% of total funds disbursed. viii)Community contribution not yet captured.
2	Kangatta CFA	Level 3	Kirinyaga	4,753,309.00	3,366,800.00	1,386,509.00	750,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Seedling production and agroforestry; 2,000 grafted tree tomato seedlings, 1,000 Hass avocados and 1,000 yellow passion. ii) Bamboo seedling production 10,000 iii) Agroforestry support-nature base livelihoods 900No. Macadamia seedlings iv) Planting 20 Ha with 1,000 bamboo seedlings v) Physical and financial report writing <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Maintenance of previously planted areas 10 Ha , 	<p>Completed 1st tranche activities. 2nd tranche activities ongoing</p> <ul style="list-style-type: none"> i) Accounted for 70.81% of total disbursed funds. ii) Seedling production and agroforestry; 2,000 grafted tree tomato seedlings, 1,000 Hass avocados and 1,000 yellow passion in green house done iii) Bamboo seedlings production (10,000 seedlings) undertaken. iv) Agroforestry support - nature base livelihoods, 900No. Macadamia seedlings distributed to farmers

No	Project name	Category	County	WSTF commitment (Ksh)	Disbursed funds – Ksh	Balance Ksh	CFA support contract – Ksh	CFA support Actual – Ksh.	Scope of Funded Activities	Completion Status
3	Njukimi West CFA	Level 3	Kirinyaga	3,508,000	2,691,000.00	817,000.00	953,200.00	0.00	<p>ii) Community scout training of 10 scouts for 21 No. day</p> <p>iii) Conservation of shrine</p> <p>iv) Report writing Physical and Financial</p>	<p>v) Planting 20 Ha with 1,000 bamboo seedlings done</p> <p>iv) Financial report submitted</p> <p>v) Community contribution not yet recorded</p> <p>vi) Contract extension request approved by WSTF</p> <p>vii) Pending accounting of 29.19% of total funds disbursed & Physical activities reports for tranche 2</p>
									<p>1st Disbursement</p> <p>i) Agroforestry support - 8000No. Avocado seedlings.</p> <p>ii) 1No. Green house and 10,000No. Bamboo seedlings production.</p> <p>iii) Capacity building - 45No. CFA members on bamboo propagation.</p> <p>iv) Report writing physical and financial</p> <p>2nd Disbursement</p> <p>i) Capacity building 20No. community scouts</p> <p>ii) Report writing physical and financial</p>	<p>Completed 1st tranche activities. 2nd tranche activities ongoing.</p> <p>i) Accounted for 76.74% of total funds disbursed.</p> <p>ii) Agroforestry support - 8000No. Avocado seedlings distributed to 800 families and already transplanted</p> <p>iii) 1No. Green house construction done and 10,000No. Bamboo seedlings production done.</p> <p>iv) Capacity building on bamboo propagation done</p> <p>v) The CFA sought for contract extension which was granted by Water Fund.</p> <p>vi) Pending submission of the 2nd tranche Fund accountability, Statement & reports of 23.26%.</p>
4	Castle CFA	Level 3	Kirinyaga	1,887,600.00	1,302,600.00	585,000.00	0		<p>i) Bamboo seedlings production 10,000 No. and greenhouse construction.</p> <p>ii) Maintenance of previously planted areas 10Ha.</p>	<p>Completed 1st tranche activities & 2nd tranche activities</p> <p>i) Accounted for 100 % of total funds disbursed.</p> <p>ii) Bamboo seedlings production 10,000 No. and greenhouse construction</p>

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
5	Wanjerere CFA	Level 2	Murang'a	1,190,000.00	1,170,000	20,000.00	364,000	0.00	iii) Report writing physical and financial 2nd Disbursement i) Livelihood activity; planting of 8000 tree tomato fruits. ii) Report writing physical and financial	done. iii) Maintenance of previously planted areas 20Ha done. 10 Ha 10,000 seedlings by WSTF Funds and 10 Ha by community contribution (spot weeding, creper cuttings, pitting, planting) iv) Final Fund Accountability statement and report for tranche 2 activities submitted. v) Community contribution not yet captured.
									1st Disbursement i) Seedling production- 100,000 seedlings, planning meeting/ sensitization and ii) Training on production establishment 15 No. members for 2 No. days. iii) Report writing (physical and financial) 2nd Disbursement i) Report writing (Physical and financial)	Completed 1st & 2nd tranche activities. i) Training on tree nursery establishment done for 15 members for 2 days. ii) The establishment of a tree nursery to hold 100,000 completed. iii) Community contribution not yet captured iv) Fund Accountability statement and physical reports for tranche 2 activities submitted to WSTF.
6	New Njukiri Muungano CFA	Level 3	Embu	3,197,015.00	2,323,315.00	873,700.00	692,740.00	0.00	1st Disbursement i) Seedling production with 150,000 No. indigenous Seedlings. ii) Maintenance of previously planted areas 20Ha. iii) Report writing (Physical and financial) 2nd Disbursement i) Bee keeping 50 No hives ii) Community forest protection scout training of 6 members for 21 days iii) Report writing physical and financial	Completed 1st & 2nd tranche activities i) The nursery establishment has been completed with 150,000 seedlings. ii) Spring protection is completed. iii) Potting shed construction completed with 1no. tank 10 m3 in place iv) Maintenance of previously planted areas 20Ha done with 20,000 seedlings v) Report writing of physical and financial progress done. vi) Bee keeping 50 hives installed. vii) Community forest protection- 6 No. scouts trained for 21 days. viii) Utilization of savings.

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
7	MEFECAP CFA	Level 3	Meru	3,267,700.00	2,544,000	723,700.00	1,216,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Maintenance of previously planted areas of 20 Ha. ii) Capacity building of 15 No. scouts. iii) Training on tree nursery establishment for 45 CFA members for 4 days iv) Nursery establishment for 100,000 No seedlings v) Nature trail 2Km. vi) Report writing (Physical and financial) <p>2nd Disbursement (not disbursed)</p> <ul style="list-style-type: none"> i) Ecotourism - Camp site development. ii) Report writing physical and financial 	<p>WSTF towards seedlings production.</p> <p>ix) Fund Accountability statement and physical reports for tranche 2 activities submitted to WSTF.</p> <p>Completed 1st tranche activities, 2nd tranche activities on going.</p> <ul style="list-style-type: none"> i) Accounted for 52.29% of total funds disbursed. ii) Maintenance of previously planted areas 20 Ha, 20,000 seedlings. iii) Capacity building - 30 No. scouts training for 21 days, iv) Training on tree nursery establishment for 45 CFA members for 4 days done v) 130,000 seedlings production done vi) Nature trail put on hold until relevant permits are granted by KFS. vii) Eco-tourism camp site and Nature trail establishment delayed due to permits from the KFS. viii) Pending Fund Accountability statement & physical report for 2nd tranche activities (47.72%)
8	Othaya CFA Zuti	Level 3	Nyeri	3,542,600.00	2,750,400.00	812,200.00	364,000.00	0.00	<p>1st Disbursements</p> <ul style="list-style-type: none"> i) 10,000 No. Seedlings production including fencing and water tank ii) Capacity building training of 15 No. Scouts. iii) Maintenance of previously planted areas 10 Ha. iv) Report writing (Physical and financial) <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Forest road improvement 66km ii) Report writing (Physical and financial) 	<p>Completed 1st & 2nd tranche activities are ongoing.</p> <ul style="list-style-type: none"> i) Accounted for 78.26% of total funds disbursed. ii) Maintenance of previously planted areas 10ha, 10,000 seedlings. iii) Setting up of tree nursery going, 24,000 seedlings produced. iv) Forest road improvement 18 Kilometers done. v) Fund accountability statement and physical reports for tranche 2 activities pending 21.74% vi) Community contribution not yet

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
9	Kabaru CFA	Level 3	Nyeri	2,733,050.00	1,321,050.00	1,412,000.00	806,000.00	0.00	<p>1st Disbursement</p> <p>i) Tree seedlings production 100,000 seedlings and water supply.</p> <p>ii) Report writing Physical and Financial.</p> <p>2nd Disbursement</p> <p>i) Maintenance of previously planted sites 30 Ha</p> <p>ii) Report writing physical and financial</p>	<p>Completed 1st tranche activities. 2nd tranche activities ongoing.</p> <p>i) Accounted for 48.34% of the total funds disbursed.</p> <p>ii) Modern tree nursery established with current stock of over 840,000 seedlings. The CFA transplanted 369,200 seedlings and gave out 74,000 seedlings to the community for on farm planting.</p> <p>iii) Beating up and spot weeding 100% completed.</p> <p>iii) Pending accounting of 51.67% of the total funds disbursed plus physical reports.</p> <p>iv) Community contribution not yet captured</p>
10	Kabage CFA	Level 3	Nyeri	2,843,800.00	1,618,900.00	1,224,900.00	739,200	0.00	<p>1st Disbursement</p> <p>i) Bee keeping- 3 No. apiary (100 langstroth bee hive).</p> <p>ii) Forest protection and conservation in all stations (6No.scouts training for 21 days and patrol).</p> <p>iii) Exchange tour to Kamuguru catholic farm Embu - 35 No. members for one day.</p> <p>i) Report writing Physical and financial.</p> <p>2nd Disbursement</p> <p>i) Fish farming tilapia and mudfish- 2No. New fish ponds and 3No. old ponds at Kahigaini 1000 fingerlings each</p> <p>ii) Forest road improvement 11Km</p> <p>iii) Report writing physical and financial</p>	<p>Completed 1st & 2nd tranche activities are ongoing.</p> <p>i) Accounted for 70.30 % of total funds disbursed.</p> <p>ii) Exchange visit to Kamuguru catholic farm undertaken- 35 No. members for one day. Topics covered included bee keeping and apiary management.</p> <p>iii) Bee keeping- 3 No. apiary (100 langstroth bee hive) procured and installed.</p> <p>iv) Community contribution not yet recorded.</p> <p>i) Fish pond installation done with 1000 fingerlings.</p> <p>ii) Forest clearing for improvement 11 kilometers done.</p> <p>iii) Pending 29.70% Fund Accountability Statement & physical report for tranche 2 activities.</p>

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
11	Chogoria CFA	Level 3	Tharaka Nithi	2,627,750.00	1,552,950.00	1,074,800.00	327,500	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Fish farming - 2No. Trout fish ponds 1400 fingerlings each. ii) Maintenance of previously planted areas 10Ha. iii) Report writing (Physical and financial) <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Establishment of Chogoria tented camp, nature trails and picnic site. Report writing physical and financial 	<p>Completed 1st tranche activities, 2nd tranche activities yet to start</p> <ul style="list-style-type: none"> i) Construction of the 2 fish ponds completed ii) Maintenance of the previous areas not yet started iii) Delayed implementation of some of CFA activities due to a change in CFA account details however the issue has been sorted iii) Community contribution not yet captured iv) Pending submission of tranche 1 Fund accountability statements and final physical reports <p>i) Tranche 2 funds pending.</p> <ul style="list-style-type: none"> ii) Establishment of Chogoria tented camp, nature trails and picnic site has stalled due to delayed approvals from KFS
12	Nyambene CFA	Level 3	Meru	4,765,500.00	3,014,000.00	1,751,500.00	846,300.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Tree nursery establishment • Nursery establishment 50,000 seedlings • Nursery fencing ii) Bee keeping • Purchase and installation of 200 bee hives • Capacity building of 50 CFA members on bee keeping iii) Maintenance of previously planted areas 20Hectares through planning of 20,000 seedlings iv) Report writing physical and financial <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Community Forest Protection 15No. Scouts training for 21 days ii) Bee keeping 200 No hives 	<p>Completed 1st & 2nd tranche activities are ongoing-</p> <ul style="list-style-type: none"> i) Accounted for 60.77% of total funds disbursed ii) Nursery with 49,000 seedlings iii) Bee keeping 200 bee hives purchased and installed • Capacity building of 50 CFA members done on bee keeping iv) Maintenance of 20 Hectares done including planting of 20,000. v) Report writing of physical and financial progress done. iv) Community contribution not yet recorded. ii) installation of 200 Bee keeping completed. iv) Pending 39.23% Fund Accountability statement and physical reports for 2nd

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
13	Lower Imenti CFA	Level 2	Meru	2,512,850.00	1,315,850.00	1,197,000.00	1,067,250.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Bee keeping • Procurement of 150 No. Beehives • Training of 30 members on bee keeping ii) Maintenance of previously planted areas of 5 Hectares iii) Report writing physical and financial 	<p>Completed 1st tranche activities, 2nd tranche activities ongoing.</p> <ul style="list-style-type: none"> i) Accounted for 52.19% of the total funds disbursed ii) 150 bee hives purchased and installed iii) Capacity building of 35 CFA members on bee keeping done iv) Maintenance of previously planted areas 5Ha complete v) Maintenance of 5 Hectares done including planting of 5,000 indigenous seedlings. vi) To submit Progress report covering all tranche 1 activities vii) Community not yet recorded
14	Kimakia CFA	Level 3	Murang'a	3,356,750.00	2,441,100.00	915,650.00	240,000.00	0.00	<p>2nd Disbursement</p> <ul style="list-style-type: none"> i) 100,000 No. seedling production ii) Agroforestry support 1040 Macadamia iii) Report writing physical and financial <p>1st Disbursement</p> <ul style="list-style-type: none"> i) Training 12 No scouts ii) Training on fish farming iii) Fish farming- trout 600 No iv) Trout fingerlings Establishment of 4Km nature trail and training of 6 guides v) Report writing physical and financial 	<p>1st tranche activities stalled due to audit issues & the CFA is yet to receive 2nd tranche funding.</p> <ul style="list-style-type: none"> i) Training 12 No scouts for 6 days done for 21 days. ii) Training on fish farming for 14 CFA members for 5 days was done. iii) Fish farming- Establishment of 4 no. fishponds was done, each pond with 1500 no. fingerlings. iv) In establishment of 4Km nature trail and training of 6 guides, Bush clearing was done. v) Branding not yet done and the eco site hand books yet to be delivered despite 50% down payment having been made. vi) WSTF still engaging

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
15	Ntirimaka CFA	Level 3	Meru	3,199,850.00	1,968,850.00	1,231,000.00		0.00	<p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Bee keeping 3 apiaries 150 hives ii) Report writing physical and financial 	<p>CFA management to address the raised audit issues.</p> <p>v) Community contribution not yet recorded.</p>
									<p>1st Disbursement</p> <ul style="list-style-type: none"> i)Nursery establishment •10,000 No seedling production •Fencing of the nursery area •Purchase and installation of a 10m3 tank •Purchase of assorted nursery equipment ii)Maintenance of previously planted areas 20Hectares iii)Forest protection through capacity building 15No. Community scouts for 21 days iv)Report writing (Physical and financial) 	<p>Completed 1st tranche activities. 2nd tranche activities ongoing.</p> <ul style="list-style-type: none"> i)Accounted for 47.35% of total disbursed funds ii)Nursery establishment •5,400 seedling potted •Fencing of the nursery area done with a gate •10m3 tank purchased and installed iii)27 Hectares of the previously planted area maintained through planting of 27,000 seedlings and related activities. iv)Capacity building of 15 Community scouts for 21 days done. v)Pending accounting of 52.62% of the total funds disbursed plus physical reports. w)Community contribution not yet recorded.
									<p>2nd Disbursement</p> <ul style="list-style-type: none"> i)Capacity building 30 members on bee keeping and Bee keeping - 150 langstroth bee hives and harvesting equipment and installation ii)Report writing physical and financial 	<ul style="list-style-type: none"> i) Bee keeping - 150 langstroth bee hives and harvesting equipment and Capacity building 30 No. Members on bee keeping done ii)Pending submission of final Fund Accountability statement and progress report.

PROJECTS IMPLEMENTATION STATUS - UTaNRMP COMMUNITY FOREST ASSOCIATIONS PROJECTS CALL 3, BATCH I

No	Project name	Category	County	WSTF commitment (Ksh)	Disbursed funds – Ksh	Balance Ksh	CFA support contract – Ksh	CFA support Actual – Ksh.	Scope of Funded Activities	Completion Status
1	Kathandini (South East Mount Kenya Forest Ng'arima Association CFA	Level 3	Kirinyaga	2,713,800.00	1,312,600.00	1,401,200	770,000.00	0.00	<p>1st Disbursement Agroforestry support- nature base livelihoods; i) Purchase and planting 3,000 Avocado seedlings ii) Purchase and planting 2,000 fodder seedlings. iii) Maintenance of previously planted areas 10 Ha. iv) Report writing- physical and financial</p> <p>2nd Disbursement i) Community forest protection scout training of 20 No. Scouts for 21 days. ii) Seedling production 10,000 No bamboo seedlings iii) Report writing physical and financial.</p>	<p>Completed 1st tranche activities.</p> <ul style="list-style-type: none"> Accounted for 48.00% of total funds disbursed. Procurement of 3,000 Avocado for agroforestry, distributed and planted by farmers. 3,334 fodder seedlings distributed to farmers Maintenance of previously planted area of 10 hectares done with 10,000 seedlings planted, spot weeding and creper cutting completed. Additional 3Ha done as community contribution <p>Tranche 2-</p> <ul style="list-style-type: none"> 10,000 No seedlings produced and planted with 85% survival rate. 1 No. green house (gauge 10) and a 10m.5 tank done. Community Forest protection - scout training of 20 members partially done for 2 days (induction) due to limited budget. The rest being undertaken by the forester during forest patrols as community contribution. Fund accountability statement and physical report for tranche 2 activities pending. Community contribution stands at Ksh. 37,500 (25 % of the targeted contribution)
2	Kangaita CFA	Level 3	Kirinyaga	4,753,309.00	3,366,800.00	1,386,509.00	750,000.00	0.00	<p>1st Disbursement i) Seedling production and agroforestry; 2,000 grafted tree tomato seedlings, 1,000 Hass avocados and 1,000 yellow passion. ii) Bamboo seedling production 10,000 iii) Agroforestry support -nature base livelihoods 900No. Macadamia seedlings iv) Planting 20 Ha with 1,000 bamboo seedlings v) Physical and financial report writing</p> <p>2nd Disbursement i) Maintenance of previously planted areas 10 Ha, ii) Community scout training of 10 scouts for 21 days iii) Conservation of shrine iv) Report writing Physical and Financial</p>	<p>Completed 1st tranche activities.</p> <p>2nd tranche activities ongoing</p> <ul style="list-style-type: none"> Accounted for 70.81% of total disbursed funds. Seedling production and agroforestry; 2,000 grafted tree tomato seedlings, 1,000 Hass avocados and 1,000 yellow passion in green house done Bamboo seedlings production (10,000 seedlings) undertaken. Agroforestry support -nature base livelihoods, 900No. Macadamia seedlings distributed to farmers Planting 20 Ha with 1,000 bamboo seedlings done Contract extension request approved by WSTF <p>Tranche 2.</p> <ul style="list-style-type: none"> Training of 10 scouts (2 women and 8 men)

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
3	Njukini West CFA	Level 3	Kinnyaga	3,508,000	2,691,000.00	817,000.00	953,200.00	0.00	<p>1st Disbursement</p> <p>i) Agroforestry support - 8000No. Avocado seedlings.</p> <p>ii) 1No. Green house and 10,000No. Bamboo seedlings production.</p> <p>iii) Capacity building - 45No. CFA members on bamboo propagation.</p> <p>iv) Report writing physical and financial</p> <p>2nd Disbursement</p> <p>i) Capacity building 20No. community scouts</p> <p>ii) Report writing physical and financial</p>	<ul style="list-style-type: none"> Rehabilitation of forest 10 haeters, planted 10,000 seedlings on 40 haeters. The community contribution achieved and recorded is Ksh. 1,336,500 derived from unskilled, skilled labour and seedlings donation. Reporting – financial and physical done. <p>Completed 1st tranche activities. 2nd tranche activities ongoing:</p> <ul style="list-style-type: none"> Accounted for 76.74% of total funds disbursed. Agroforestry support - 8000 Avocado seedlings distributed to 800 families and already transplanted. 1No. Green house construction done and 10,000No. Bamboo seedlings production done. Capacity building on bamboo propagation done – 20 CFA members in two groups with 3 days per group Report writing on physical and financial progress done The current CFA contribution stands at Ksh. 50,000 (42.01 % of the targeted contribution) 20 No. community scouts trained for 21 days CFA has submitted both financial and technical reports to Water Fund.
4	Castle CFA	Level 3	Kinnyaga	1,887,600.00	1,302,600.00	585,000.00	875,560.00	0	<p>1st Disbursement</p> <p>i) Bamboo seedlings production 10,000 No. and greenhouse construction.</p> <p>ii) Maintenance of previously planted areas 10Ha.</p> <p>iii) Report writing physical and financial</p> <p>2nd Disbursement</p> <p>i) Livelihood activity; planting of 8000 tree tomato fruits.</p> <p>ii) Report writing physical and financial</p>	<p>Completed 1st tranche activities & 2nd tranche activities</p> <ul style="list-style-type: none"> Accounted for 100 % of total funds disbursed. Bamboo seedlings production 10,000 No. and greenhouse construction done. Maintenance of previously planted areas 20Ha done. 10 Ha 10,000 seedlings by WSTF Funds and 10 Ha by community contribution (spot weeding, creper cutting, pitting, planting) Purchased and planted 8,000 tree tomato trees as per target. Final Fund Accountability statement and report for tranche 2 activities submitted. Community contribution not yet captured.
5	Wanjere CFA	Level 2	Murang'a	1,190,000.00	1,170,000	20,000.00	0.00	0.00	<p>1st Disbursement</p> <p>i) Seedling production- 100,000 seedlings, planning meeting/ sensitization and</p>	<p>Completed 1st & 2nd tranche activities.</p> <ul style="list-style-type: none"> Training on tree nursery establishment done for 15 members for 2 days.

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
							364,000		ii) Training on production establishment 15 No. members for 2 No. days. iii) Report writing (physical and financial) 2nd Disbursement Report writing (Physical and financial)	<ul style="list-style-type: none"> The establishment of a tree nursery to hold 103,400 seedlings is ongoing with filling tubes with soil ongoing. 23,000 seedlings produced Community contribution of Ksh. 490,000(96.08%) derived from weeding, site clearing, fertilizer application and watering. Fund Accountability statement and physical reports for tranche 2 activities submitted to WSTF.
6	New Njukiiri Muungano CFA	Level 3	Embu	3,197,015.00	2,323,315.00	873,700.00	692,740.00	0.00	1st Disbursement i) Seedling production with 150,000 No. indigenous Seedlings. ii) Maintenance of previously planted areas 20Ha. iii) Report writing (Physical and financial) 2nd Disbursement i) Bee keeping 50 No hives ii) Community forest protection scout training of 6 members for 21 days iii) Report writing physical and financial	Completed 1st & 2nd tranche activities- accounted for 100% of the funds disbursed. <ul style="list-style-type: none"> The nursery establishment has been completed with 150,000 seedlings. Spring protection is completed. Potting shed construction completed with 1no. tank 10 m3 in place Maintenance of previously planted areas 20Ha done with 20,000 seedlings Report writing of physical and financial progress done. Tranche 2- <ul style="list-style-type: none"> 50 No. bee hives purchased and installed. 10 already been colonized. Honey Value addition equipment - extractor, full honey harvesting suit/gear and smokers purchased 8No. community scouts trained for 21 days. The 2 additional scouts were trained through community contribution. The scouts supporting the CFAs ecotourism activities Full scouts' uniforms purchased and distributed to the 8No. scouts The current CFA contribution stands at Ksh. 115,200 (7.629 % of the targeted contribution). Derived from purchase of scouts boots and uniform for the 2 additional scouts, catcher box, fencing posts and metal racks. Fund Accountability statement and physical reports for tranche 2 activities submitted to WSTF.

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
7	MEFE-CAP CFA	Level 3	Meru	3,267,700.00	2,544,000	723,700.00	1,216,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Maintenance of previously planted areas of 20 Ha. ii) Capacity building of 15 No. scouts, iii) Training on tree nursery establishment for 45 CFA members for 4 days iv) Nursery establishment for 100,000 No seedlings v) Nature trail 2Km. vi) Report writing (Physical and financial) <p>2nd Disbursement (not disbursed)</p> <ul style="list-style-type: none"> i) Ecotourism - Camp site development. ii) Report writing physical and financial 	<p>Completed 1st tranche activities, 2nd tranche activities on going.</p> <ul style="list-style-type: none"> • Accounted for 52.29% of total funds disbursed. • Maintenance of previously planted areas 20 Ha, 20,000 seedlings. • Capacity building - 30 No. scouts training for 21 days, iv) Training on tree nursery establishment for 45 CFA members for 4 days done • 130,000 seedlings production done • Nature trail put on hold until relevant permits are granted by KFS. • Tranche 2. • Eco tourism development ongoing. All materials such as tents, solar lanterns and fencing materials purchased. Camp site - 5.003 acres to be set up and completed by end of July 2020 • Nature trail development ongoing. Activity had been earmarked for 1st tranche but required KFS approval which was issued on 25th May 2020. Only GPS mapping has been done. • Community contribution well captured. The current CFA contribution stands at Ksh. 41,050 (27.37%) • Pending Fund Accountability statement & physical report for 2nd tranche activities (47.72%)
8	Othaya Zuti CFA	Level 3	Nyeri	3,542,600.00	2,730,400.00	812,200.00	364,000.00	0.00	<p>1st Disbursements</p> <ul style="list-style-type: none"> i) 10,000 No. Seedlings production including fencing and water tank ii) Capacity building training of 15 No. Scouts. iii) Maintenance of previously planted areas 10 Ha. iv) Report writing (Physical and financial) <p>2nd Disbursement</p> <ul style="list-style-type: none"> • Forest road improvement 66km • Report writing (Physical and financial) 	<p>Completed 1st & 2nd tranche activities are ongoing.</p> <ul style="list-style-type: none"> • Accounted for 78.26% of total funds disbursed. • Maintenance of previously planted areas 10ha, 10,000 seedlings. • Setting up of tree nursery going, 24,000 seedlings produced. • Forest road improvement of 33 Kilometers done. • Fund accountability statement and physical reports for tranche 2 activities pending 21.74% • Community contribution not yet captured
9	Kabaru CFA	Level 3	Nyeri	2,733,050.00	1,321,050.00	1,412,000.00	806,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> iii) Tree seedlings production 100,000 seedlings and water supply. iv) Report writing Physical and Financial. 	<p>Completed 1st tranche activities. 2nd tranche activities complete except final reports.</p> <ul style="list-style-type: none"> • Accounted for 48.34% of the total funds disbursed. • Modern tree nursery established with 100,000 seedlings and an

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
									<p>2nd Disbursement</p> <p>i) Maintenance of previously planted sites 30 Ha</p> <p>ii) Report writing physical and financial</p>	<p>additional 700,000 as community contribution</p> <ul style="list-style-type: none"> Fencing of 1 Hectare complete with 2 metallic gates (1 being community contribution) Forest rehabilitation- The CFA rehabilitated 10 hectares by planting 35,000 seedlings (survival rate 95%) Branding done as part of community contribution and report written and shared. Community contribution of Ksh 907,351 (117.5%) well captured. Pending accounting of 51.67% of the total funds disbursed plus physical reports. Community contribution not yet captured.
10	Kabbage CFA	Level 3	Nyeri	2,843,800.00	1,618,900.00	1,224,900.00	739,200	0.00	<p>1st Disbursement</p> <p>i) Bee keeping- 3 No. apiary (100 Langstroth bee hive). Forest protection and conservation in all stations (6No.scouts training for 21 days and patrol).</p> <p>ii) Exchange tour to Kamuguru catholic farm Embu - 35 No. members for one day.</p> <p>iii) Report writing Physical and financial.</p>	<p>Completed 1st & 2nd tranche activities are ongoing.</p> <ul style="list-style-type: none"> Accounted for 70.30 % of total funds disbursed. Exchange visit to Kamuguru catholic farm undertaken- 35 No. members for one day. Topics covered included bee keeping and apiary management. Bee keeping- 3 No. apiary (100 Langstroth bee hive) procured and installed.
11	Chogoria CFA	Level 3	Tharaka Nithi	2,627,750.00	1,552,950.00	1,074,800.00	327,500	0.00	<p>1st Disbursement</p> <p>i) Fish farming - 2No. Trout fish ponds 1400 fingerlings each.</p> <p>ii) Maintenance of previously planted areas 10Ha.</p> <p>iii) Report writing (Physical and financial)</p>	<p>Completed 1st tranche activities, 2nd tranche activities yet to start.</p> <ul style="list-style-type: none"> 2No. fish ponds of 6.5m diameter installed with 1,500 trout fish fingerlings in each pond. The activity is complete however the pond intake is under rehabilitation. Procurement flaws noted in acquiring workmanship for the fish pond construction. Beating up done over an area of 20Ha and 10,000 seedlings planted 80% survival rate. Community contribution not well captured. Pending submission of tranche 1 Fund accountability statements and final physical reports
12	Nyambene CFA	Level 3	Meru	4,765,500.00	3,014,000.00	1,751,500.00	846,300.00	0.00	<p>2nd Disbursement</p> <p>i) Establishment of Chogoria tented camp, nature trails and picnic site</p> <p>ii) Report writing physical and financial</p>	<p>Tranche 2 funds pending.</p> <p>Establishment of Chogoria tented camp, nature trails and picnic site has stalled due to delayed approvals from KFS</p>
									<p>1st Disbursement</p> <p>i) Tree nursery establishment</p> <p>ii) Nursery establishment 50,000 seedlings</p> <p>iii) Nursery fencing</p> <p>iv) Bee keeping including Purchase and installation of 200</p>	<p>Completed 1st & 2nd tranche activities are ongoing.</p> <ul style="list-style-type: none"> Accounted for 60.77% of total funds disbursed Nursery with 49,000 seedlings Bee keeping 200 bee hives purchased and installed

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
							846,300.00		<p>bee hives, capacity building of 50 CFA members on bee keeping</p> <p>v) Maintenance of 20 Hectares done including planting of 20,000 seedlings through physical and financial</p> <p>vi) Report writing physical and financial</p> <p>2nd Disbursement</p> <p>i) Community Forest Protection 15No. Scouts training for 21 days</p> <p>ii) Bee keeping 200 No hives</p> <p>iii) Report writing physical and financial</p>	<ul style="list-style-type: none"> Capacity building of 50 CFA members done on bee keeping Maintenance of 20 Hectares done including planting of 20,000. 100 No hives were procured including harvesting and processing equipment. However only 80 bee hives have been installed the extra 20 will be installed after construction of the shade within the forest station. 15No. Scouts trained for 21 days. The CFA was unable to buy the complete set of scouts' uniform due to a limited budget and intend to do so upon request and approval of utilization of savings. The current CFA contribution stands at Ksh. 138,420 (247.18 % of the targeted contribution) from forest rehabilitation in terms of labour. Pending 39.23% Fund Accountability statement and physical reports for 2nd tranche submission.
13	Lower Imenti CFA	Level 2	Meru	2,512,850.00	1,315,850.00	1,197,000.00	1,067,250.00	0.00	<p>1st Disbursement</p> <p>i) Bee keeping</p> <p>ii) Procurement of 15/No. Beehives</p> <p>iii) Training of 30 members on bee keeping</p> <p>iv) Maintenance of previously planted areas of 5 Hectares</p> <p>v) Report writing physical and financial</p> <p>2nd Disbursement</p> <p>i) 100,000 No. seedling production</p> <p>ii) Agroforestry support 1040 Macadamia</p> <p>iii) Report writing physical and financial</p>	<p>Completed 1st tranche activities.</p> <p>2nd tranche activities ongoing.</p> <ul style="list-style-type: none"> Accounted for 52.19% of the total funds disbursed 150 bee hives purchased and installed Capacity building of 35 CFA members on bee keeping done Maintenance of previously planted areas 3Ha complete Maintenance of 5 Hectares done including planting of 5,000 indigenous seedlings. To submit Progress report covering all tranche 1 activities Community not yet recorded Seedling production achieved 65,000 seedlings. 35,000 seedlings to be potted by mid-July, 2020 CFA procured 2080 Hass avocados for 4 beats. No approval sought for change from macadamia to avocado Community contribution not comprehensively captured. Pending accounting of 47.81% of the total funds disbursed.

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
14	Kimakia CFA	Level 3	Murang'a	3,356,750.00	2,441,100.00	915,650.00	240,000.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Training 12 No scouts ii) Training on fish farming iii) Fish farming- trout 600 No Trout fingerlings iv) Establishment of 4Km nature trail and training of 6 guides v) Report writing physical and financial 	<ul style="list-style-type: none"> • Training of scouts 13 for 13 days. • Training of 6 No. tour guides. • Nature trail clearing 7 Kilometers • Training of farmers on trout fish farming. • Excavation of 14 m x 14 m fish pond • Audit issue addressed and CFA cleared to proceed. Contract extension issued. • Excavation of 14 m x 14 m fish pond • Clearing of vegetation has • Community contribution not yet recorded.
									<p>2nd Disbursement</p> <p>Bee keeping 3 apianes 150 hives</p> <p>Report writing physical and financial</p>	<p>2nd tranche disbursement pending</p> <p>CFA management addressing the raised audit issues</p>
									<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Nursery establishment with 10,000 No seedlings. ii) Fencing of the nursery area iii) Purchase and installation of a 10m³ tank iv) Purchase of assorted nursery equipment v) Maintenance of previously planted areas 20Hectares vi) Forest protection through capacity building 15No. Community scouts for 21 days vii) Report writing (Physical and financial) 	<p>Completed 1st tranche activities.</p> <p>2nd tranche activities ongoing.</p> <ul style="list-style-type: none"> • Accounted for 47.5% of total disbursed funds • Capacity building done for 15. Scouts for 21 days. • Forest roads improvement done for 8km, included bush clearing, levelling and excavation • Nursery establishment with 5,400 seedling potted, fencing of the nursery area done with a gate • 10m³ tank purchased and installed • 32 Hectares of the previously planted area maintained through planting of 30,000 seedlings and related activities. Survival rate estimate 75%. • Capacity building of 15 Community scouts for 21 days done. • Pending accounting of 52.62% of the total funds disbursed plus physical reports. • The CFA Community contribution stands at Ksh. 6,000 (100 % of the targeted contribution derived from hall hire to train participants on bee keeping.
15	Nitimaka CFA	Level 3	Meru	3,199,850.00	1,968,850.00	1,231,000.00	688,500.00	0.00	<p>2nd Disbursement</p> <p>Capacity building 30 members on bee keeping and Bee keeping - 150 langstroth bee hives and harvesting equipment and installation</p> <p>Report writing physical and financial</p>	<ul style="list-style-type: none"> • 125 No hives procured and installed instead of 150. Due to high timber prices. 31No. Bee hives have been colonized • 3 honey harvesting equipment procured. 2 Manual and 1 electric • Capacity building of 30 members on bee keeping was done. Report available • Pending submission of final Fund Accountability statement and progress report.

PROJECTS IMPLEMENTATION STATUS – IFAD COMMUNITY FOREST ASSOCIATIONS PROJECTS CALL 3 BATCH II

No	Project name	Category	County	WSTF commitment (Ksh)	Disbursed funds – Ksh	Balance Ksh	CFA support contract – Ksh	CFA support Actual – Ksh.	Scope of Funded Activities	Completion Status
1	Naromoru (Mount Kenya West)	Level 3	Nyeri	1,204,000.00	1,184,000.00	20,000.00	772,500.00	0.00	<p>1st Disbursement On farm tree planting 90,000No fruit and indigenous seedlings</p> <p>2nd Disbursement Report writing (physical and financial)</p>	<p>Tranche 1 activities ongoing:</p> <ul style="list-style-type: none"> A total of 90,000 fruit and indigenous trees planted through 184 (130 male & 45 Female) beneficiaries Community contribution of Ksh 757,700 (98.08%) on labour and tree planting well captured. Reporting done <p>Tranche 1 activities completed.</p> <ul style="list-style-type: none"> Improvement of 3 Km of forest road from block 3 to block 2 through Road clearing for 2 days, Construction of 2 road culverts drain of surface runoff captured Community contribution was not captured Tranche 2. Tranche 2 funds disbursed- Ksh. 978,000.00, activities ongoing.
2	Ragati	Level 3	Nyeri	1,749,550	771,550.00	978,000 .00	300,000.00	0.00	<p>1st Disbursement i) Forest roads improvement - (Block3 to Block 2) 3km; ii) Report writing Physical and financial.</p> <p>2nd Disbursement i) Capacity building - Community scouts (20No.) ii) Report writing Physical and financial.</p>	<p>Tranche 1 activities Completed.</p> <ul style="list-style-type: none"> Purchased and planted 5,500 seedlings Horbce compartment 4 covering 28 Hectares Branding of 2 sites; pruning and rehabilitation done as planned iii)Community contribution of Ksh 53,500 (100%) well captured Reporting done and submitted to Water Fund. <p>Tranche 2. Tranche 2 Funds disbursed Ksh. 635,000.00 and activities ongoing.</p>
3	Hombe	Level 3	Nyeri	1,176,250.00	541,250.00	635,000	153,500.00	0.00	<p>1st Disbursement Maintenance of planted areas - 28Ha</p> <p>2nd Disbursement i) Forest Protection - training of 10No. Scouts. ii) Branding & Report Writing (Physical & Financial).</p>	<p>Tranche 1 activities Completed.</p> <ul style="list-style-type: none"> Purchased and planted 5,500 seedlings Horbce compartment 4 covering 28 Hectares Branding of 2 sites; pruning and rehabilitation done as planned iii)Community contribution of Ksh 53,500 (100%) well captured Reporting done and submitted to Water Fund. <p>Tranche 2. Tranche 2 Funds disbursed Ksh. 635,000.00 and activities ongoing.</p>
4	Chehe	Level 3	Nyeri	3,114,800.00	1,958,300.00	1,156,500.00	1,030,500	0.00	<p>1st Disbursement i) Indigenous seedling production 100,000 seedlings. ii) Construction of green house and propagation of 2000No. Bamboo seedlings. iii)Report writing (physical and financial).</p> <p>2nd Disbursement i) Training and equipping of community forest scouts (20 scouts for 21 days) ii) Report writing (physical and financial).</p>	<p>Tranche 1 activities ongoing:</p> <ul style="list-style-type: none"> Nursery establishment ongoing with 100,000 polytubes potted with forest soil and 30,000 seedlings pricked out. Branding for nursery and Green house done at 2 sites (Main road and on situ)- Branding to be redone with encryption expected to be permanent 3.Green house materials procurement ongoing 5. Community contribution of Ksh 121,850,000 (56.02 %) achieved. <p>Tranche 1 activities ongoing. Training of 15 forest scouts for a period of 15 days in May, 2020 and issued with full scout uniform. Procurement and installation of 2 greenhouses done. Planting of Bamboo seedlings in on-going.</p>
5	Zaina	Level 3	Nyeri	1,677,000	1,657,000.00	20,000.00	112,500.00	0.00	<p>1st Disbursement i) Capacity building 15No. Scouts for 21 days. ii) 1No. Greenhouse with 10,000 bamboo seedlings. iii) Report writing (physical and financial).</p>	<p>Tranche 1 activities ongoing. Training of 15 forest scouts for a period of 15 days in May, 2020 and issued with full scout uniform. Procurement and installation of 2 greenhouses done. Planting of Bamboo seedlings in on-going.</p>

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
6	Mt Kenya East Chuka	Level 3	Tharaka Nithi	754,000.00	734,000.00	20,000.00	325,500.00	0.00	<p>2nd Disbursement Report writing (physical and financial)</p> <p>1st Disbursement i) Planning meeting ii) Seedling production- 100,000 seedlings. iii) Maintenance of previously planted areas 5 Ha iv) Report Writing Physical and financial</p> <p>2nd Disbursement Report Writing Physical and financial</p>	<ul style="list-style-type: none"> CFA has not achieved expected community contribution. iv) Reporting for April and May done and shared with Water Fund <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> Seedling production is ongoing. Maintenance of previously planted seedlings on the previously rehabilitated site and 1,000 seedlings on a new site with approximately 95% survival rate
									<p>1st Disbursement i) Forest protection - training of 30 No. Scouts. ii) Maintenance of planted areas (10 Ha) iii) Branding & Report Writing (Physical & Financial)</p> <p>2nd Disbursement -NIL</p> <p>1st Disbursement i) Maintenance of previously planted areas (10Ha) and Githika Riverine Conservation. ii) Trout fish farming 2 fish ponds 1400 fingerlings each iii) Report writing (Physical and financial)</p> <p>2nd Disbursement i) Continuation of tranche 1- Trout fish farming 2 fish ponds 1400 fingerlings each ii) Report writing (Physical and financial)</p>	<ul style="list-style-type: none"> Training of 15 scouts has not been done. Procurement process of the facilitators ongoing. Beating up done -5,000 seedlings planted on over a 10Ha area with a 90% survival rate. <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> Maintenance of previous planted areas 10 hectares- procured and planted 12,200 of indigenous seedlings Riverine conservation – procured and planted 6,250 Bamboo seedlings. Fish farming (trout) procured contractor, excavation and construction of the 2 fish ponds is ongoing. Community contribution not well captured. Report writing - physical and financial preparation on going.
7	Kiera Hills	Level 3	Tharaka Nithi	1,319,923.00	1,210,538.00	109,385.00	305,000.00	0.00	<p>1st Disbursement i) Forest protection - training of 30No. Scouts. ii) Maintenance of planted areas (10 Ha) iii) Branding & Report Writing (Physical & Financial)</p> <p>2nd Disbursement -NIL</p> <p>1st Disbursement i) Maintenance of previously planted areas (10Ha) and Githika Riverine Conservation. ii) Trout fish farming 2 fish ponds 1400 fingerlings each iii) Report writing (Physical and financial)</p> <p>2nd Disbursement i) Continuation of tranche 1- Trout fish farming 2 fish ponds 1400 fingerlings each ii) Report writing (Physical and financial)</p>	<ul style="list-style-type: none"> Training of 15 scouts has not been done. Procurement process of the facilitators ongoing. Beating up done -5,000 seedlings planted on over a 10Ha area with a 90% survival rate. <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> Maintenance of previous planted areas 10 hectares- procured and planted 12,200 of indigenous seedlings Riverine conservation – procured and planted 6,250 Bamboo seedlings. Fish farming (trout) procured contractor, excavation and construction of the 2 fish ponds is ongoing. Community contribution not well captured. Report writing - physical and financial preparation on going.
									<p>1st Disbursement i) Seedling production - 100,000 seedlings. ii) Community mobilization and Capacity Building 50 CFA members for 2 days on seedling production; iii) Report writing (physical and financial) iv) Branding</p> <p>2nd Disbursement i) Continuation of tranche 1-Seedling production - 100,000 seedlings ii) Maintenance of previously rehabilitated areas (10 ha) iii) Report writing (physical and financial)</p>	<ul style="list-style-type: none"> Rehabilitation of previous planted areas 10 hectares by planting 10,000 seedlings of indigenous species Branding of site pending Nursery Establishment- Fencing, bush clearing, soil scooping, construction of standard seedbed and purchase of tools done. Community contribution of Ksh. 4,000 (10.66%) derived from bush clearing during nursery preparations. Reporting – financial and physical done and submitted to Water Fund
9	Kiambicho	Level 3	Murangá	1,776,800.00	1,071,650.00	705,150.00	149,000.00	0.00	<p>1st Disbursement i) Seedling production - 100,000 seedlings. ii) Community mobilization and Capacity Building 50 CFA members for 2 days on seedling production; iii) Report writing (physical and financial) iv) Branding</p> <p>2nd Disbursement i) Continuation of tranche 1-Seedling production - 100,000 seedlings ii) Maintenance of previously rehabilitated areas (10 ha) iii) Report writing (physical and financial)</p>	<ul style="list-style-type: none"> Rehabilitation of previous planted areas 10 hectares by planting 10,000 seedlings of indigenous species Branding of site pending Nursery Establishment- Fencing, bush clearing, soil scooping, construction of standard seedbed and purchase of tools done. Community contribution of Ksh. 4,000 (10.66%) derived from bush clearing during nursery preparations. Reporting – financial and physical done and submitted to Water Fund
									<p>1st Disbursement i) Seedling production - 100,000 seedlings. ii) Community mobilization and Capacity Building 50 CFA members for 2 days on seedling production; iii) Report writing (physical and financial) iv) Branding</p> <p>2nd Disbursement i) Continuation of tranche 1-Seedling production - 100,000 seedlings ii) Maintenance of previously rehabilitated areas (10 ha) iii) Report writing (physical and financial)</p>	<ul style="list-style-type: none"> Rehabilitation of previous planted areas 10 hectares by planting 10,000 seedlings of indigenous species Branding of site pending Nursery Establishment- Fencing, bush clearing, soil scooping, construction of standard seedbed and purchase of tools done. Community contribution of Ksh. 4,000 (10.66%) derived from bush clearing during nursery preparations. Reporting – financial and physical done and submitted to Water Fund

No.	Project name	Category	Country	WSTF commitment (Ksh)	Disbursed funds (Ksh)	Balance (Ksh)	CFA support contract (Ksh)	CFA support Actual (Ksh)	Scope of funded activities	Completion status
10	Ruthumbi	Level 3	Meru	2,976,700.00	2,346,700.00	630,000.00	1,054,250.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Bee keeping 160 langstroth bee hives and a value addition equipment. ii) Maintenance of previously planted areas 20Ha iii) Capacity building - tree nursery management and bee keeping 30No. Members for 5 days. iv) Report writing (Physical and financial) <p>2nd Disbursement</p> <p>100,000 seedlings production. Report writing done</p>	<ul style="list-style-type: none"> • Tranche 1 activities ongoing. • 150 beehives procured due to limited budget. The 150 beehives to be distributed 6No. Bees. The activity is ongoing 75 No bee hives have been installed for 3 beats. • 3 sets of honey harvesting equipment have been procured. This will be shared among the 3 beats. • Maintenance of previously planted areas done by planting 10,000 seedlings over 10 hacters. Spot weeding ongoing.
11	Mweru Biankure Hill Forest Association	Level 3	Meru	2,277,850.00	1,609,500.00	668,350.00	1,627,500.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Capacity building 30No. Community scouts. ii) Bee keeping 50No. Hives iii) Maintenance of previously planted areas 5Ha. iv) Forest roads improvement v) Capacity building 15No. Members for 3 days on Bee keeping and seedling production. vi) Report writing (Physical and financial) <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Seedlings production 87,500No. ii) Report writing (Physical and financial) 	<ul style="list-style-type: none"> • Tranche 1 activities ongoing. • 150 beehives procured due to limited budget. The 150 beehives to be distributed 6 No. beats. The activity is ongoing 75 No bee hives have been installed for 3 beats. • 3 sets of honey harvesting equipment have been procured. This will be shared among the 3 beats. • Capacity building on tree nursery management and bee keeping to be undertaken in mid-July, 2020 • Maintenance of previously planted areas by planting 10,000 seedlings over a 10Ha area. Spot weeding ongoing within the same area <p>Tranche 1 activities completed.</p> <ul style="list-style-type: none"> • 447 families supported with 20 Hass avocado seedlings each. • Maintenance of 5Ha of previously planted site done with 5,000 seedlings. Survival rate was approximately 70% • The current CFA contribution stands at Ksh. 81,600 (42.39% of the targeted contribution). Cash for technical supervisor during agroforestry support. <p>Tranche 2</p> <ul style="list-style-type: none"> • Tranche 2 funds disbursed Ksh. 839,340,00, activities are ongoing <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> • Road maintenance done for 12.8 Km due to limited budget- included bush clearing and excavation. • 200 No. bee hives procured. 120 installed and 80 ongoing. • iii) Training of 21 people on fish farming done in May, 2020 for 2 days • Fish pond has been excavated (7*40*1.5m) and lining installed
12	Murindako	Level 3	Kirinyaga	2,981,740.00	2,088,400.00	893,340.00	431,900.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Agro forestry support- 3400 grafted apple seedlings to 400 CFA families. ii) Maintenance of previously funded site 5Ha. 5,000 seedlings <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) 10 Gullies conservation with 2000 bamboo (5Ha). ii) Report writing (Physical and financial) 	<ul style="list-style-type: none"> • 447 families supported with 20 Hass avocado seedlings each. • Maintenance of 5Ha of previously planted site done with 5,000 seedlings. Survival rate was approximately 70% • The current CFA contribution stands at Ksh. 81,600 (42.39% of the targeted contribution). Cash for technical supervisor during agroforestry support. <p>Tranche 2</p> <ul style="list-style-type: none"> • Tranche 2 funds disbursed Ksh. 839,340,00, activities are ongoing <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> • Road maintenance done for 12.8 Km due to limited budget- included bush clearing and excavation. • 200 No. bee hives procured. 120 installed and 80 ongoing. • iii) Training of 21 people on fish farming done in May, 2020 for 2 days • Fish pond has been excavated (7*40*1.5m) and lining installed
13	Irangi	Level 3	Embu	4,044,710.00	3,169,910.00	874,800.00	522,661.00	0.00	<p>1st Disbursement</p> <ul style="list-style-type: none"> i) Road maintenance 32km. ii) Bee keeping 200No. Hives. iii) Fish farming 1No. Pond 1,400 fingerlings. iv) Report writing (Physical and financial) and branding. <p>2nd Disbursement</p> <ul style="list-style-type: none"> i) Training of 20 community scouts. ii) Reporting 	<ul style="list-style-type: none"> • 447 families supported with 20 Hass avocado seedlings each. • Maintenance of 5Ha of previously planted site done with 5,000 seedlings. Survival rate was approximately 70% • The current CFA contribution stands at Ksh. 81,600 (42.39% of the targeted contribution). Cash for technical supervisor during agroforestry support. <p>Tranche 2</p> <ul style="list-style-type: none"> • Tranche 2 funds disbursed Ksh. 839,340,00, activities are ongoing <p>Tranche 1 activities ongoing.</p> <ul style="list-style-type: none"> • Road maintenance done for 12.8 Km due to limited budget- included bush clearing and excavation. • 200 No. bee hives procured. 120 installed and 80 ongoing. • iii) Training of 21 people on fish farming done in May, 2020 for 2 days • Fish pond has been excavated (7*40*1.5m) and lining installed

WORKPLAN IMPLEMENTATION STATUS – EU EDE PROGRAMME

Goal Area Strategy	Activity	Output Indicator	Means of Verification/ Evidence	Unit Of Measure	Annual Target	Quarterly Targets and achievement																
						Q1 Target	Q1 Achieved	Variance	Q2 Target	Q2 Achieved	Variance	Q3 Target	Q3 Achieved	Variance	Q4 Target	Q4 Achieved	Variance					
Increase WSTF and DPs visibility and publicity	Production of WSTF Publications	No. of publications developed	Publications	No.	1	0	0	0	0	0	0	0	0	0	0	0	1	0	1			
	Carry out Visibility actions- Programme Launch, Branding and Marketing	No. of events raising WSTF & EU visibility	Event reports; Branding	No.	1	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0		
	Costs of workshops/ conferences/seminars	No. of workshops/conferences conducted	Events reports	No.	4	1	0	1	1	2	1	1	6	6	1	0	1	0	1	0		
	Finance Construction of Climate proofed water infrastructure- Support to WSPs	No. of Water Projects funded	No. of Water Projects funded	Contracts signed, disbursement memos	No.	8	0	0	0	8	8	0	0	0	0	0	0	0	0	0	0	
		No. of people reached	No. of people reached	Project Completion reports	No.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		No. of projects Branded	No. of projects Branded	Project Reports; Signage	No.	8	0	0	0	8	0	8	0	0	0	0	0	0	0	0	0	
		No. of Sanitation Projects funded	No. of Sanitation Projects funded	Contracts signed, disbursement memos	No.	8	0	0	0	8	8	0	0	0	0	0	0	0	0	0	0	
	Development and Implementation of unique standard investments projects	Finance Construction of Public Sanitation Facilities-Support to WSPs	No. of people reached	Project Completion reports	No.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		Financing to support Household Sanitation initiatives-CLTS and Sanitation Marketing	No. of projects Branded	Project Reports; Signage	No.	8	0	0	0	4	0	4	0	0	0	4	0	0	4	0	4	0
			No. of CLTS initiatives funded	Contracts signed, disbursement memos	No.	8	0	0	0	8	32	24	0	0	0	0	0	0	0	0	0	0
Finance Water Resources Management activities-Support to WRUAs		No. of WRM Projects funded	No. of WRM Projects funded	Contracts signed, disbursement memos	No.	8	0	0	0	0	0	0	0	0	0	0	0	0	8	0	8	0
	No. of projects Branded	No. of projects Branded	Project Reports; Signage	No.	8	0	0	0	0	0	0	0	0	0	0	0	0	8	0	0	0	
County Situation Assessments on pre-incident indicators (systems, operations, governance, GESI)	County Situation Assessments on pre-incident indicators (systems, operations, governance, GESI)	No. of assessments conducted	County Baseline Reports	No.	8	0	0	0	8	8	0	0	0	0	0	0	0	0	0	0	0	
	Facilitate Implementing agents in Proposal preparation process- Designs, EIAs and surveys	No. of proposals prepared	Project Proposals	No.	16	8	0	0	0	24	24	8	8	0	0	0	0	0	0	0	0	0
		Capacity building of counties and implementing partners	No. of workshops conducted	Workshop reports	No.	16	0	0	0	8	8	0	0	8	8	0	0	0	0	0	0	0
	Increase WSTF capacity to absorb funds	Facilitation of Programme Based Staff (gross salaries including social security charges and other related costs, local staff)	No. of Programme staff engaged	Staff appraisal forms	No.	4	4	4	0	4	4	0	4	4	0	4	0	4	5	1	1	1
Engagement of County Resident Monitors to support programme activities		No. of CRMs engaged per month	CRM reports	No.	8	8	0	8	8	0	8	8	0	8	0	8	0	8	8	0	0	0
Engagement of National Service Agents/Framework Consultants	Engagement of National Service Agents/Framework Consultants	No. of REs/SAs engaged per month	RE/SA reports	No.	4	4	0	4	4	4	4	5	1	5	1	5	5	0	0	0	0	0

Goal Area Strategy	Activity	Output Indicator	Means of Verification/ Evidence	Unit Of Measure	Annual Target	Quarterly Targets and achievement												
						Q1 Target	Q1 Achieved	Variance	Q2 Target	Q2 Achieved	Variance	Q3 Target	Q3 Achieved	Variance	Q4 Target	Q4 Achieved	Variance	
Enhancing the workplace environment (Space, equipment)	Internal Audits and follow-ups	No. of follow-up visits conducted	Audit follow-ups reports	per year	1	0	1	0	0	0	0	1	0	0	0	0		
	Office furniture - fixtures and fittings	Programme staff facilitated to implement C/PIRA activities	Office Expenses report	per year	1	0	0	0	0	0	0	3	0	0	0	0		
	ICT equipment-Laptops for Programme based and CRMs staff	Programme staff facilitated to implement C/PIRA activities	Office Expenses report	per year	12	12	12	12	12	12	12	12	0	1	2	0		
	ICT accessories-printers, photocopiers and repairs	Programme staff facilitated to implement C/PIRA activities	Office Expenses report	per year	1	1	0	0	0	0	0	3	0	0	0	0		
	ICT software/intangibles-CAD software subscriptions	Programme staff facilitated to implement C/PIRA activities	Office Expenses report	per year	1	1	0	0	0	0	0	3	0	0	0	0		
	Staff Training and Development	Programmes Staff Training	No. of programme staff trained	Staff training report	4	0	0	0	0	0	0	2	1	1	3	0	3	
	Monitoring of project Implementation	Monitoring and Evaluation of projects	No. of projects monitored	Monitoring reports	24	0	0	0	0	0	0	8	0	8	8	4	4	
	Enhance involvement in water resources and climate change mitigation	Financing of Research Initiative related to Climate Proofing	No. of research project funded	Research Study Report	1	0	0	0	0	0	0	0	0	0	1	0	1	
	Lobbying the government for increased Funding	Lobby County Governments to remit funds to projects in a co-funding arrangement	County Contribution to projects	Funds remitted to projects	K.shs(M)	87	0	0	0	44	0	44	0	36	8	7	10	3

PROJECT IMPLEMENTATION STATUS - EU EDE PROGRAMME

No.	County	Project Name	Project Scope	Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs)	%	
MEDIUM SIZED CLIMATE PROOFED WATER PROJECTS									
1	Baringo	Oj Arbal Water Project	Rehabilitation and upgrading of intake, construction of a water canal, a water sump, a 8" HDPE draw pipe, rehabilitation of treatment works, rehabilitation of scheme staff houses, rehabilitation of water laboratory, purchase of a new water pump set, installation of a solar power system, rehabilitation of an elevated backwash steel tank, installation of 31km HDPE pipeline, purchase of 203 water meters, construction of 5 standard cattle troughs, construction of 5no standard water kiosks and perimeter fencing of the treatment works.	18,225	69,365,592.00	0	9,017,576	13	The County has made their contribution of 13% to the project designated account. The design review is also complete. The project is now at tendering process.
2	Kajiado	Mashuru Water Project	Mashuru - Imaroro Gravity Main Line (6.9km, 6" HDPE), Mashuru - Imaroro Force Main Line (12.1km, 6" GI), 2No. Standard Ground Level Masonry Tanks 50m ³ , Pump Station, 3No. Standard Water Kiosks and 3No. Standard Cattle Troughs.	21,790	92,695,312.50	0	0	0	WSIF is yet to make disbursements since the County has not yet made advance 13% contribution. However, Review of Design report and preparation of tender documents are ongoing and almost complete.
3	Bamba Mngoni Mdoina Ndharako Water Project	Kilifi County	Pipeline extension of 52 km from Bamba to Kimarapera village, Mitangani, Midoina and Ndharako villages. This includes 16KM return line. Construction of a 100m ³ Sectional Galvanized Panel Water tank. Installation of solar panels and three vertical pumps of head 150m and all its accessories construction of a pump house 6m x6m and a store. Construction of 7 kiosks with an overhead tank of 5m ³ .	17,196	100,225,062.00	0	13,029,258	13	The County has released the 13% county contribution to the project designated account. Review of Design report and preparation of tender documents is complete. The project is now under procurement.
4	Nyangoro Maktau Water Project	Taita Taveta	3No. intake works, Collection chamber/s works, Transmission pipeline works. 100m ³ storage tank, 1km rising Main works. 28km main distribution pipeline from storage tank to Overseer tanks. Overseer tanks rehabilitation, Equipping of borehole, Water meter works. 7No. Water kiosk works, Scheme office block works	9,454	88,616,120.00	0	11,520,095	13	The County has deposited their 13% Contribution. The design review and development of tender documents is complete. The project is now at procurement stage
5	Endau Ilirukuyu Dam Project	Kitui	207,000m ³ earth dam –Class B, dam intake works, 2No 15-25m ³ /hr. CFU, Intake sump, Chemical dozers, solar powered pumping, 225m ³ masonry tank, 3No. Cattle troughs, fencing, 50No. Individual and 2No. Zonal metering, 4No water kiosks. Comprising of 2.3km rising main (HDPE) and 8.8KM pipeline distribution and solarizing of the system.	15,506	73,583,762.89	0	9,565,889	13	The county has released the 13% county contribution. Development of Resettlement Action Plan (RAP) is ongoing. The design Review is also ongoing and almost complete.
6	Murungu Chepareria Water Project.	West Pokot	Full Conventional treatment plant, 6.5Km pipeline, Metering (Master, bulk and consumer meters) and Rehabilitation works. The proposed treatment plant is 3,000m ³ /day and solarizing of the system.	14,000	90,899,812.50	0	10,000,000	11	The WSP has made their 13% contribution. Review of the designs and development of tender documents are complete. The project is now at procurement stage.

No.	County	Project Name	Project Scope	Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs)	%	
7	Garma Mugar Water Project.	Samburu	1No 100m ³ steel pressed tank, 4No 50m ³ Masonry Storage Tank, 24Km HDPE 110mm Pipeline extension, Submersible Pump, 6No water Kiosks, fencing of the pumping station and 3No Cattle troughs. Solarization of the system.	19,100	77,818,373.35	0	10,116,388	13	The County has contributed the 13% contribution. Review of the designs and development of tender documents is almost complete for the procurement to start off.
8	Koromey Bokolow Banyoley Water Project.	Mandera County	120,000m ³ Water pan, intake works, stilling basin, filtration gallery, chlorination dozers, solar powered pumping, 50m ³ steel elevated tank, 2No. Cattle troughs, fencing, 2 water troughs, metering of water kiosks, solarizing of the system.	6,037	77,606,364.68	0	10,089,416	13	The County has released the 13% county contribution. Review of design Report and development of tender documents are ongoing.
PUBLIC SANITATION FACILITIES									
1	Maarigat PSF	Baringo	Regular PSF, Septic tank, solarizing and advertisement	3,000	5,717,833.00	0	743,318	13	The County has released the 13% county contribution. Preparation of final tender documents is complete. The project is now at procurement stage.
2	Mashuru PSF	Kajiado	Regular PSF, Septic tank, solarizing and advertisement	9,000	5,405,510.00	0	702,717	13	The County has released the 13% county contribution. Preparation of final tender documents is complete. The project is now at procurement stage.
3	Bamba PSF	Kilifi	Regular PSF, Septic tank, solarizing and advertisement	15,000	5,879,533.00	0	3,768,191	64%	The County has released the 13% county contribution. Preparation of final tender documents is complete. Procurement stage is now complete. The site has been handed over to the contractor.
4	Mutomo PSF	Kitui	Regular PSF, Septic tank, solarizing and advertisement	10,720	5,789,339.00	0	9,565,889	13	The County has released the 13% county contribution. Preparation of final tender documents is complete. The project is now under procurement stage.
5	Bukolo Banyoley PSF	Mandera	17 No VIP's latrine in 6 institutions	2,279	5,886,820.28	0	767,286	13	The County has released the 13% county contribution. Preparation of final tender documents is complete. Procurement stage is now complete.
6	Leeckuru PSF	Samburu	Regular PSF, Septic tank, solarizing and advertisement	3,000	5,916,388.00	0	769,130	13	The County has released the 13% county contribution. Preparation of final tender documents is ongoing.
7	Maktau PSF	Taita Taveta	Regular PSF, Septic tank, solarizing and advertisement	1,672	5,717,833.00	0	0	0	The County is yet to disburse the 13% Likewise WSTF is yet to disburse the 87%.
8	Ortum PSF	West Pokot	Regular PSF, Septic tank, solarizing and advertisement	7,000	5,252,573.00	0	0	0	The County is yet to disburse the 13% Likewise WSTF is yet to disburse the 87%.
COMMUNITY LED TOTAL SANITATION (CLTS)									

No.	County	Project Name	Project Scope	Population	Project Budget (Kshs)	Project Status as at 30 th June 2020			Remarks
						Technical Progress (%)	Financial Progress Disbursed Funds (Kshs)	%	
1	Baringo CLTS	Baringo	CLTS in 6 Villages	1,760	671,000.00	0	87,230	13	The County has disbursed the 13% County Contribution.
2	Mashuru CLTS	Kajiado	CLTS in 6 Villages	1,210	541,000.00	0	267,090	62	The county has released the 13% county contribution, consequently WSTF has released the first disbursement. CLTS training to be held prior to implementation.
3	Bamba CLTS	Kilifi	CLTS in 6 Villages	1,735	592,800.00	0	339,282	57	The county has released the 13% county contribution, consequently WSTF has released the first disbursement. CLTS training to be held prior to implementation.
4	Endau Malalani CLTS	Kitui	Post ODF initiatives in 52 Villages	15,506	868,810.00	0	403,898	59	The county has released the 13% county contribution, consequently WSTF has released the first disbursement. CLTS training to be held prior to implementation.
5	Bokolow Banyoley CLTS	Mandera	CLTS in 6 Villages	2,820	594,600.00	40	414,248	70	The county has released the 13% county contribution, consequently WSTF has released the first disbursement. CLTS training to be held prior to implementation.
6	Kirimon CLTS	Samburu	CLTS in 6 Villages	2,330	612,200.00	0	79,586	13	The county has released the 13% county contribution.
7	Taita Taveta CLTS	Taita Taveta	CLTS in 6 Villages	1,510	798,340.00	0	0	0	The County is yet to disburse the 13%. Likewise WSTF is yet to disburse the 87%
8	Murany Chepatenia CLTS	West Pokot	CLTS in 6 Villages	1,935	519,600.00	0	0	0	The County is yet to disburse the 13%. Likewise WSTF is yet to disburse the 87%



**MINISTRY OF WATER,
SANITATION & IRRIGATION**



Water Sector Trust Fund (WaterFund)

1st Floor, CIC Plaza, Mara Road, Upper Hill,

Tel: 020 272 90 17/8

PO Box 49699 - 00100, Nairobi, Kenya

info@waterfund.go.ke